# CMSC/Math 456: <br> Cryptography (Fall 2023) <br> Lecture II <br> Daniel Gottesman 

## Administrative

Problem set \#5 due Thursday.
Midterm:Thursday, Oct. I9 (2 weeks from Thursday)

- In class
- Open book (including textbook), no electronic devices
- Will cover classical cryptographic, private key encryption, modular arithmetic, and public key exchange (probably not public key encryption).
- Those with accommodations remember to book with ADS.


## Order Under Modular Exponentiation

What are the possible orders of an element under modular exponentiation?

Recall that $\mathbb{Z}_{N}^{*}$, the set of elements relatively prime to $N$, forms a group under multiplication, and that $\langle g\rangle$, the powers of $g \bmod \mathrm{~N}$, is a subgroup of $\mathbb{Z}_{N}^{*}$.

By Lagrange's Theorem, ord $(g)=|\langle g\rangle|$ divides $\left|\mathbb{Z}_{N}^{*}\right|$. This tells us the possible values of the order of $g$ : the factors of $\left|\mathbb{Z}_{N}^{*}\right|$.

When N is prime, then everything smaller than N is relatively prime to it , so $\left|\mathbb{Z}_{N}^{*}\right|=N-1$.

What is $\left|\mathbb{Z}_{N}^{*}\right|$ when N is not prime?

## Euler Totient Function

Let $\varphi(N)=\mathbb{Z}_{N}^{*}$. That is, $\varphi(N)$ is equal to the number of positive integers $j \leq N$ such that $\operatorname{gcd}(j, N)=1$. (Euler's totient function) Examples:

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$\varphi(4)=2: I$ and 3 are relatively prime to 4 .
$\varphi(6)=2: I$ and 5 are relatively prime to 6 .
$\varphi(10)=4: I, 3,7$, and 9 are relatively prime to 10 .
$\varphi(21)=12: I, 2,4,5,8, I 0, I I, I 3, I 6, I 7, I 9$, and 20 are relatively prime to 21 .

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$\varphi(21)=12: I, 2,4,5,8, I 0, I I, I 3, I 6, I 7, I 9$, and 20 are relatively prime to 21 .
$\varphi(24)=8: I, 5,7, I I, I 3, I 7,19$, and 23 are relatively prime to 24 .

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There are exactly $q$ numbers on this list.

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There are exactly p numbers on this list.
But: Some numbers appear on both lists.
To appear on both lists, the number must be divisible by both $p$ and $q$. Only N qualifies.
Thus: \# not relatively prime $=(q-1)+(p-1)+1=p+q-1$.

$$
\varphi(N)=N-(p+q-1)=(p-1)(q-1)
$$

This class is being recorded

## General Formula for Totient

Theorem: If $N=\prod p_{i}^{e_{i}}$ is the prime factorization of N (so every
$p_{i}$ is prime), then

$$
\varphi(N)=\prod p_{i}^{e_{i}-1}\left(p_{i}-1\right)
$$

In general, numbers with fewer factors have larger values of $\varphi(N)$.

## Euler-Fermat Theorem

Putting together our deductions about the order of numbers for modular exponentiation with the rules for $\varphi(N)$, we get the following theorem:

Euler-Fermat Theorem: $x^{\varphi(N)}=1 \bmod N$ for any integers $\mathrm{x}, \mathrm{N}$ with $\operatorname{gcd}(x, N)=1$.

> Corollary (Fermat's Little Theorem): $x^{p}=x \bmod p$ for any integer $x$ and any prime $p$.

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Proof: Since the order divides $\left|\mathbb{Z}_{N}^{*}\right|=\varphi(N)$,

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x^{\varphi(N)}=\left(x^{\operatorname{ord}(x)}\right)^{\varphi(N) / \operatorname{ord}(x)}=1^{\varphi(N) / \operatorname{ord}(x)}=1 \bmod N
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If we want to have elements of a large order, our best bet is to work modulo a prime, or failing that, a product of 2 primes.

## Euler's Theorem Examples

## Example I:

$$
\begin{aligned}
& \mathrm{N}=10, \varphi(10)=4 \\
& 3^{4}=81=1 \bmod 10 \\
& 7^{4}=2401=1 \bmod 10
\end{aligned}
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## Example 2:

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\begin{aligned}
\mathrm{N} & =2 \mathrm{I}, \varphi(21)=12 \\
5^{6} & =15,625=1 \bmod 21 \\
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Actually, in $\mathbb{Z}_{21}^{*}$, the highest order is 6 . But $6 \mid 12$, so the Euler-Fermat theorem still applies.

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Recall the example mod II. It is actually the case that $\operatorname{ord}(7)=10$. This implies that $\mathbb{Z}_{11}^{*}$ is cyclic, and 7 is a generator.

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\begin{aligned}
& 7^{1}=7 \bmod 11 \\
& 7^{2}=5 \bmod 11 \\
& 7^{3}=2 \bmod 11 \\
& 7^{4}=3 \bmod 11 \\
& 7^{5}=10 \bmod 11 \\
& 7^{6}=4 \bmod 11 \\
& 7^{7}=6 \bmod 11 \\
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By picking a large prime base, we could have a high order element ... but how many elements actually have order p -I?

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## Number of Generators

Recall: if $y=x^{a} \bmod N$ and $r=\operatorname{ord}(x)$, then

$$
\operatorname{ord}(y)=\frac{r}{\operatorname{gcd}(a, r)}
$$

Thus, if $g_{0}$ has order $r$, then $g_{0}^{j}$ also has order $r$ if $\operatorname{gcd}(j, r)=1$. In particular, if $g_{0}$ is a generator of $\mathbb{Z}_{p}^{*}$ ( p prime), then $g_{0}^{j}$ is also a generator if $\operatorname{gcd}(j, p-1)=1$.

Note:These are the only generators: every element of $\mathbb{Z}_{p}^{*}$ can be written as $g_{0}^{j}$ for some j because $g_{0}$ is a generator, but if $\operatorname{gcd}(j, p-1) \neq 1$, then $\operatorname{ord}\left(g_{0}^{j}\right)<p-1$.

The group $\mathbb{Z}_{p}^{*}$ has $\varphi(p-1)$ generators.

## Order Distribution Example

Let's see how this works with $\mathrm{p}=$ | | .

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Since I, 3, 7, and 9 are relatively prime to p - $\mathrm{I}=10$, we conclude the possible generators of $\mathbb{Z}_{11}^{*}$ are $7,2,6$, and 8 .

$\Rightarrow$| $7^{1}=7 \bmod 11$ |
| :--- |
| $7^{2}=5 \bmod 11$ |
| $7^{3}=2 \bmod 11$ |
| $7^{4}=3 \bmod 11$ |
| $7^{5}=10 \bmod 11$ |
| $7^{6}=4 \bmod 11$ |
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We can also conclude that $5,3,4$, and 9 have order 5 since they are even powers of 7: e.g.,

$$
3^{5}=243 \bmod 11=1 \bmod 11
$$

$\Rightarrow$| $7^{1}=7 \bmod 11$ |
| :--- |
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And $10=7^{5} \bmod 11$ has order 2 :

$$
10^{2}=100 \bmod 11=1 \bmod 11
$$

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## Subgroups of $\mathbb{Z}_{p}^{*}$

It is also interesting to look at subgroups of $\mathbb{Z}_{p}^{*}$ generated by $g_{0}^{j}$ for $\operatorname{gcd}(j, p-1) \neq 1$.

In particular, the subgroup $\left\langle g_{0}^{j}\right\rangle$ has order $(p-1) / \operatorname{gcd}(j, p-1)$.
For the $\mathbb{Z}_{11}^{*}$ example, we get two non-trivial subgroups:

$$
\begin{aligned}
& \langle 5\rangle=\{1,3,4,5,9\} \text { of order } 5 \\
& \langle 10\rangle=\{1,10\} \text { of order } 2 .
\end{aligned}
$$

There is a subgroup corresponding to any factor of $\mathrm{p}-\mathrm{I}$.

## Other Groups

The same arguments apply to any finite cyclic group G:There are $\varphi(|G|)$ possible generators and other elements will generate cyclic subgroups whose order is a factor of $|G|$.

Note that when $|G|$ is prime, then all non-identity elements are generators of the group. (And a group of prime order is automatically cyclic as well.)
Unfortunately, for any prime $p>3,\left|\mathbb{Z}_{p}^{*}\right|=p-1$ is not prime, so we are left with the case that only some elements are generators.

Also note that when $N$ is not prime, $\mathbb{Z}_{N}^{*}$ might not be cyclic, although it is always a group.

For instance, in $\mathbb{Z}_{8}^{*}=\{1,3,5,7\}$, all three non-zero elements 3,5 , and 7 have order 2 and therefore only generate order 2 subgroups. $\mathbb{Z}_{21}^{*}$ is another example.

## Multiple Moduli

We can convert back and forth between integer type and modular type. Can we convert between different moduli?

## Example:

Suppose we know that $x=3 \bmod 5$. What is $x \bmod 14$ ?

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But the integer $x=18$ is also $3 \bmod 5$, and $18=4 \bmod 14$. So 4 also seems possible.

Actually, it could be anything!

## Chinese Remainder Theorem

Chinese Remainder Theorem: Let $\mathrm{N}=\mathrm{ab}$, with a and b relatively prime. Given any pair of non-negative integers ( $x_{a}, x_{b}$ ), with $x_{a}<a$ and $x_{b}<b$, there exists a unique non-negative integer $x<N$ such that $x=x_{a} \bmod a$ and $x=x_{b} \bmod b$. There is an efficient algorithm to compute x .

$$
x=x_{a} \bmod a \quad x=x_{b} \bmod b
$$

Unique $x \bmod N=a b$

## Reasoning

(Assume $\mathrm{a}<\mathrm{b}$ and $\operatorname{gcd}(a, b)=1$.)
Mod a
$\operatorname{Mod} N=a b$
Mod b

This class is being recorded

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| Mod a | Mod N=ab | Mod b |
| :---: | :---: | :---: |
| $x=x_{a} \bmod a$ | $x_{a}$ | $x_{a}$ |

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| :---: | :---: | :--- |
| $x=x_{a} \bmod a$ | $x_{a}$ | $x_{a}$ |
|  | $x_{a}+a$ | $x_{a}+a \bmod b$ |

## Reasoning

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| Mod a | Mod $\mathrm{N}=\mathrm{ab}$ | Mod b |
| :---: | :---: | :--- |
| $x=x_{a} \bmod a$ | $x_{a}$ | $x_{a}$ |
| $x_{a}+a$ | $x_{a}+a \bmod b$ |  |
|  | $x_{a}+2 a$ | $x_{a}+2 a \bmod b$ |

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We need to find m and n so that $m a+n b=x_{b}-x_{a}$.

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We need to find m and n so that $m a+n b=x_{b}-x_{a}$.
Euclid's algorithm gives X and Y with $a X+b Y=1$. Multiply by $\left(x_{b}-x_{a}\right)$.

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## Chinese Remainder Theorem Alg.

Algorithm:
I. Using Euclid's algorithm, compute $X$ and $Y$ such that $a X+b Y=1$.
2. Let $m=X\left(x_{b}-x_{a}\right), n=Y\left(x_{b}-x_{a}\right)$.
3. Let $x=x_{a}+m a=x_{a}(1-a X)+x_{b} a X$.

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Note that $1-a X=b Y$ and $a X=1-b Y$, so

$$
x=x_{a} b Y+x_{b}(1-b Y)=x_{b}+n b \quad \leadsto x=x_{b} \bmod b
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$$

Alternative more symmetric formula:

$$
x=x_{b} a X+x_{a} b Y
$$

## Chinese Remainder Theorem

## Example:

Suppose we want to find an $x$ such that

$$
\begin{array}{ll}
x=5 \bmod 14 & x_{a}=5, a=14 \\
x=3 \bmod 5 & x_{b}=3, b=5
\end{array}
$$

We could apply Euclid's algorithm to see that

$$
3 * 5-1 * 14=1 \quad X=-1, Y=3
$$

We then have

$$
\begin{aligned}
& x=3 * 14 *(-1)+5 * 5 * 3=33 \\
& x=x_{b} a X+x_{a} b Y
\end{aligned}
$$

## Discrete Log

## Modular Exponentiation

$$
\begin{gathered}
x \bmod N \longrightarrow x^{a} \bmod N \\
\text { Discrete Log } \\
y=x^{a} \bmod N \longrightarrow a
\end{gathered}
$$

The discrete log problem is, given $y$ and $x$, to find a such that $y=x^{a} \bmod N$. It is the inverse of modular exponentiation.

Modular exponentiation can be performed efficiently as function of the input size via repeated squaring. What about discrete log?

## Repeated Squaring

We can get large exponents quickly by repeated squaring:

From $x^{i} \bmod N$, we can calculate $x^{2 i} \bmod N$ using I multiplication by squaring it.
Doing this repeatedly gives us $x, x^{2}, x^{4}$, $x^{8}, \ldots, x^{2^{c}}$, with only c multiplications.

To calculate $x^{a} \bmod N$ for general a, first write a in binary:

$$
a=a_{0} 2^{c}+a_{1} 2^{c-1}+\cdots+a_{c-1} 2+a_{c}
$$

Then $x^{a}=\prod_{i=0}^{c} x^{a_{c-i}} 2^{i}$
This needs $O(\log a)$ multiplications.

Example:
Calculate $65^{12} \bmod 71$ :
$65^{2}=36 \bmod 71$
$65^{4}=36^{2}=18 \bmod 71$
$65^{8}=18^{2}=40 \bmod 71$
Then

$$
\begin{aligned}
65^{12} & =65^{8} \cdot 65^{4} \bmod 71 \\
& =40 \cdot 18 \bmod 71 \\
& =10 \bmod 71
\end{aligned}
$$

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This works for integers - but for mod N arithmetic, there are two problems: It is not clear how to take square roots; and taking the square root does not consistently give us something smaller in modular arithmetic unlike for integers.

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But it is not always hard, for instance if the base $\times$ has low order. We should restrict attention to hard cases if we want to build a cryptographic system.

## Hardness of Discrete Log

Definition: Given a security parameter s , let $N_{s}$ be an s-bit long number, and let $x_{s} \in \mathbb{Z}_{N_{s}}^{*}$ be an element of $\mathbb{Z}_{N_{s}}^{*}$. We say that discrete $\log$ for $\left(N_{s}, x_{s}\right)$ is worst-case hard if there is no polynomial time algorithm $\mathscr{A}$ such that for all $y \in\left\langle x_{s}\right\rangle, \mathscr{A}(y)=a$ with $y=x_{s}^{a} \bmod N_{s}$.

Recall that we are defining hardness in terms of asymptotic complexity, so we need to let the numbers get large and study how rapidly the problem gets harder in that limit.

Thus, we have a sequence of pairs (modulus, base) that get longer, and the problem is hard if it can't be solved in a time polynomial in the length of the numbers.

## Diffie-Hellman Key Exchange



Alice


Bob
Public choice of $p, g$

Eve

## Diffie-Hellman Key Exchange



Alice


Bob

Public choice of $p, g$


Eve

## Diffie-Hellman Key Exchange



Bob


Eve

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This class is being recorded

