

CMSC 420 - 0201 - Fall 2019

Lecture 07

Treaps and Skip Lists



Randomized Search Structures

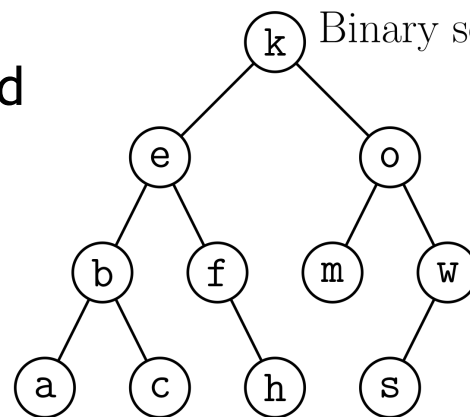
- Today we will discuss two randomized search structures:
 - Treaps
 - Skip lists
- We shall see that these structures are both very efficient and very simple
- Running times are measured in **expectation** over all **random choices** made
- Note that expected time does **not** depend on the **distribution of keys** or the **order of operations**



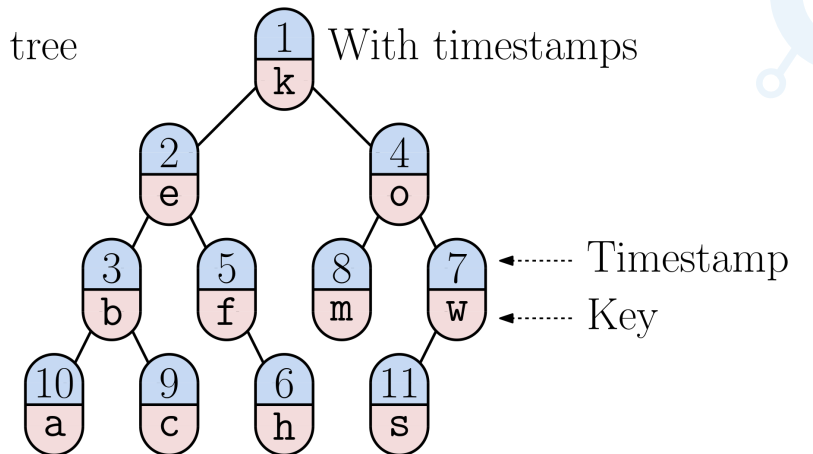
Treap

- Recall that if n keys are inserted into a (standard) binary search tree, the expected height is $O(\log n)$
- **Treap** - A binary tree that behaves “as if” keys were inserted in random order
- **Intuition:**
 - Label each item with its **insertion time**
 - These timestamps are ordered like a **heap**

Insertion order: k, e, b, o, f, h, w, m, c, a, s



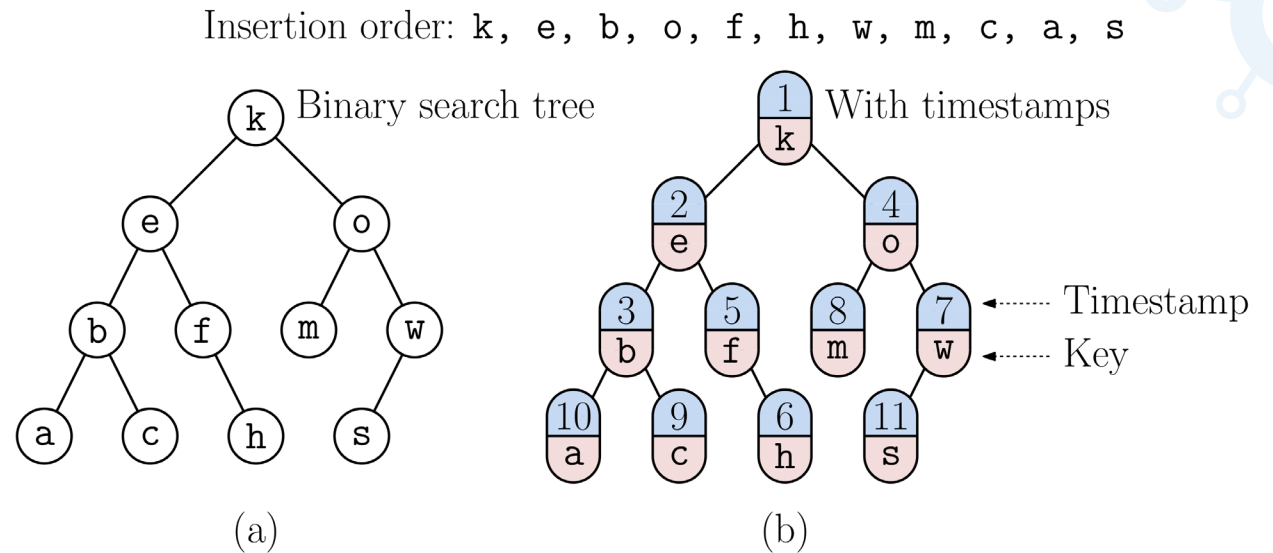
(a)



(b)

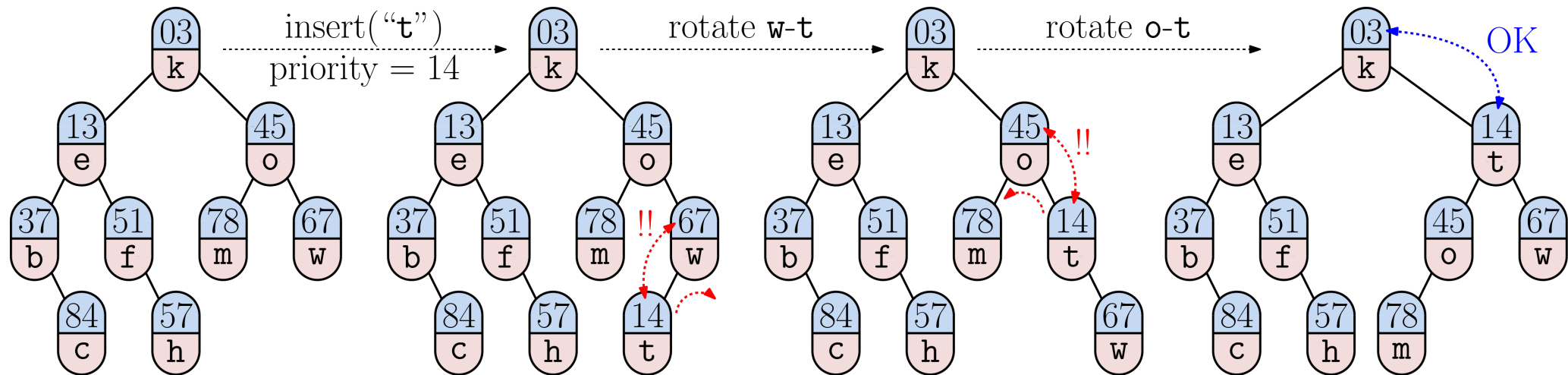
Treap

- A treap is a binary search tree, where every node p stores a **priority** $p.priority$
 - Priority values are chosen **randomly** when the key is inserted, and do not change
 - The tree is structured **as if** keys were inserted in priority order
- **Theorem:** Expected height is $O(\log n)$
- **Ordering:**
 - Keys - inorder
 - Priorities - heap order



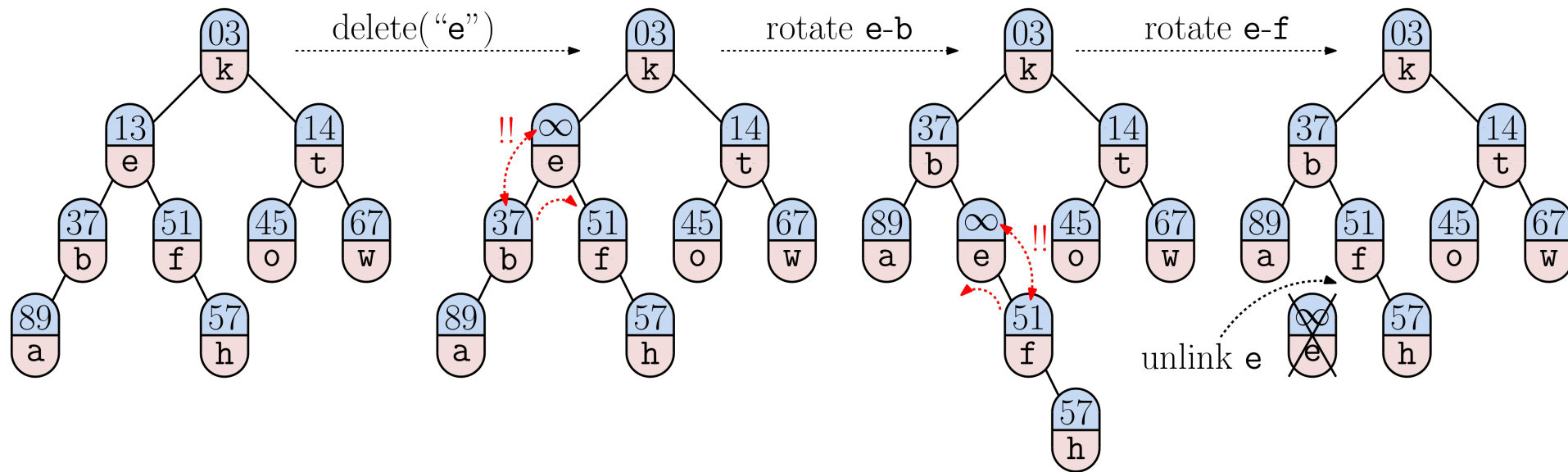
Treap Insertion

- Apply the standard insertion process - create node where we **fall out** of tree
- Assign a **random priority value** to the new node
- Apply **rotations** up the tree until it is in proper **heap order**
- **Note:** Inorder is maintained throughout



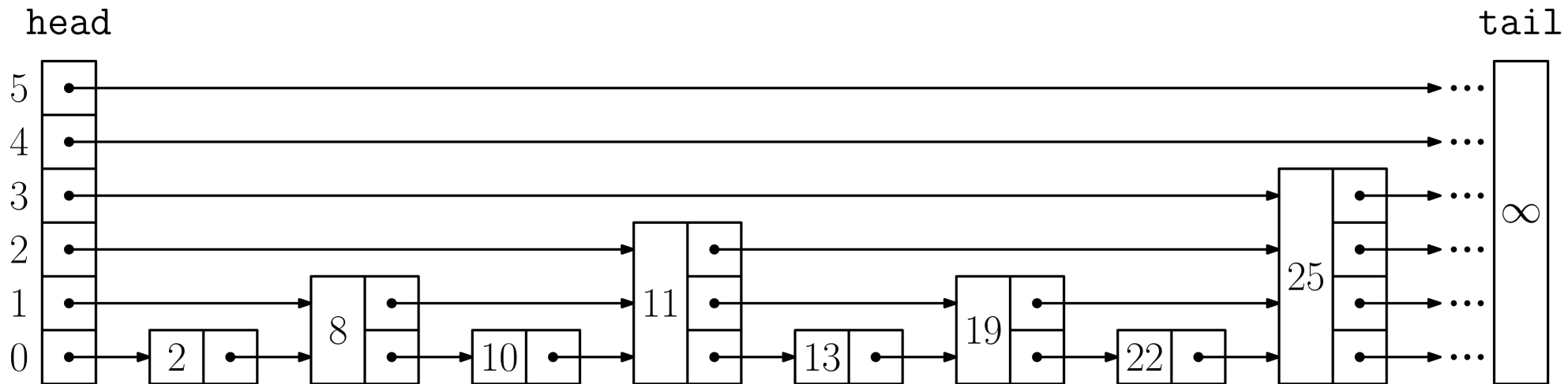
Treap Deletion

- Find the node to be deleted
- Set its priority value to ∞
- Rotate it down to the leaf level and unlink



Skip List

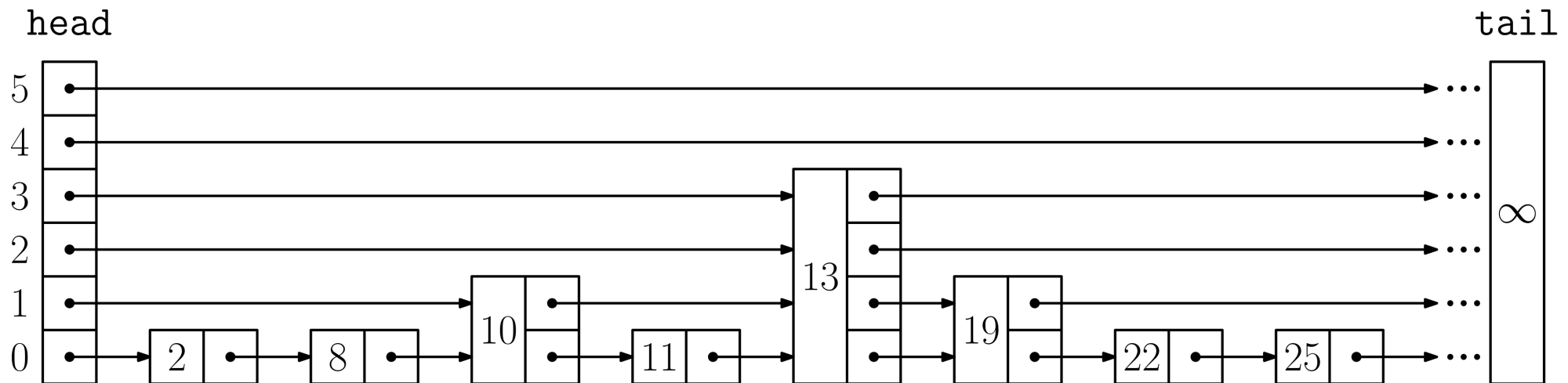
- A “better” linked list
- Intuition: “Ideal” skip list
 - Store keys in a **sorted link list** (level 0)
 - Promote **every other** key from level $i - 1$ to level i
 - Number of levels is $O(\log n)$



Skip List

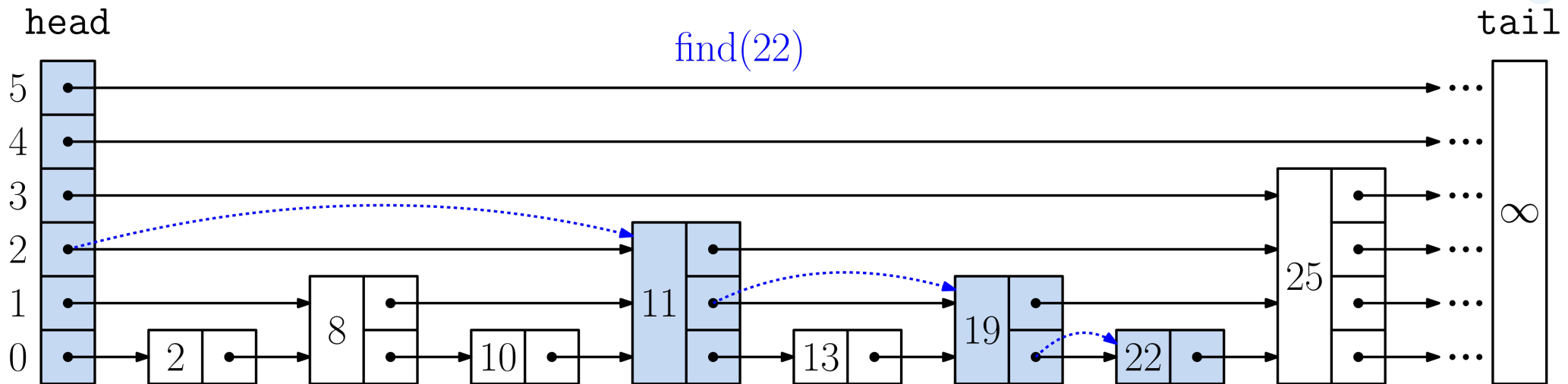
■ (Randomized) Skip List

- Each node at level i tosses a coin
- If the coin comes up heads (probability = $\frac{1}{2}$) extend this node to level $i + 1$
- Expected number of levels is $O(\log n)$



Skip List: Find(x)

- Start at the **topmost level** ($i = \text{maxLevel}$)
- Walk through level i until finding the **rightmost** node p such that $p.\text{key} \leq x$
 - if $p.\text{key} == x$, found it!
 - else if $i > 0$, drop to next lower level ($i = i-1$)
 - else not found

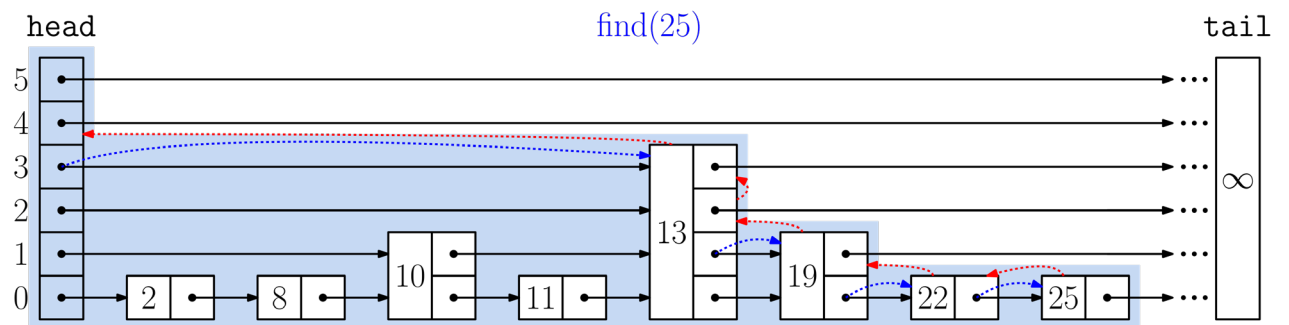


Skip List - Randomized Analysis

- Let $E(i)$ be **expected number of nodes** visited at level i and lower
- **Backwards analysis**: Walk **backwards** along the search path
- Suppose we are currently at level $i - 1$
- If current node contributes to next higher level (with prob $\frac{1}{2}$) search goes up a level (i), else we stay at current level ($i - 1$). Thus:

$$E(i) = 1 + \frac{1}{2} E(i - 1) + \frac{1}{2} E(i)$$

- **Conclusion**: $E(i) = 2 + E(i - 1) = 2i$ (i.e., two nodes per level)
- **Theorem**: Expected search time is $O(\log n)$



Skip List Insertion

insert(x, v)

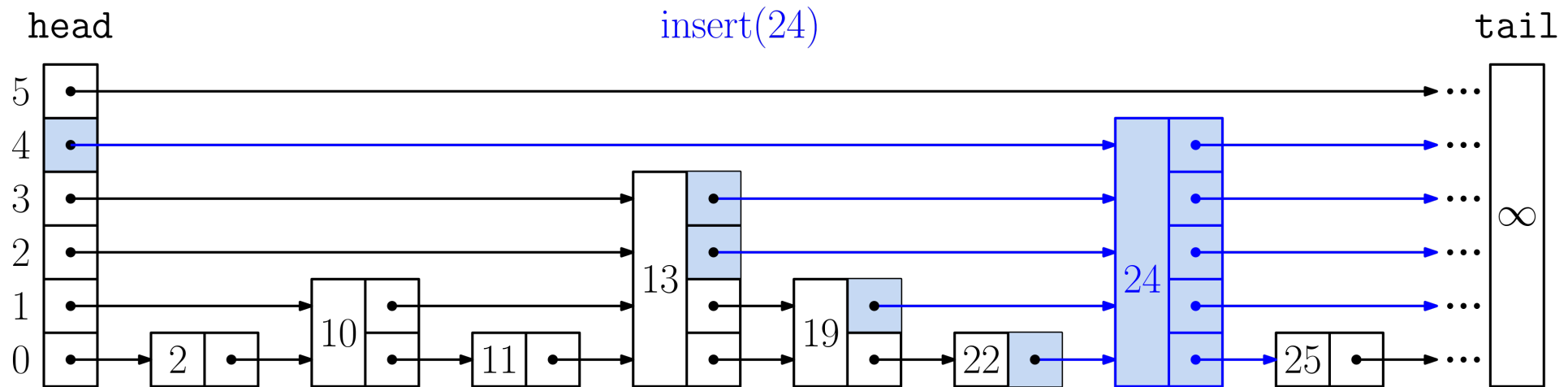
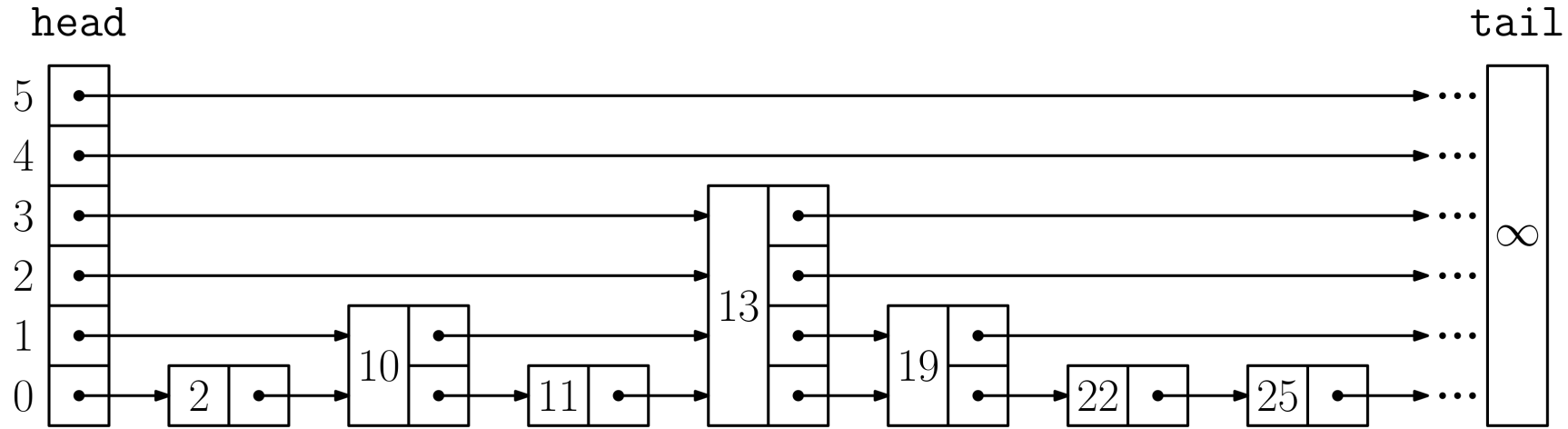
- Walk through structure, as if doing find(x)
- Keep track of the last node visited before dropping down a level
- When we find where to insert x at level 0, apply coin flipping to determine level

```
k = 0  
while (k <= maxLevel && Math.random() % 2 == 0) k++;  
generate node of level k, with key x and value v
```

- Link this node into levels 0 through k



Skip List - Insertion



Summary

- Randomized search structures
- Treaps
- Skip lists

