CMSC/Math 456: Cryptography (Fall 2023) Lecture 11 Daniel Gottesman Problem set #5 due Thursday.

Midterm: Thursday, Oct. 19 (2 weeks from Thursday)

- In class
- Open book (including textbook), no electronic devices
- Will cover classical cryptographic, private key encryption, modular arithmetic, and public key exchange (probably not public key encryption).
- Those with accommodations remember to book with ADS.

### **Order Under Modular Exponentiation**

What are the possible orders of an element under modular exponentiation?

Recall that  $\mathbb{Z}_N^*$ , the set of elements relatively prime to N, forms a group under multiplication, and that  $\langle g \rangle$ , the powers of g mod N, is a subgroup of  $\mathbb{Z}_N^*$ .

By Lagrange's Theorem,  $\operatorname{ord}(g) = |\langle g \rangle|$  divides  $|\mathbb{Z}_N^*|$ . This tells us the possible values of the order of g: the factors of  $|\mathbb{Z}_N^*|$ .

When N is prime, then everything smaller than N is relatively prime to it, so  $|\mathbb{Z}_N^*| = N - 1$ .

What is  $|\mathbb{Z}_N^*|$  when N is not prime?

Let  $\varphi(N) = \mathbb{Z}_N^*$ . That is,  $\varphi(N)$  is equal to the number of positive integers  $j \leq N$  such that gcd(j, N) = 1. (Euler's totient function) Examples:

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 $\varphi(4) = 2$ : I and 3 are relatively prime to 4.

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 $\varphi(6) = 2$ : I and 5 are relatively prime to 6.

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 $\varphi(10) = 4$ : 1, 3, 7, and 9 are relatively prime to 10.

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 $\varphi(21) = 12$ : 1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, and 20 are relatively prime to 21.

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 $\varphi(21) = 12$ : 1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, and 20 are relatively prime to 21.

 $\varphi(24) = 8$ : 1, 5, 7, 11, 13, 17, 19, and 23 are relatively prime to 24.

Let N = pq for p and q prime, p < q. What is  $\varphi(N)$ ?

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Divisible by p: p, 2p, 3p, 4p, ..., (q-1)p, pq = N

There are exactly q numbers on this list.

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Thus: # not relatively prime = (q - 1) + (p - 1) + 1 = p + q - 1.

$$\varphi(N) = N - (p + q - 1) = (p - 1)(q - 1)$$

# **General Formula for Totient**

Theorem: If 
$$N = \prod_{i} p_i^{e_i}$$
 is the prime factorization of N (so every  $p_i$  is prime), then  
 $\varphi(N) = \prod_{i} p_i^{e_i-1}(p_i - 1)$ 

In general, numbers with fewer factors have larger values of  $\varphi(N)$ .

### **Euler-Fermat Theorem**

Putting together our deductions about the order of numbers for modular exponentiation with the rules for  $\varphi(N)$ , we get the following theorem:

Euler-Fermat Theorem:  $x^{\varphi(N)} = 1 \mod N$  for any integers x, N with gcd(x, N) = 1.

Corollary (Fermat's Little Theorem):  $x^p = x \mod p$  for any integer x and any prime p.

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Proof: Since the order divides  $|\mathbb{Z}_N^*| = \varphi(N)$ ,

 $x^{\varphi(N)} = (x^{\operatorname{ord}(x)})^{\varphi(N)/\operatorname{ord}(x)} = 1^{\varphi(N)/\operatorname{ord}(x)} = 1 \mod N$ 

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If we want to have elements of a large order, our best bet is to work modulo a prime, or failing that, a product of 2 primes.

### **Euler's Theorem Examples**

# Example I: $N = 10, \varphi(10) = 4$ $3^4 = 81 = 1 \mod 10$ $7^4 = 2401 = 1 \mod 10$

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Actually, in  $\mathbb{Z}_{21}^*$ , the highest order is 6. But 6 | 12, so the Euler-Fermat theorem still applies.

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$$7^{1} = 7 \mod 11$$
  
 $7^{2} = 5 \mod 11$   
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Theorem: When **p** is prime,  $\mathbb{Z}_p^*$  is cyclic.

By picking a large prime base, we could have a high order element ... but how many elements actually have order p-1?

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### **Number of Generators**

Recall: if  $y = x^a \mod N$  and  $r = \operatorname{ord}(x)$ , then  $\operatorname{ord}(y) = \frac{r}{\operatorname{gcd}(a, r)}$ 

Thus, if  $g_0$  has order r, then  $g_0^j$  also has order r if gcd(j, r) = 1. In particular, if  $g_0$  is a generator of  $\mathbb{Z}_p^*$  (p prime), then  $g_0^j$  is also a generator if gcd(j, p - 1) = 1.

Note: These are the *only* generators: every element of  $\mathbb{Z}_p^*$  can be written as  $g_0^j$  for some j because  $g_0$  is a generator, but if  $gcd(j, p - 1) \neq 1$ , then  $ord(g_0^j) .$ 

The group  $\mathbb{Z}_p^*$  has  $\varphi(p-1)$  generators.

Let's see how this works with p=11.

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 $7^{2} = 5 \mod 11$   
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 $7^{4} = 3 \mod 11$   
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ord(7) = 10

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Since 1, 3, 7, and 9 are relatively prime to p-1 = 10, we conclude the possible generators of  $\mathbb{Z}_{11}^*$  are 7, 2, 6, and 8.

$$\Rightarrow 7^{1} = 7 \mod 11$$
  

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We can also conclude that 5, 3, 4, and 9 have order 5 since they are even powers of 7: e.g.,

$$3^5 = 243 \mod 11 = 1 \mod 11$$

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$$7^{3} = 2 \mod 11$$
  

$$7^{4} = 3 \mod 11$$
  

$$7^{5} = 10 \mod 11$$
  

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 $3^5 = 243 \mod 11 = 1 \mod 11$ 

And  $10 = 7^5 \mod 11$  has order 2:

 $10^2 = 100 \mod 11 = 1 \mod 11$ 

 $7^1 = 7 \mod 11$  $7^2 = 5 \mod 11$  $7^3 = 2 \mod 11$  $7^4 = 3 \mod 11$  $7^5 = 10 \mod 11$  $7^6 = 4 \mod 11$  $7^7 = 6 \mod 11$  $7^8 = 9 \mod 11$  $7^9 = 8 \mod 11$  $7^{10} = 1 \mod 11$ 

# Subgroups of $\mathbb{Z}_p^*$

It is also interesting to look at subgroups of  $\mathbb{Z}_p^*$  generated by  $g_0^j$  for  $gcd(j, p - 1) \neq 1$ .

In particular, the subgroup  $\langle g_0^j \rangle$  has order  $(p-1)/\gcd(j,p-1)$ .

For the  $\mathbb{Z}_{11}^*$  example, we get two non-trivial subgroups:  $\langle 5 \rangle = \{1,3,4,5,9\}$  of order 5  $\langle 10 \rangle = \{1,10\}$  of order 2.

There is a subgroup corresponding to any factor of p-1.

### **Other Groups**

The same arguments apply to any finite cyclic group G: There are  $\varphi(|G|)$  possible generators and other elements will generate cyclic subgroups whose order is a factor of |G|.

Note that when |G| is prime, then *all* non-identity elements are generators of the group. (And a group of prime order is automatically cyclic as well.)

Unfortunately, for any prime p > 3,  $|\mathbb{Z}_p^*| = p - 1$  is not prime, so we are left with the case that only some elements are generators.

Also note that when N is not prime,  $\mathbb{Z}_N^*$  might not be cyclic, although it is always a group.

For instance, in  $\mathbb{Z}_{8}^{*} = \{1,3,5,7\}$ , all three non-zero elements 3, 5, and 7 have order 2 and therefore only generate order 2 subgroups.  $\mathbb{Z}_{21}^{*}$  is another example.

We can convert back and forth between integer type and modular type. Can we convert between different moduli?

Example:

Suppose we know that  $x = 3 \mod 5$ . What is  $x \mod 14$ ?

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It's not unique.

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Actually, it could be anything!

Chinese Remainder Theorem: Let N = ab, with a and b relatively prime. Given any pair of non-negative integers  $(x_a, x_b)$ , with  $x_a < a$  and  $x_b < b$ , there exists a unique non-negative integer x < N such that  $x = x_a \mod a$  and  $x = x_b \mod b$ . There is an efficient algorithm to compute x.



Mod a	Mod N=ab	Mod b
$x = x_a \mod a$	$x_a$	x <sub>a</sub>

Mod a	Mod N=ab	Mod b
$x = x_a \mod a$	$x_a$	$x_a$
	$x_a + a$	$x_a + a \mod b$

Mod a	Mod N=ab	Mod b
$x = x_a \mod a$	$x_a$	$x_a$
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	$x_a + 2a$	$x_a + 2a \mod b$

Mod a	Mod N=ab	Mod b
$x = x_a \mod a$	$x_a$	<i>x</i> <sub>a</sub>
	$x_a + a$	$x_a + a \mod b$
	$x_a + 2a$	$x_a + 2a \mod b$
	•	
	$x_a + ma$	$x_a + ma + nb = x_b$
	wi	th n chosen so that
	$x_a$	+ma+nb < b.

(Assume a < b and gcd(a, b) = 1.)

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	wit	th n chosen so that $+ ma + nb < b$
	$\lambda_a$	$\pm mu \pm mv \leq v.$

We need to find m and n so that  $ma + nb = x_b - x_a$ .

(Assume a < b and gcd(a, b) = 1.)

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	$x_a$	+ma+nb < b.
		1

We need to find m and n so that  $ma + nb = x_b - x_a$ .

Euclid's algorithm gives X and Y with aX + bY = 1. Multiply by  $(x_b - x_a)$ .

### Algorithm:

I. Using Euclid's algorithm, compute X and Y such that aX + bY = 1.

2. Let 
$$m = X(x_b - x_a)$$
,  $n = Y(x_b - x_a)$ .

3. Let  $x = x_a + ma = x_a(1 - aX) + x_b aX$ .

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Note that 1 - aX = bY and aX = 1 - bY, so

$$x = x_a bY + x_b(1 - bY) = x_b + nb \quad \Longrightarrow \quad x = x_b \mod b$$

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 $x = x_a bY + x_b(1 - bY) = x_b + nb \quad \Longrightarrow \quad x = x_b \mod b$ 

Alternative more symmetric formula:  $x = x_b a X + x_a b Y$ 

#### Example:

Suppose we want to find an x such that

 $x = 5 \mod 14$   $x_a = 5, a = 14$  $x = 3 \mod 5$   $x_b = 3, b = 5$ 

We could apply Euclid's algorithm to see that

3\*5 - 1\*14 = 1 X = -1, Y = 3

We then have

x = 3 \* 14 \* (-1) + 5 \* 5 \* 3 = 33 $x = x_b a X + x_a b Y$ 

### **Discrete Log**

Modular Exponentiation

 $x \mod N \longrightarrow x^a \mod N$ 

Discrete Log

 $y = x^a \mod N \longrightarrow a$ 

The discrete log problem is, given y and x, to find a such that  $y = x^a \mod N$ . It is the inverse of modular exponentiation.

Modular exponentiation can be performed efficiently as function of the input size via repeated squaring. What about discrete log?

# **Repeated Squaring**

We can get large exponents quickly by repeated squaring:

From  $x^i \mod N$ , we can calculate  $x^{2i} \mod N$  using 1 multiplication by squaring it.

Doing this repeatedly gives us  $x, x^2, x^4$ ,  $x^8, ..., x^{2^c}$ , with only c multiplications.

To calculate  $x^a \mod N$  for general **a**, first write **a** in binary:

 $a = a_0 2^c + a_1 2^{c-1} + \dots + a_{c-1} 2 + a_c$ Then  $x^a = \prod_{i=0}^c x^{a_{c-i} 2^i}$ This needs  $O(\log a)$  multiplications.

This class is being recorded

#### Example:

Calculate  $65^{12} \mod 71$ :

 $65^2 = 36 \mod 71$  $65^4 = 36^2 = 18 \mod 71$  $65^8 = 18^2 = 40 \mod 71$ 

#### Then

$$65^{12} = 65^8 \cdot 65^4 \mod{71}$$
  
= 40 \cdot 18 \cdot mod 71  
= 10 \cdot mod 71

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If we know  $\operatorname{ord}(x)$  is small, we can do it: We only need to check powers up to  $\operatorname{ord}(x)$ , since we know  $x^a \mod N$  just repeats values after that.

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This works for integers — but for mod N arithmetic, there are two problems: It is not clear how to take square roots; and taking the square root does not consistently give us something smaller in modular arithmetic unlike for integers.

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- We could compute powers  $x^{2^i} \mod N$  and then try to find a product of these values that gives us y.
  - Not easy to find which subset of possible powers of powers of 2 to multiply together to get y.

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But it is not always hard, for instance if the base x has low order. We should restrict attention to hard cases if we want to build a cryptographic system.

## **Hardness of Discrete Log**

Definition: Given a security parameter s, let  $N_s$  be an s-bit long number, and let  $x_s \in \mathbb{Z}_{N_s}^*$  be an element of  $\mathbb{Z}_{N_s}^*$ . We say that discrete log for  $(N_s, x_s)$  is worst-case hard if there is *no* polynomial time algorithm  $\mathscr{A}$  such that for all  $y \in \langle x_s \rangle$ ,  $\mathscr{A}(y) = a$ with  $y = x_s^a \mod N_s$ .

Recall that we are defining hardness in terms of asymptotic complexity, so we need to let the numbers get large and study how rapidly the problem gets harder in that limit.

Thus, we have a sequence of pairs (modulus, base) that get longer, and the problem is hard if it can't be solved in a time polynomial in the *length* of the numbers.





Public choice of p, g



Eve





