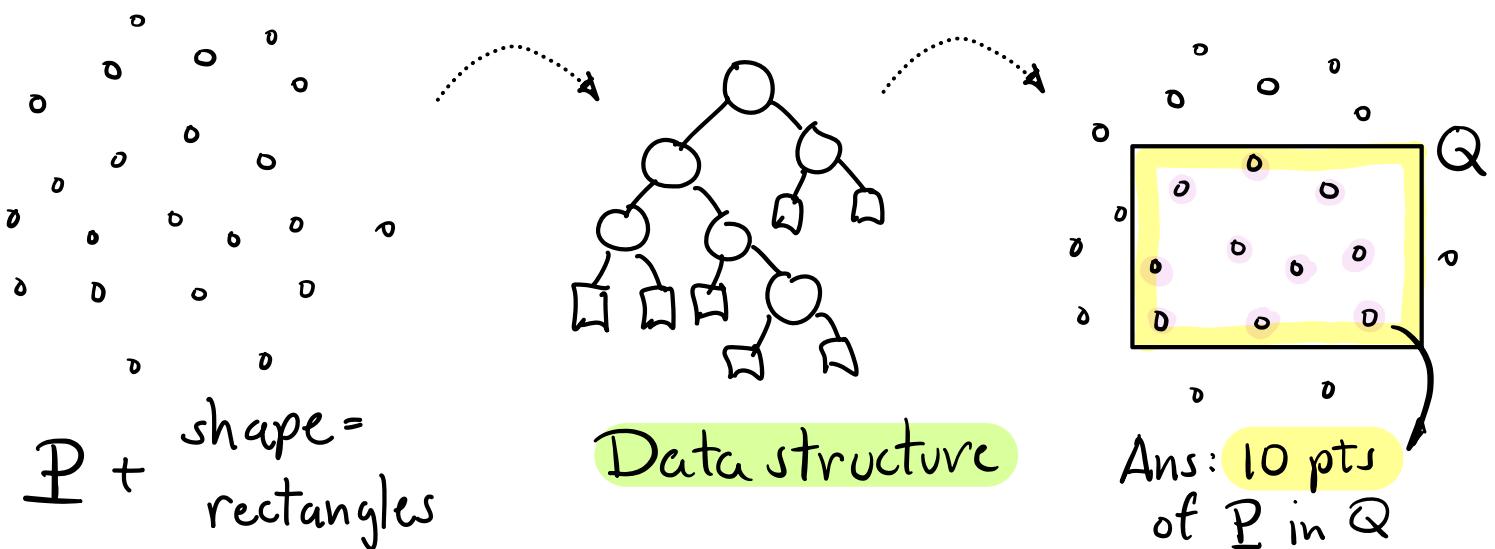


# CMSC 754 - Computational Geometry

## Lecture 15 - Orthogonal Range Trees

Recall: Range Search:

Given a set of  $n$  pts  $P = \{p_1, \dots, p_n\} \subseteq \mathbb{R}^d$ ,  
and class of shapes (range space)  
preprocess  $P$  to answer range queries:  
Given shape  $Q$ , count/report the pts in  
 $P \cap Q$ .



Last lecture: kd-trees

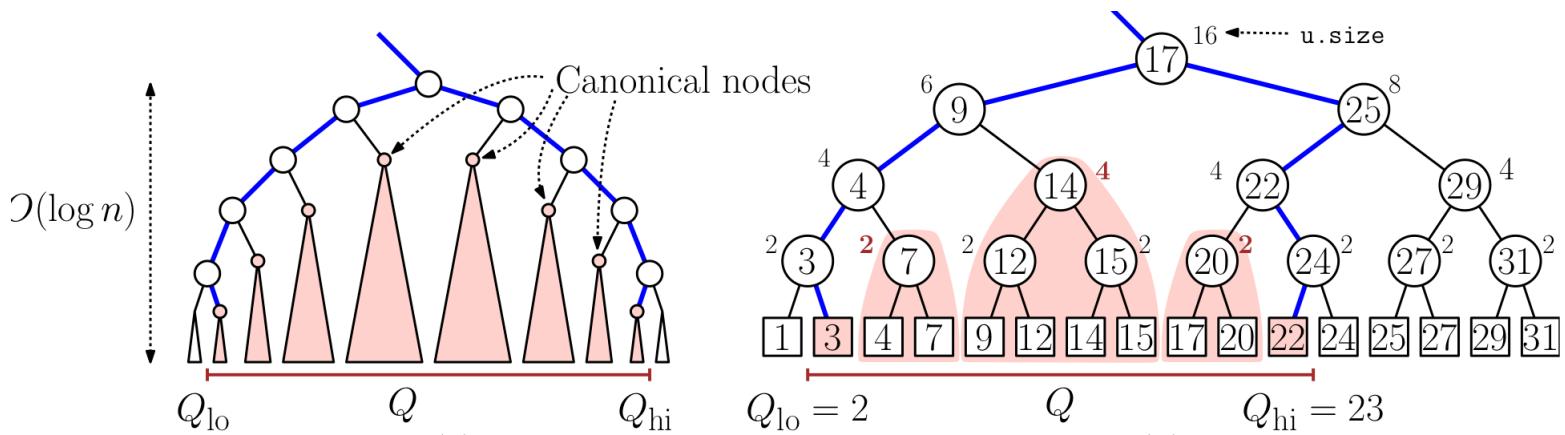
$\mathcal{O}(n)$  space /  $\mathcal{O}(n \log n)$  build time  
 $\mathcal{O}(\sqrt{n})$  query time (in  $\mathbb{R}^2$ )  
 $\mathcal{O}(n^{1-\frac{1}{d}})$  in  $\mathbb{R}^d$

Today: Orthogonal Range Trees  
+ Layered Data Structures

$\rightarrow \mathcal{O}(\log^d n)$   
query time  
 $\rightarrow \mathcal{O}(n \log^{d-1} n)$  space

# 1-Dimensional Range Tree: (Review)

- Given set of scalars:  $P = \{p_1, \dots, p_n\} \subseteq \mathbb{R}$
- Store as leaves in balanced search tree  $\rightarrow O(n)$  space  $\rightarrow O(n \log n)$  construct.
- Each node  $u$  stores num. of leaves:  $u.size$
- Given query interval  $Q = [Q_{lo}, Q_{hi}]$ 
  - Identify  $O(\log n)$  maximal subtrees that cover  $Q$
  - Add up sizes for all these nodes



$$\text{Query answer} = 1 + 2 + 4 + 2 + 1 = 10$$

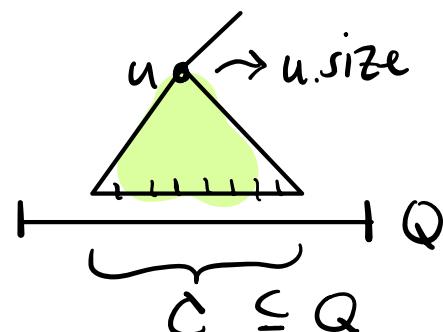
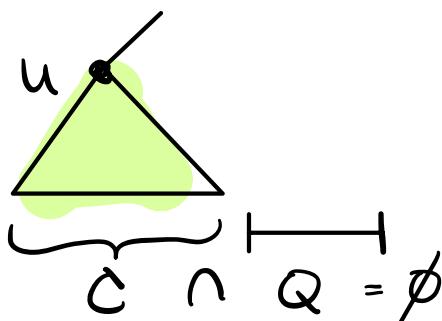
## Range counting algorithm:

Node  $u$ :

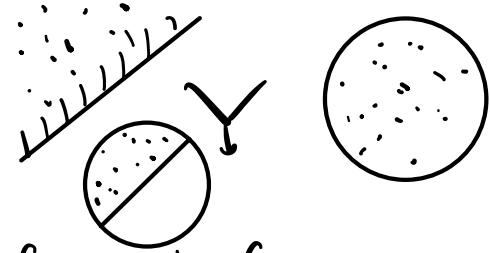
$u.\text{point}$ : point  $p_i$  (if  $u$  is leaf)  
 $u.x$ : split value (if  $u$  internal)  
 $u.size$ : # leaves (if  $u$  internal)  
 $u.left, u.right$ : children

$\text{range1D}_x(\text{Node } u, \text{Range } Q, \text{Interval } C = [x_0, x_1])$

```
if ( $u$  is leaf)
    return  $n$ 
else if ( $C \cap Q = \emptyset$ ) (no overlap)
    return 0
else if ( $C \subseteq Q$ ) (contained)
    return  $u.size$ 
else
    return  $\text{range1D}_x(u.left, Q, [x_0, u.x]) + \text{range1D}_x(u.right, Q, [u.x, x_1])$ 
```



## Multi-Layered Structures:



Suppose your ranges are formed from composing multiple (independent) queries:

E.g. Find all patients of

- age between 25..35 :  $Q_1$
- weight  $\leq 200$  lbs :  $Q_2$
- blood pressure  $\geq 100$  :  $Q_3$

Idea: Design a data structure for each query type & "merge them"

How to merge?

- Build range structure for age for  $P$

$\Rightarrow$  Canonical subsets:  $P_1, P_2, \dots, P_m$

- For each  $P_i$ , build a range structure for weight

$\Rightarrow$  Canonical subsets:  $P_{i1}, P_{i2}, \dots$

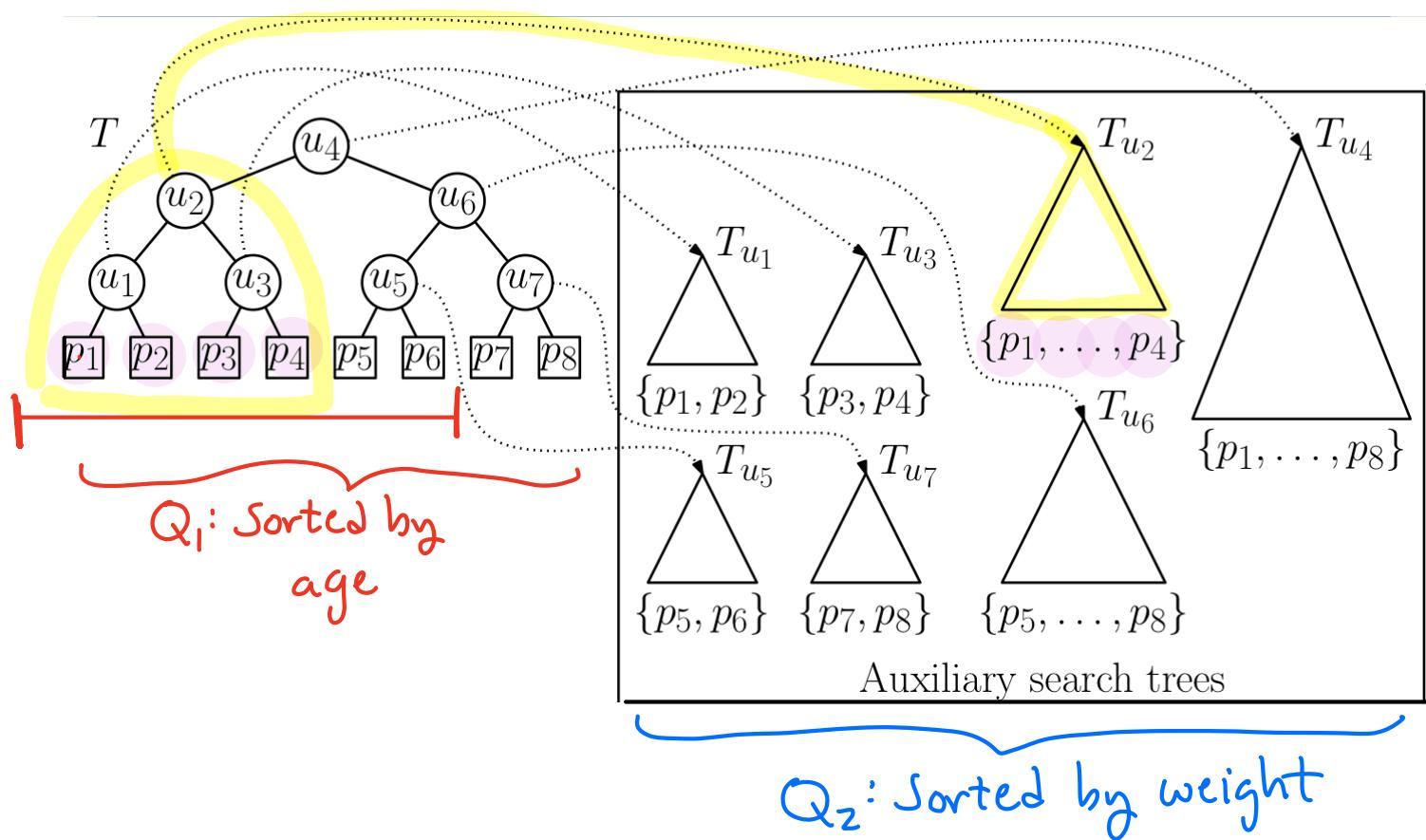
- For each  $P_{ij}$ , build range structure for blood pressure

:

# Multi-Layered Search Tree:

- Store data in leaves of tree
- Each node's canonical subset consist of its leaves
- For each node, build a search tree for its canonical subset  
↳ called its auxiliary tree

Example:

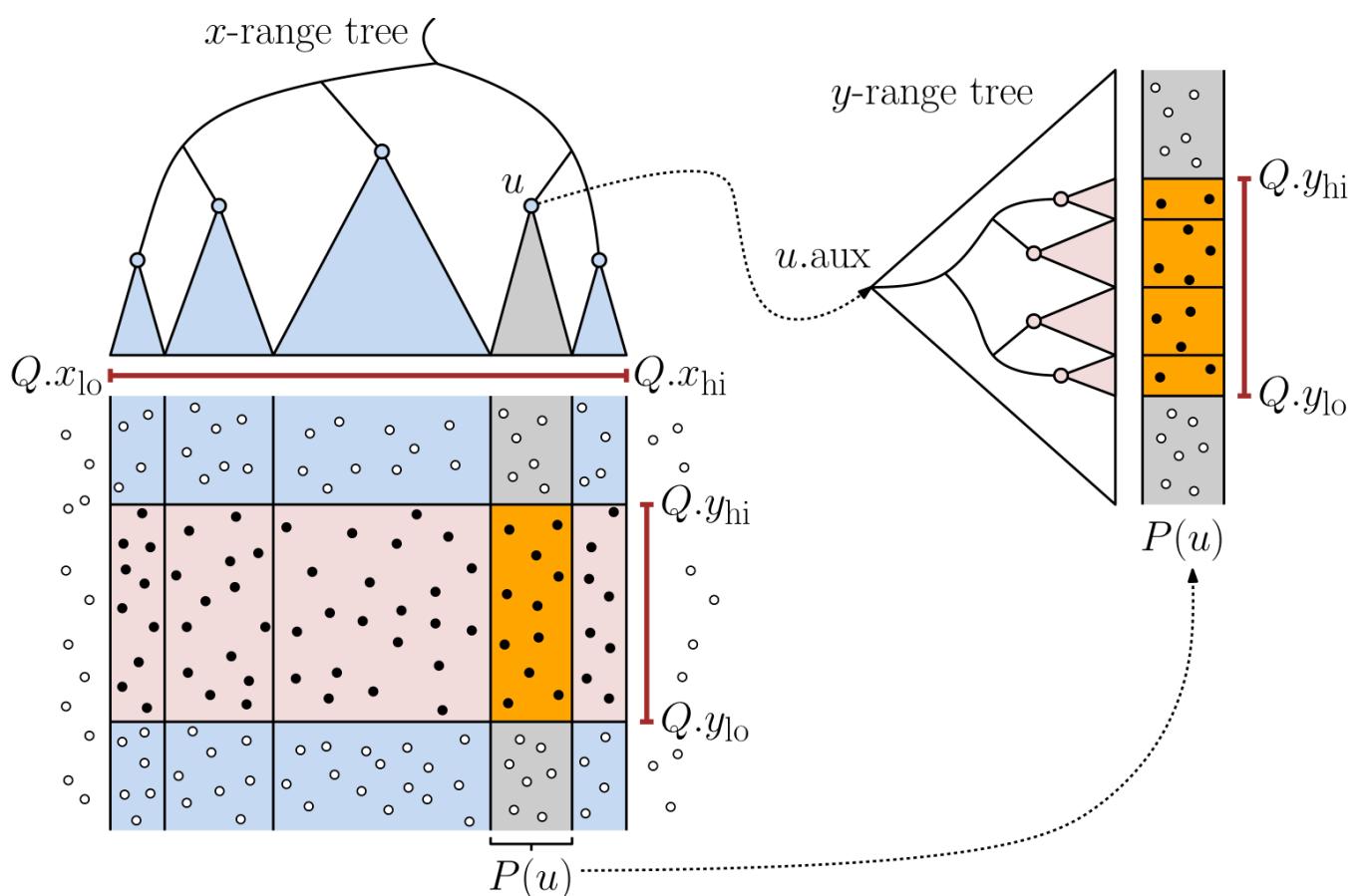


# Orthogonal (2-d) Range Tree:

- Given points  $P = \{p_1, \dots, p_n\} \subseteq \mathbb{R}^2$
- Build a 1-d range tree for  $P$  based on  $x$  only (data in leaves)
- For each internal node  $u$ , let  $P(u)$  be points in its leaves (canon. subset)
  - Build a 1-d range tree for  $P(u)$  sorted by  $y$ -coords.

Main tree

Aux. tree  
for  $u$



To process query  $Q = [Q_{lo}, Q_{hi}]$   
 $= [Q_{lo.x}, Q_{hi.x}] \times [Q_{lo.y}, Q_{hi.y}]$

- Apply 1-d search in main tree with query  $[Q_{lo.x}, Q_{hi.x}]$  to identify  $O(\log n)$  maximal subtrees
- For each root  $u$  of one of these max. subtrees apply 1-d search in  $u.\text{aux}$  with query  $[Q_{lo.y}, Q_{hi.y}]$
- Return overall sum

range 2D(Node  $u$ , Range  $Q$ , Interval  $C = [x_0, x_1]$ )

```

if(u is leaf) { 1 if u.point ∈ Q
    return 0 o.w.
else if (Q.x ∩ C = ∅) (no x overlap)
    return 0
else if (C ⊆ Q.x) (containment in x)
    return range1Dy(u.aux, Q, [-∞, +∞])
        search aux. tree
else (recurse)
    return range2D(u.left, Q, [x_0, u.x])
        + range2D(u.right, Q, [u.x, x_1])

```

# Space + Preprocessing Time:

- Since each node stores  $O(1)$  data, total space = size of main tree + total size of aux. trees
- A tree with  $m$  leaves has size  $O(m)$

$$\text{Space} = n + \sum_u |P(u)|$$

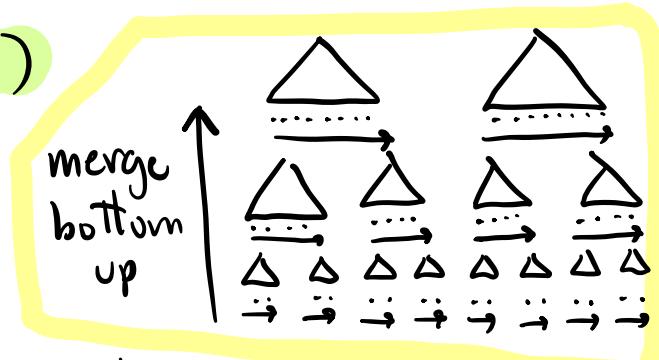
main tree                    u.aux tree

- Main tree's height is  $O(\log n)$
  - Each leaf contributes a point to u.aux for each of its ancestors
    - $\Rightarrow$  Each point appears in  $O(\log n)$  aux. trees
    - $\Rightarrow \sum_u |P(u)| = O(n \log n)$
- $\Rightarrow$  Total space is  $O(n \log n)$

## Construction time:

Naive:  $O(n \log^2 n)$

- Better: Build aux trees bottom-up
- Two child sets can be merged in linear time
- $\Rightarrow O(n \log n)$



## Query Time:

Main tree:  $\mathcal{O}(\log n)$  time

→ Identifies  $\mathcal{O}(\log n)$  maximal subtrees

- each has  $\leq n$  points

- each searchable in  $\mathcal{O}(\log n)$  time

⇒ Total time =  $\mathcal{O}(\log n) \cdot \mathcal{O}(\log n)$

=  $\mathcal{O}(\log^2 n)$

Thm: Using orthogonal range trees, 2-dim orthog. range (counting) queries can be answered in:

$\mathcal{O}(n \log n)$  space

$\mathcal{O}(n \log n)$  build time

$\mathcal{O}(\log^2 n)$  query time → +k for reporting

Thm: Using orthogonal range trees, d-dim orthog. range (counting) queries can be answered in:

$\mathcal{O}(n \log^{d-1} n)$  space

$\mathcal{O}(n \log^{d-1} n)$  build time

$\mathcal{O}(\log^d n)$  query time → +k for reporting

Can we do better?

You can shave off a  $\log n$  factor  
for query times - Cascading Search

2-dim:  $O(\log^2 n) \rightarrow O(\log n)$

d-dim:  $O(\log^d n) \rightarrow O(\log^{d-1} n)$

(See latex notes)

Idea:

- Final aux trees can be stored as sorted arrays (trees not needed)
- Always searching for same values:  
 $Q.\text{lo.y}$     $Q.\text{hi.y}$
- Can exploit knowledge of answer in one array to find answer in another, without doing search from scratch.

