CMSC/Math 456: Cryptography (Fall 2023) Lecture 4 Daniel Gottesman

# Administrative

Problem set #2 is out. It is due at noon on Sep. 14, next Thursday.

Remember, if you are reading these slides before the lecture, stop and think when you get to the vote.

## **Recap: Computational Limits**

- Big-O notation: f(s) = O(g(s)) if  $f(s) \le Cg(s)$  for some constant C and sufficiently large s. Meaning: f grows no faster than g.
- Polynomial time: Runs in time  $O(s^a)$  for some constant a on inputs of size s (i.e. s bit long inputs). Considered an efficient algorithm.
- Negligible: g(s) is negligible if f(s)g(s) < 1 for all polynomial functions f(s) and sufficiently large s. Meaning: g gets small very rapidly; we can neglect negligible functions.

Our threat model will allow Eve any computation that is polynomial in time (this is also a limit on Alice and Bob) and we want to ensure that Eve does not succeed except with negligible probability as a function of security parameter s.

# **Drawbacks of Big-O**

This approach to characterizing efficient attacks and protocols and negligible probabilities allows a clean and well-defined theory. However, it does have some drawbacks:

- It is not really possible in this approach to quantify the security of protocols of fixed size, only of protocols where there is an adjustable security parameter s.
- While any exponential will always beat any polynomial eventually, for large enough parameters, any real protocol has specific numerical values assigned and the polynomial might be bigger for those specific values.

Therefore, to evaluate any protocol for real-world use, you must plug in real numbers to see if the security is sufficiently good in practice.

# Improving on the One-Time Pad

How can we use this approach to improve on the one-time pad?

We wish to replace the long key with a bit string that looks random to Eve but that can be generated in the same way by both Alice and Bob using a short shared key. This gives the pseudo one-time pad.

For our threat model, we will assume that:

- Eve knows the technique we are using to generate the bit string but doesn't know the short key.
- Eve can perform arbitrary polynomial-time computations.

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Does it mean that 0 and 1 are equally likely for each bit?

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What does "looks random" mean?

Does it mean that 0 and 1 are equally likely for each bit?

No. 50% chance of 000000 and 50% chance of 111111 has that property, but correlations matter too.

Here are two sequences of 50 bits. One was generated by rolling dice and one was generated by repeatedly applying a deterministic procedure to a random starting point:

Sequence #I:

Sequence #2:

Which is which? Vote.

## **The Example Continued**

The non-random sequence was generated by the rule

 $x_{i+1} = Ax_i + B \mod 16$ 

writing each  $x_i$  in binary. A and B are secret and the starting point was also generated with dice.

Sequence #I:

Sequence #2:

Does anyone want to change their vote?

Consider  $x_{i+1} = Ax_i + B \mod 16$ .

- If A is even, then  $x_i$  always has the same parity as B, and is therefore either always even or always odd (except the first one). In either case, every 4th bit is the same ( $i = 0 \mod 4$ ).
- If A is odd and B is even, then  $Ax_i$  has the same parity as  $x_i$ , and therefore  $x_{i+1}$  also has the same parity as  $x_i$ . Again, every 4th bit is the same.
- If A is odd and B is odd, then  $x_{i+1}$  will have the opposite parity from  $x_i$ . Now every 4th bit alternates 0 and 1.

So for the non-random sequence, look at every 4th bit. Either they are always the same (except maybe the first one) or they alternate 0 and 1. The random sequence doesn't have to follow either pattern and probably won't.

#### The Answer to the Example

 $x_{i+1} = Ax_i + B \mod 16$ 

Look at every 4th bit:

Sequence #I:

Sequence #2:

Sequence #1 alternates. Sequence #2 doesn't alternate or stay the same. Sequence #2 is the random one.

The parameters I used were A=13, B=11.

# **Morals About Random Numbers**

- It's not always easy to tell the difference between random numbers and numbers generated by a deterministic process.
- But sometimes there are subtle ways to distinguish the two.

Does this matter for cryptography? Yes!

If you use the pseudo one-time pad with a string that has detectable patterns, Eve can potentially use those to attack the encryption. Remember, we want to be conservative. Even if we don't know how to use the pattern to break the encryption, can we be sure that Eve does not?

Built-in random generators in many old programming languages use a version of the same linear congruent generator in the example with better parameters. More modern languages, like Python, use the Mersenne twister. But both methods have subtle correlations that make them unsafe for cryptography.

# **Cryptographic Random Numbers**

However, we are on the right track. We need a procedure for which any patterns are so subtle, Eve can't find them within the limits of her computational power.

We can formalize this through a game of the same sort as we just tried. Alice presents Eve with a random string and then Eve must guess whether the string is truly random or was produced through the deterministic process. (Again, assuming Eve knows the process but not some specific parameters used as the key.)



Alice's procedure is deterministic with a small random seed y. For each choice of y, there is a x = G(y) which Alice will use to challenge Eve (if she picks the deterministic procedure).

But the set of possible x's that you can get this way is much smaller than the list of possible random numbers.



Definition: Let  $G : \{0,1\}^s \to \{0,1\}^{\ell(s)}$  be a *deterministic* efficient function with  $\ell(s) > s$  for all s. Then G(y) is a pseudorandom generator if, for any attack  $\mathscr{A} : \{0,1\}^{\ell(s)} \to \{0,1\}$ , a probabilistic polynomial time algorithm, it holds that

 $|\Pr_{\mathcal{V}}(\mathscr{A}(G(y)) = 1) - \Pr_{\mathcal{X}}(\mathscr{A}(x) = 1)| \le \epsilon(s)$ 

with  $\epsilon(s)$  a negligible function and probabilities averaged over randomness of  $\mathscr{A}$ , as well as over seeds y (left probability) drawn uniformly from  $\{0,1\}^s$  and truly random strings x (right probability) drawn uniformly from  $\{0,1\}^{\ell(s)}$ .



## **Does Pseudorandomness Exist?**

A pseudorandom generator outputs strings for which any polynomial-time algorithm can't successfully distinguish from random strings.

How can we build pseudorandom generators? Not straightforward, but we will discuss how to do it later.

To prove that these constructions give pseudorandom generators, we need to prove that there is no efficient way to distinguish the output from random bits.

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... but unfortunately, we don't know good ways of proving that something can't be done in polynomial time. If we could prove a pseudorandom generator exists, we could show  $P \neq NP$ .

Instead, we try different kinds of attacks on the generator, and if they fail, we tentatively assume it works, with more confidence over time if it is still not broken.

# **Cryptographic Primitives**

Suppose now that we have something we think is a pseudorandom generator. We can then use it to create other cryptographic protocols. A pseudorandom generator is an example of a cryptographic primitive.

We can use cryptographic primitives as components in a larger (and maybe more useful) cryptographic protocol. For instance, we will use pseudorandom generators to create a more efficient encryption scheme, the pseudo one-time pad.



If done properly, the security of the overall protocol can be proven *provided* the primitives being used are secure. This is where the precise definitions are essential.

This modular approach is particularly useful if the same primitive can be used in many places.

#### One-time pad:

- The security parameter s should be chosen to be equal to the message length to be used.
- Gen: Choose uniformly random bit string k of length s.
- Enc: Acts on message m as  $Enc(k, m) = m \bigoplus k$ .
- **Dec:**Acts on ciphertext c as  $Dec(k, c) = c \oplus k$ .

#### Pseudo one-time pad:

Let G(y) be a pseudorandom generator. The security parameter s should be chosen so that  $\ell(s)$  is equal to the length of the message to be used.

Gen: Choose uniformly random bit string k of length s.

Enc: Acts on message m as  $Enc(k, m) = m \bigoplus G(k)$ .

**Dec:**Acts on ciphertext c as  $Dec(k, c) = c \bigoplus G(k)$ .

# Why Is This Secure?

It is hard to distinguish the output of a pseudorandom generator from random numbers. Another way of saying this is anything you do with the output of a pseudorandom generator should give the same results as doing the same thing with a random string.



Eve cannot learn anything from a ciphertext encrypted with the one-time pad. If Eve has an attack on the pseudo one-time pad, then that is a different result than the onetime pad, which means the **G(y)** you used could not have actually been a pseudorandom generator.

# **Computationally-Secure Encryption**

To actually prove this, we will need to devise a definition of security for encryption that allows computational limits on Eve.

We will start with definition B of perfect secrecy from last time and turn it into a game similar to the one we used to define pseudorandom generators.

#### Recall:

**Definition B:** An encryption protocol (Enc, Dec) provides perfect secrecy if for any pair of valid messages  $m_0, m_1$ , and any ciphertext c,

 $Pr(Enc(k, m_0) = c) = Pr(Enc(k, m_1) = c)$ 

with probability averaged over keys k and randomness in Enc and Dec.

In other words, Eve cannot guess if the message is  $m_0$  or  $m_1$ .

As with the pseudorandom generator, we can define secrecy in terms of a game. Alice is challenging Eve with ciphertexts and Eve is supposed to guess which message Alice encrypted.





Alice

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- 2. Alice encrypts  $m_i$  with k to get c and sends it to Eve.
- 3. Eve performs her attack  $\mathscr{A}(c)$  to guess i and returns her guess. She wins if she guesses right.

# **EAV Security, First Try**

**Definition:** (Enc, Dec) with security parameter s has indistinguishable encryptions in the presence of an eavesdropper (is EAV-secure) if, for any pair of messages  $m_0$  and  $m_1$  and for any efficient attack  $\mathscr{A}(c)$ ,

 $|\Pr_k(\mathscr{A}(Enc(k, m_0)) = 1) - \Pr_k(\mathscr{A}(Enc(k, m_1) = 1))| \le \epsilon(s)$ 

for negligible  $\epsilon(s)$  and probability taken over k and randomness of Enc.



# **EAV Security**

Definition: (Enc, Dec) with security parameter s has indistinguishable encryptions in the presence of an eavesdropper (is EAV-secure) if, for any pair of messages  $m_0$  and  $m_1$  chosen by the adversary (using  $\mathscr{B}(s)$ ) and for any efficient attack  $\mathscr{A}(c)$ ,

 $|\Pr_k(\mathscr{A}(Enc(k, m_0)) = 1) - \Pr_k(\mathscr{A}(Enc(k, m_1)) = 1)| \le \epsilon(s)$ 

for negligible  $\epsilon(s)$  and probability taken over k and randomness of Enc.



# **Commentary on the Definition**

How is does letting the adversary pick  $m_0$  and  $m_1$  change the definition? It actually makes the definition slightly weaker because Eve's algorithm  $\mathscr{B}(s)$  to pick  $m_0$  and  $m_1$  is efficient.

Why did I do this?

- The weaker definition is easier to satisfy and it seems fine to say Eve might be able to distinguish a pair of messages that are hard to find.
- In later stronger threat models (such as the chosen plaintext attack), it will be more important to have Eve choose the possible messages.

Compared to the definition of perfect security, when we put the computational bound on Eve, we should also relax to negligible probability  $\epsilon(s)$  of distinguishing the messages because Eve usually has a "guess the key" attack that succeeds with small probability.

Our intuition is that if Eve has an attack on the pseudo one-time pad, then it must have been that the function G(y) used was not actually a pseudorandom generator. We can turn this into a proof by using the attack on the pseudo one-time pad to design an attack on the pseudorandom generator.



If we are confident in the security of the pseudorandom generator, this turns around and implies that there can't be an effective attack on the pseudo one-time pad.

This is called a reduction: we are building the security of the pseudo one-time pad on that of the simpler cryptographic primitive used to create it. Reduction is an extremely common proof technique in cryptography and theoretical CS.

## **Robot World**

Imagine you are a robot in a world full of robots.



Your job, like all the other robots, is to play one of these cryptographic games in the role of Eve.

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Your job, like all the other robots, is to play one of these cryptographic games in the role of Eve.

You are supposed to break a pseudorandom generator. Every day, you report to work and are given bit strings. Your job is to say if they are random or pseudorandom.

Unfortunately, you are really bad at your job.

You are so bad at your job that every day you are afraid you will be fired. But one day you have an idea.



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Your friend, Blue Robot, has the job of breaking the pseudo onetime pad. You are so bad at your job that every day you are afraid you will be fired. But one day you have an idea.



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One night, while Blue Robot is in its downcycle, you download a copy of its brain. Now you can run a simulation of Blue Robot and it will act just like Blue Robot in the same situation.

The next day, you go to work and take the copy of Blue Robot with you. Whenever you get a bit string, you use it as the key for a one-time pad and run a simulation of Blue Robot at work using that key.



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# Security of Pseudo One-Time Pad

Theorem: The pseudo one-time pad is EAV-secure if it uses a secure pseudorandom generator.

Proof plan:

Given an attack  $(\mathscr{B}(s), \mathscr{A}(c))$  on the pseudo one-time pad, we will construct an attack  $\mathscr{A}'(x)$  on the pseudorandom generator.

 $\mathscr{A}'(x)$  will succeed in distinguishing the pseudorandom numbers from random with probability  $\epsilon'(s)$  if  $(\mathscr{B}(s), \mathscr{A}(c))$ succeeds in distinguishing messages with probability  $\epsilon(s)$ . If the pseudorandom generator is secure,  $\epsilon'(s)$  will be negligible, which will imply that  $\epsilon(s)$  is also negligible. Therefore this particular attack on the pseudo one-time pad doesn't succeed.

But the attack was arbitrary, so the pseudo one-time pad is EAV-secure.

## **The Reduction**

The idea is that the attack  $\mathscr{A}'(x)$  will use x as the key in a virtual pseudo one-time pad protocol, in which a virtual Eve will run the attack  $(\mathscr{B}(s), \mathscr{A}(c))$  on the pseudo one-time pad.



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# **The Reduction**

The idea is that the attack  $\mathscr{A}'(x)$  will use x as the key in a virtual pseudo one-time pad protocol, in which a virtual Eve will run the attack  $(\mathscr{B}(s), \mathscr{A}(c))$  on the pseudo one-time pad.



# Why Does This Work?

The important thing to make this reduction work is that Virtual Eve has no idea she is just a simulation. In particular, everything she sees is completely consistent with attacking either the onetime pad or the pseudo one-time pad.

The only input and output to the virtual protocol goes through Alice, and Alice only makes choices she could have made in a real one-time pad or pseudo one-time pad.

Why is this important? We want Virtual Eve to run her attack exactly as she would against a real encryption scheme. If she were to change her attack, then we would only get results about her changed attack and not the true attack. That would not help us prove security.