

CMSC 330: Organization of Programming Languages

Operational Semantics

Formal Semantics of a Prog. Lang.

- ▶ Mathematical description of the meaning of programs written in that language
 - What a program computes, and what it does
- ▶ Three main approaches to formal semantics
 - Operational ← **this course**
 - Often on an abstract machine (mathematical model of computer)
 - Analogous to interpretation
 - Denotational
 - Axiomatic

Operational Semantics

- ▶ We will show how an operational semantics may be defined for Micro-Ocaml
 - And develop an interpreter for it, along the way
- ▶ Approach: use **rules** to define a **judgment**

$$e \Rightarrow v$$

Says “***e** evaluates to **v***”

e: expression in Micro-OCaml

v: value that results from evaluating **e**

Definitional Interpreter

- ▶ Rules for judgment $e \Rightarrow v$ can be easily turned into idiomatic OCaml code for an interpreter
 - The language's expressions e and values v have corresponding OCaml datatype representations **exp** and **value**
 - The semantics is represented as a function

eval: exp -> value

- ▶ This way of presenting the semantics is referred to as a **definitional interpreter**
 - The interpreter defines the language's meaning

Abstract Syntax Tree spec. via “Grammar”

- ▶ We use a grammar for ***e*** to **directly** describe an expression's **abstract syntax tree (AST)**, i.e., ***e***'s structure

e* ::= *x* | *n* | *e* + *e* | let *x* = *e* in *e

corresponds to (in definitional interpreter)

```
type id = string
type num = int
type exp =
  | Ident of id           (* x *)
  | Num of num            (* n *)
  | Plus of exp * exp     (* e+e *)
  | Let of id * exp * exp (* let x=e in e *)
```

We are *not* concerned about the process of **parsing**, i.e., from text to an AST. We can thus ignore issues of ambiguity, etc. and focus on the **structure** of the AST given by the grammar

Micro-OCaml Expression Grammar

$$e ::= x \mid n \mid e + e \mid \text{let } x = e \text{ in } e$$

► e , x , n are *meta-variables* that stand for categories of syntax (like non-terminals in a CFG)

- x is any identifier (like z , y , foo)
- n is any numeral (like 1 , 0 , 10 , -25)
- e is any expression (here defined, recursively!)

► *Concrete syntax* of actual expressions in **black**

- Such as `let`, `+`, `z`, `foo`, `in`, ... (like terminals in a CFG)

• `::=` and `|` are *meta-syntax* used to define the syntax of a language
(part of “Backus-Naur form,” or BNF)

Micro-OCaml Expression Grammar

$$e ::= x \mid n \mid e + e \mid \text{let } x = e \text{ in } e$$

Examples

- 1 is a numeral n which is an expression e
- 1+z is an expression e because
 - 1 is an expression e ,
 - z is an identifier x , which is an expression e , and
 - $e + e$ is an expression e
- let z = 1 in 1+z is an expression e because
 - z is an identifier x ,
 - 1 is an expression e ,
 - 1+z is an expression e , and
 - let $x = e$ in e is an expression e

Values

- ▶ A **value** v is an expression's final result

$$v ::= n$$

- ▶ Just numerals for now

- In terms of an interpreter's representation:

`type value = int`

- In a full language, values v will also include booleans (**true**, **false**), strings, functions, ...

Defining the Semantics

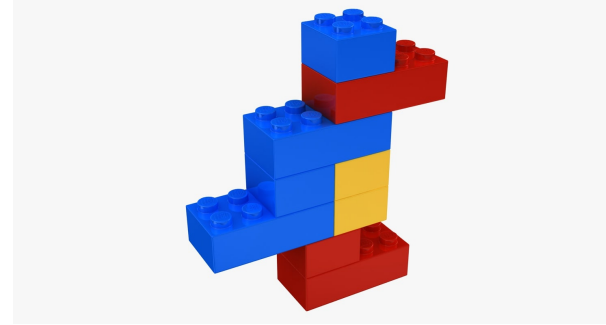
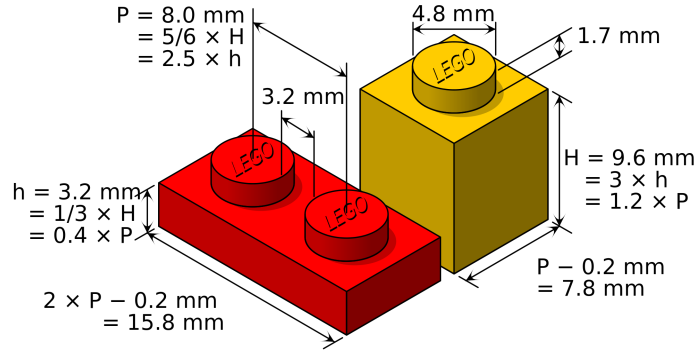
- ▶ Use **rules** to define **judgment** $e \Rightarrow v$
- ▶ Judgments are just statements. We use rules to prove that the statement is true.
 - $1+3 \Rightarrow 4$
 - $1+3$ is an expression e , and 4 is a value v
 - This judgment claims that $1+3$ evaluates to 4
 - We use rules to prove it to be true
 - $\text{let } \text{foo}=1+2 \text{ in } \text{foo}+5 \Rightarrow 8$
 - $\text{let } \text{f}=1+2 \text{ in } \text{let } \text{z}=1 \text{ in } \text{f}+\text{z} \Rightarrow 4$

Rules as English Text

No rule when e is x

- ▶ Suppose e is a numeral n
 - Then e evaluates to itself, i.e., $n \Rightarrow n$
- ▶ Suppose e is an addition expression $e1 + e2$
 - If $e1$ evaluates to $n1$, i.e., $e1 \Rightarrow n1$
 - And if $e2$ evaluates to $n2$, i.e., $e2 \Rightarrow n2$
 - Then e evaluates to $n3$, where $n3$ is the sum of $n1$ and $n2$
 - I.e., $e1 + e2 \Rightarrow n3$
- ▶ Suppose e is a let expression **let** $x = e1$ **in** $e2$
 - If $e1$ evaluates to v , i.e., $e1 \Rightarrow v1$
 - And if $e2\{v1/x\}$ evaluates to $v2$, i.e., $e2\{v1/x\} \Rightarrow v2$
 - Here, $e2\{v1/x\}$ means “the expression after substituting occurrences of x in $e2$ with $v1$ ”
 - Then e evaluates to $v2$, i.e., **let** $x = e1$ **in** $e2 \Rightarrow v2$

Rules are Lego Blocks



Rules of Inference

- ▶ We can use a more compact notation for the rules we just presented: **rules of inference**

- Has the following format

$$\frac{H_1 \quad \dots \quad H_n}{C}$$

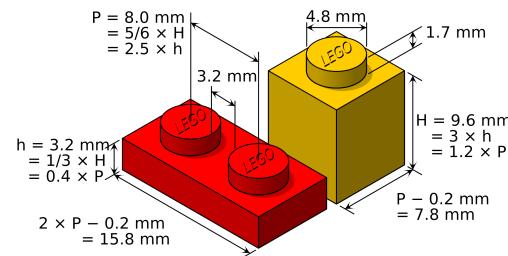
- Says: if the conditions $H_1 \quad \dots \quad H_n$ (“hypotheses”) are true, then the condition C (“conclusion”) is true
 - If $n=0$ (no hypotheses) then the conclusion automatically holds; this is called an axiom
- ▶ We are using inference rules where C is our judgment about evaluation, i.e., that $e \Rightarrow v$

Rules of Inference: Num and Sum

- Suppose e is a numeral n
 - Then e evaluates to itself, i.e., $n \Rightarrow n$

$$\frac{}{n \Rightarrow n}$$

- Suppose e is an addition expression $e1 + e2$
 - If $e1$ evaluates to $n1$, i.e., $e1 \Rightarrow n1$
 - If $e2$ evaluates to $n2$, i.e., $e2 \Rightarrow n2$
 - Then e evaluates to $n3$, where $n3$ is the sum of $n1$ and $n2$, i.e., $e1 + e2 \Rightarrow n3$



$$\frac{e1 \Rightarrow n1 \quad e2 \Rightarrow n2 \quad n3 \text{ is } n1 + n2}{e1 + e2 \Rightarrow n3}$$

Rules of Inference: Let

- ▶ Suppose e is a let expression **let** $x = e1$ **in** $e2$
 - If $e1$ evaluates to v , i.e., $e1 \Rightarrow v1$
 - If $e2\{v1/x\}$ evaluates to $v2$, i.e., $e2\{v1/x\} \Rightarrow v2$
 - Then e evaluates to $v2$, i.e., **let** $x = e1$ **in** $e2 \Rightarrow v2$

$$e1 \Rightarrow v1 \quad e2\{v1/x\} \Rightarrow v2$$

$$\text{let } x = e1 \text{ in } e2 \Rightarrow v2$$

Derivations

- ▶ When we apply rules to an expression in succession, we produce a **derivation**
 - It's a kind of **tree**, rooted at the conclusion
- ▶ Produce a derivation by **goal-directed search**
 - Pick a rule that could prove the goal
 - Then repeatedly apply rules on the corresponding hypotheses
 - **Goal: Show that** `let x = 4 in x+3` \Rightarrow 7

Derivations

$$\frac{}{n \Rightarrow n}$$

$$\frac{e1 \Rightarrow n1 \quad e2 \Rightarrow n2 \quad n3 \text{ is } n1+n2}{e1 + e2 \Rightarrow n3}$$

$$\frac{e1 \Rightarrow v1 \quad e2\{v1/x\} \Rightarrow v2}{\text{let } x = e1 \text{ in } e2 \Rightarrow v2}$$

Goal: show that

$\text{let } x = 4 \text{ in } x+3 \Rightarrow 7$

$$\frac{\frac{4 \Rightarrow 4 \quad 3 \Rightarrow 3 \quad 7 \text{ is } 4+3}{4+3 \Rightarrow 7}}{\text{let } x = 4 \text{ in } x+3 \Rightarrow 7}$$

Quiz 1

What is derivation of the following judgment?

$$2 + (3 + 8) \Rightarrow 13$$

(a)

$$\begin{array}{r} 2 \Rightarrow 2 \quad 3 + 8 \Rightarrow 11 \\ \hline 2 + (3 + 8) \Rightarrow 13 \end{array}$$

(b)

$$\begin{array}{r} 8 \Rightarrow 8 \\ 3 \Rightarrow 3 \\ 11 \text{ is } 3+8 \\ \hline 2 \Rightarrow 2 \quad 3 + 8 \Rightarrow 11 \quad 13 \text{ is } 2+11 \\ \hline 2 + (3 + 8) \Rightarrow 13 \end{array}$$

(c)

$$\begin{array}{r} 3 \Rightarrow 3 \quad 8 \Rightarrow 8 \\ \hline 3 + 8 \Rightarrow 11 \quad 2 \Rightarrow 2 \\ \hline 2 + (3 + 8) \Rightarrow 13 \end{array}$$

Quiz 1

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(b)

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(c)

$$\begin{array}{r} 3 \Rightarrow 3 \quad 8 \Rightarrow 8 \\ \hline 3 + 8 \Rightarrow 11 \quad 2 \Rightarrow 2 \\ \hline 2 + (3 + 8) \Rightarrow 13 \end{array}$$

Definitional Interpreter

- ▶ The style of rules lends itself directly to the implementation of an **interpreter as a recursive function**

```
let rec eval (e:exp):value =  
  match e with  
    Ident x -> (* no rule *)  
      failwith "no value"  
  | Num n -> n  
  | Plus (e1,e2) ->  
    let n1 = eval e1 in  
    let n2 = eval e2 in  
    let n3 = n1+n2 in  
    n3  
  | Let (x,e1,e2) ->  
    let v1 = eval e1 in  
    let e2' = subst v1 x e2 in  
    let v2 = eval e2' in v2
```

$$n \Rightarrow n$$

$$\frac{e1 \Rightarrow n1 \quad e2 \Rightarrow n2 \quad n3 \text{ is } n1+n2}{e1 + e2 \Rightarrow n3}$$

$$\frac{e1 \Rightarrow v1 \quad e2\{v1/x\} \Rightarrow v2}{\text{let } x = e1 \text{ in } e2 \Rightarrow v2}$$

Derivations = Interpreter Call Trees

$$\frac{\frac{4 \Rightarrow 4}{\text{let } x = 4 \text{ in } x+3 \Rightarrow 7} \quad \frac{3 \Rightarrow 3 \quad 7 \text{ is } 4+3}{4+3 \Rightarrow 7}}{}$$

Has the same shape as the recursive call tree of the interpreter:

$$\frac{\frac{\text{eval Num } 4 \Rightarrow 4 \quad \text{eval Num } 3 \Rightarrow 3 \quad 7 \text{ is } 4+3}{\text{eval (subst 4 "x" Plus (Ident ("x"), Num 3))} \Rightarrow 7} \quad \text{eval Num } 4 \Rightarrow 4}{\text{eval Let ("x", Num 4, Plus (Ident ("x"), Num 3))} \Rightarrow 7}$$

Semantics Defines Program Meaning

- ▶ $e \Rightarrow v$ holds if and only if a *proof* can be built
 - Proofs are derivations: axioms at the top, then rules whose hypotheses have been proved to the bottom
 - No proof means there *exists no* v for which $e \Rightarrow v$
- ▶ Proofs can be constructed bottom-up
 - In a goal-directed fashion
- ▶ Thus, function $\text{eval } e = \{v \mid e \Rightarrow v\}$
 - Determinism of semantics implies at most one element for any e
- ▶ So: Expression e *means* v

Environment-style Semantics

- ▶ So far, semantics used substitution to handle variables
 - As we evaluate, we replace all occurrences of a variable **x** with values it is bound to
- ▶ An alternative semantics, closer to a real implementation, is to use an **environment**
 - As we evaluate, we maintain an explicit map from variables to values, and look up variables as we see them

Environments

- ▶ Mathematically, an environment is a **partial function** from identifiers to values
 - If A is an environment, and x is an identifier, then $A(x)$ can either be
 - a value v (intuition: the value of the variable stored on the stack)
 - undefined (intuition: the variable has not been declared)
- ▶ An environment can be visualized as a table
 - If A is

Id	Val
x	0
y	2

- then $A(x)$ is 0, $A(y)$ is 2, and $A(z)$ is undefined

Notation, Operations on Environments

- ▶ \bullet is the empty environment
- ▶ $A, \mathbf{x}:\mathbf{v}$ is the environment that extends A with a mapping from \mathbf{x} to \mathbf{v}
 - Sometimes just write $\mathbf{x}:\mathbf{v}$ instead of $\bullet, \mathbf{x}:\mathbf{v}$ for brevity
 - NB. if A maps \mathbf{x} to some \mathbf{v}' , then that mapping is *shadowed* by in $A, \mathbf{x}:\mathbf{v}$
- ▶ Lookup $A(\mathbf{x})$ is defined as follows

$$\begin{aligned} \bullet(\mathbf{x}) &= \text{undefined} \\ (A, \mathbf{y}:\mathbf{v})(\mathbf{x}) &= \begin{cases} \mathbf{v} & \text{if } \mathbf{x} = \mathbf{y} \\ A(\mathbf{x}) & \text{if } \mathbf{x} \neq \mathbf{y} \text{ and } A(\mathbf{x}) \text{ defined} \\ \text{undefined} & \text{otherwise} \end{cases} \end{aligned}$$

Definitional Interpreter: Environments

```
type env = (id * value) list

let extend env x v = (x,v)::env

let rec lookup env x =
  match env with
  | [] -> failwith "undefined"
  | (y,v)::env' ->
    if x = y then v
    else lookup env' x
```

An environment is just a list of mappings,
which are just pairs of variable to value
- called an **association list**

Semantics with Environments

- ▶ The environment semantics changes the judgment

$$e \Rightarrow v$$

to be

$$A; e \Rightarrow v$$

where A is an environment

- Idea: A is used to give values to the identifiers in e
 - A can be thought of as containing declarations made up to e
- ▶ Previous rules can be modified by
 - Inserting A everywhere in the judgments
 - Adding a rule to look up variables x in A
 - Modifying the rule for **let** to add x to A

Environment-style Rules

$$\frac{A(\mathbf{x}) = \mathbf{v}}{A; \mathbf{x} \Rightarrow \mathbf{v}}$$

Look up variable \mathbf{x} in environment A

$$\frac{}{A; \mathbf{n} \Rightarrow \mathbf{n}}$$

$$\frac{A; \mathbf{e1} \Rightarrow \mathbf{v1} \quad A, \mathbf{x}:\mathbf{v1}; \mathbf{e2} \Rightarrow \mathbf{v2}}{A; \text{let } \mathbf{x} = \mathbf{e1} \text{ in } \mathbf{e2} \Rightarrow \mathbf{v2}}$$

Extend environment A with mapping from \mathbf{x} to $\mathbf{v1}$

$$\frac{A; \mathbf{e1} \Rightarrow \mathbf{n1} \quad A; \mathbf{e2} \Rightarrow \mathbf{n2} \quad \mathbf{n3} \text{ is } \mathbf{n1} + \mathbf{n2}}{A; \mathbf{e1} + \mathbf{e2} \Rightarrow \mathbf{n3}}$$

Definitional Interpreter: Evaluation

```
let rec eval env e =  
  match e with  
    Ident x -> lookup env x  
  | Num n -> n  
  | Plus (e1,e2) ->  
    let n1 = eval env e1 in  
    let n2 = eval env e2 in  
    let n3 = n1+n2 in  
    n3  
  | Let (x,e1,e2) ->  
    let v1 = eval env e1 in  
    let env' = extend env x v1 in  
    let v2 = eval env' e2 in v2
```

Quiz 2

What is a derivation of the following judgment?

•; let $x=3$ in $x+2 \Rightarrow 5$

(a)

$$\frac{\begin{array}{c} x \Rightarrow 3 \quad 2 \Rightarrow 2 \quad 5 \text{ is } 3+2 \\ \hline 3 \Rightarrow 3 \quad x+2 \Rightarrow 5 \\ \hline \end{array}}{\text{let } x=3 \text{ in } x+2 \Rightarrow 5}$$

(c)

$$\frac{\begin{array}{c} x:2; x \Rightarrow 3 \quad x:2; 2 \Rightarrow 2 \quad 5 \text{ is } 3+2 \\ \hline \end{array}}{\bullet; \text{let } x=3 \text{ in } x+2 \Rightarrow 5}$$

(b)

$$\frac{\begin{array}{c} x:3; x \Rightarrow 3 \quad x:3; 2 \Rightarrow 2 \quad 5 \text{ is } 3+2 \\ \hline \bullet; 3 \Rightarrow 3 \quad x:3; x+2 \Rightarrow 5 \\ \hline \end{array}}{\bullet; \text{let } x=3 \text{ in } x+2 \Rightarrow 5}$$

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(c)

$$\frac{x:2; x \Rightarrow 3 \quad x:2; 2 \Rightarrow 2 \quad 5 \text{ is } 3+2 \quad \text{----}}{\text{-----}} \quad \bullet; \text{let } x=3 \text{ in } x+2 \Rightarrow 5$$

(b)

$$\frac{\bullet; 3 \Rightarrow 3 \quad \frac{x:3; x \Rightarrow 3 \quad x:3; 2 \Rightarrow 2 \quad 5 \text{ is } 3+2}{\text{-----}} \quad x:3; x+2 \Rightarrow 5}{\text{-----}} \quad \bullet; \text{let } x=3 \text{ in } x+2 \Rightarrow 5$$

Adding Conditionals to Micro-OCaml

```

$$e ::= x \mid v \mid e + e \mid \text{let } x = e \text{ in } e$$

$$\mid \text{eq0 } e \mid \text{if } e \text{ then } e \text{ else } e$$

$$v ::= n \mid \text{true} \mid \text{false}$$

```

- In terms of interpreter definitions:

```
type exp =  
  | Val of value  
  | ... (* as before *)  
  | Eq0 of exp  
  | If of exp * exp * exp  
  
type value =  
  | Int of int  
  | Bool of bool
```

Rules for Eq0 and Booleans

$A; \text{true} \Rightarrow \text{true}$

$A; e \Rightarrow 0$
$A; \text{eq0 } e \Rightarrow \text{true}$

$A; \text{false} \Rightarrow \text{false}$

$A; e \Rightarrow v \quad v \neq 0$
$A; \text{eq0 } e \Rightarrow \text{false}$

- ▶ Booleans evaluate to themselves
 - $A; \text{false} \Rightarrow \text{false}$
- ▶ `eq0` tests for 0
 - $A; \text{eq0 } 0 \Rightarrow \text{true}$
 - $A; \text{eq0 } 3+4 \Rightarrow \text{false}$

Rules for Conditionals

$$A; e1 \Rightarrow \text{true} \quad A; e2 \Rightarrow v$$
$$A; \text{if } e1 \text{ then } e2 \text{ else } e3 \Rightarrow v$$
$$A; e1 \Rightarrow \text{false} \quad A; e3 \Rightarrow v$$
$$A; \text{if } e1 \text{ then } e2 \text{ else } e3 \Rightarrow v$$

- ▶ Notice that only one branch is evaluated
 - $A; \text{if eq0 } 0 \text{ then } 3 \text{ else } 4 \Rightarrow 3$
 - $A; \text{if eq0 } 1 \text{ then } 3 \text{ else } 4 \Rightarrow 4$

Quiz 3

What is the derivation of the following judgment?

•; if eq0 3-2 then 5 else 10 \Rightarrow 10

(a)

```
•; 3  $\Rightarrow$  3    •; 2  $\Rightarrow$  2    3-2 is 1
-----
•; eq0 3-2  $\Rightarrow$  false          •; 10  $\Rightarrow$  10
-----
•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

(b)

```
3  $\Rightarrow$  3    2  $\Rightarrow$  2
3-2 is 1
-----
eq0 3-2  $\Rightarrow$  false          10  $\Rightarrow$  10
-----
if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

(c)

```
•; 3  $\Rightarrow$  3
•; 2  $\Rightarrow$  2
3-2 is 1
-----
•; 3-2  $\Rightarrow$  1          1  $\neq$  0
-----
•; eq0 3-2  $\Rightarrow$  false          •; 10  $\Rightarrow$  10
-----
•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

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-----
•; eq0 3-2  $\Rightarrow$  false          •; 10  $\Rightarrow$  10
-----
•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

(b)

```
3  $\Rightarrow$  3    2  $\Rightarrow$  2
3-2 is 1
-----
eq0 3-2  $\Rightarrow$  false          10  $\Rightarrow$  10
-----
if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

(c)

```
•; 3  $\Rightarrow$  3
•; 2  $\Rightarrow$  2
3-2 is 1
-----
•; 3-2  $\Rightarrow$  1    1  $\neq$  0
-----
•; eq0 3-2  $\Rightarrow$  false          •; 10  $\Rightarrow$  10
-----
•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

Updating the Interpreter

```
let rec eval env e =  
  match e with  
    Ident x -> lookup env x  
  | Val v -> v  
  | Plus (e1,e2) ->  
    let Int n1 = eval env e1 in  
    let Int n2 = eval env e2 in  
    let n3 = n1+n2 in  
    Int n3  
  | Let (x,e1,e2) ->  
    let v1 = eval env e1 in  
    let env' = extend env x v1 in  
    let v2 = eval env' e2 in v2  
  | Eq0 e1 ->  
    let Int n = eval env e1 in  
    if n=0 then Bool true else Bool false  
  | If (e1,e2,e3) ->  
    let Bool b = eval env e1 in  
    if b then eval env e2  
    else eval env e3
```

Pattern match will fail if **e1** or **e2** is not an **Int**; this is **dynamic type checking**! (But not the best way to do error handling)

Basically both rules for **eq0** in this one snippet

Both **if** rules here

Adding Closures to Micro-OCaml

$e ::= x \mid v \mid e + e \mid \text{let } x = e \text{ in } e$
 $\mid \text{eq0 } e \mid \text{if } e \text{ then } e \text{ else } e$
 $\mid ee \mid \text{fun } x \rightarrow e$

$v ::= n \mid \text{true} \mid \text{false} \mid (A, \lambda x. e)$

Environment

Code
(id and exp)

- In terms of interpreter definitions:

```
type exp =  
  | Val of value  
  | If of exp * exp * exp  
  ... (* as before *)  
  | Call of exp * exp  
  | Fun of id * exp
```

```
type value =  
  Int of int  
  | Bool of bool  
  | Closure of env * id * exp
```

Rule for Closures: Lexical/Static Scoping

$$A; \text{fun } x \rightarrow e \Rightarrow (A, \lambda x. e)$$

$$\frac{A; e1 \Rightarrow (A', \lambda x. e) \quad A; e2 \Rightarrow v1 \quad A', x:v1; e \Rightarrow v}{A; e1 \ e2 \Rightarrow v}$$

- ▶ Notice
 - Creating a closure captures the current environment A
 - A call to a function
 - evaluates the body of the closure's code e with function closure's environment A' extended with parameter x bound to argument $v1$
- ▶ Left to you: How will the definitional interpreter change?

Rule for Closures: Dynamic Scoping

$$A; \text{fun } x \rightarrow e \Rightarrow (\bullet, \lambda x. e)$$
$$\frac{A; e1 \Rightarrow (\bullet, \lambda x. e) \quad A; e2 \Rightarrow v1 \quad A, x:v1; e \Rightarrow v}{A; e1 \ e2 \Rightarrow v}$$

► Notice

- Creating a closure ignores the current environment A
- A call to a function
 - evaluates the body of the closure's code e with the current environment A extended with parameter x bound to argument $v1$

► Easy to see dynamic scoping was an implementation error!

Quick Look: Type Checking

- ▶ Inference rules can also be used to specify a program's **static semantics**
 - I.e., the rules for type checking
- ▶ We won't cover this in depth in this course, but here is a flavor.
- ▶ **Types** $t ::= \text{bool} \mid \text{int}$
- ▶ Judgment $\vdash e : t$ says e has type t
 - We define inference rules for this judgment, just as with the operational semantics

Some Type Checking Rules

- ▶ Boolean constants have type `bool`

$$\frac{}{\vdash \text{true} : \text{bool}}$$
$$\frac{}{\vdash \text{false} : \text{bool}}$$

- ▶ Equality checking has type `bool` too

- Assuming its target expression has type `int`

$$\frac{}{\vdash e : \text{int}}$$
$$\frac{}{\vdash \text{eq0 } e : \text{bool}}$$

- ▶ Conditionals

$$\frac{\vdash e1 : \text{bool} \quad \vdash e2 : t \quad \vdash e3 : t}{\vdash \text{if } e1 \text{ then } e2 \text{ else } e3 : t}$$

Handling Binding

- ▶ What about the types of variables?
 - Taking inspiration from the environment-style operational semantics, what could you do?
- ▶ Change judgment to be $G \vdash e : t$ which says *e has type t under type environment G*
 - G is a map from variables x to types t
 - Analogous to map A , but maps vars to types, not values
- ▶ What would be the rules for `let`, and variables?

Type Checking with Binding

- ▶ Variable lookup

$$\frac{G(\mathbf{x}) = \mathbf{t}}{G \vdash \mathbf{x} : \mathbf{t}}$$

analogous to

$$\frac{A(\mathbf{x}) = \mathbf{v}}{A; \mathbf{x} \Rightarrow \mathbf{v}}$$

- ▶ Let binding

$$\frac{G \vdash \mathbf{e1} : \mathbf{t1} \quad G, \mathbf{x} : \mathbf{t1} \vdash \mathbf{e2} : \mathbf{t2}}{G \vdash \text{let } \mathbf{x} = \mathbf{e1} \text{ in } \mathbf{e2} : \mathbf{t2}}$$

analogous to

$$\frac{A; \mathbf{e1} \Rightarrow \mathbf{v1} \quad A, \mathbf{x} : \mathbf{v1}; \mathbf{e2} \Rightarrow \mathbf{v2}}{A; \text{let } \mathbf{x} = \mathbf{e1} \text{ in } \mathbf{e2} \Rightarrow \mathbf{v2}}$$

Scaling up

- ▶ Operational semantics (and similarly styled typing rules) can handle full languages
 - With records, recursive variant types, objects, first-class functions, and more
- ▶ Provides a concise notation for explaining what a language does. Clearly shows:
 - Evaluation order
 - Call-by-value vs. call-by-name
 - Static scoping vs. dynamic scoping
 - ... We may look at more of these later

Scaling up: Lego City



Scaling up: Web Assembly

webassembly.github.io/spec/core/



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WebAssembly Specification

Release 1.1 (Draft, Mar 12, 2021)

Editor: Andreas Rossberg

Latest Draft: <https://webassembly.github.io/spec/core/>

Issue Tracker: <https://github.com/webassembly/spec/issues/>

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Scaling up: Web Assembly

webassembly.github.io/spec/core/exec/conventions.html#formal-notation



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Formal Notation

Note:

This section gives a brief explanation of the notation for specifying execution formally. For the interested reader, a more thorough introduction can be found in respective text books. [\[2\]](#)

The formal execution rules use a standard approach for specifying operational semantics, rendering them into *reduction rules*. Every rule has the following general form:

$$\text{configuration} \quad \hookrightarrow \quad \text{configuration}$$

A *configuration* is a syntactic description of a program state. Each rule specifies one *step* of execution. As long as there is at most one reduction rule applicable to a given configuration, reduction – and thereby execution – is *deterministic*. WebAssembly has only very few exceptions to this, which are noted explicitly in this specification.

For WebAssembly, a configuration typically is a tuple $(S; F; \text{instr}^*)$ consisting of the current *store* S , the *call frame* F of the current function, and the sequence of *instructions* that is to be executed. (A more precise definition is given [later](#).)

To avoid unnecessary clutter, the store S and the frame F are omitted from reduction rules that do not touch them.