CSMC 412
Operating Systems
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Set 10
Synchronization Examples
Synchronization Examples

• Classic Problems of Synchronization
• Synchronization within the Kernel
• POSIX Synchronization
• Synchronization in Java
• Alternative Approaches
Classical Problems of Synchronization

• Classical problems used to test newly-proposed synchronization schemes
  • Bounded-Buffer Problem
  • Readers and Writers Problem
  • Dining-Philosophers Problem
Bounded-Buffer Problem

- $n$ buffers, each can hold one item
- Semaphore $\text{mutex}$ initialized to the value 1
- Semaphore $\text{full}$ initialized to the value 0
- Semaphore $\text{empty}$ initialized to the value $n$
Bounded Buffer Problem (Cont.)

• The structure of the producer process

  do {
    ...
    /* produce an item in next_produced */
    ...
    wait(empty);
    wait(mutex);
    ...
    /* add next produced to the buffer */
    ...
    signal(mutex);
    signal(full);
  } while (true);
Bounded Buffer Problem (Cont.)

• The structure of the consumer process

  Do {
    wait(full);
    wait(mutex);
    ...
    /* remove an item from buffer to next_consumed */
    ...
    signal(mutex);
    signal(empty);
    ...
    /* consume the item in next consumed */
    ...
  } while (true);
Readers-Writers Problem

• A data set is shared among a number of concurrent processes
  • Readers – only read the data set; they do not perform any updates
  • Writers – can both read and write
• Problem – allow multiple readers to read at the same time
  • Only one single writer can access the shared data at the same time
• Several variations of how readers and writers are considered – all involve some form of priorities
• Shared Data
  • Data set
  • Semaphore `rw_mutex` initialized to 1
  • Semaphore `mutex` initialized to 1
  • Integer `read_count` initialized to 0
The structure of a writer process:

```c
    do {
        wait(rw_mutex);
        /* writing is performed */
        signal(rw_mutex);
    } while (true);
```
Readers-Writers Problem (Cont.)

• The structure of a reader process

```c
    do {
        wait(mutex);
        read_count++;
        if (read_count == 1)
            wait(rw_mutex);
        signal(mutex);

        /* reading is performed */

        ... 

        wait(mutex);
        read_count--;
        if (read_count == 0)
            signal(rw_mutex);
        signal(rw_mutex);
        signal(mutex);
    } while (true);
```
Readers-Writers Problem Variations

• **First** variation – no reader kept waiting unless writer has permission to use shared object

• **Second** variation – once writer is ready, it performs the write ASAP

• Both may have starvation leading to even more variations

• Problem is solved on some systems by kernel providing reader-writer locks
Dining-Philosophers Problem

- Philosophers spend their lives alternating thinking and eating
- Don’t interact with their neighbors, occasionally try to pick up 2 chopsticks (one at a time) to eat from bowl
  - Need both to eat, then release both when done
- In the case of 5 philosophers
  - Shared data
    - Bowl of rice (data set)
    - Semaphore chopstick [5] initialized to 1
Dining-Philosophers Problem Algorithm

- The structure of Philosopher $i$:
  
  ```
  do {
    wait (chopstick[i] );
    wait (chopStick[ (i + 1) % 5 ] );
    // eat
    signal (chopstick[i] );
    signal (chopstick[ (i + 1) % 5 ] );
    // think
  } while (TRUE);
  ```

- What is the problem with this algorithm?
Monitor Solution to Dining Philosophers

monitor DiningPhilosophers
{
    enum { THINKING, HUNGRY, EATING} state [5] ;
    condition self [5];

    void pickup (int i) {
        state[i] = HUNGRY;
        test(i);
        if (state[i] != EATING) self[i].wait;
    }

    void putdown (int i) {
        state[i] = THINKING;
        // test left and right neighbors
        test((i + 4) % 5);
        test((i + 1) % 5);
    }
}
void test (int i) {
    if (((state[(i + 4) % 5] != EATING) &&
         (state[i] == HUNGRY) &&
         (state[(i + 1) % 5] != EATING))
    ) {
        state[i] = EATING;
        self[i].signal();
    }
}

initialization_code() {
    for (int i = 0; i < 5; i++)
        state[i] = THINKING;
}

Solution to Dining Philosophers (Cont.)

• Each philosopher $i$ invokes the operations `pickup()` and `putdown()` in the following sequence:

```java
DiningPhilosophers.pickup(i);

EAT

DiningPhilosophers.putdown(i);
```

• No deadlock, but starvation is possible
A Monitor to Allocate Single Resource

```java
monitor ResourceAllocator {
    boolean busy;
    condition x;
    void acquire(int time) {
        if (busy)
            x.wait(time);
        busy = TRUE;
    }
    void release() {
        busy = FALSE;
        x.signal();
    }
    initialization code() {
        busy = FALSE;
    }
}
Synchronization Examples

• Solaris
• Windows
• Linux
• Pthreads
Solaris Synchronization

• Implements a variety of locks to support multitasking, multithreading (including real-time threads), and multiprocessing
• Uses adaptive mutexes for efficiency when protecting data from short code segments
  - Starts as a standard semaphore spin-lock
  - If lock held, and by a thread running on another CPU, spins
  - If lock held by non-run-state thread, block and sleep waiting for signal of lock being released
• Uses condition variables
• Uses readers-writers locks when longer sections of code need access to data
• Uses turnstiles to order the list of threads waiting to acquire either an adaptive mutex or reader-writer lock
  - Turnstiles are per-lock-holding-thread, not per-object
• Priority-inheritance per-turnstile gives the running thread the highest of the priorities of the threads in its turnstile
Windows Synchronization

• Uses interrupt masks to protect access to global resources on uniprocessor systems

• Uses spinlocks on multiprocessor systems
  • Spinlocking-thread will never be preempted

• Also provides dispatcher objects user-land which may act mutexes, semaphores, events, and timers
  • Events
  • An event acts much like a condition variable
  • Timers notify one or more thread when time expired
  • Dispatcher objects either signaled-state (object available) or non-signaled state (thread will block)
Linux Synchronization

• Linux:
  • Prior to kernel Version 2.6, disables interrupts to implement short critical sections
  • Version 2.6 and later, fully preemptive

• Linux provides:
  • Semaphores
  • atomic integers
  • spinlocks
  • reader-writer versions of both

• On single-cpu system, spinlocks replaced by enabling and disabling kernel preemption
Pthreads Synchronization

- Pthreads API is OS-independent
- It provides:
  - mutex locks
  - condition variable
- Non-portable extensions include:
  - read-write locks
  - spinlocks
Alternative Approaches

• Transactional Memory

• OpenMP

• Functional Programming Languages
A memory transaction is a sequence of read-write operations to memory that are performed atomically.

```c
void update()
{
    /* read/write memory */
}
```
• OpenMP is a set of compiler directives and API that support parallel programming.

```c
void update(int value)
{
    
    #pragma omp critical
    
    
    count += value

}
```

The code contained within the `#pragma omp critical` directive is treated as a critical section and performed atomically.
Functional programming languages offer a different paradigm than procedural languages in that they do not maintain state.

Variables are treated as immutable and cannot change state once they have been assigned a value.

There is increasing interest in functional languages such as Erlang and Scala for their approach in handling data races.