## Name:

## Midterm 1

CMSC 430
Introduction to Compilers Fall 2015

## Instructions

This exam contains 7 pages, including this one. Make sure you have all the pages. Write your name on the top of this page before starting the exam.

Write your answers on the exam sheets. If you finish at least 15 minutes early, bring your exam to the front when you are finished; otherwise, wait until the end of the exam to turn it in. Please be as quiet as possible.

If you have a question, raise your hand. If you feel an exam question assumes something that is not written, write it down on your exam sheet. Barring some unforeseen error on the exam, however, you shouldn't need to do this at all, so be careful when making assumptions.

| Question | Score | Max |
| ---: | :--- | :--- |
| 1 |  | 25 |
| 2 |  | 40 |
| 3 |  | 35 |
| Total |  | 100 |

Question 1. Short Answer (25 points).
a. (5 points) Briefly explain the difference between a compiler and an interpreter.
b. (5 points) In the context of lexing and parsing, briefly explain what a token is and how it differs from a character in the language to be parsed.
c. (5 points) Briefly explain what makes a language call-by-value. Is OCaml a call-by-value language?
d. (10 points) Recall the type of tries from project 1 :

Write a function find $t \mathrm{ks}$ that returns the value k is mapped to in t , or aborts with Not_found if ks is not mapped in $t$. Feel free to use any functions from the List module you like.

Question 2. Parsing (40 points).
a. (10 points) Consider the following grammar and associated parsing table.

|  | State | Action |  |  |  | Goto |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $a$ | $b$ | d | \$ | $S$ | $D$ |
|  | 0 | $s 5$ |  | $s 3$ |  | 1 | 2 |
|  | 1 |  |  |  | acc |  |  |
| 0. $S^{\prime} \rightarrow$ S | 2 |  | $r 2$ | $r 2$ |  |  |  |
| 1. $S \rightarrow a S b$ | 3 |  | $r 4$ | $s 3$ | $r 4$ |  | 4 |
| 2. $S \rightarrow$ D | 4 |  | $r 3$ |  | $r 3$ |  |  |
| 3. $D \rightarrow d D$ | 5 | $s 5$ |  | $s 9$ |  | 7 | 6 |
| 4. $D \rightarrow d$ | 6 |  | $r 2$ |  |  |  |  |
|  | 7 |  | $s 8$ |  |  |  |  |
|  | 8 |  | $r 1$ |  | $r 1$ |  |  |
|  | 9 |  | $r 4$ | $s 9$ |  |  | 10 |
|  | 10 |  | $r 3$ |  |  |  |  |

Fill in the following to show how the string $a a d b b \$$ is parsed. You may or may not need to use all the rows. Add extra rows if necessary.

| Stack | Input | Action |
| :--- | ---: | ---: |
| 0 |  | $a a d b b \$$ |
|  |  | $\$$ |
|  |  | $\$$ |

b. (20 points) Draw the LR(1) parsing DFA for the following grammar:

$$
\begin{aligned}
& S \rightarrow a B D e \\
& B \rightarrow B b \mid b \\
& D \rightarrow d \mid \varepsilon
\end{aligned}
$$


c. (10 points) Draw an $\operatorname{LR}(1)$ DFA state, along with any needed outgoing edges, that has a shift/reduce conflict. State on which character a conflict occurs. Similarly, draw an $\operatorname{LR}(1)$ DFA state, along with any needed outgoing edges, that has a reduce/reduce conflict, and state on which character a conflict occurs. Be sure to ;abel which state has the shift/reduce conflict and which state has the reduce/reduce conflict.

As an illustration, here is a state without any conflicts:


Question 3. Operational Semantics (35 points.)
a. (10 points) Here are partial big-step operational semantics for arithmetic expressions

$$
a::=n|X| a+a
$$

where $X \in \operatorname{Var}$ ranges over variables, and a program state $\sigma: \operatorname{Var} \rightarrow n$ maps variables to integers $n$.

$$
\begin{array}{lllll}
\text { InT } & \text { VAR } & \begin{array}{l}
\text { PLUS } \\
\langle n, \sigma\rangle \rightarrow n
\end{array} \quad \frac{\left\langle a_{1}, \sigma\right\rangle \rightarrow n}{\langle X, \sigma\rangle \rightarrow \sigma(X)} & \left\langle a_{2}, \sigma\right\rangle \rightarrow m & p=n+m \\
\left\langle a_{1}+a_{2}, \sigma\right\rangle \rightarrow p &
\end{array}
$$

Draw a derivation showing that $\langle 1+(X+Y), \sigma\rangle \rightarrow 6$ if $\sigma=[X \mapsto 2, Y \mapsto 3]$.
b. (8 points) Here are small-step semantics rules for the same language:

\[

\]

Show that $1+(X+Y) \rightarrow_{\sigma}^{*} 6$ by showing each step of the reduction, where $\sigma=[X \mapsto 2, Y \mapsto 3]$. You don't need to show the derivations that lead to the individual steps, just the steps themselves.
c. (2 points) Does the above small-step semantics evaluate the left- or right-hand side of a summation first? Briefly justify your answer.
d. (10 points) Here I've tried to write down the big-step operational semantics for commands:

$$
c::=\operatorname{skip}|X:=a| c ; c \mid \text { if } b \text { then } c \text { else } c \mid \text { while } b \text { do } c
$$

But I did a terrible job. List all the mistakes I made, assuming I meant to write a complete, standard semantics for this language. (You don't need to rewrite the semantics, just enumerate my mistakes.)

$$
\begin{aligned}
& \text { Assign SEQ } \\
& \frac{\langle a, \sigma\rangle \rightarrow n}{\langle X:=a, \sigma\rangle \rightarrow \sigma[X \mapsto n]} \quad \frac{\left\langle c_{0}, \sigma_{1}\right\rangle \rightarrow \sigma_{0} \quad\left\langle c_{1}, \sigma\right\rangle \rightarrow \sigma_{1}}{\left\langle\left(c_{0} ; c_{1}\right), \sigma\right\rangle \rightarrow \sigma_{0}} \\
& \begin{array}{l}
\begin{array}{l}
\text { IF-T } \\
\langle b, \sigma\rangle \rightarrow \text { true }
\end{array} \quad\left\langle c_{0}, \sigma\right\rangle \rightarrow \sigma^{\prime} \\
\left\langle\text { if } b \text { then } c_{0} \text { else } c_{1}, \sigma\right\rangle \rightarrow \sigma^{\prime}
\end{array} \quad \begin{array}{l}
\text { If-F } \\
\langle b, \sigma\rangle \rightarrow \text { false } \quad\left\langle c_{0}, \sigma\right\rangle \rightarrow \sigma^{\prime}
\end{array} \\
& \begin{array}{ll}
\begin{array}{l}
\text { WhiLe-T } \\
\langle b, \sigma\rangle \rightarrow \text { true }
\end{array} & \begin{array}{l}
\text { While-F } \\
\langle\text { while } b \text { do } c, \sigma\rangle \rightarrow \sigma
\end{array}
\end{array} \frac{\langle b, \sigma\rangle \rightarrow \text { false }}{\langle\text { while } b \text { do } c, \sigma\rangle \rightarrow \sigma^{\prime}} \quad\langle c, \sigma\rangle \rightarrow \sigma^{\prime}
\end{aligned}
$$

e. (5 points) Suppose we extend our language with a new form "repeat $c$ until $b$ " that executes $c$ until $b$ becomes true, at which point the loop exits. (Note this means $c$ will always be executed at least once.) Write down big-step operational rule(s) for this new form. (Assume there exist other big-step rules of the form $\langle c, \sigma\rangle \rightarrow \sigma^{\prime}$ for commands, and $\langle b, \sigma\rangle \rightarrow b v$ for booleans, where $b v$ ranges over true and false.)

