

# Introduction to quantum information processing

## Measurements and quantum probability

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# OUTLINE

- 1 Probability
- 2 Density Operators
- 3 Review: the Spectral Theorem

# PROBABILITY AND MEASUREMENT

## AS TAUGHT IN QUANTUM MECHANICS

In traditional quantum mechanics courses:

- 1 A system is associated with a Hilbert space  $\mathfrak{H}$ .
  - In quantum information we typically work with finite dimensional spaces.
- 2 Probability is determined by the “state” of the system  $|\psi\rangle \in \mathfrak{H}$ .
  - This is a unit vector, but only determined up to “phase.”
- 3 Observables are given by Hermitian operators  $A$ , and  $\langle A \rangle = \langle \psi | A | \psi \rangle$ .
  - In particular, if  $|\phi\rangle$  is an eigenvector of  $A$  with eigenvalue  $\lambda$  then

$$\Pr\{\text{Getting outcome } \lambda \text{ upon measuring } A\} =$$

$$\Pr\{\text{Seeing the state } |\phi\rangle \text{ upon observing } A\} = |\langle \psi | \phi \rangle|^2.$$

- This produces the idea of a “measurement” basis: the eigenbasis of  $A$ .

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## EIN GEDANKENEXPERIMENT

Alice flips a (fair) coin, and without telling us the result does the following:

- on heads, she prepares the state  $|0\rangle$ ; and
- on tails, she prepares the state  $|1\rangle$ .

Then sends the resulting state. We make a measurement. What do we get?

Say our measurement basis is

$$\begin{aligned} |\phi\rangle &= \alpha|0\rangle + \beta|1\rangle, \\ |\phi^\perp\rangle &= \beta^*|0\rangle - \alpha^*|1\rangle. \end{aligned}$$

Then

$$\Pr\{\text{Seeing } |\phi\rangle\} = \underbrace{\frac{1}{2}|\langle 0|\phi\rangle|^2}_{\text{Heads}} + \underbrace{\frac{1}{2}|\langle 1|\phi\rangle|^2}_{\text{Tails}} = \frac{|\alpha|^2 + |\beta|^2}{2} = \frac{1}{2}$$

$$\Pr\{\text{Seeing } |\phi^\perp\rangle\} = \underbrace{\frac{1}{2}|\langle 0|\phi^\perp\rangle|^2}_{\text{Heads}} + \underbrace{\frac{1}{2}|\langle 1|\phi^\perp\rangle|^2}_{\text{Tails}} = \frac{|\beta|^2 + |\alpha|^2}{2} = \frac{1}{2}.$$

## QUANTUM PROBABILITY

Hmmm... Alice managed to prepare a state where *any* measurement basis  $\{|\phi\rangle, |\phi^\perp\rangle\}$  we use gives us the statistics:

$$\Pr\{\text{Seeing } |\phi\rangle\} = \Pr\{\text{Seeing } |\phi^\perp\rangle\} = \frac{1}{2}.$$

So what is this state?

Suppose this state is  $|\psi\rangle$ . But then measuring in the basis  $\{|\psi\rangle, |\psi^\perp\rangle\}$  gives

$$\Pr\{\text{Seeing } |\psi\rangle\} = 1 \text{ and } \Pr\{\text{Seeing } |\psi^\perp\rangle\} = 0,$$

not 50-50 as we've already shown it must be.

So this state is never of the form  $|\psi\rangle$ ! What is it?

*Answer:* states of the form  $|\psi\rangle$  are special, called “pure” states. There’s many more quantum states than just these. Alice prepared something called a “mixed” state.

## MIXED STATES VERSUS PURE STATES

Let figure it out what it is by pushing symbols around:

$$\begin{aligned}
 \Pr\{\text{Seeing } |\phi\rangle\} &= \frac{1}{2}|\langle 0|\phi\rangle|^2 + \frac{1}{2}|\langle 1|\phi\rangle|^2 \\
 &= \frac{1}{2}(\langle 0|\phi\rangle)^* \langle 0|\phi\rangle + \frac{1}{2}(\langle 1|\phi\rangle)^* \langle 1|\phi\rangle \\
 &= \frac{1}{2}\langle \phi|0\rangle\langle 0|\phi\rangle + \frac{1}{2}\langle \phi|1\rangle\langle 1|\phi\rangle \\
 &= \langle \phi| \left( \frac{1}{2}|0\rangle\langle 0| \right) |\phi\rangle + \langle \phi| \left( \frac{1}{2}|1\rangle\langle 1| \right) |\phi\rangle \\
 &= \langle \phi| \left( \frac{1}{2}(|0\rangle\langle 0| + |1\rangle\langle 1|) \right) |\phi\rangle \\
 &= \langle \phi|\rho|\phi\rangle
 \end{aligned}$$

where

$$\rho = \frac{1}{2}(|0\rangle\langle 0| + |1\rangle\langle 1|).$$

## MIXED STATES VERSUS PURE STATES

What's with this notation? Let's really compute this mixed state:

$$\begin{aligned} \frac{1}{2} \langle \phi | 0 \rangle \langle 0 | \phi \rangle &= \frac{1}{2} \left[ \begin{pmatrix} \alpha^* & \beta^* \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right] \left[ \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \right] \\ &= \begin{pmatrix} \alpha^* & \beta^* \end{pmatrix} \left[ \frac{1}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \end{pmatrix} \right] \begin{pmatrix} \alpha \\ \beta \end{pmatrix}. \end{aligned}$$

So  $\frac{1}{2} |0\rangle\langle 0|$  is the matrix (or operator)

$$\frac{1}{2} |0\rangle\langle 0| = \frac{1}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & 0 \end{pmatrix}$$

And similarly for  $\frac{1}{2} |1\rangle\langle 1|$ , and so

$$\rho = \frac{1}{2} (|0\rangle\langle 0| + |1\rangle\langle 1|) = \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{pmatrix} = \frac{1}{2} \cdot \mathbb{1}.$$



## MIXED STATES VERSUS PURE STATES

Now things are falling into place.

- The state Alice prepared is an operator  $\rho = \frac{1}{2} \cdot \mathbf{1}$ .
- We've shown the general probability rule

$$\Pr\{\text{Seeing } |\phi\rangle\} = \langle\phi|\rho|\phi\rangle.$$

- So we simply compute: for any  $|\phi\rangle$ ,

$$\frac{1}{2} \langle\phi|\mathbf{1}|\phi\rangle = \frac{1}{2} \langle\phi|\phi\rangle = \frac{1}{2}.$$

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## MIXED STATES AND ENSEMBLES

Instead, imagine Alice prepared a huge number of quantum states:

- half of them are prepared in  $|0\rangle$ , and the other half in  $|1\rangle$ ;
- we take one at random and measure it.

In general: an *ensemble* is a collection  $\{(|\psi_j\rangle, p_j)\}_{j=1}^N$

- $|\psi_j\rangle \in \mathfrak{H}$  is any pure state, and
- $p_j$  is the proportion of the ensemble prepared in  $|\psi_j\rangle$ .

Then our statistics are given by

$$\begin{aligned} \Pr\{\text{Seeing } |\phi\rangle\} &= \sum_{j=1}^N p_j |\langle \psi_j | \phi \rangle|^2 \\ &= \sum_{j=1}^N p_j \langle \phi | \psi_j \rangle \langle \psi_j | \phi \rangle = \langle \phi | \left( \sum_{j=1}^N p_j |\psi_j\rangle \langle \psi_j| \right) | \phi \rangle. \end{aligned}$$

So ensembles are (generally) types of mixed states.

## POSITIVE OPERATORS

The state of an ensemble has the form  $\rho = \sum_{j=1}^N p_j |\psi_j\rangle\langle\psi_j|$ .

- This operator is Hermitian since  $p_j$  is real.

### Definition

A Hermitian operator  $\rho$  is *positive* (denoted  $\rho \geq 0$ ) if all its eigenvalues are nonnegative.

Why isn't this called nonnegative? I don't know.

### Proposition

An operator  $\rho \geq 0$  if and only if for every  $|\phi\rangle$  we have  $\langle\phi|\rho|\phi\rangle \geq 0$ .

We'll prove this soon, but we can apply it now.

- The state of any ensemble is positive. In fact,

$$\langle\phi|\rho|\phi\rangle = \sum_{j=1}^N p_j |\langle\psi_j|\phi\rangle|^2 = \Pr\{\text{Seeing } |\phi\rangle\} \geq 0.$$

## TRACE

Recall from the linear algebra:

- the trace of a matrix is the sum of its diagonal entries.
- Important fact 1:  $\text{tr}(AB) = \text{tr}(BA)$ .
- Important fact 2:  $\text{tr}(c_1A + c_2B) = c_1\text{tr}(A) + c_2\text{tr}(B)$ .

Fact 1 implies that the trace can be computed in any basis:

- if  $P$  is the change-of-basis matrix, then

$$\text{tr}(P^{-1}AP) = \text{tr}((P^{-1}A)P) = \text{tr}(P(P^{-1}A)) = \text{tr}(PP^{-1}A) = \text{tr}(A).$$

In Dirac notation the trace is expressed as follows.

- Let  $\{|\phi_j\rangle\}$  be *any* basis of  $\mathfrak{H}$  (typically we use an orthonormal one).
- The trace of an operator is given by

$$\text{tr}(\rho) = \sum_j \langle \phi_j | \rho | \phi_j \rangle.$$

(*Warning*: in general  $\langle \phi_j |$  refers to the “dual” basis; this can be a tricky to compute if the basis is not orthonormal!)

## DENSITY OPERATORS

The state of an ensemble is  $\rho = \sum_{j=1}^N p_j |\psi_j\rangle\langle\psi_j|$ .

### Proposition

*The state of any ensemble has trace one.*

Now we use an *orthonormal* basis,  $\{|\phi_k\rangle\}$ . We first compute

$$\begin{aligned}\mathrm{tr}(|\psi_j\rangle\langle\psi_j|) &= \sum_k \langle\phi_k|\psi_j\rangle\langle\psi_j|\phi_k\rangle = \sum_k |\langle\psi_j|\phi_k\rangle|^2 \\ &= \|\phi_j\|^2 = 1 \text{ (Pythagoras' Theorem).}\end{aligned}$$

But then

$$\mathrm{tr}(\rho) = \mathrm{tr}\left(\sum_{j=1}^N p_j |\psi_j\rangle\langle\psi_j|\right) = \sum_{j=1}^N p_j \mathrm{tr}(|\psi_j\rangle\langle\psi_j|) = \sum_{j=1}^N p_j = 1.$$

### Definition

*A density operator* is an operator  $\rho$  on  $\mathfrak{H}$  with (i)  $\rho \geq 0$  and (ii)  $\mathrm{tr}(\rho) = 1$ .

## EXAMPLE: DENSITIES ON THE BLOCH SPHERE

Recall the Bloch sphere:

- a qubit can be written as  $|\psi\rangle = \cos(\theta/2)|0\rangle + e^{i\varphi} \sin(\theta/2)|1\rangle$ ,
- on the Bloch sphere it is  $(r_x, r_y, r_z) = (\cos \varphi \sin \theta, \sin \varphi \sin \theta, \cos \theta)$ .

Consider  $|\psi\rangle$  as an ensemble by itself  $(|\psi\rangle, 1)$ , so  $\rho = |\psi\rangle\langle\psi|$ . We compute:

$$\begin{aligned} \rho &= \begin{pmatrix} \cos(\theta/2) \\ e^{i\varphi} \sin(\theta/2) \end{pmatrix} \begin{pmatrix} \cos(\theta/2) & e^{-i\varphi} \sin(\theta/2) \end{pmatrix} \\ &= \begin{pmatrix} \cos^2(\theta/2) & e^{-i\varphi} \sin(\theta/2) \cos(\theta/2) \\ e^{i\varphi} \sin(\theta/2) \cos(\theta/2) & \sin^2(\theta/2) \end{pmatrix} \\ &= \frac{1}{2} \begin{pmatrix} 1 + \cos \theta & \cos \varphi \sin \theta - i \sin \varphi \sin \theta \\ \cos \varphi \sin \theta + i \sin \varphi \sin \theta & 1 - \cos \theta \end{pmatrix} \\ &= \frac{1}{2} (\mathbb{1} + \cos \theta Z + \cos \varphi \sin \theta X + \sin \varphi \sin \theta Y) \\ &= \frac{1}{2} (\mathbb{1} + r_x X + r_y Y + r_z Z). \end{aligned}$$

So the Bloch sphere coordinates of  $|\psi\rangle$  are just the coefficients of  $\rho = |\psi\rangle\langle\psi|$  with respect to the Pauli matrices.

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# EIGENVECTORS AND EIGENVALUES

We're familiar with eigenvectors and eigenvalues of matrix  $M\vec{v} = \lambda\vec{v}$ .

- Dirac notation:  $M|\psi\rangle = \lambda|\psi\rangle$ .

Important fact: let  $H$  be Hermitian on  $\mathfrak{H}$ ,

- 1 then  $\mathfrak{H}$  has a orthonormal basis of eigenvectors for  $H$ ,  $\{|\psi_j\rangle\}_{j=1}^{\dim \mathfrak{H}}$ ,
- 2 and all the eigenvalues of  $H$  are real.

## Proposition

*The operator  $\Pi = |\psi\rangle\langle\psi|$  is the orthogonal projection onto  $\text{span}\{|\psi\rangle\}$ .*

In other words: (i)  $\Pi|\psi\rangle = |\psi\rangle$  and (ii) if  $\langle\psi|\phi\rangle = 0$  then  $\Pi|\phi\rangle = 0$ .

Using the notation above  $\Pi_j = |\psi_j\rangle\langle\psi_j|$  is an *eigenprojector* of  $H$ .

- The eigenvalue equation reads  $H\Pi_j = \lambda_j\Pi_j$ .
- Orthogonality implies  $\Pi_j\Pi_k = 0$  whenever  $j \neq k$ .

## SPECTRAL MEASURES

What if  $H$  has degenerate eigenvalues? E.g.  $H = Z \otimes \mathbb{1}$ :

- eigenvalue  $+1$  has eigenvectors  $|00\rangle$  and  $|01\rangle$ ,
- eigenvalue  $-1$  has eigenvectors  $|10\rangle$  and  $|11\rangle$ .

This would come up when we “measure the first qubit of a register.”

- The eigenprojection  $\Pi_{+1}$  maps onto  $\text{span}\{|00\rangle, |01\rangle\}$ .
- The eigenprojection  $\Pi_{-1}$  maps onto  $\text{span}\{|10\rangle, |11\rangle\}$ .

If  $\{|\psi_k\rangle\}_{k=1}^d$  is a *orthonormal* basis of eigenvectors for eigenvalue  $\lambda$ :

- the eigenprojection is  $\Pi_\lambda = |\psi_1\rangle\langle\psi_1| + \cdots + |\psi_d\rangle\langle\psi_d|$ .
- Still, if  $\lambda \neq \mu$  are eigenvalues then  $\Pi_\lambda\Pi_\mu = 0$ .

### Definition

A *spectral (or projection-valued) measure* is a collection of orthogonal projections  $\{\Pi_j\}$  such that (i)  $\Pi_j\Pi_k = 0$  whenever  $j \neq k$ , and (ii)  $\sum_j \Pi_j = \mathbb{1}$ .

## THE SPECTRAL THEOREM

### Theorem (The Spectral Theorem)

Let  $H$  be Hermitian,  $\sigma(H) = \{\lambda_1, \dots, \lambda_r\}$  be its eigenvalues, and  $\{\Pi_1, \dots, \Pi_r\}$  be the associated eigenprojections. Then for any function  $f$  defined on  $\sigma(H)$ , we have  $f(H) = \sum_{k=1}^r f(\lambda_k) \Pi_k$ .

This is not just notation: consider  $H^2 = \sum_{k=1}^r \lambda_k^2 \Pi_k$ .

- I know how to calculate each side independently.
- The spectral theorem shows they always give the same answer.

More important examples:

- (spectral resolution) for  $f(x) = x$  we have  $H = \sum_{k=1}^r \lambda_k \Pi_k$ ;
- (square-root) if  $\rho \geq 0$  and  $f(x) = \sqrt{x}$  we have  $\rho^{1/2} = \sum_{k=1}^r \sqrt{\lambda_k} \Pi_k$ ;
- (modulus) for  $f(x) = |x|$  we have  $|A| = \sum_{k=1}^r |\lambda_k| \Pi_k$ ;
- (propagation) for  $f(x) = e^{ixt}$  we have  $e^{iHt} = \sum_{k=1}^r e^{i\lambda_k t} \Pi_k$ ;
- (resolution-of-the-identity) for  $f(x) = 1$  we have  $\mathbb{1} = \sum_{k=1}^r \Pi_k$ .

## EXAMPLE: DENSITIES VERSUS ENSEMBLES

We claim every density operator is just an ensemble.

- Use the spectral resolution to write  $\rho = \sum_{j=1}^{\dim \mathcal{H}} \lambda_j |\psi_j\rangle\langle\psi_j|$ .
- Here, if an eigenvalue is degenerate (say  $\lambda_k$ ) we split up its projection  $\Pi_k = |\psi_{k_1}\rangle\langle\psi_{k_1}| + \cdots + |\psi_{k_d}\rangle\langle\psi_{k_d}|$ .
- Always  $\lambda_j \geq 0$  since  $\rho \geq 0$ .

Trace can be computed in any basis, so use the basis  $\{|\psi_j\rangle\}$ :

$$\text{tr}(\rho) = \sum_{jk} \lambda_j |\langle\psi_j|\psi_k\rangle|^2 = \sum_j \lambda_j = 1.$$

Therefore  $\{(|\psi_j\rangle, \lambda_j)\}$  is an ensemble.

So we see (i) mixed states, (ii) ensembles, and (iii) density operators are all the same.

## POSITIVE OPERATORS

Some unfinished business:

### Proposition

*An operator  $A \geq 0$  if and only if for every  $|\psi\rangle$ , we have  $\langle\psi|A|\psi\rangle \geq 0$ .*

*Proof.* Suppose  $\langle\psi|A|\psi\rangle \geq 0$  for all  $|\psi\rangle$ . Let  $\lambda$  be an eigenvalue of  $A$ , so that  $A|\phi\rangle = \lambda|\phi\rangle$  for some  $|\phi\rangle$ . Then

$$0 \leq \langle\phi|A|\phi\rangle = \langle\phi|\lambda|\phi\rangle = \lambda.$$

Since  $\lambda$  was arbitrary, all eigenvalues of  $A$  are nonnegative.

Now suppose  $A \geq 0$ , and write  $A = \sum_{j=1}^n \lambda_j |\phi_j\rangle\langle\phi_j|$  using the spectral theorem. Then since each  $\lambda_j \geq 0$ , every  $|\psi\rangle$  has

$$\langle\psi|A|\psi\rangle = \sum_{j=1}^n \lambda_j |\langle\psi|\phi_j\rangle|^2 \geq 0. \quad \square$$

## NEXT TIME...

- Schmidt decomposition.
- Partial trace.
- State purification.
- Superoperators.