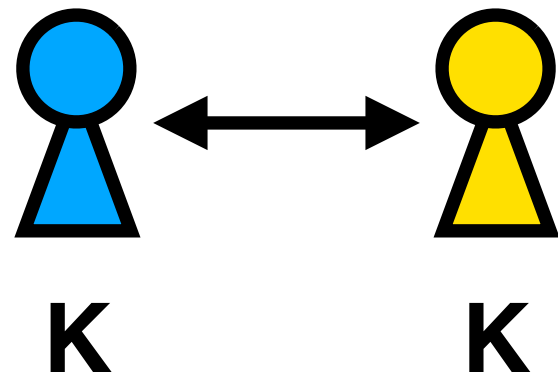


Public key cryptography and PKIs

Shortcomings of symmetric key



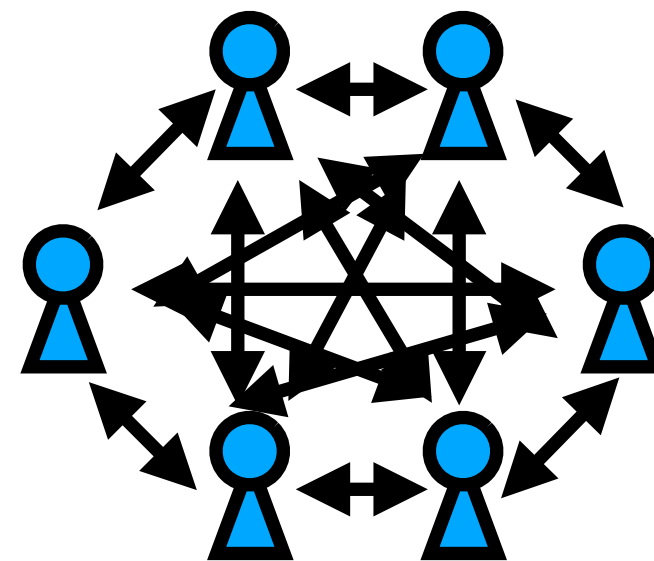
Establishing a pairwise key requires a **key exchange**, which requires both parties to be **online**

Issue #1: Requires *pairwise* key exchanges



File downloads

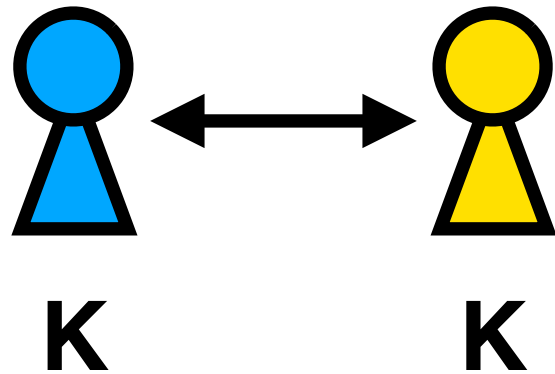
One-to-many:
 $O(N)$ key
exchanges



Email / chat

All-to-all:
 $O(N^2)$ key
exchanges

Shortcomings of symmetric key



Establishing a pairwise key requires a **key exchange**, which requires both parties to be **online**

Issue #2: Parties must be online

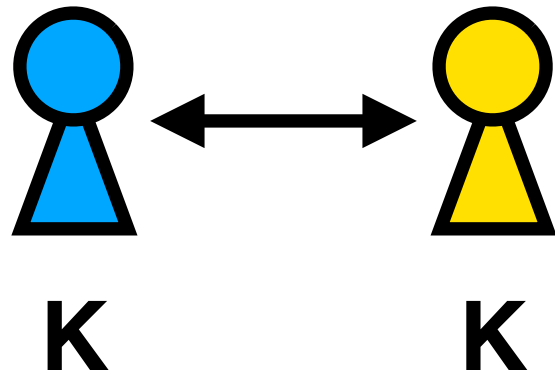


One-to-many:
 $O(N)$ key
exchanges

Blue user uploads a document, then goes offline (e.g., forever)

Later, a yellow user wants to get a copy; how can it know the copy is really from the blue user?

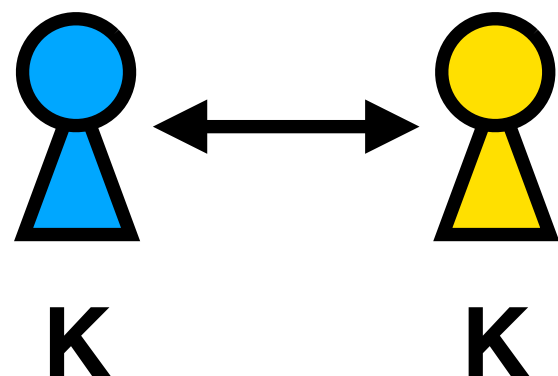
Shortcomings of symmetric key



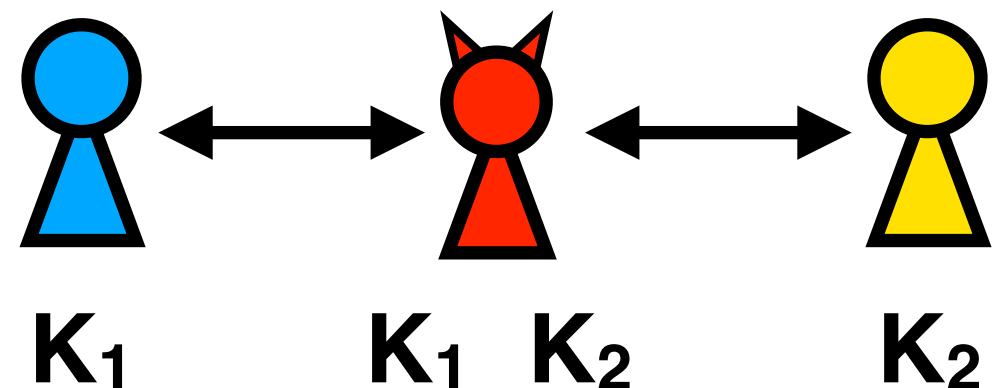
Establishing a pairwise key requires a **key exchange**, which requires both parties to be **online**

Issue #3: How do you know to whom you're talking?

Diffie-Hellman is resilient to *eavesdropping*, but ***not tampering***

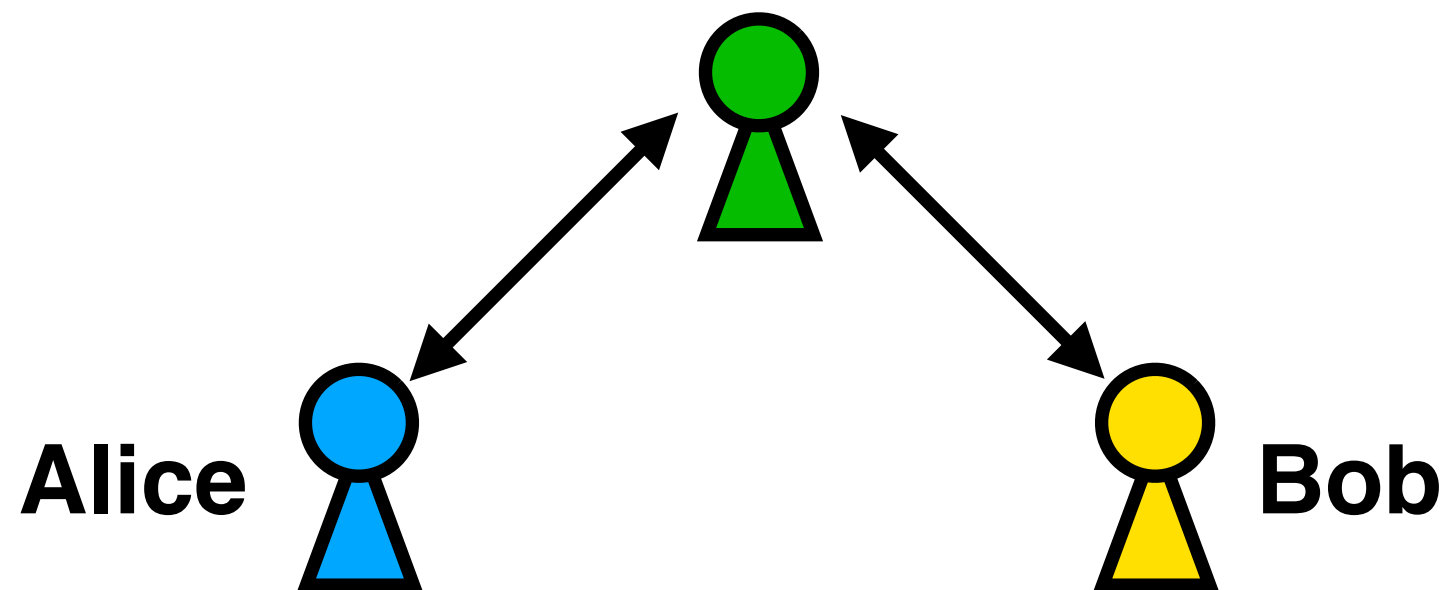


vs



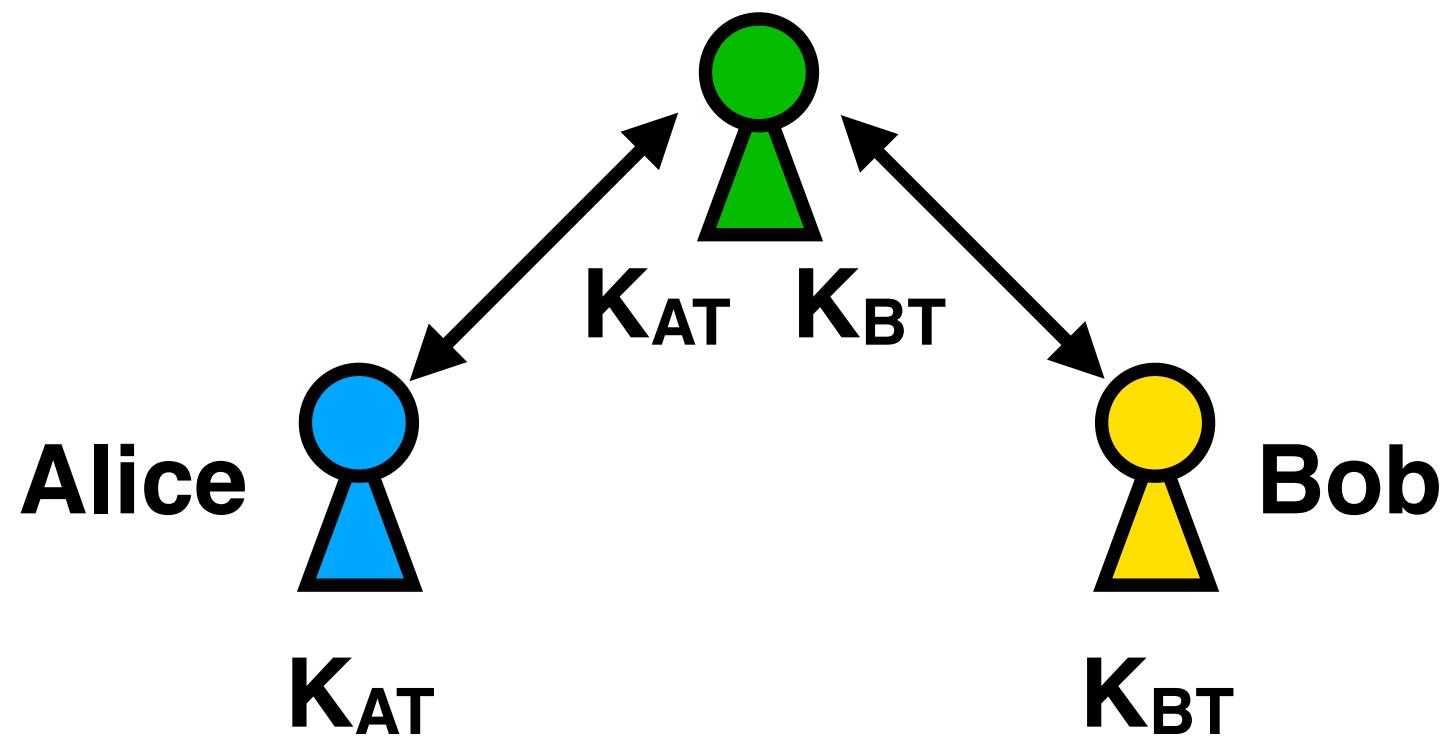
A protocol that solves this with ***trust***

Trent: *A trusted* third party



A protocol that solves this with ***trust***

Trent: *A trusted third party*

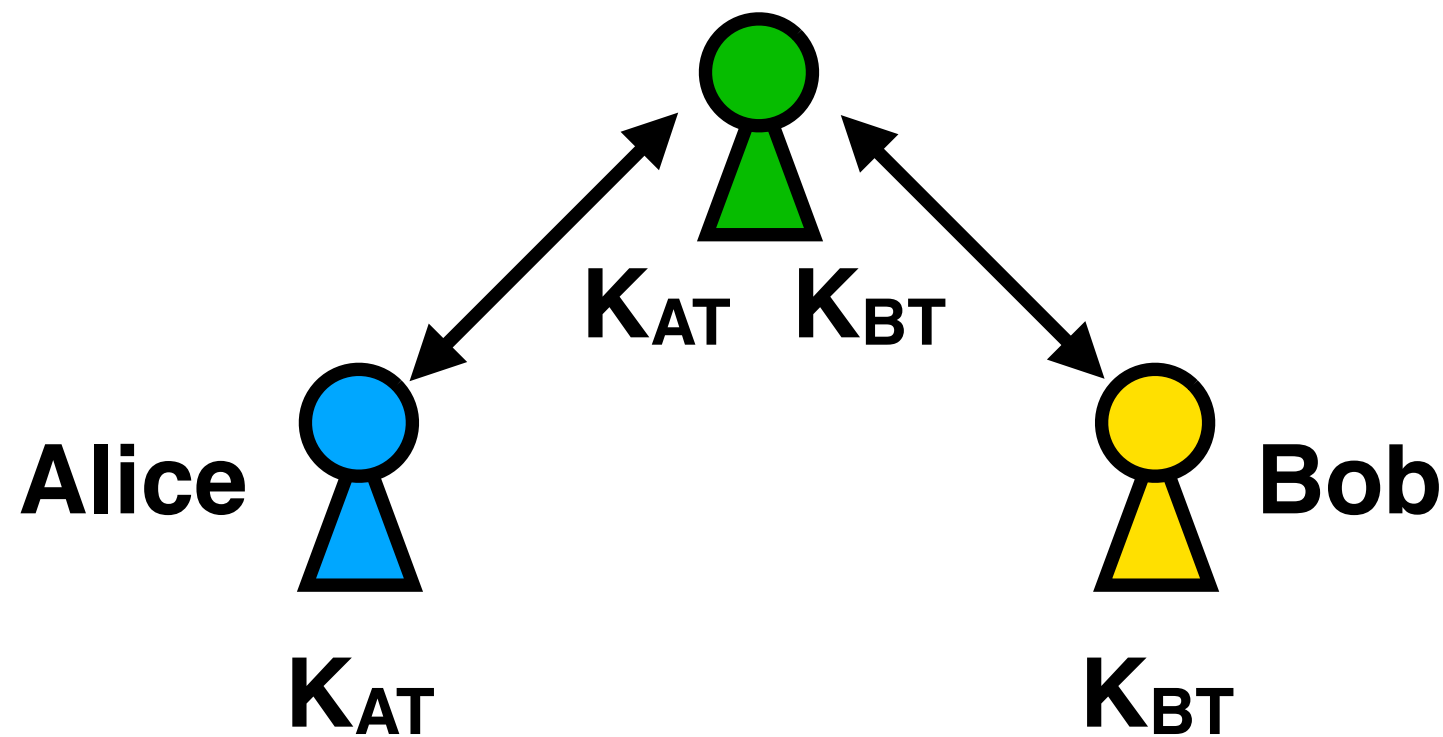


1. Everybody establishes a pairwise key with Trent

Good: $O(N)$ key exchanges

A protocol that solves this with ***trust***

Trent: *A trusted third party*



1. Everybody establishes a pairwise key with Trent

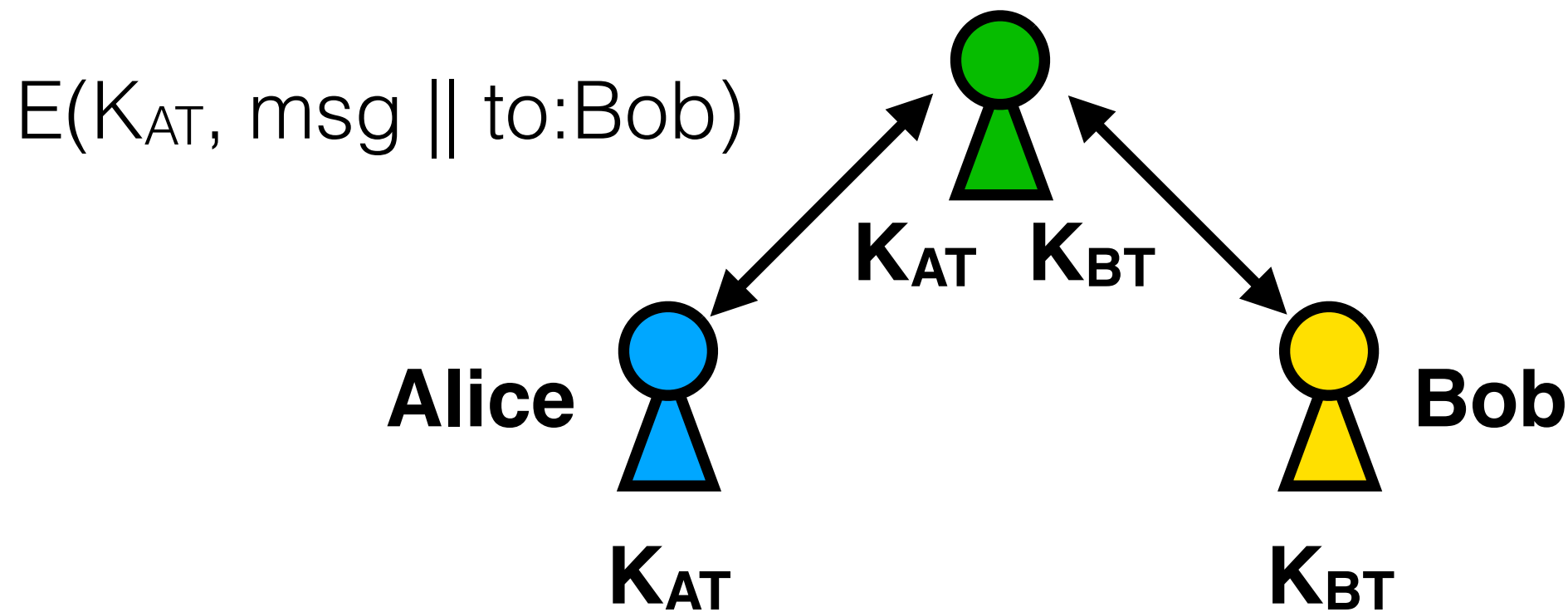
Good: $O(N)$ key exchanges

2. Trent validates each user's identity; includes in message

Good: *Authenticated communication*

A protocol that solves this with ***trust***

Trent: *A trusted third party*



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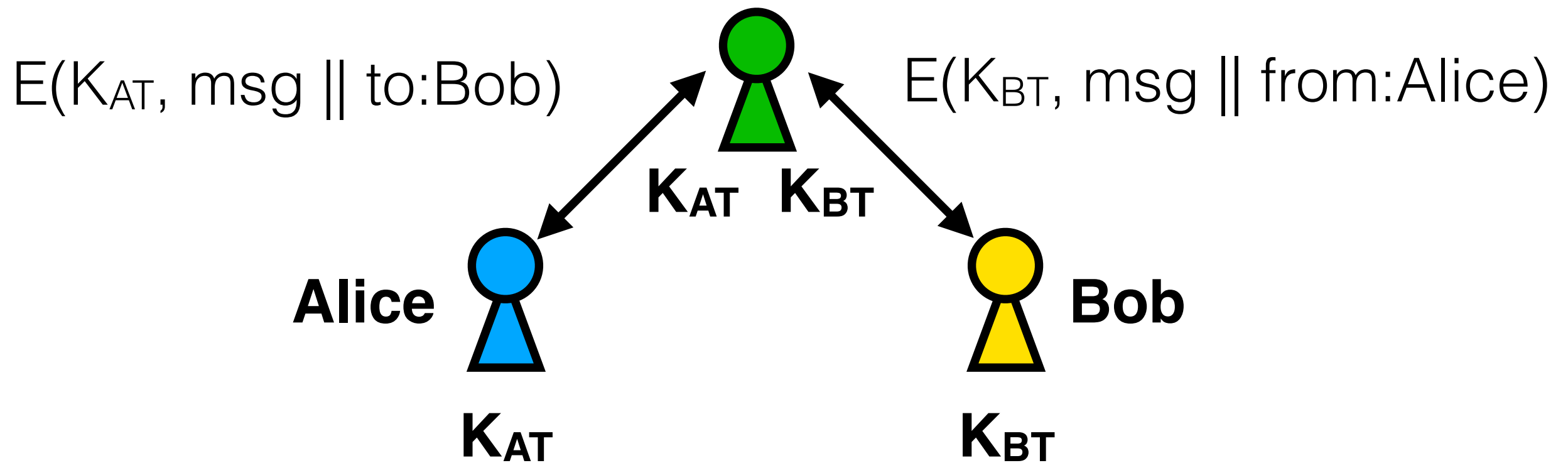
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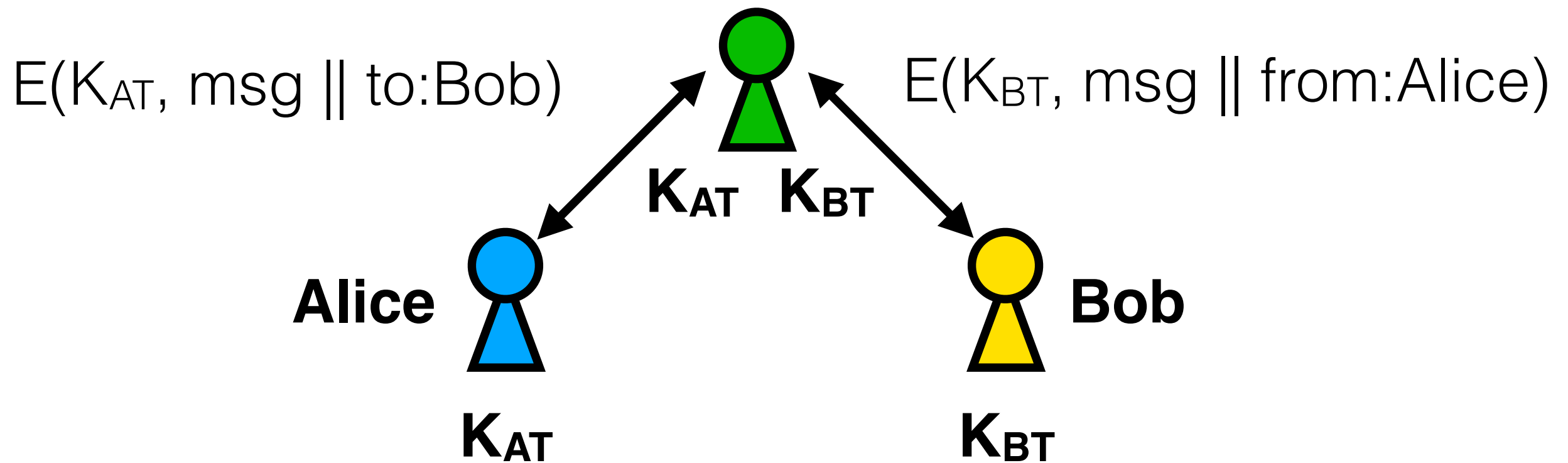
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Trent: *A trusted third party*



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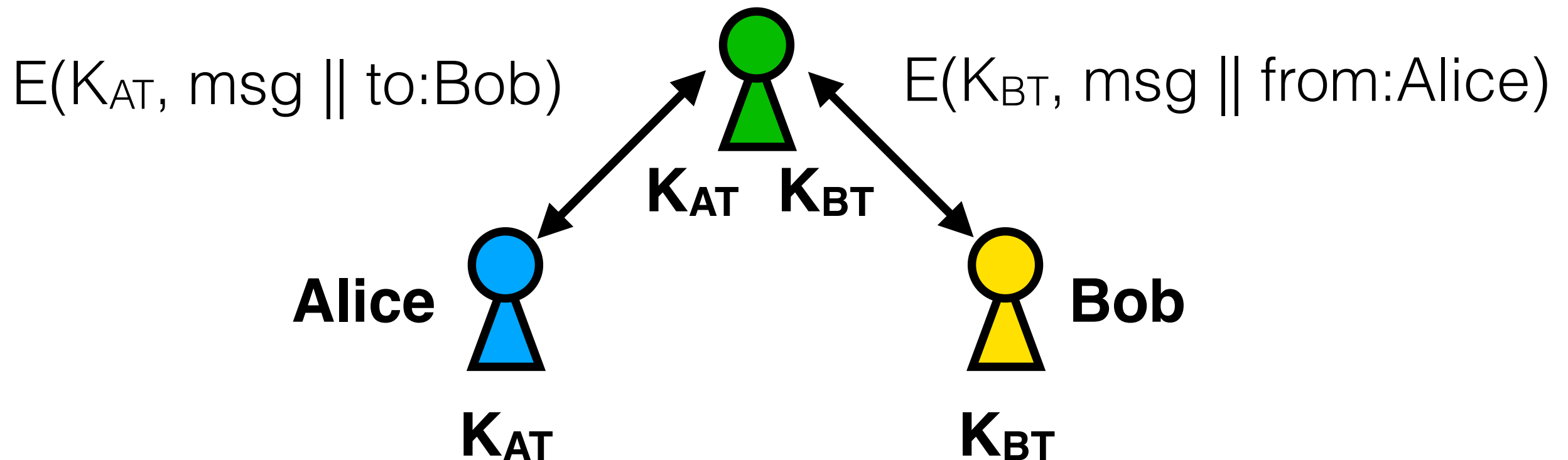
2. Trent validates each user's identity; includes in message

Good: *Authenticated communication*

Bad: All messages get sent through Trent

What are we trusting Trent not to do?

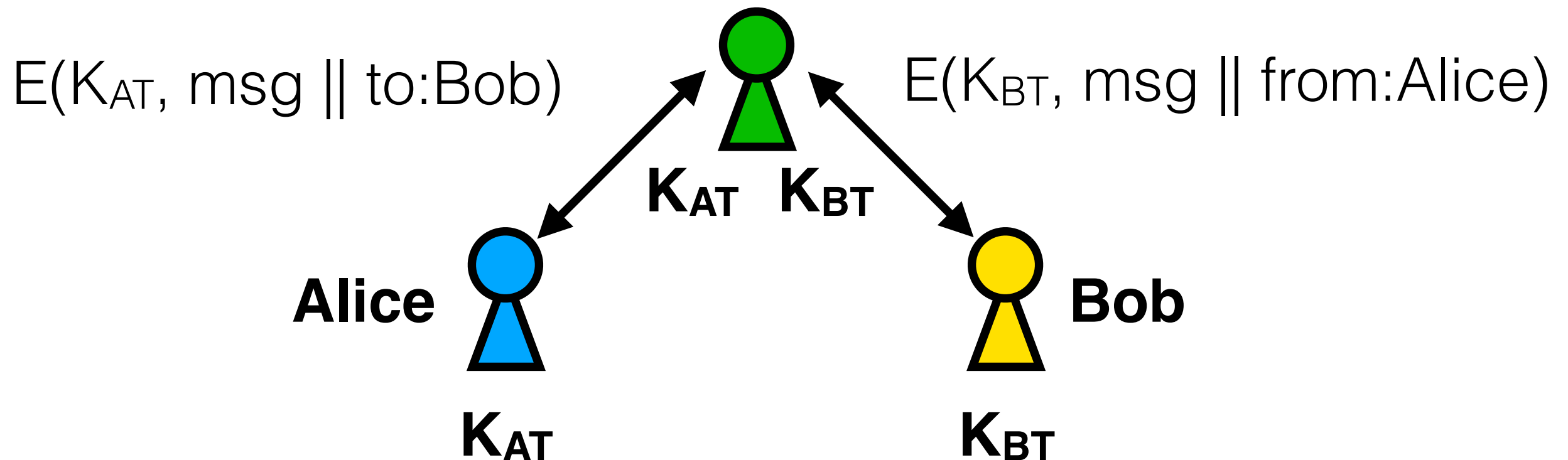
Just as “secure” meant nothing without an attack model,
“trusted” means nothing without a **trust model**



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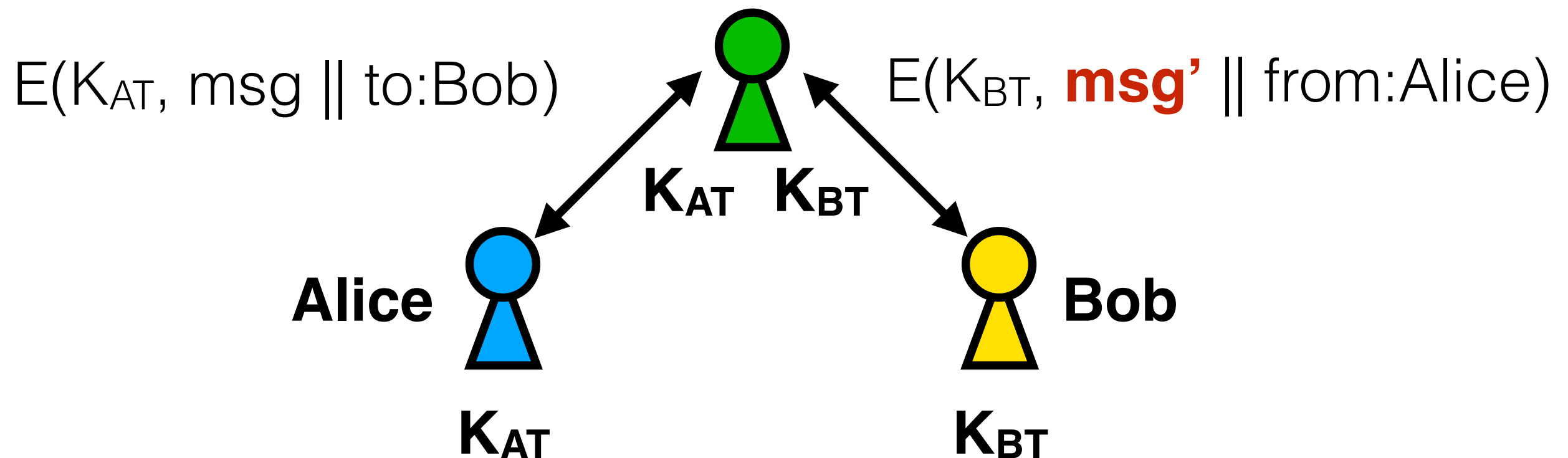
(Oh wow, “msg”!)



1. Do not *read* messages

What are we trusting Trent not to do?

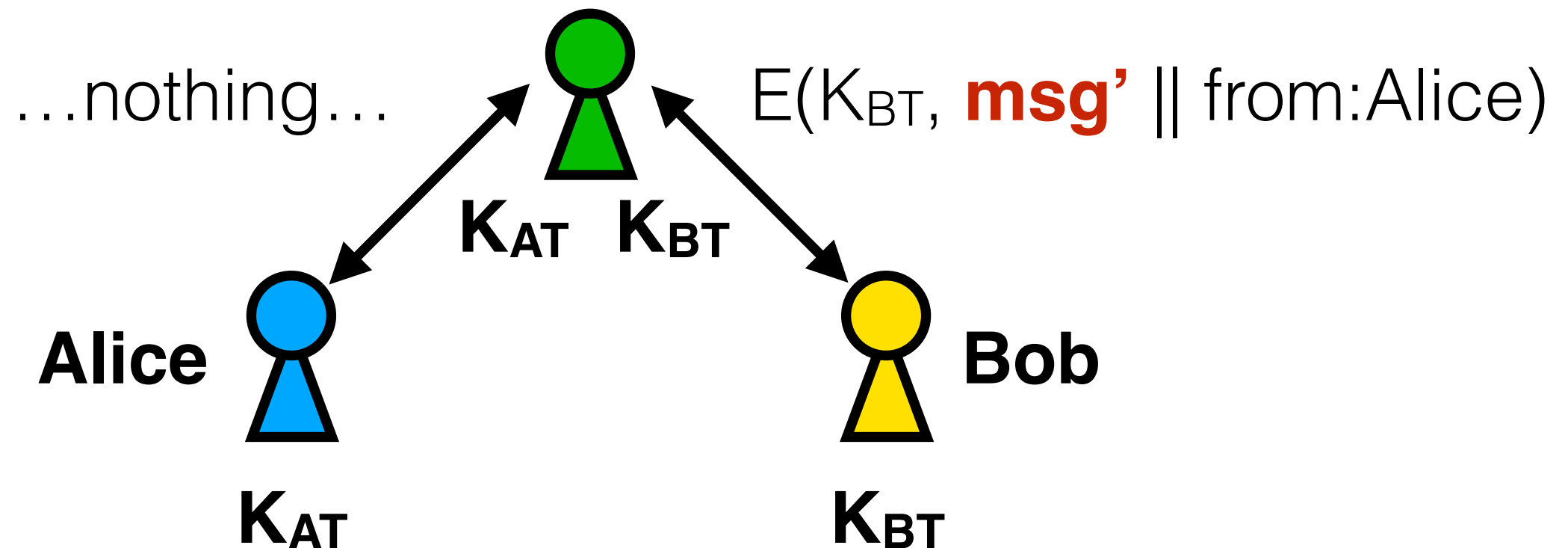
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1. Do not *read* messages
2. Do not *alter* messages

What are we trusting Trent not to do?

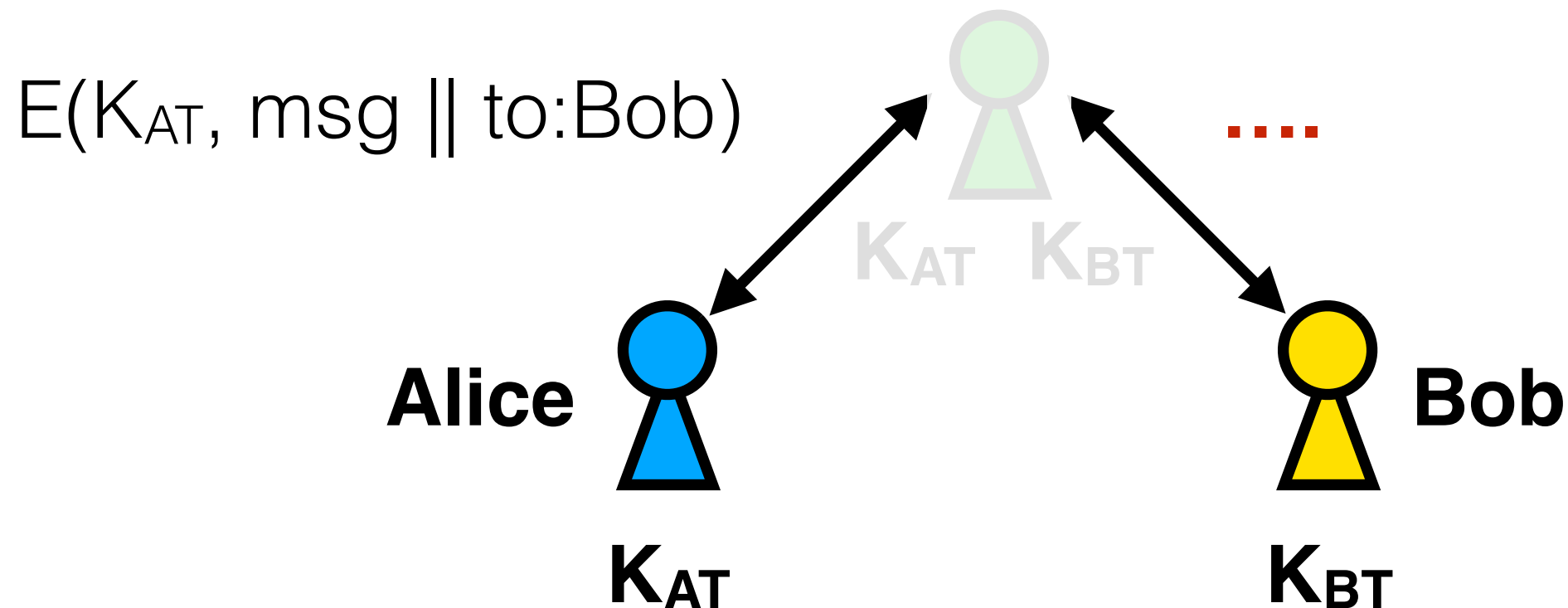
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1. Do not *read* messages
2. Do not *alter* messages
3. Do not *forge* messages

What are we trusting Trent not to do?

Just as “secure” meant nothing without an attack model,
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1. Do not *read* messages
2. Do not *alter* messages
3. Do not *forge* messages
4. Do not *go offline*

Public key encryption

A public key encryption scheme comprises three algorithms

Key generation **G**

- Inputs
 - Source of randomness
 - Maximum key length L
- Outputs: a *key pair*
 - PK = **public key**
 - SK = **secret key**

This is a *randomized* algorithm
(nondeterministic output)

Difficult to infer SK from PK
Only one person should know SK;
PK should be public to *all*

PK and SK are intrinsically bound together:
for a given PK, there is a single *corresponding* SK

Example: RSA's public keys are a pair: (exponent, modulus)

Public key encryption

A public key encryption scheme comprises three algorithms

Encryption $E(PK, msg)$

- Inputs
 - **Public** key PK
 - Message msg of *fixed size*
- Outputs: a cipher text c *same size as msg*

This is a *randomized* algorithm

(vanilla RSA is deterministic;
in practice, RSA-PKCS is used
instead, which adds a nonce
to the message)

PK a.k.a. “Encryption key”

Anyone who knows Alice’s PK can encrypt a message to her...

Public key encryption

A public key encryption scheme comprises three algorithms

Decryption $D(SK, c)$

- Inputs
 - **Secret** key SK
 - Cipher text c
- Outputs: original msg

This is a *deterministic* algorithm

Should always return the
original message

...but only Alice can decrypt that message

Public key encryption

A public key encryption scheme comprises three algorithms

Key generation **G**

- PK = **public key**
- SK = **secret key**

Encryption **E(PK, m)**

- cipher text c

Decryption **D(SK, c)**

- original msg

Correctness

$$D(SK, E(PK, m)) = m$$

Security

$E(PK, m)$ should appear random
(small change to (PK, m) leads
to large changes to c)

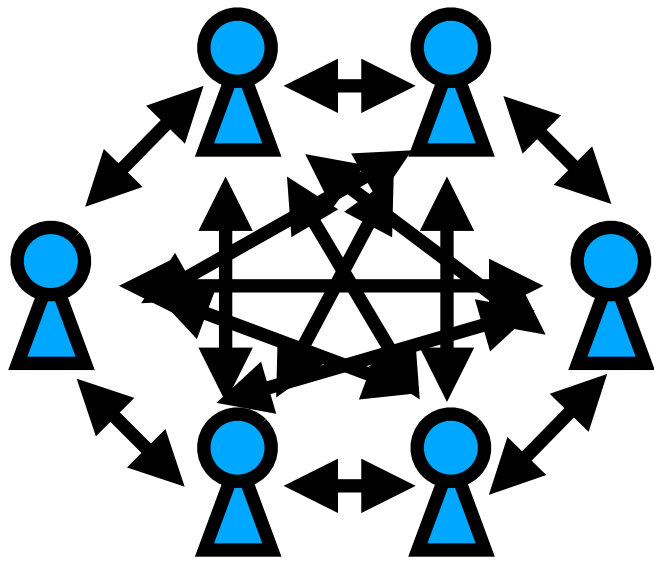
$E()$ should approximate a one-way
trapdoor function: cannot invert
without access to SK

Protocols with public key encryption

Goal: deliver a confidential message

Symmetric key

Email / chat



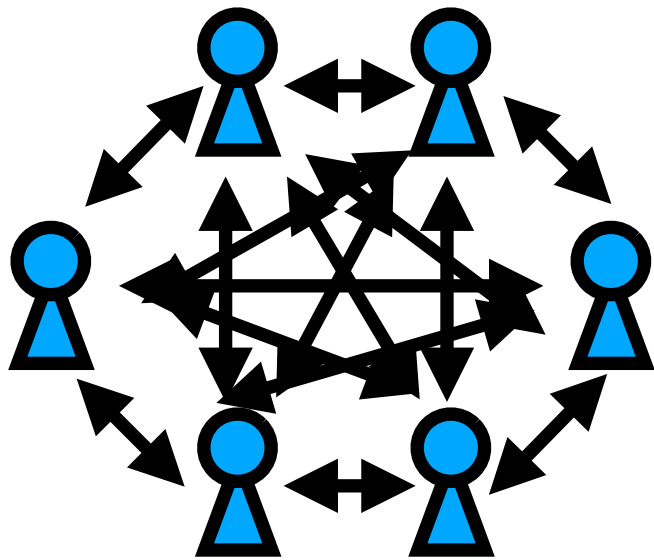
All-to-all:
 $O(N^2)$ key
exchanges

Protocols with public key encryption

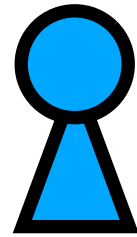
Goal: deliver a confidential message

Symmetric key

Email / chat



All-to-all:
 $O(N^2)$ key
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Generate public/private
key pair (PK,SK)

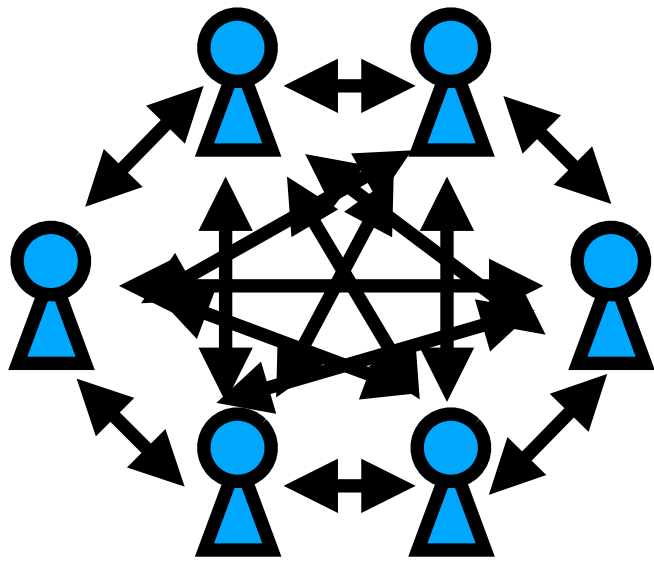
Announce PK publicly
(on website, in newspaper, ...)

Protocols with public key encryption

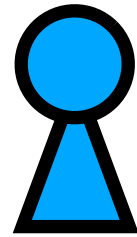
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All-to-all:
 $O(N^2)$ key
exchanges



Generate public/private
key pair (PK,SK)

Announce PK publicly
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Obtain PK

Send $c = E(PK, \text{msg})$

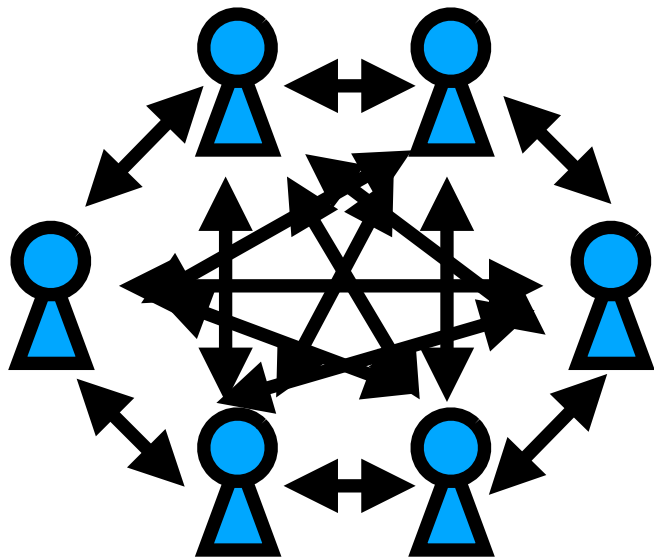


Protocols with public key encryption

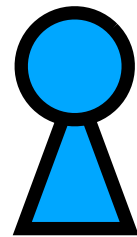
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All-to-all:
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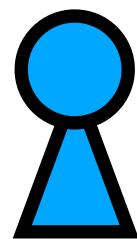


Generate public/private
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Obtain PK

Send $c = E(PK, \text{msg})$



Decrypt $D(SK, c) = \text{msg}$

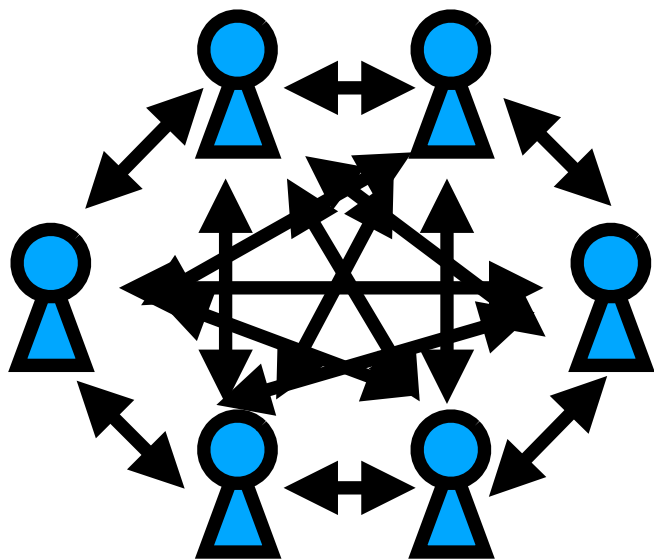


Protocols with public key encryption

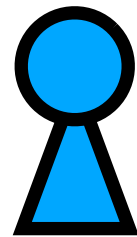
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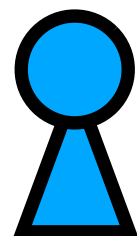


Generate public/private
key pair (PK,SK)

Announce PK publicly
(on website, in newspaper, ...)

Obtain PK

Send $c = E(PK, \text{msg})$



Decrypt $D(SK, c) = \text{msg}$

$O(N)$ keys in total

Overcoming fixed message sizes

Encryption $E(PK, msg)$

- Inputs
 - **Public** key PK
 - Message msg of *fixed size*
- Outputs: a cipher text c *same size as msg*

Like block ciphers,
but there are not
“modes” of public
key encryption

Overcoming fixed message sizes

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Public key operations are *sloooooow*!

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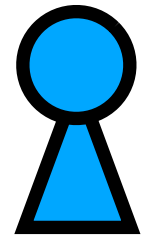
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Public key operations are *sloooooow*!

Symmetric key operations are fast

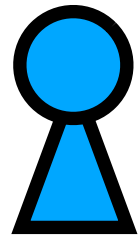
Hybrid encryption

Hybrid encryption



Generate public/private key pair (PK,SK); publicize PK

Hybrid encryption



Generate public/private key pair (PK,SK); publicize PK



Obtain PK

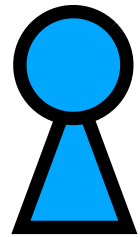


Generate *symmetric* key K

Compute $c_{\text{msg}} = e(K, \text{msg})$

Compute $c_K = E(\text{PK}, K)$

Hybrid encryption



Generate public/private key pair (PK,SK); publicize PK



Obtain PK



Generate *symmetric* key K

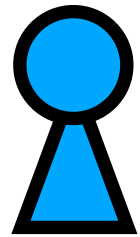
Symm key

Compute $c_{\text{msg}} = e(K, \text{msg})$

Public key

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Hybrid encryption



Generate public/private key pair (PK,SK); publicize PK



Obtain PK



Generate *symmetric* key K

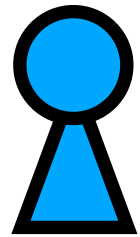
Symm key

Compute $c_{\text{msg}} = e(K, \text{msg})$

Public key

Compute $c_K = E(\text{PK}, K)$ ***Now throw away K***

Hybrid encryption



Generate public/private key pair (PK,SK); publicize PK



Obtain PK



Generate *symmetric* key K

Symm key

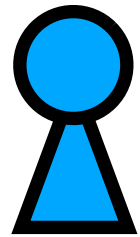
Compute $c_{\text{msg}} = e(K, \text{msg})$

Public key

Compute $c_K = E(\text{PK}, K)$ ***Now throw away K***

Send $c_K \parallel c_{\text{msg}}$

Hybrid encryption



Generate public/private key pair (PK,SK); publicize PK

Obtain PK



Generate *symmetric* key K

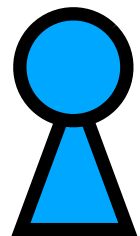
Symm key

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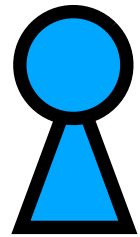
Send $c_K \parallel c_{\text{msg}}$



Decrypt $D(\text{SK}, c_K) = K$

Decrypt $d(K, c_{\text{msg}}) = \text{msg}$

Hybrid encryption



Generate public/private key pair (PK,SK); publicize PK

Obtain PK



Generate *symmetric* key K

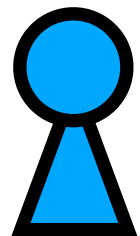
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Symm key

Hybrid encryption

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Send $c_K \parallel c_{\text{msg}}$



The easy key distribution of public key

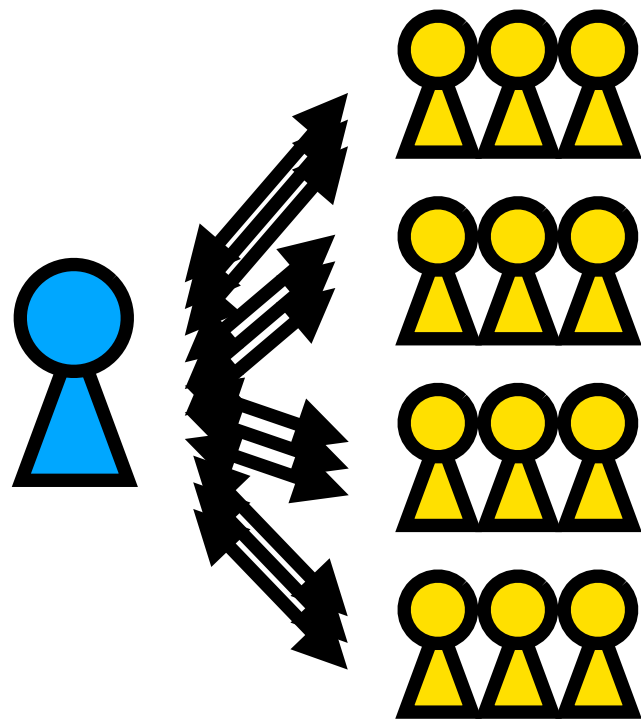
The speed and arbitrary message length of symmetric key

Protocols with public key cryptography

Goal: determine from whom a message came

Symmetric key

File downloads



One-to-many:
 $O(N)$ key
exchanges

Ideally, a user (blue) could post a message (e.g., sensitive documents or a kernel update), and then go offline

And downloaders (yellow) could subsequently infer the message's authenticity without having to have already established a pairwise key with the publisher

Digital signatures

A digital signature scheme comprises two algorithms

Signing function **Sgn**(SK, m)

- Inputs
 - **Secret** key SK
 - Fixed-length message
- Outputs: a *signature* s

Digital signatures

A digital signature scheme comprises two algorithms

Signing function $\text{Sgn}(\text{SK}, m)$

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This is a *randomized* algorithm
(nondeterministic output)

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**Only one person can sign with
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Verification function $\text{Vfy}(\text{PK}, m, s)$

- Inputs
 - **Public** key PK
 - Message and signature
- Outputs: Yes/No if valid (m,s)

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 - Message and signature
- Outputs: Yes/No if valid (m,s)

Deterministic algorithm

**Anyone with the PK
can verify**

Digital signatures

A digital signature scheme comprises two algorithms

Signing **Sgn(SK, m)**

→ a signature *s*

Verification **Vfy(PK, m, s)**

→ Yes/No if valid (m,s)

Correctness

$Vfy(PK, m, Sgn(SK, m)) = \text{Yes}$

Security

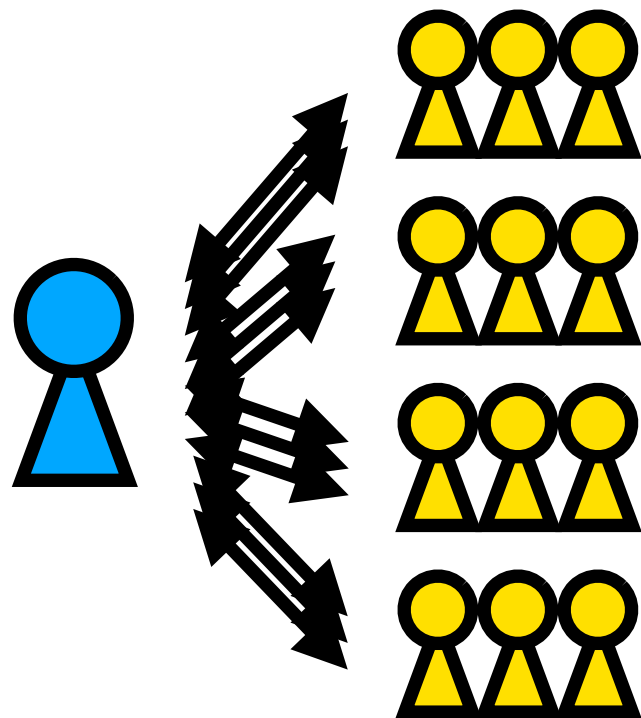
Same as with MACs: even after a chosen plaintext attack, the attacker cannot demonstrate an existential forgery

Protocols with digital signatures

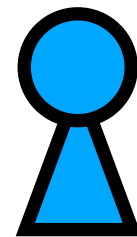
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Symmetric key

File downloads



One-to-many:
 $O(N)$ key
exchanges



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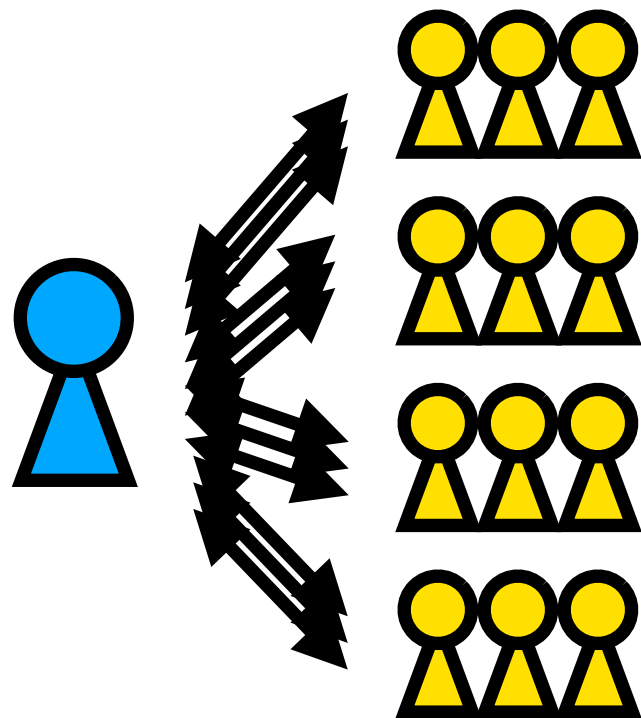
Annouce PK publicly
(on website, in newspaper, ...)

Protocols with digital signatures

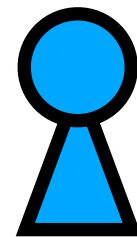
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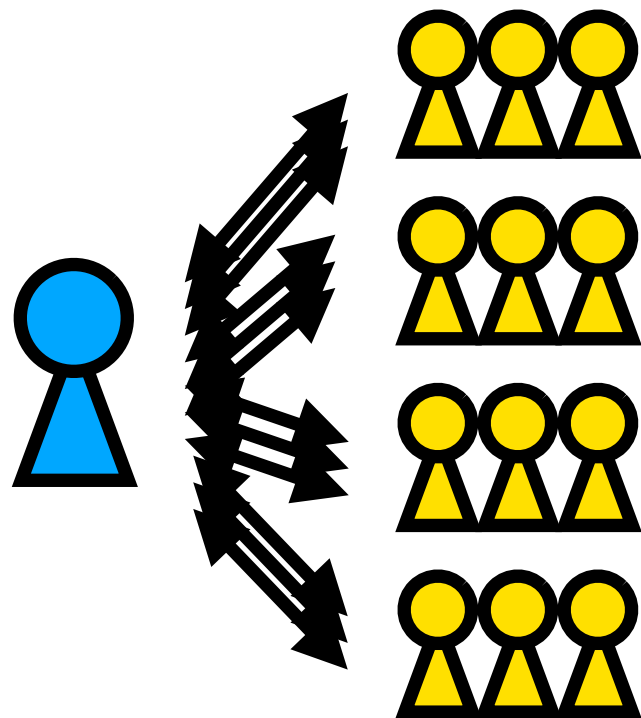
Compute $\text{sig} = \text{Sgn}(\text{SK}, \text{msg})$

Protocols with digital signatures

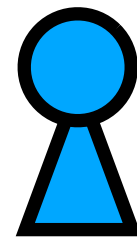
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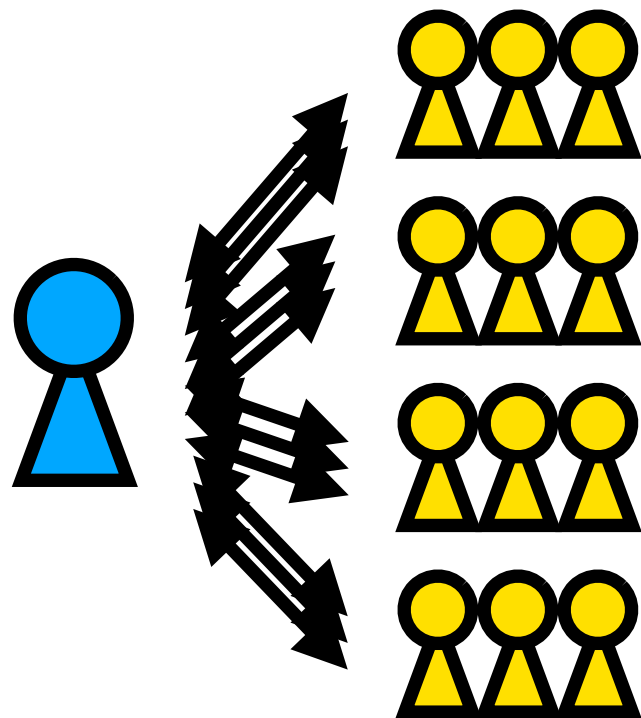
Publish $\text{msg} \parallel \text{sig}$

Protocols with digital signatures

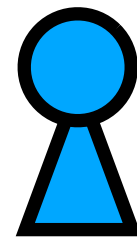
Goal: determine from whom a message came

Symmetric key

File downloads



One-to-many:
 $O(N)$ key
exchanges



Generate public/private
key pair (PK,SK)

Announce PK publicly
(on website, in newspaper, ...)

Compute $\text{sig} = \text{Sgn}(\text{SK}, \text{msg})$

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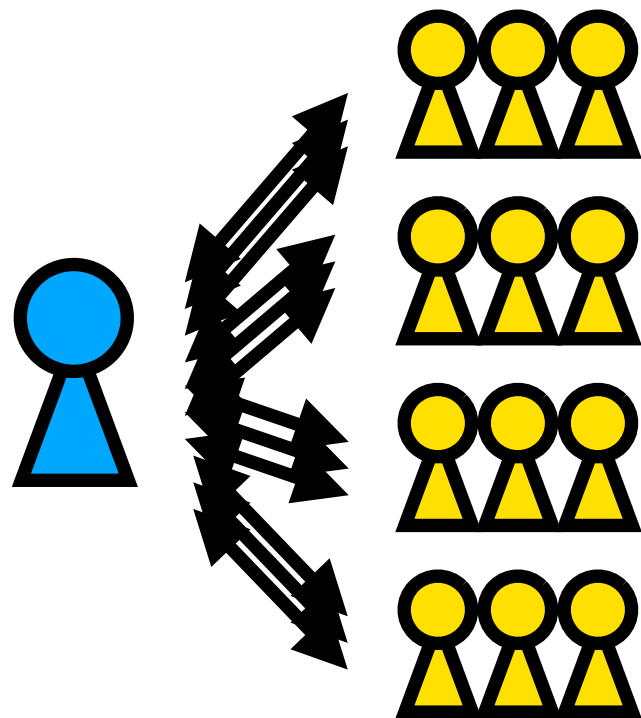
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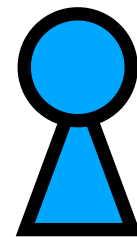
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Obtain PK, $\text{msg} \parallel \text{sig}$

$\text{Vfy}(\text{PK}, \text{msg}, \text{sig})$



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Bob can prove that a message signed by Alice is truly from Alice (even without a *pairwise* key)

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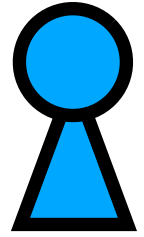
Non-repudiation

Would require both of the above (unforgeable signature that depends on each part of letter)

Back to authentication

How can we know it was
really  who posted PK?

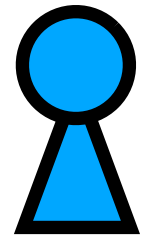
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Generate public/private key pair (PK,SK); publicize PK

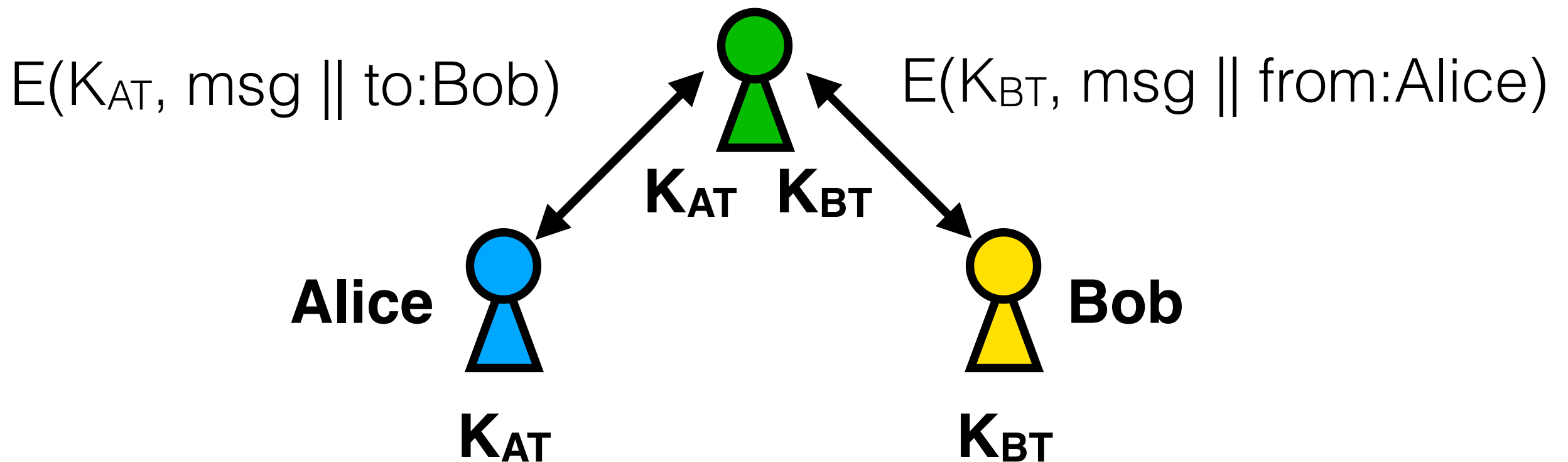
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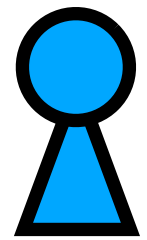


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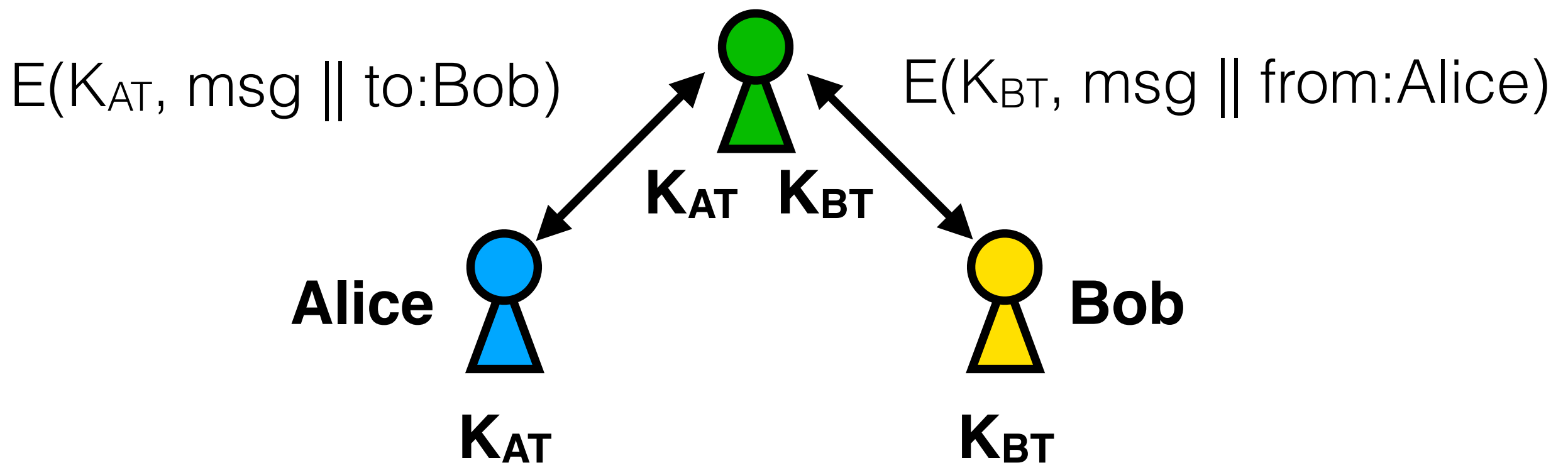


Back to authentication



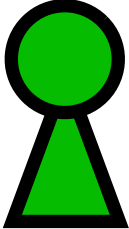
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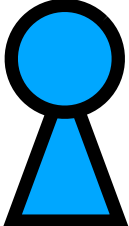


Can we achieve authentication without Trent in the middle of *every message*?

Authentication with public keys

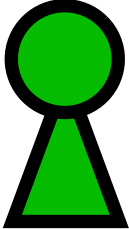
Trent  (**PK_T**, **SK_T**)

1. Trent's public key is widely disseminated (pre-installed in browsers/operating systems)

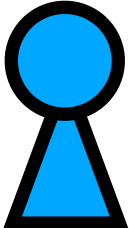
Alice 

Bob 

Authentication with public keys

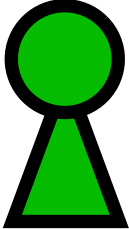
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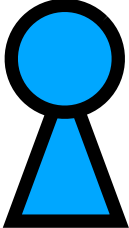
Alice  PK_T

Bob  PK_T

Authentication with public keys

Trent  (PK_T, SK_T)

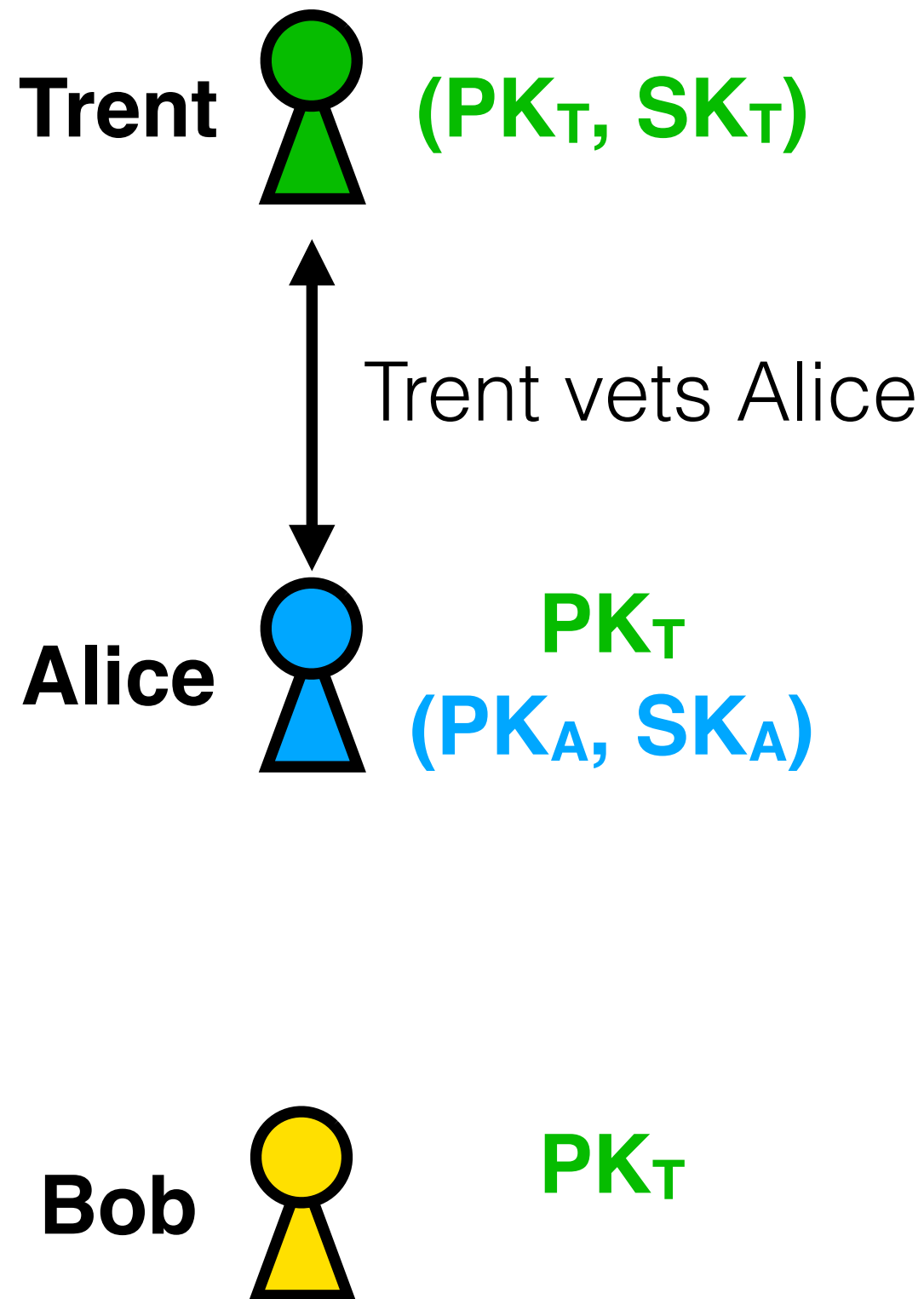
1. Trent's public key is widely disseminated (pre-installed in browsers/operating systems)

Alice  PK_T
 (PK_A, SK_A)

2. Alice generates a public/private key pair and asks Trent to bind her PK_A to her identity

Bob  PK_T

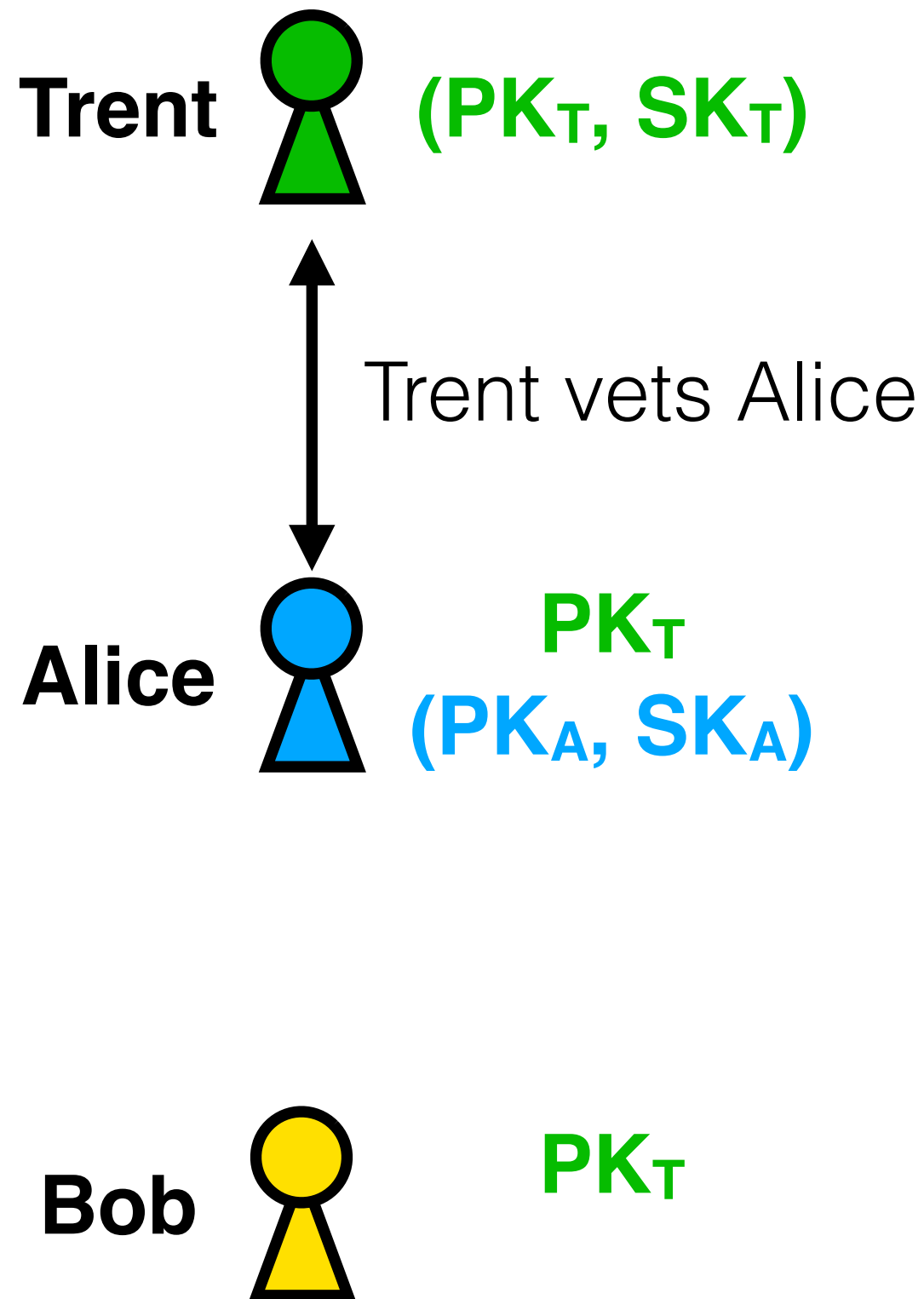
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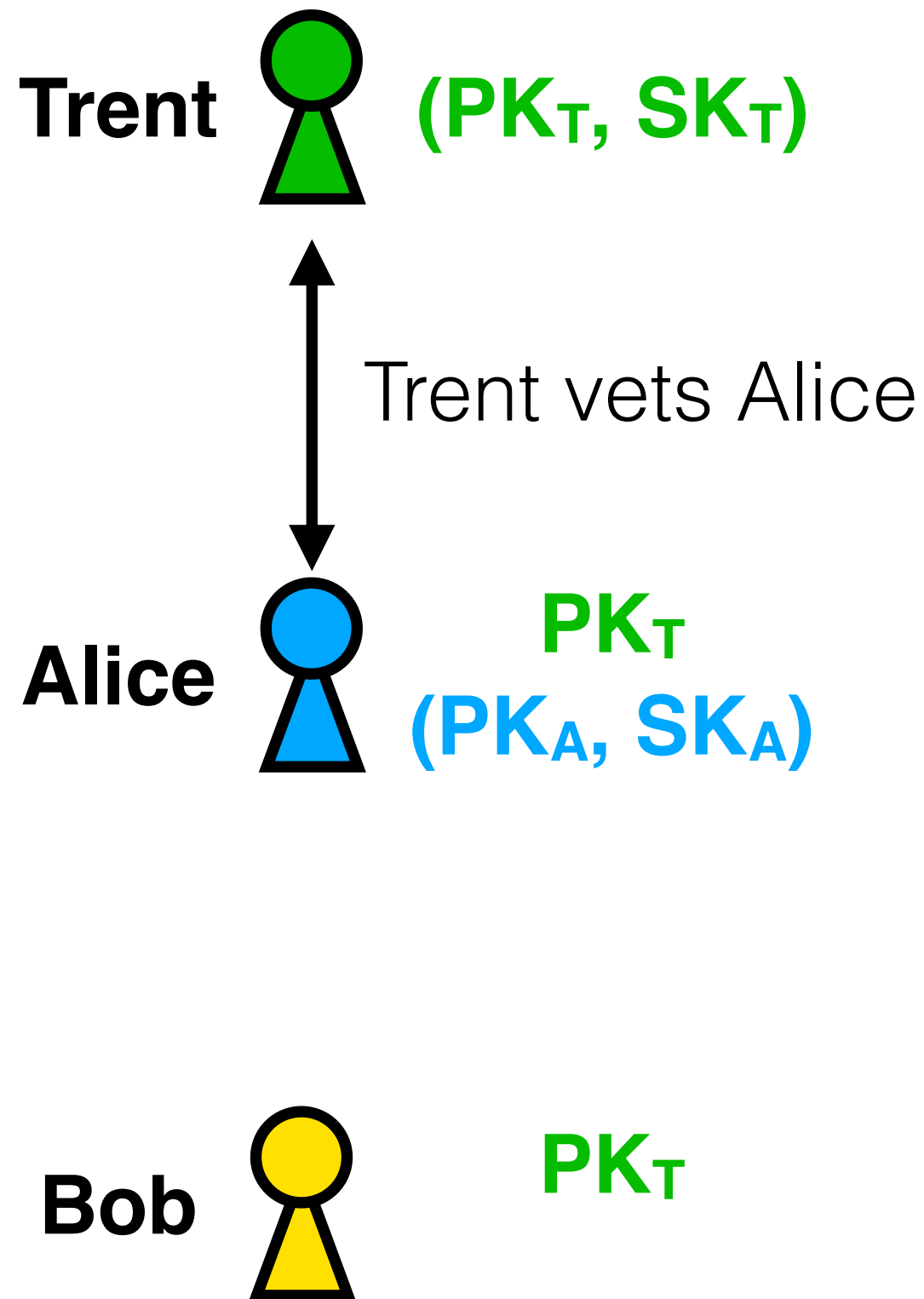
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"The owner of the secret key corresponding to PK_A is Alice"

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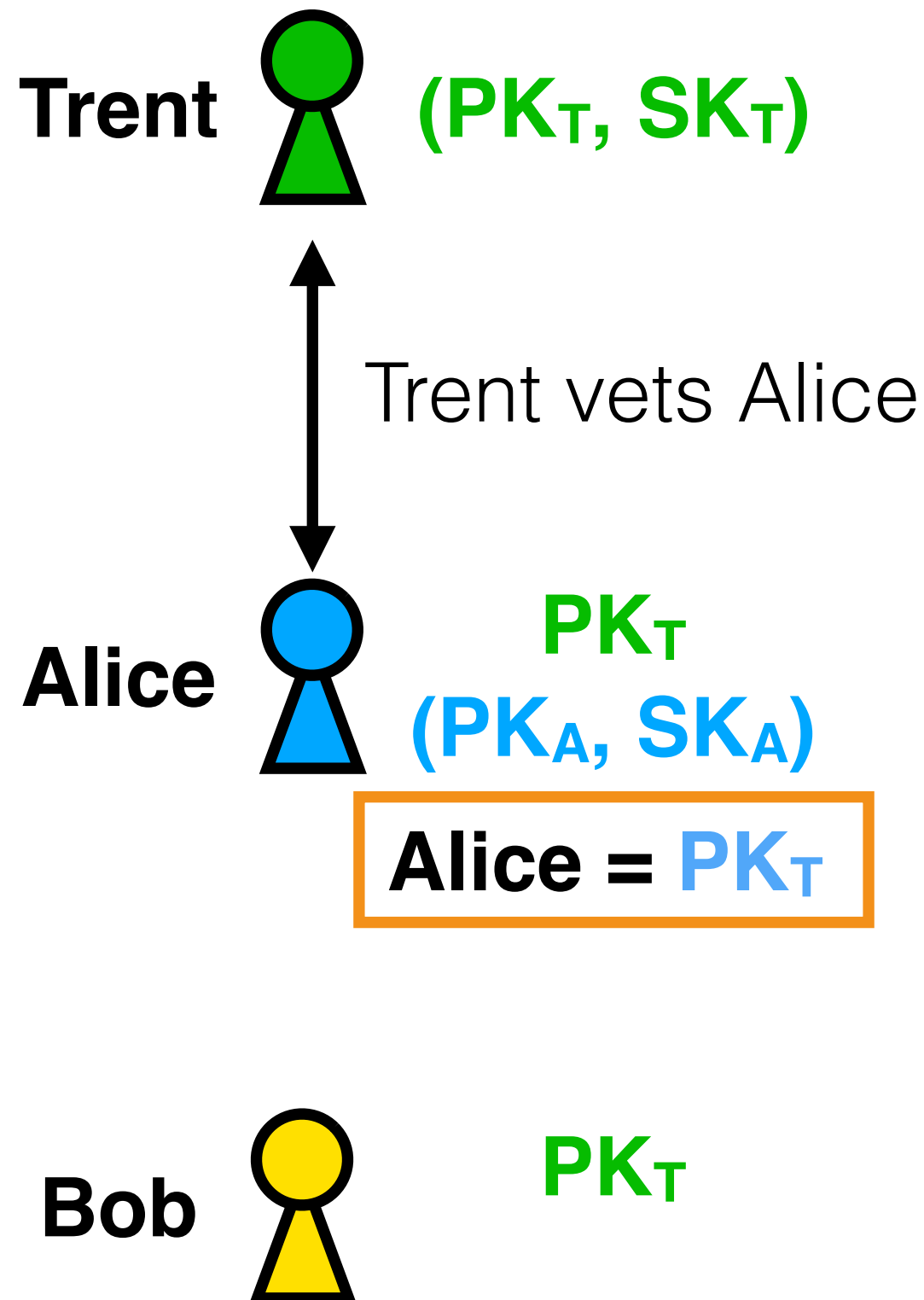
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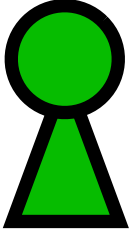
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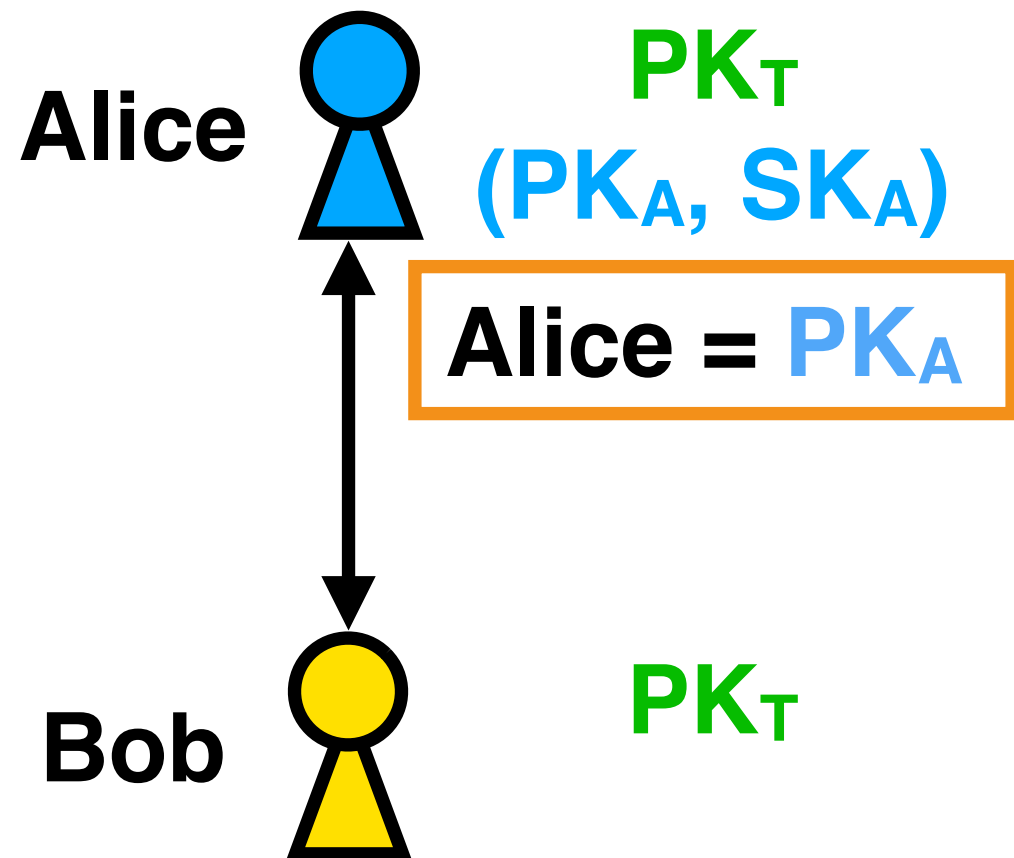
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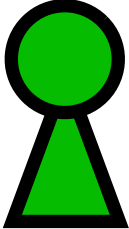
Trent  (PK_T, SK_T)

4. Alice makes her certificate
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(or Bob simply asks for it)

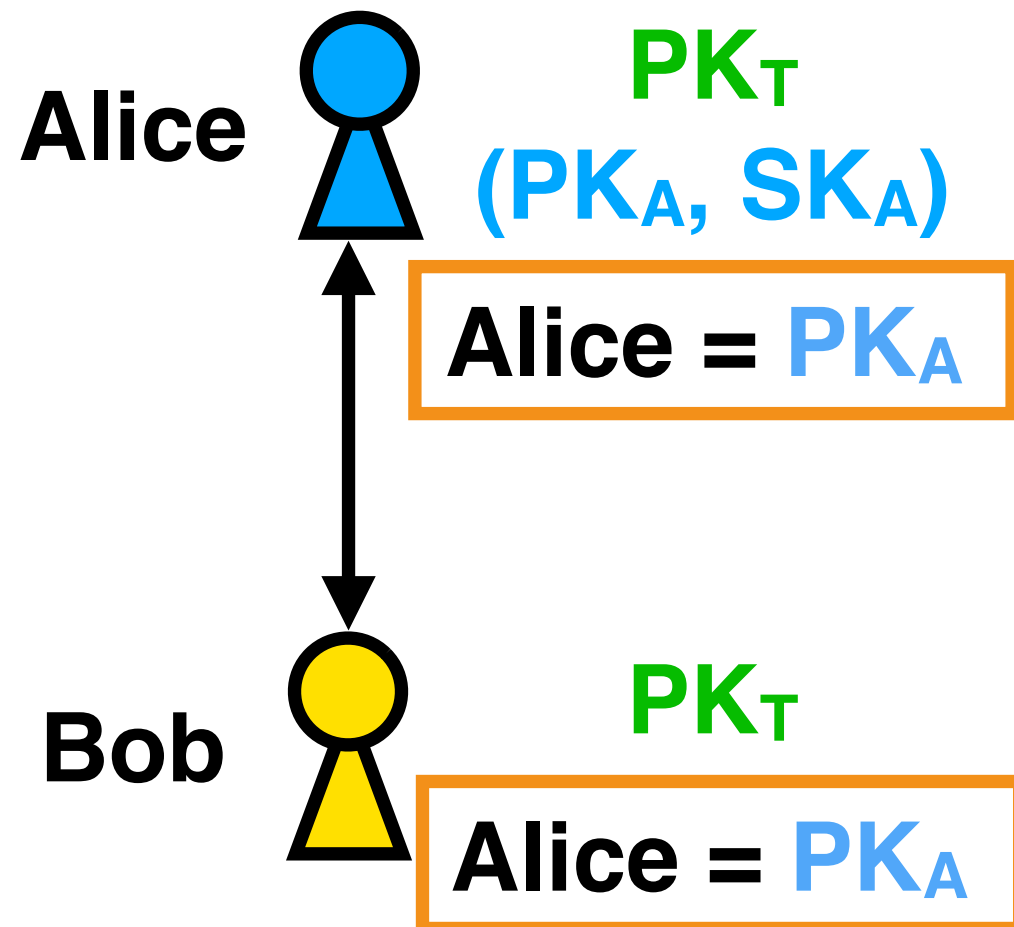


If Bob trusts Trent, then
Bob trusts that he knows
Alice's public key is PK_A

Authentication with public keys

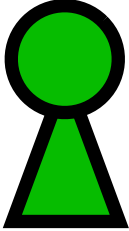
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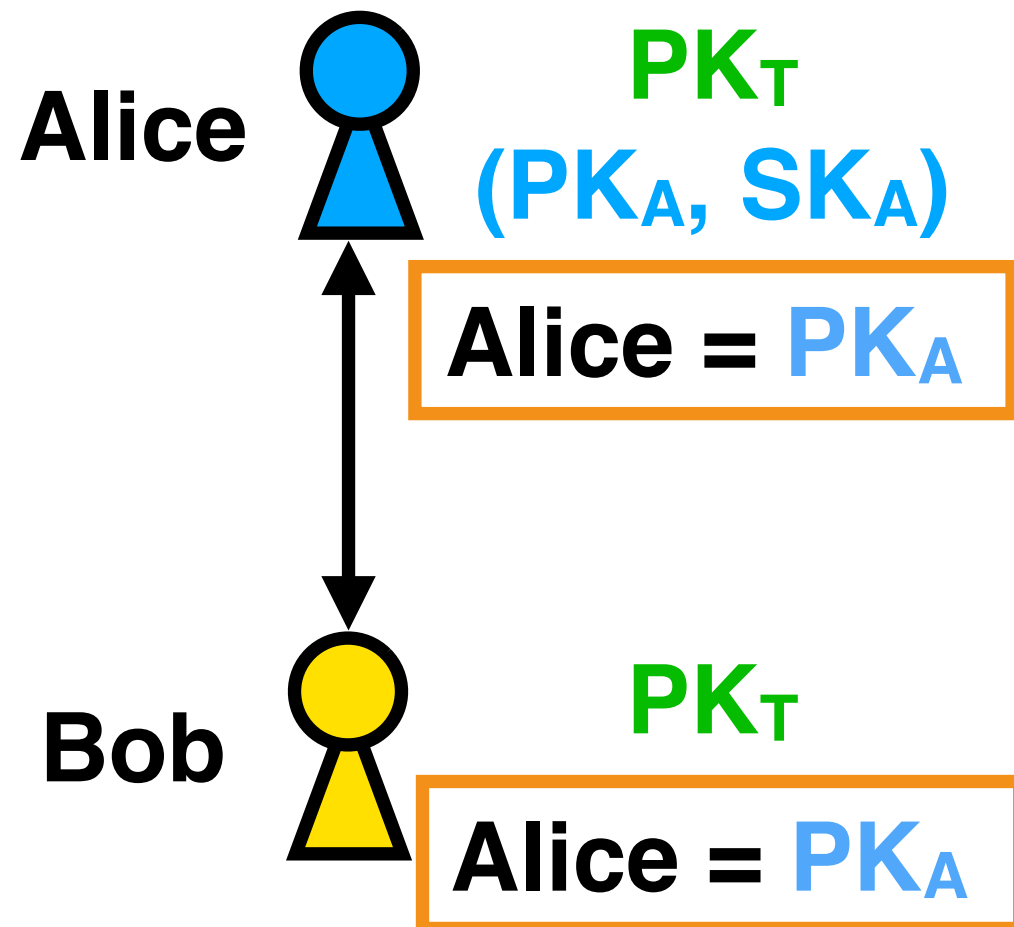
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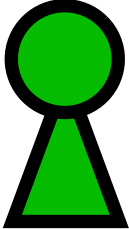
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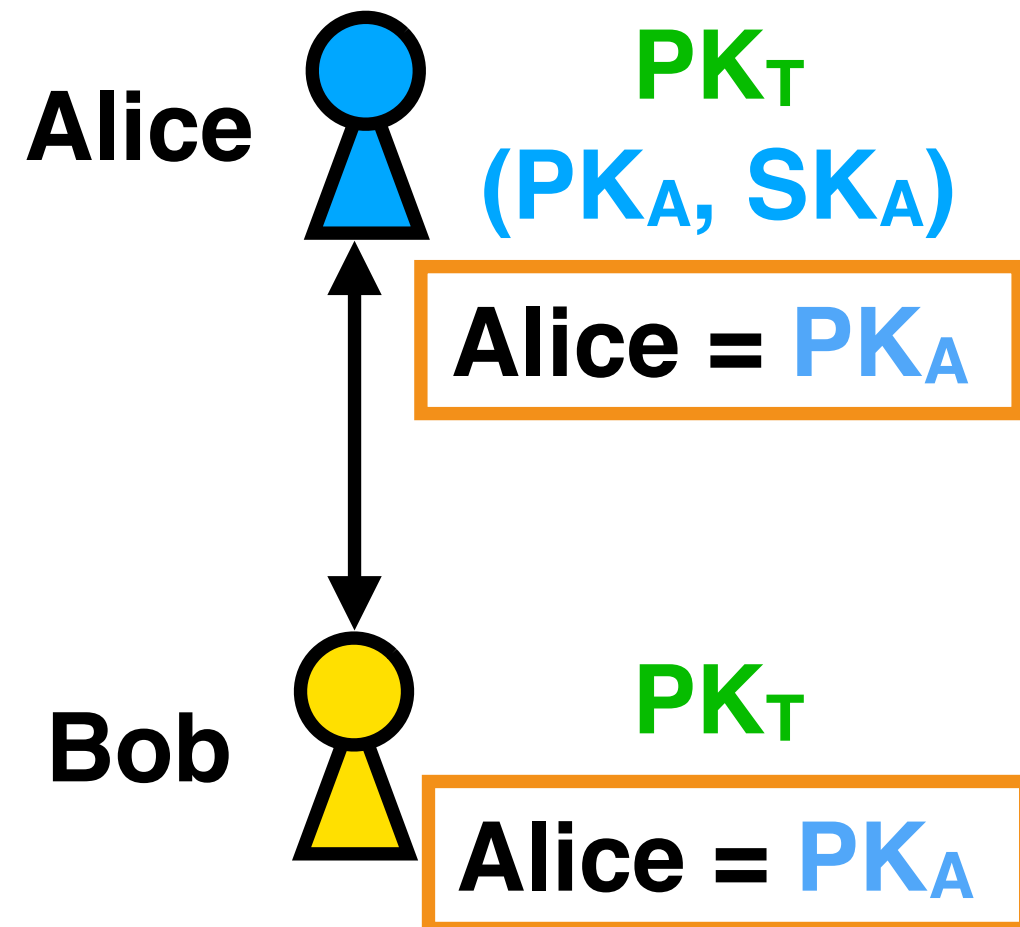
5. Bob verifies the certificate using PK_T



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Authentication with public keys

Trent  (PK_T, SK_T)



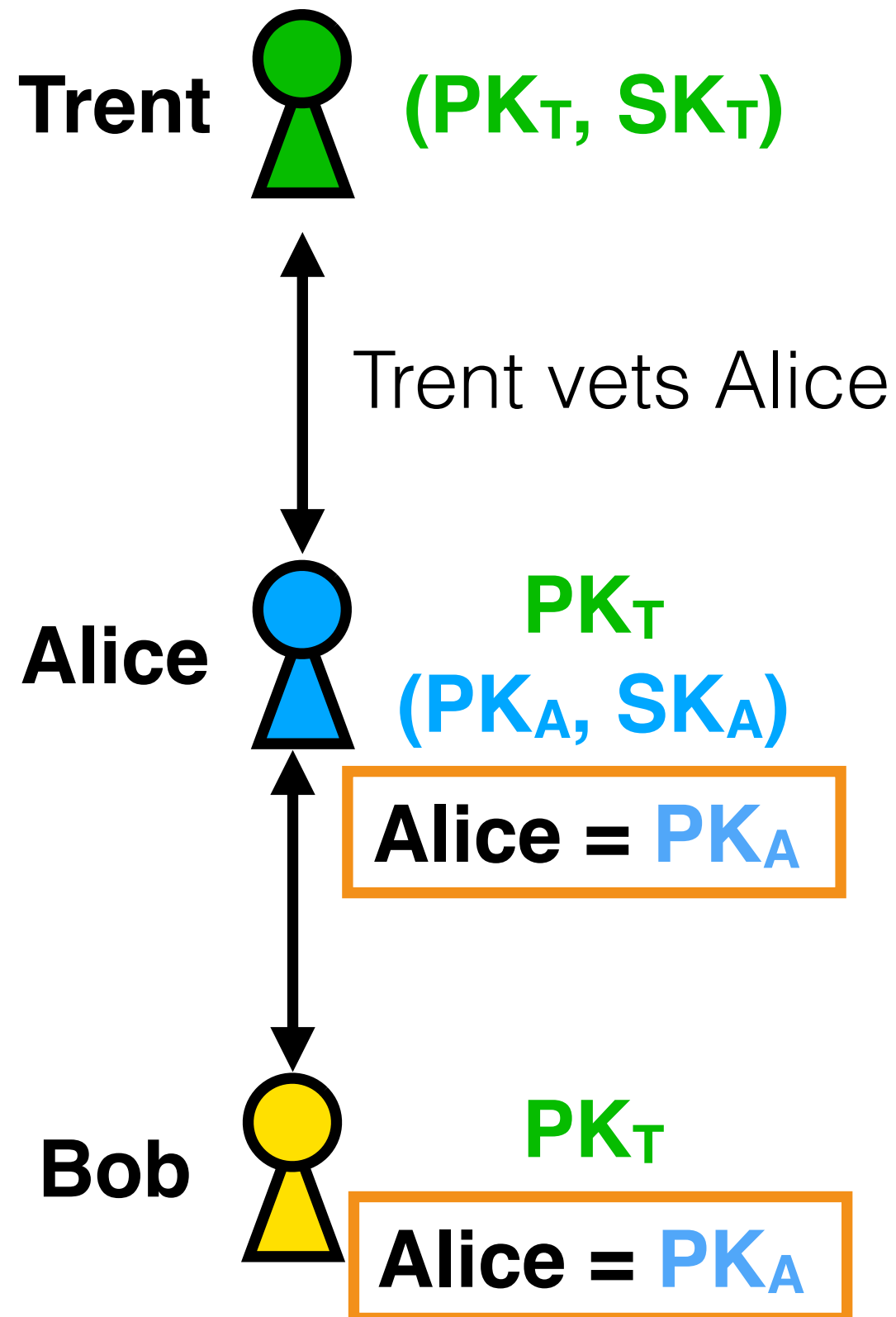
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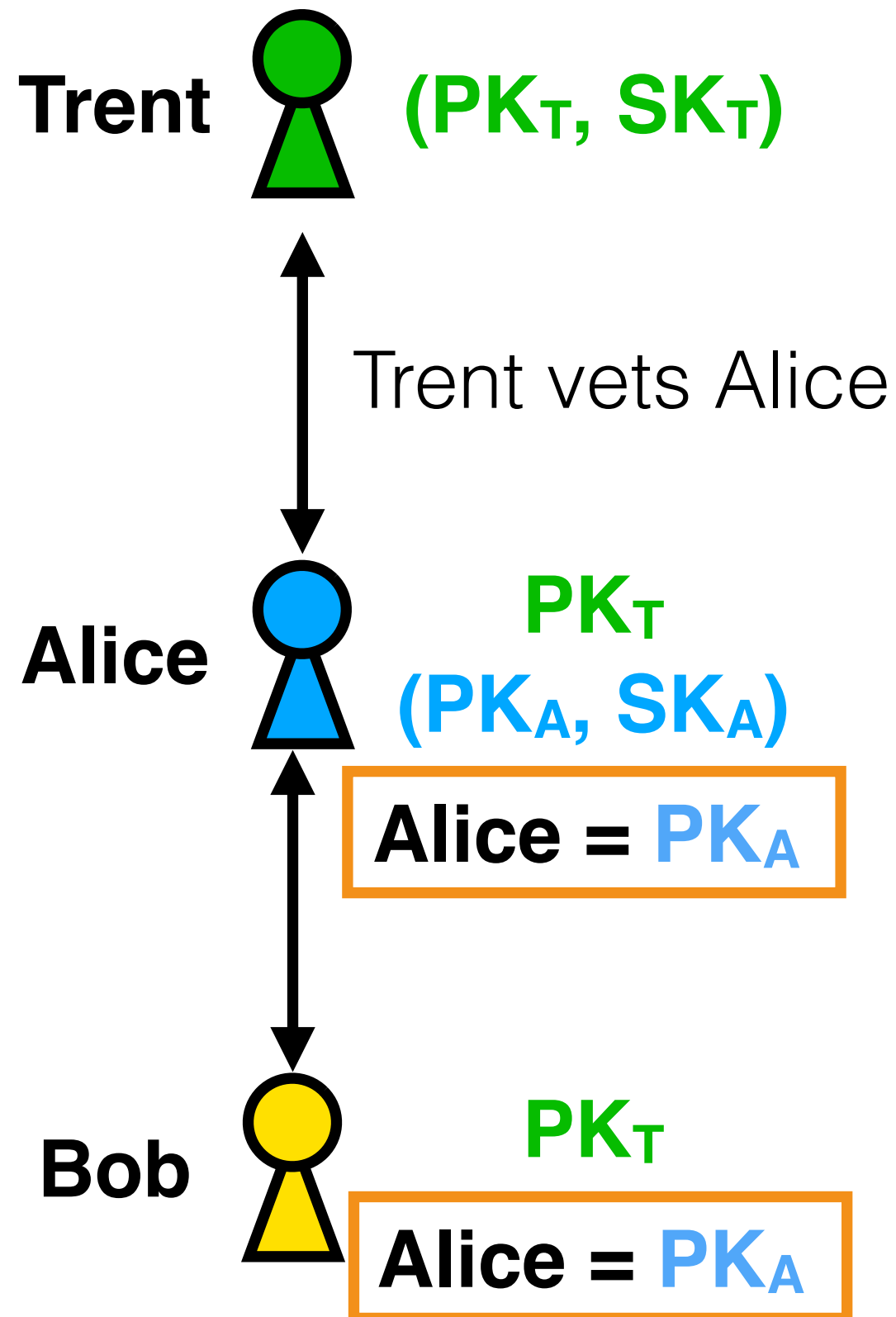
6. Bob (via hybrid encryption) sends a message to Alice using her public key PK_A

Authentication with public keys



Properties

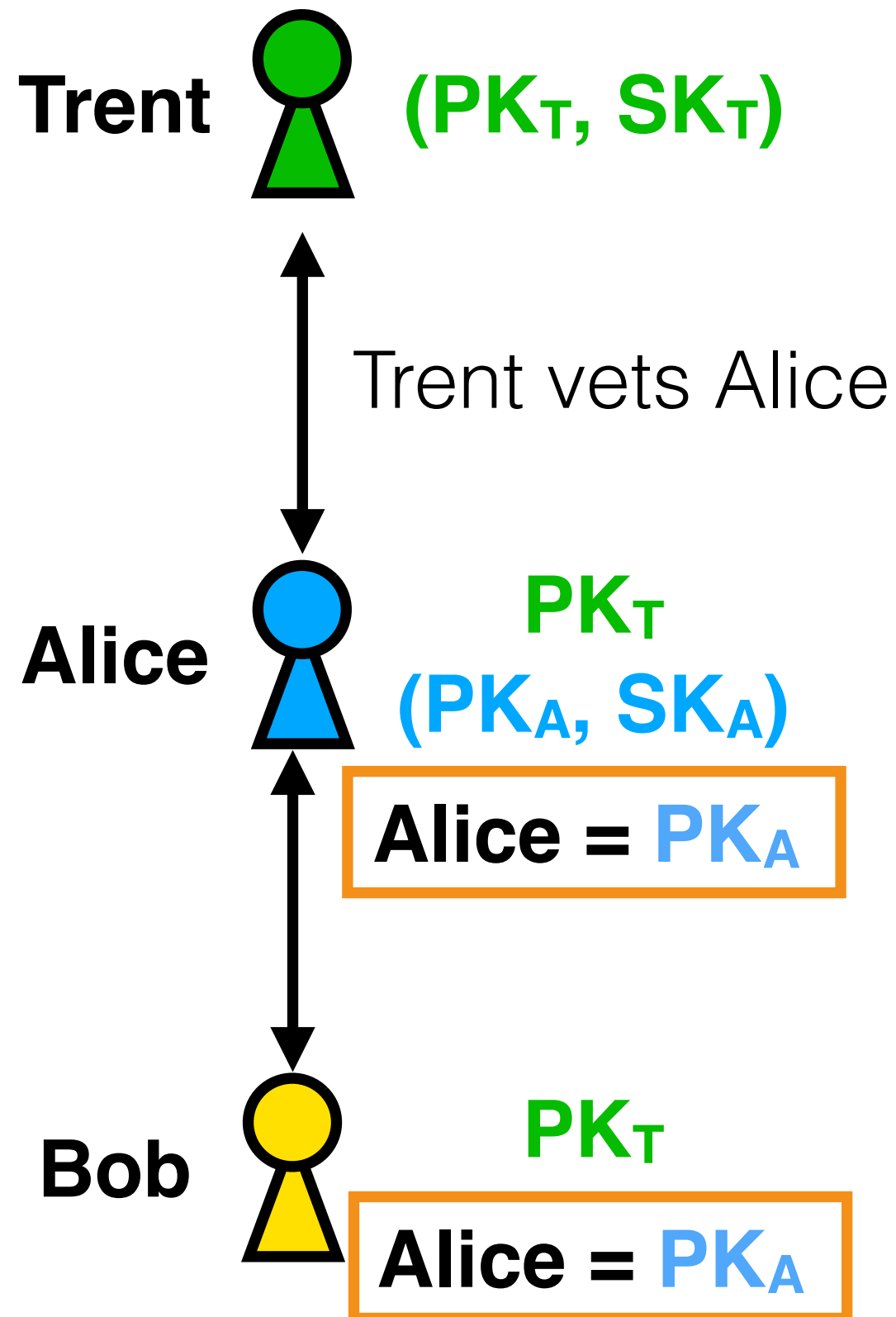
Authentication with public keys



Properties

Trent need be online only when giving out certificates, not any time users want to communicate with one another

Authentication with public keys

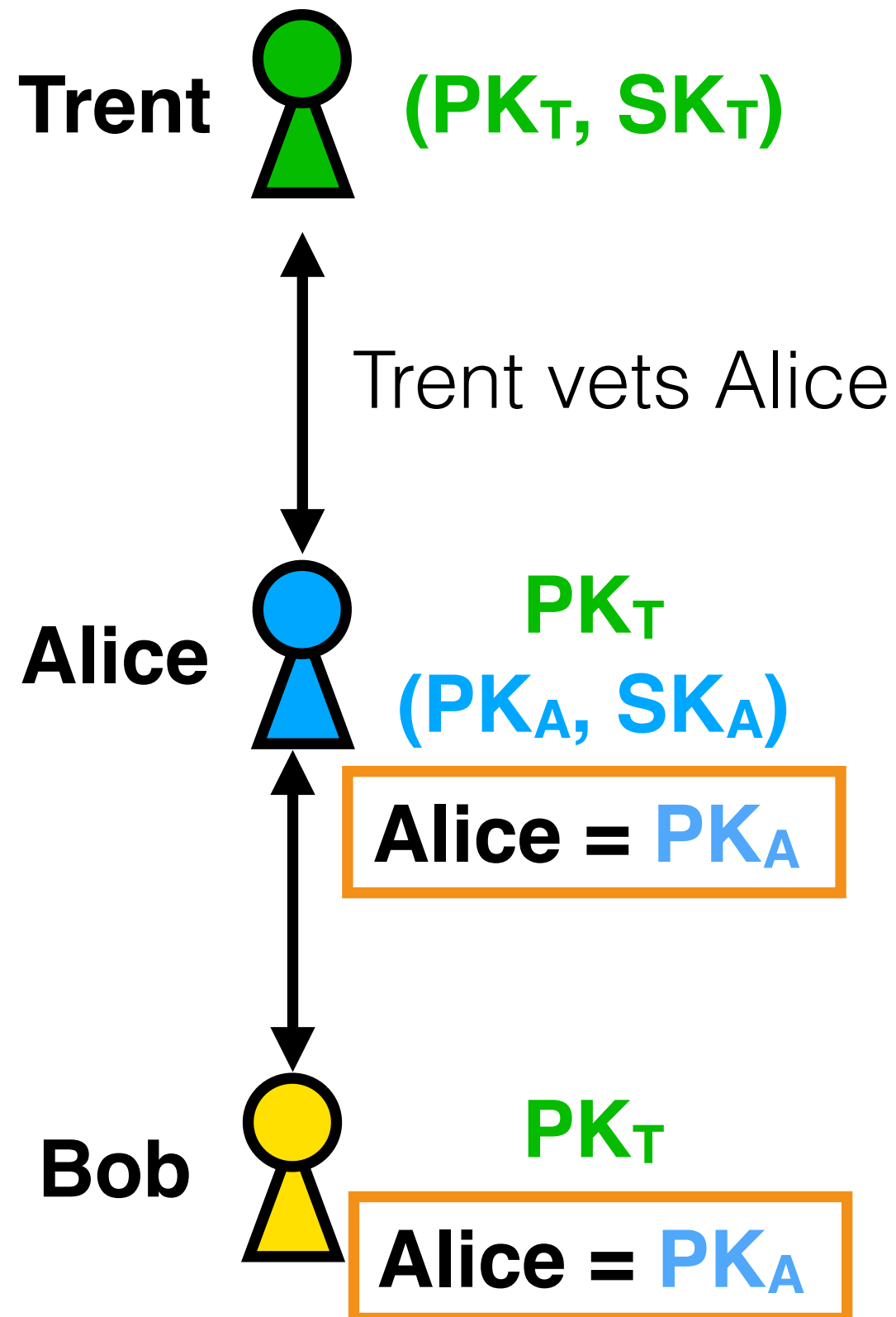


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Communication needn't go through Trent

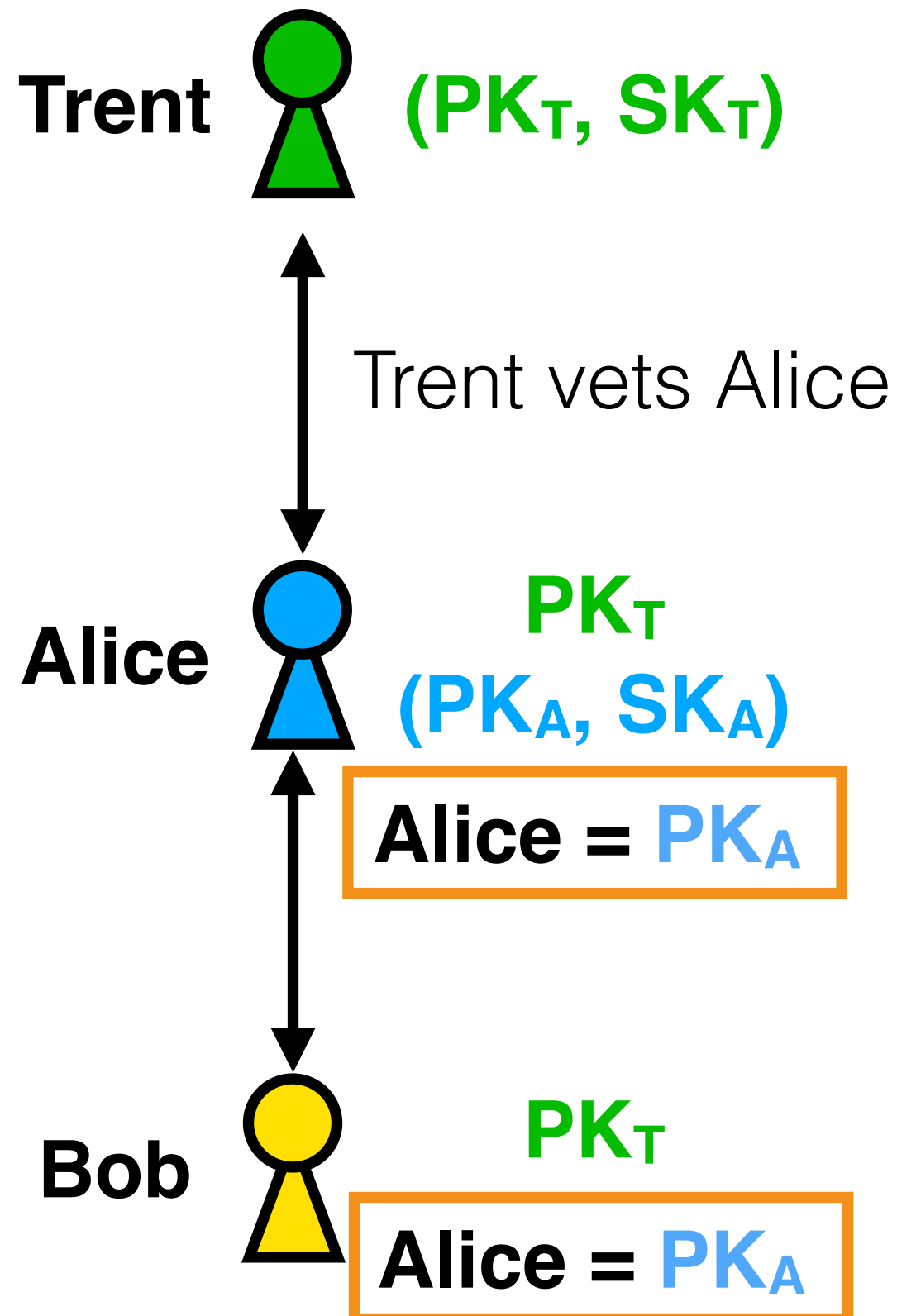
Authentication with public keys



Trust assumptions from our symmetric key protocol:

1. Do not *read* messages
2. Do not *alter* messages
3. Do not *forge* messages
4. Do not *go offline*

Authentication with public keys



Trust assumptions from our symmetric key protocol:

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Trust assumptions in this public key protocol:

1. Correctly vet users
(Some more in practice...)

Certificate revocation

3. Trent *signs* a message (with **SK_T**):

“The owner of the secret key corresponding to **PK_A** is Alice”

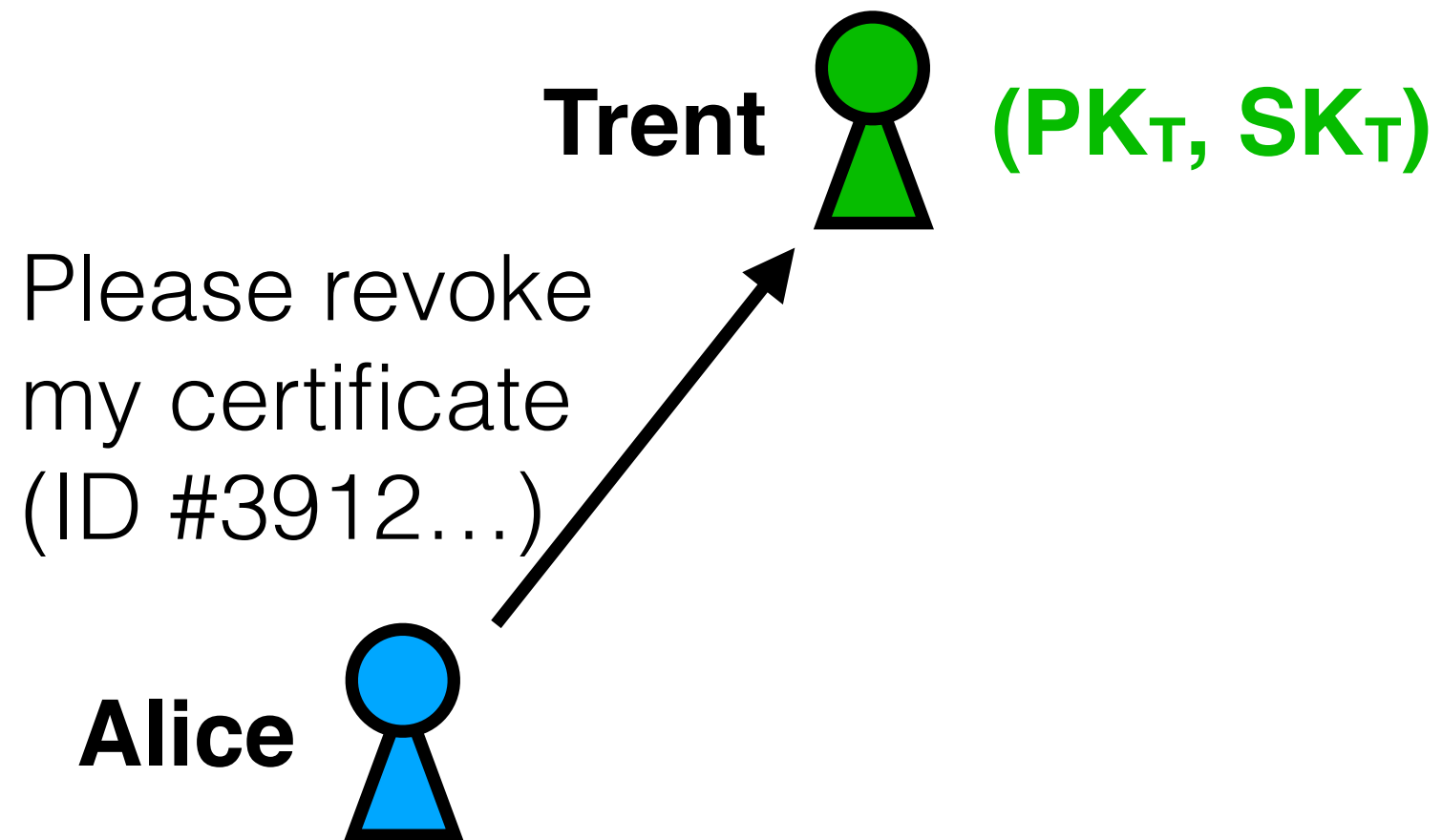
This message + sig = Certificate

Put another way:

“The only person who knows **SK_A** is Alice”

What happens if Alice's key gets compromised?
(Stolen, accidentally revealed, ...)

Certificate revocation



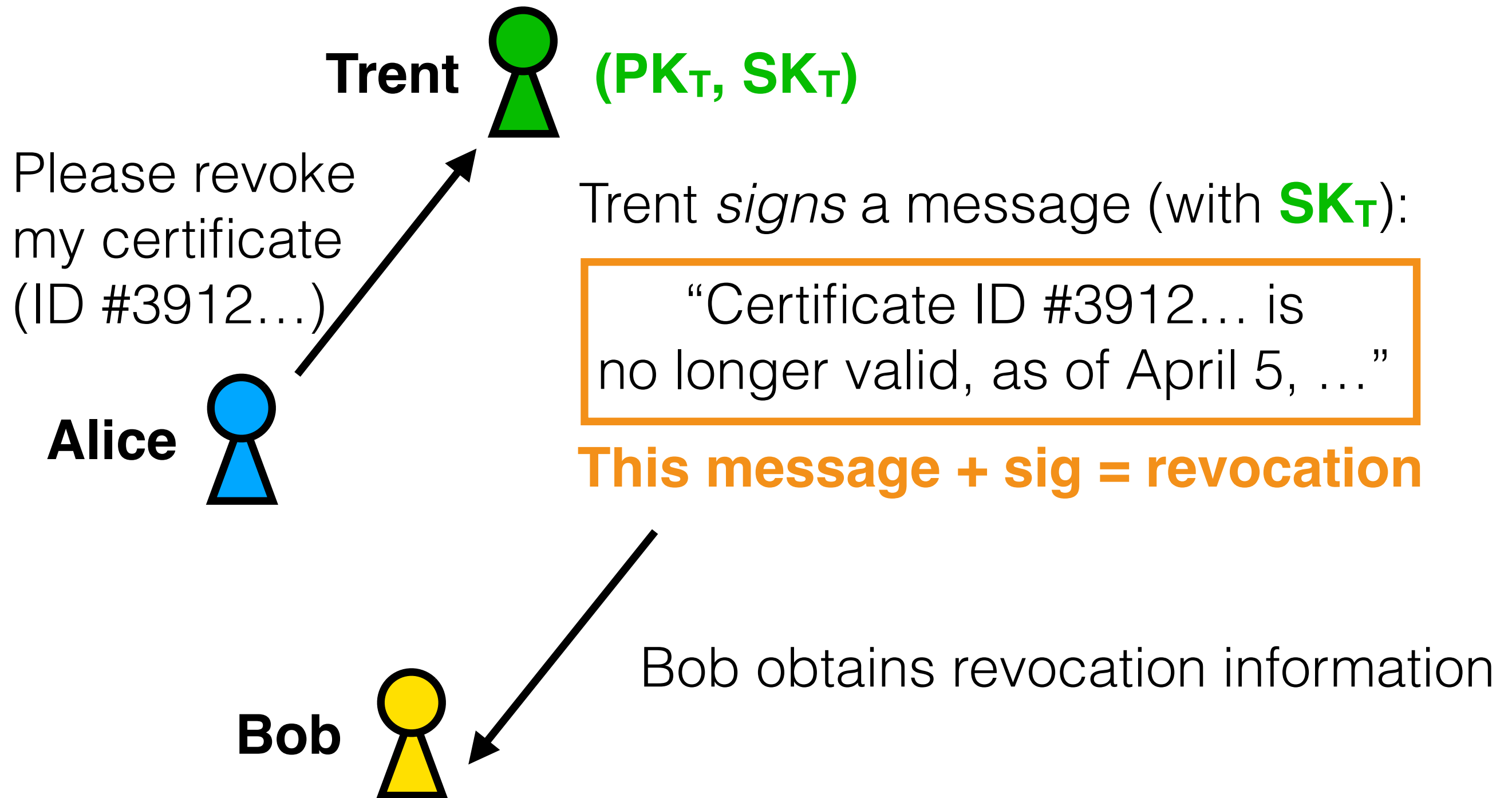
Certificate revocation



Certificate revocation



Certificate revocation



Obtaining revocation data

Certificate Revocation Lists (CRLs)

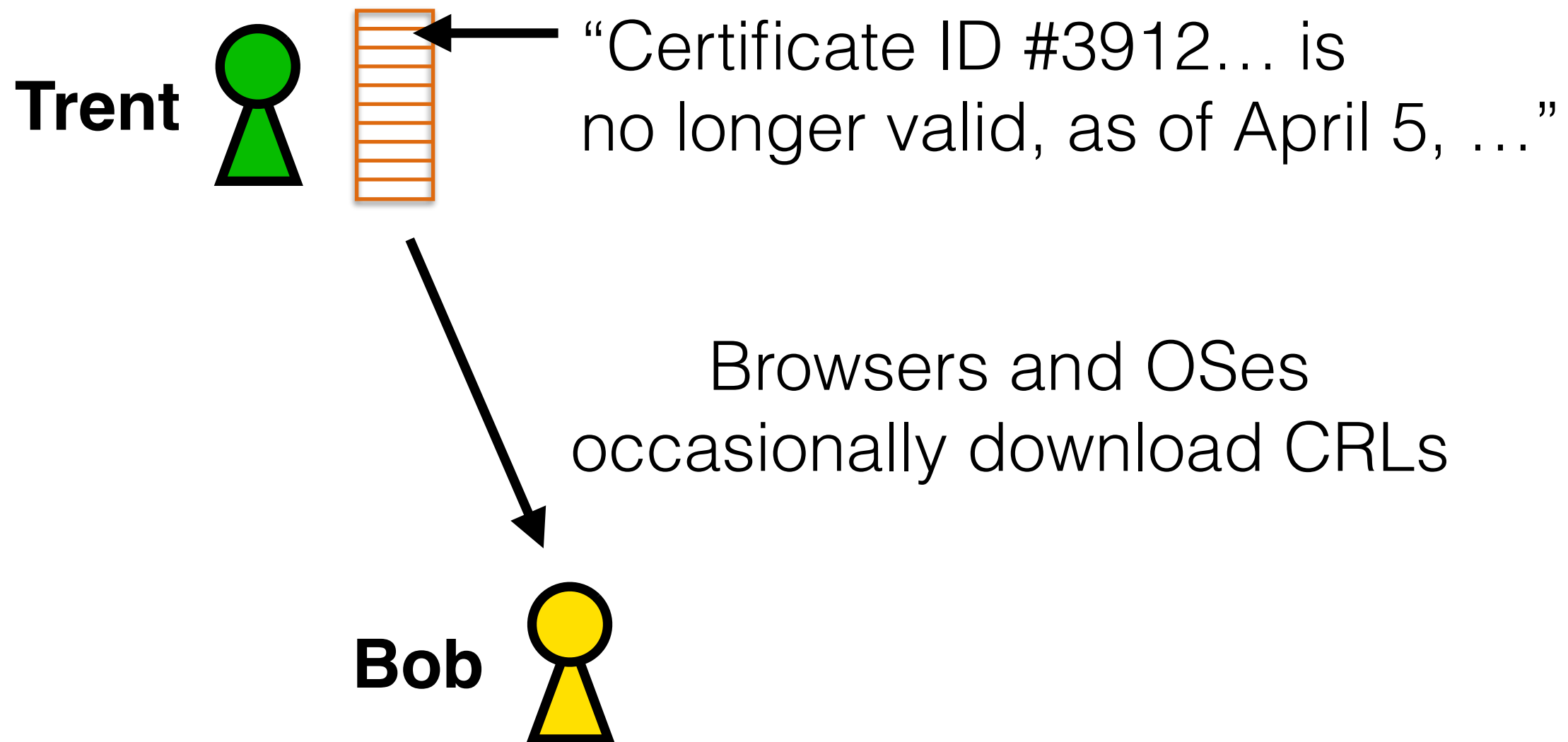
A (often large) signed list of revocations



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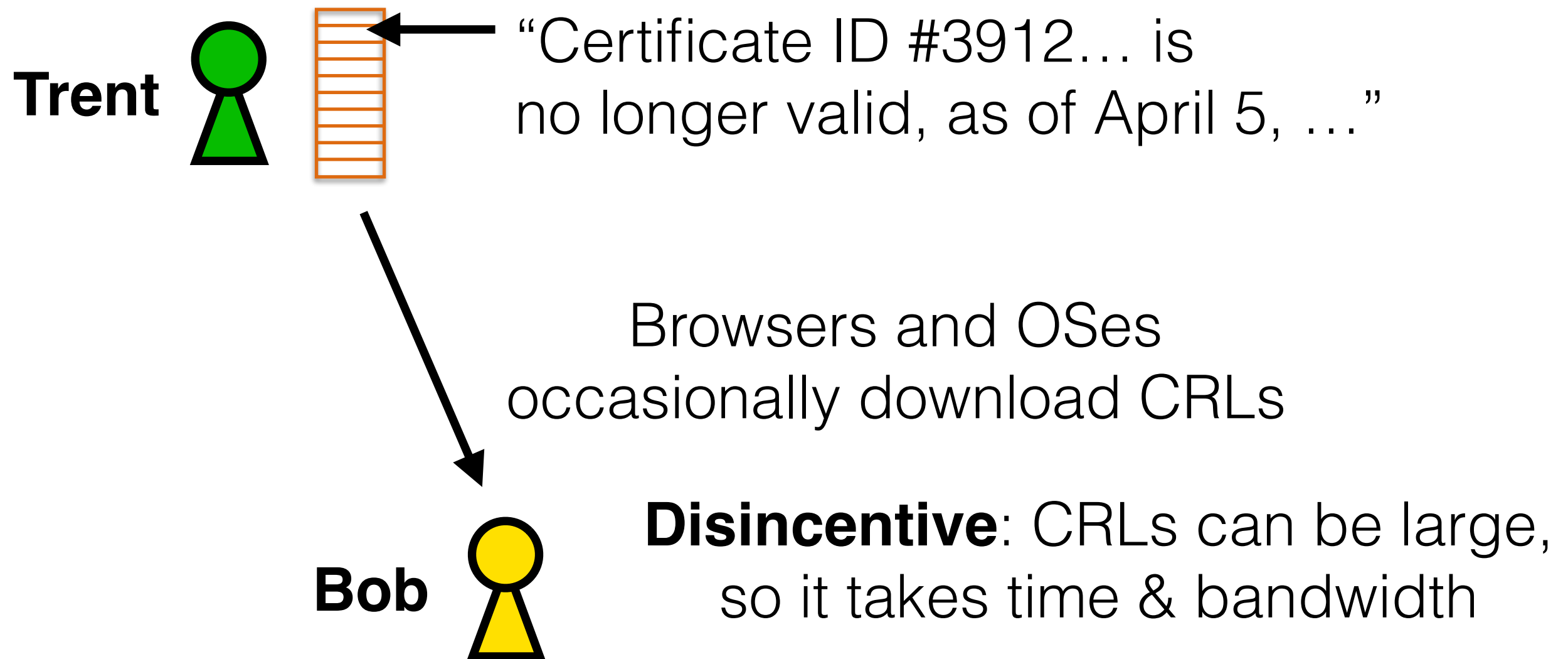
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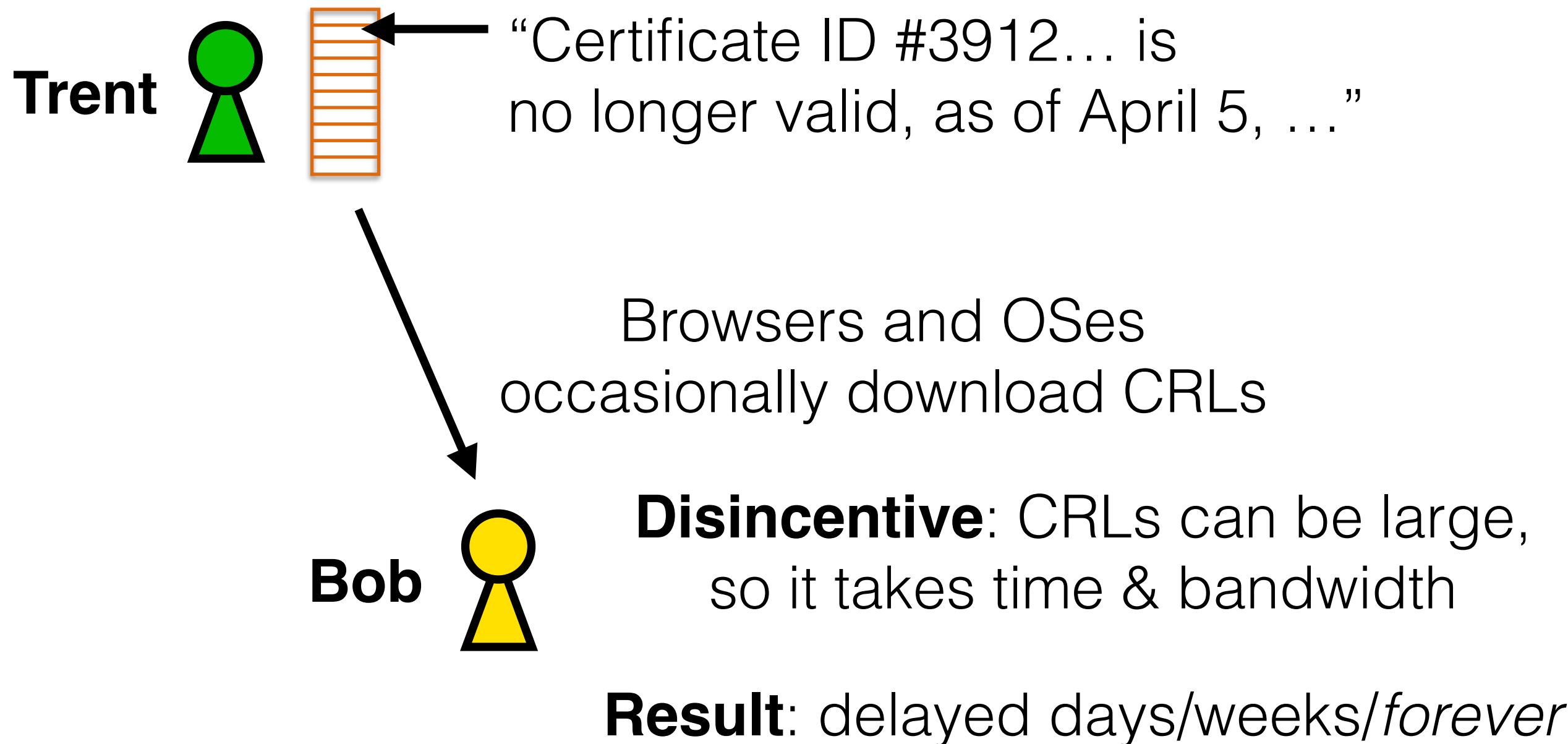
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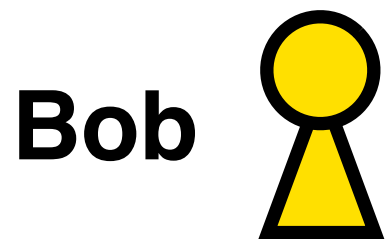
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Online Certificate Status Protocol (OCSP)

Browsers and OSes perform OCSP checks on-demand (when verifying the certificate)



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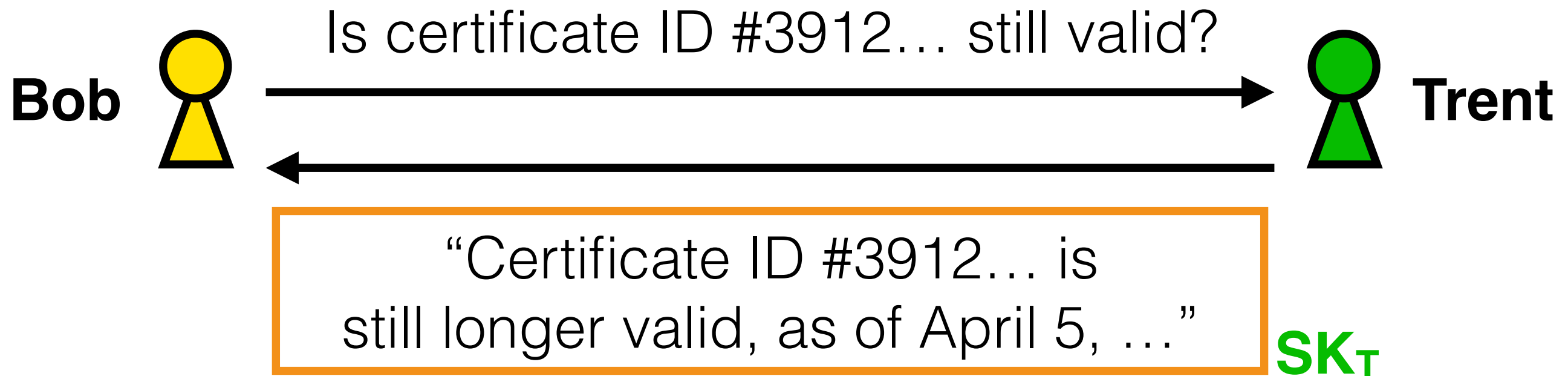
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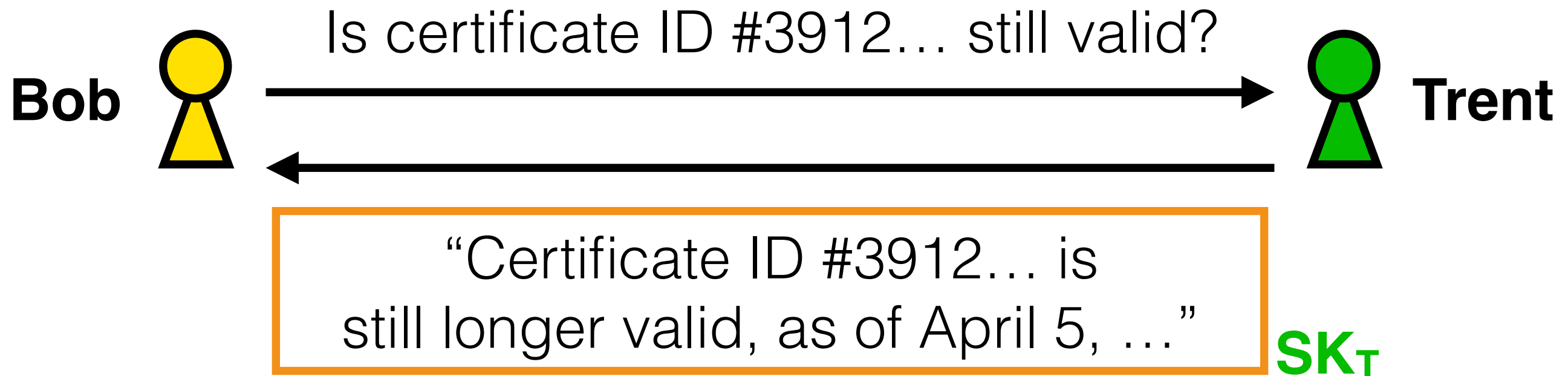
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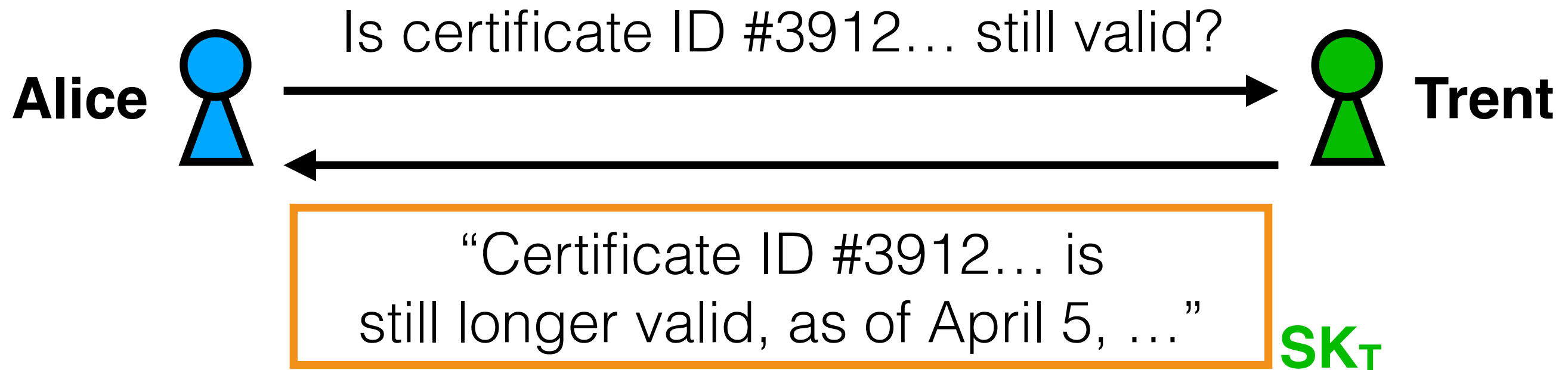


Disincentive: Still delays the initial validation of the certificate (can increase webpage load time)

Obtaining revocation data

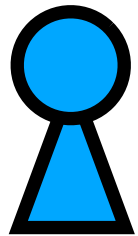
OCSP Stapling

Websites issue OCSP requests,
include responses in initial handshake



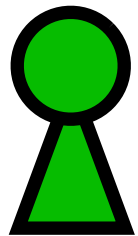
**Alice forwards this to Bob along with
the certificate when they first
start to communicate**

Certificate revocation responsibilities



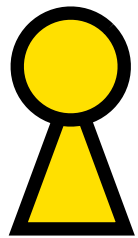
Alice's responsibility:

Request revocations



Trent's responsibility:

Make revocations publicly available

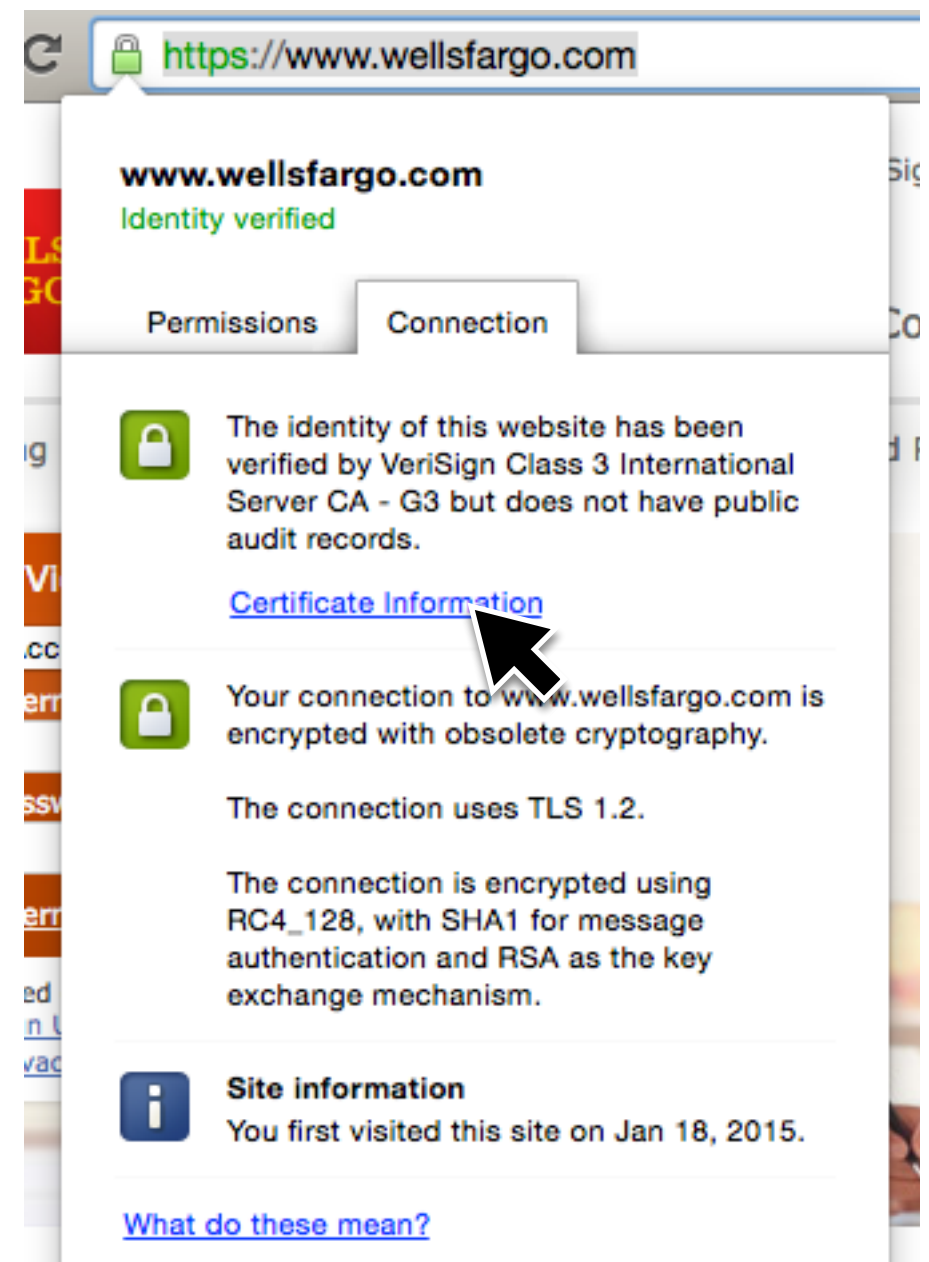
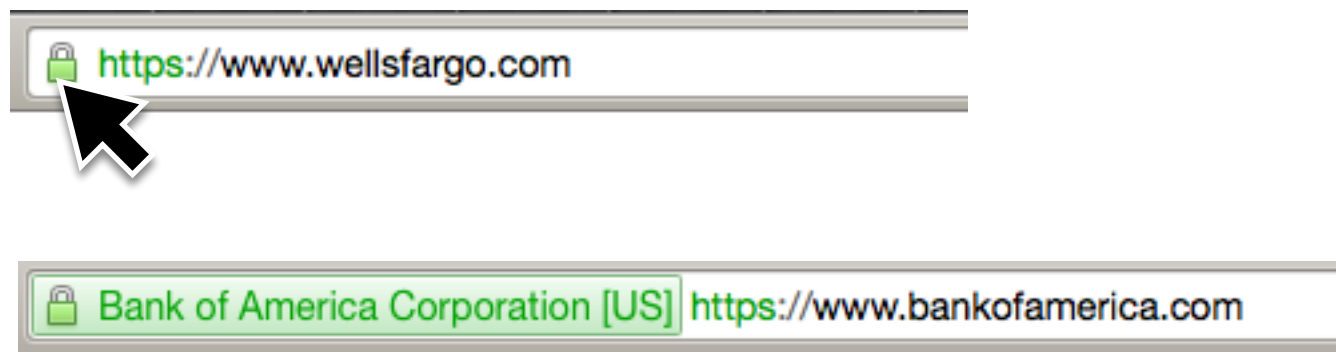


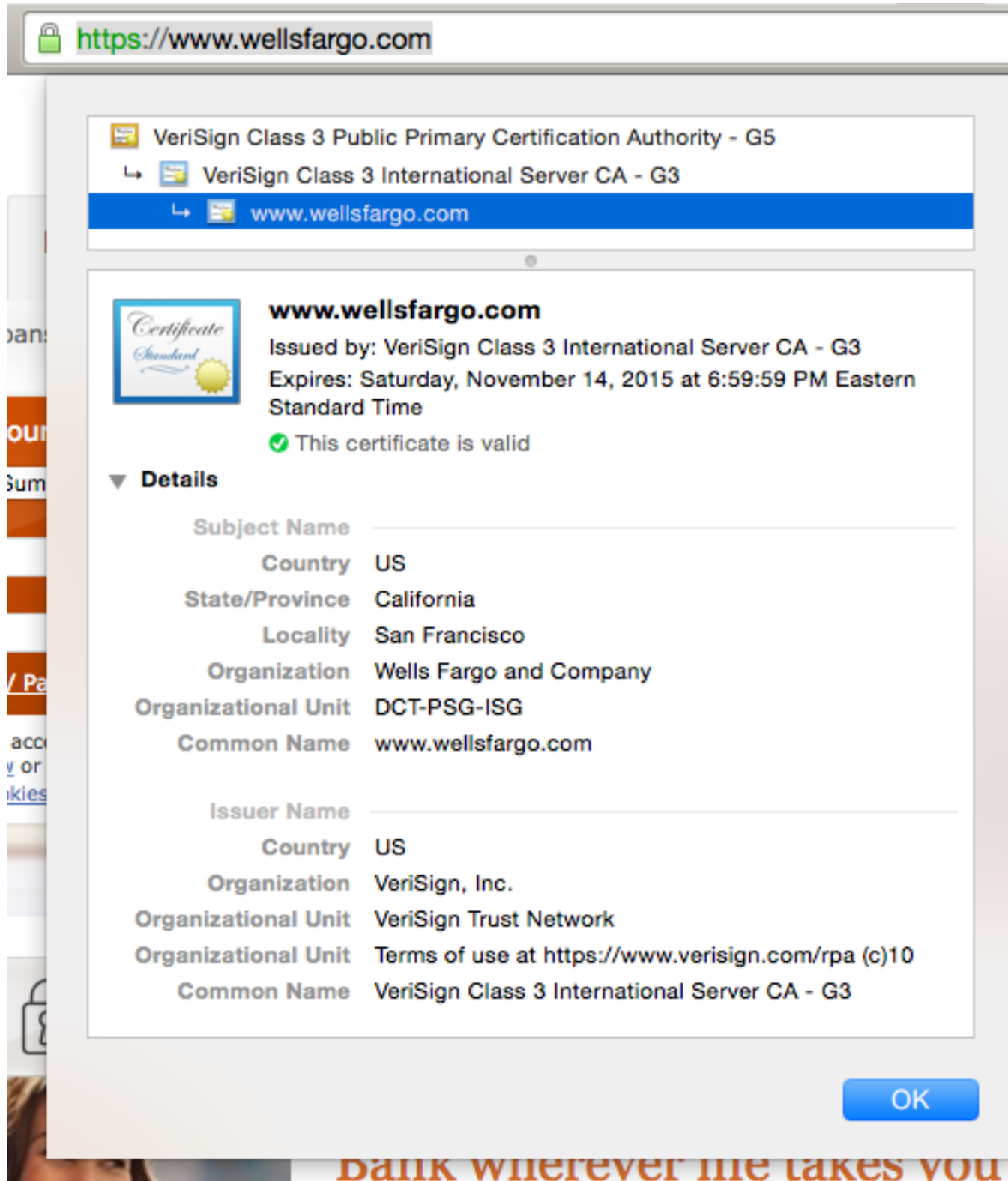
Bob's responsibility:

Check for revocations

Certificates in the wild

The lock icon indicates that the browser was able to authenticate the other end, i.e., validate its certificate





Certificate chain

Subject (who owns the public key)

Common name: the URL of the subject

Issuer (who verified the identity and signed this certificate)

Verifying certificates



Browser



“I’m 🏳️ because 🇺🇸 says so”

Verifying certificates



Browser



“I’m  because  says so”



“I’m  because  says so”

Verifying certificates



Browser



“I’m  because I say so!”



“I’m  because  says so”



“I’m  because  says so”

Verifying certificates

Keychains

login

iCloud

System

System Roots

Category

All Items

Passwords

Secure Notes

My Certificates

Keys

Certificates

Click to unlock the System Roots keychain.



Symantec Class 1 Public Primary Certification Authority - G4
Root certificate authority
Expires: Monday, January 18, 2038 at 6:59:59 PM Eastern Standard Time
✔ This certificate is valid

Name	Kind	Expires	Keychain
Starfield Class 2 Certification Authority	certificate	Jun 29, 2034, 1:39:16 PM	System Roots
Starfield Root Certificate Authority - G2	certificate	Dec 31, 2037, 6:59:59 PM	System Roots
Starfield Services Root Certificate Authority - G2	certificate	Dec 31, 2037, 6:59:59 PM	System Roots
StartCom Certification Authority	certificate	Sep 17, 2036, 3:46:36 PM	System Roots
StartCom Certification Authority	certificate	Sep 17, 2036, 3:46:36 PM	System Roots
StartCom Certification Authority G2	certificate	Dec 31, 2039, 6:59:01 PM	System Roots
Swisscom Root CA 1	certificate	Aug 18, 2025, 6:06:20 PM	System Roots
Swisscom Root CA 2	certificate	Jun 25, 2031, 3:38:14 AM	System Roots
Swisscom Root EV CA 2	certificate	Jun 25, 2031, 4:45:08 AM	System Roots
SwissSign CA (RSA IK May 6 1999 18:00:58)	certificate	Nov 26, 2031, 6:27:41 PM	System Roots
SwissSign Gold CA - G2	certificate	Oct 25, 2036, 4:30:35 AM	System Roots
SwissSign Platinum CA - G2	certificate	Oct 25, 2036, 4:36:00 AM	System Roots
SwissSign Silver CA - G2	certificate	Oct 25, 2036, 4:32:46 AM	System Roots
Symantec Class 1 Public Primary Certification Authority - G4	certificate	Jan 18, 2038, 6:59:59 PM	System Roots
Symantec Class 1 Public Primary Certification Authority - G6	certificate	Dec 1, 2037, 6:59:59 PM	System Roots
Symantec Class 2 Public Primary Certification Authority - G4	certificate	Jan 18, 2038, 6:59:59 PM	System Roots
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Symantec Class 3 Public Primary Certification Authority - G6	certificate	Dec 1, 2037, 6:59:59 PM	System Roots
SZAFIR ROOT CA	certificate	Dec 6, 2031, 6:10:57 AM	System Roots
T-TeleSec GlobalRoot Class 2	certificate	Oct 1, 2033, 7:59:59 PM	System Roots
T-TeleSec GlobalRoot Class 3	certificate	Oct 1, 2033, 7:59:59 PM	System Roots
TC TrustCenter Class 2 CA II	certificate	Dec 31, 2025, 5:59:59 PM	System Roots
TC TrustCenter Class 3 CA II	certificate	Dec 31, 2025, 5:59:59 PM	System Roots
TC TrustCenter Class 4 CA II	certificate	Dec 31, 2025, 5:59:59 PM	System Roots
TC TrustCenter Universal CA I	certificate	Dec 31, 2025, 5:59:59 PM	System Roots
TC TrustCenter Universal CA II	certificate	Dec 31, 2030, 5:59:59 PM	System Roots
TC TrustCenter Universal CA III	certificate	Dec 31, 2029, 6:59:59 PM	System Roots

+

i

Copy

210 items

Verifying certificates

Keychain Access

Click to unlock the System Roots keychain.

Search

Keychains

- login
- iCloud
- System
- System Roots

Category

- All Items
- Passwords
- Secure Notes
- My Certificates
- Keys
- Certificates

Symantec Class 1 Public Primary Certification Authority - G4
Root certificate authority
Expires: Monday, January 18, 2038 at 6:59:59 PM Eastern Standard Time
This certificate is valid

Root key store
Every device has one
Must not contain
malicious certificates

Name	Kind	Expires	Keychain
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SwissSign Silver CA - G2	certificate	Oct 25, 2036, 4:32:46 AM	System Roots
Symantec Class 1 Public Primary Certification Authority - G4	certificate	Jan 18, 2038, 6:59:59 PM	System Roots
Symantec Class 1 Public Primary Certification Authority - G6	certificate	Dec 1, 2037, 6:59:59 PM	System Roots
Symantec Class 2 Public Primary Certification Authority - G4	certificate	Jan 18, 2038, 6:59:59 PM	System Roots
Symantec Class 2 Public Primary Certification Authority - G6	certificate	Dec 1, 2037, 6:59:59 PM	System Roots
Symantec Class 3 Public Primary Certification Authority - G4	certificate	Dec 1, 2037, 6:59:59 PM	System Roots
Symantec Class 3 Public Primary Certification Authority - G6	certificate	Dec 1, 2037, 6:59:59 PM	System Roots
SZAFIR ROOT CA	certificate	Dec 6, 2031, 6:10:57 AM	System Roots
T-TeleSec GlobalRoot Class 2	certificate	Oct 1, 2033, 7:59:59 PM	System Roots
T-TeleSec GlobalRoot Class 3	certificate	Oct 1, 2033, 7:59:59 PM	System Roots
TC TrustCenter Class 2 CA II	certificate	Dec 31, 2025, 5:59:59 PM	System Roots
TC TrustCenter Class 3 CA II	certificate	Dec 31, 2025, 5:59:59 PM	System Roots
TC TrustCenter Class 4 CA II	certificate	Dec 31, 2025, 5:59:59 PM	System Roots
TC TrustCenter Universal CA I	certificate	Dec 31, 2025, 5:59:59 PM	System Roots
TC TrustCenter Universal CA II	certificate	Dec 31, 2030, 5:59:59 PM	System Roots
TC TrustCenter Universal CA III	certificate	Dec 31, 2029, 6:59:59 PM	System Roots

210 items

Verifying certificates



Browser



“I’m  because I say so!”



“I’m  because  says so”



“I’m  because  says so”

Verifying certificates



Browser



“I’m  because I say so!”



“I’m  because  says so”



“I’m  because  says so”

Verifying certificates



“I’m 🟡 because I say so!”



Browser



“I’m 🔵 because 🟡 says so”



“I’m 🏳️ because 🔵 says so”

Verifying certificates



“I’m 🟡 because I say so!”



Browser



“I’m 🔵 because 🟡 says so”



“I’m 🏳️ because 🔵 says so”

Verifying certificates



“I’m 🟡 because I say so!”



“I’m 🔵 because 🟡 says so”



Browser



“I’m 🏳️ because 🔵 says so”

Verifying certificates



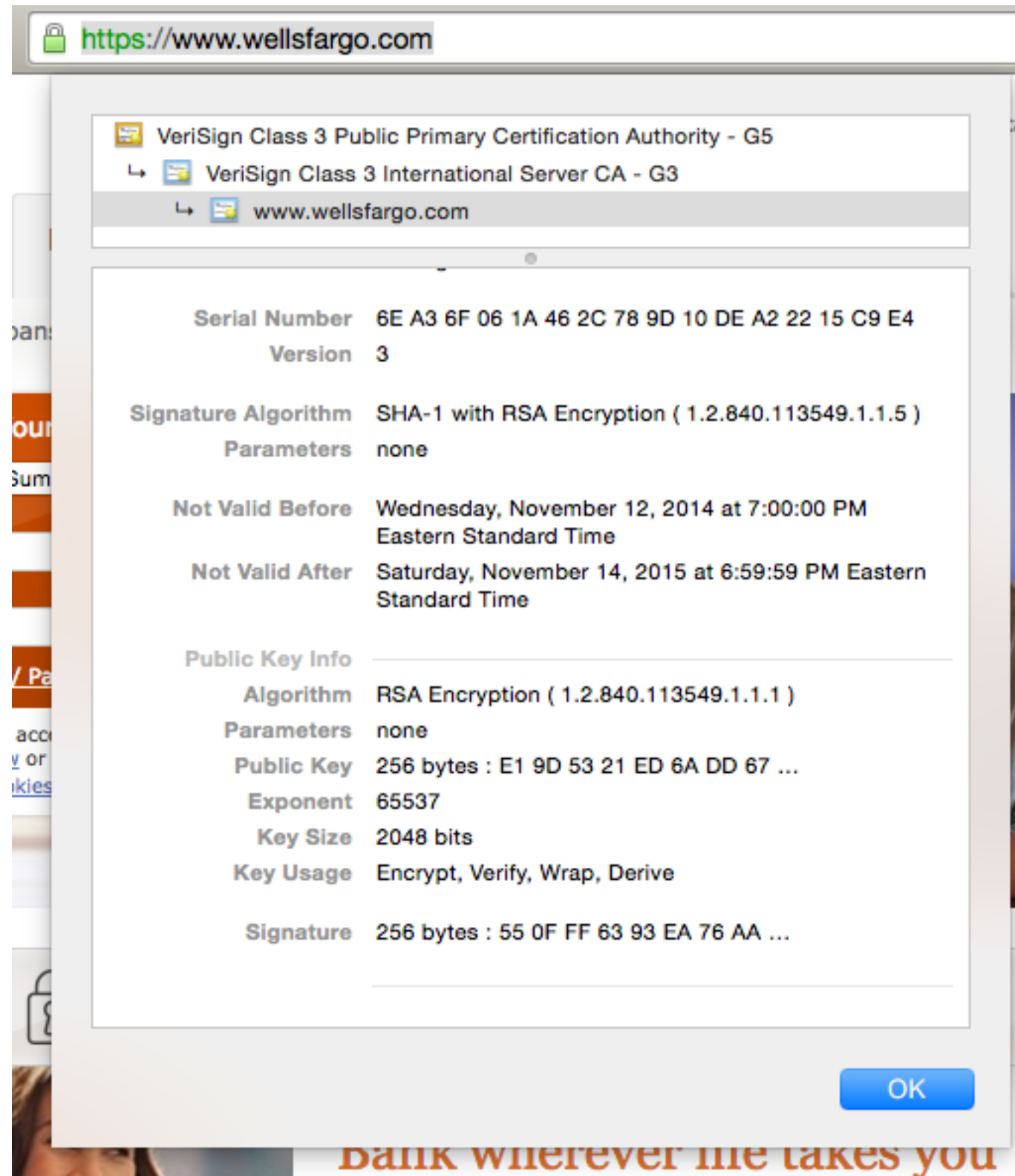
“I’m 🟡 because I say so!”



“I’m 🔵 because 🟡 says so”



“I’m 🏳️ because 🔵 says so”

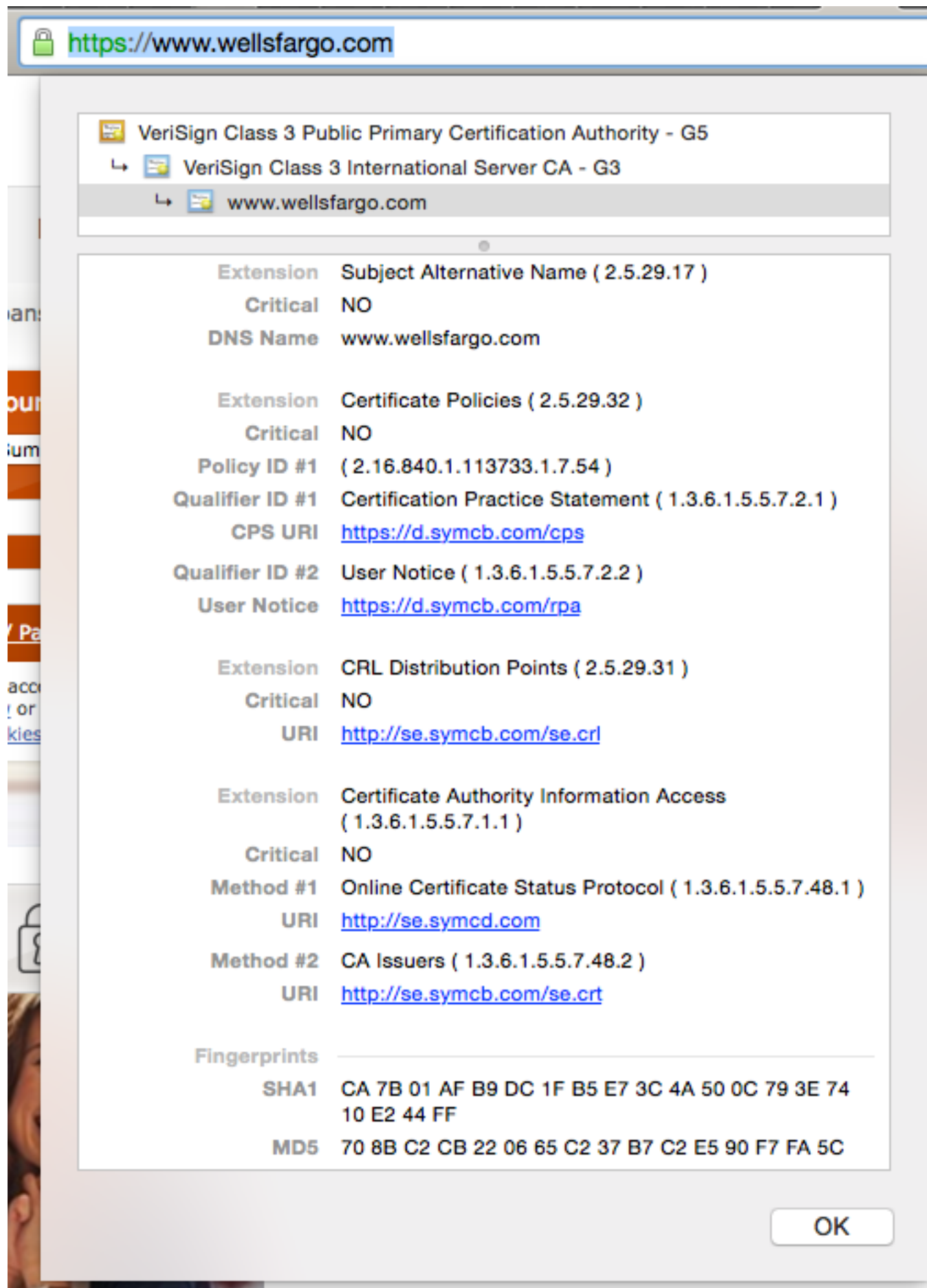


Serial number: Uniquely identifies this cert with respect to the issuer (look for this in CRLs)

Signature algorithm: How the issuer will sign parts of the cert

Not valid before/after: When to start and stop believing this cert (start & expiration dates)

The public key: And the issuer's signature of the public key



Subject Alternate Names:
Other URLs for which this cert should be considered valid.
(wellsfargo.com is not the same as www.wellsfargo.com)

Can include wildcards, e.g.,
*.google.com

CRL & OCSP:
Where to go to check if this certificate has been revoked

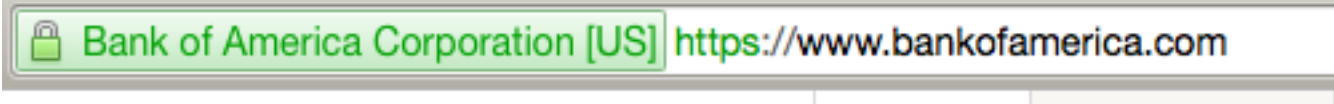
Non-cryptographic checksums

Certificate types

Why are these different?

A browser address bar for the website https://www.wellsfargo.com. It features a green padlock icon on the left, indicating a secure connection, followed by the URL text.

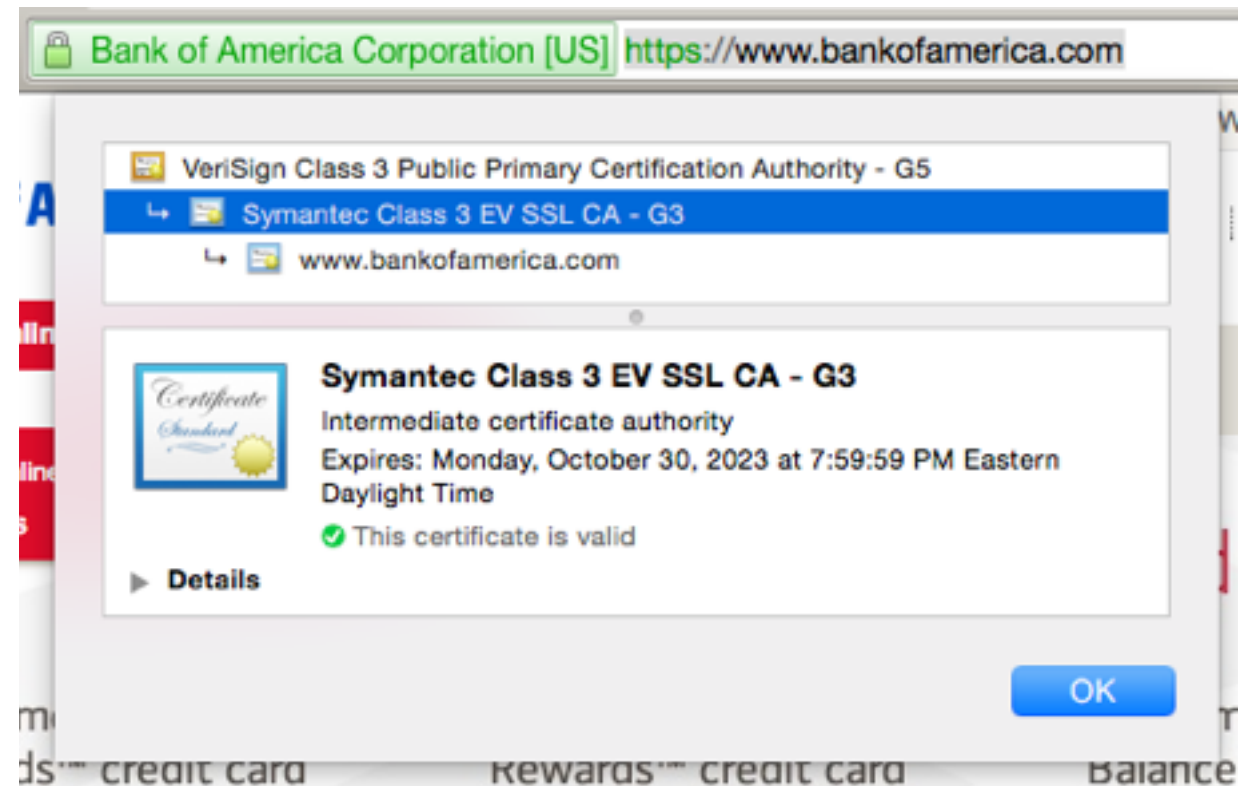
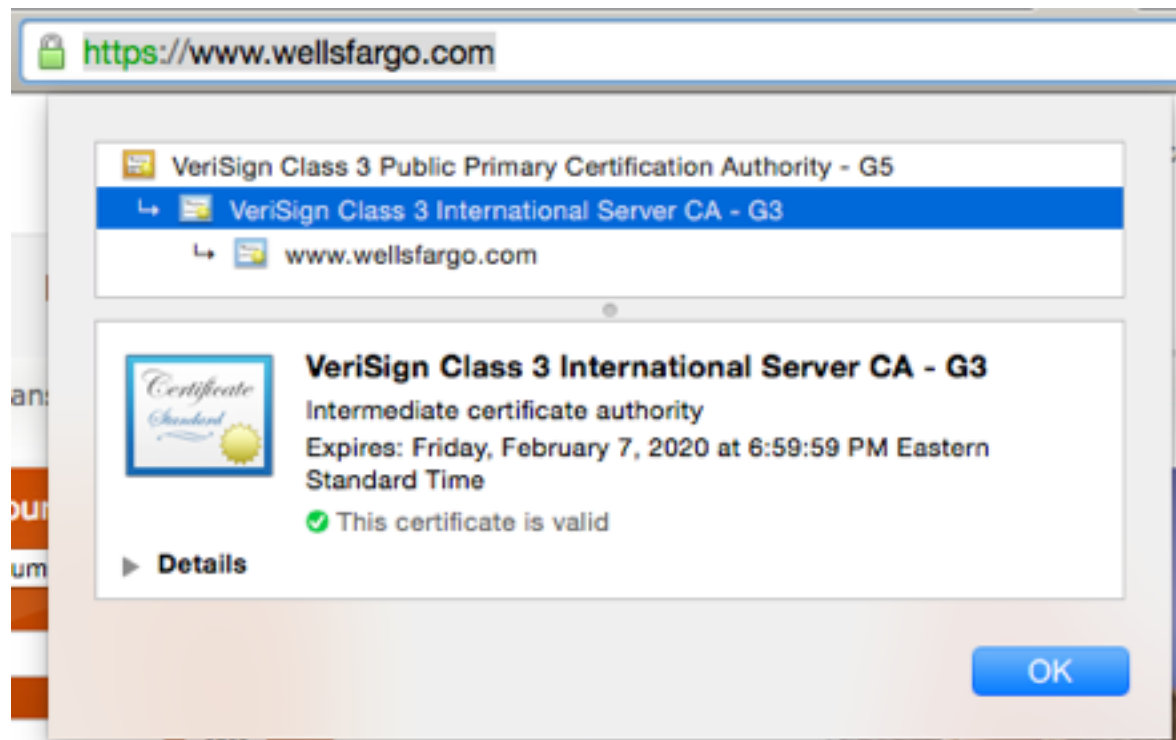
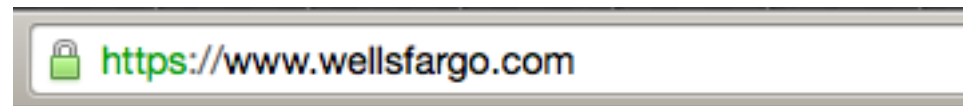
 <https://www.wellsfargo.com>

A browser address bar for the website https://www.bankofamerica.com. It features a green padlock icon on the left, followed by the text 'Bank of America Corporation [US]' in green, and then the URL text.

 Bank of America Corporation [US] <https://www.bankofamerica.com>

Certificate types

Why are these different?



This is an EV (extended validation) certificate; browsers show the full name for these kinds of certs

Proper reaction to Heartbleed

1. Patch the software
2. “Reissue” a new key (get a new one and load it onto your servers)
3. Revoke the old key

Proper reaction to Heartbleed

1. Patch the software
2. “Reissue” a new key (get a new one and load it onto your servers)
3. Revoke the old key

Order matters!

If we reissued and then patched, then our new key would be compromised, too.

If we revoked first, we’d be offline.



Heartbleed



OpenSSL



Heartbleed



"hi" 2



OpenSSL



Heartbleed



OpenSSL



Heartbleed



OpenSSL



Heartbleed



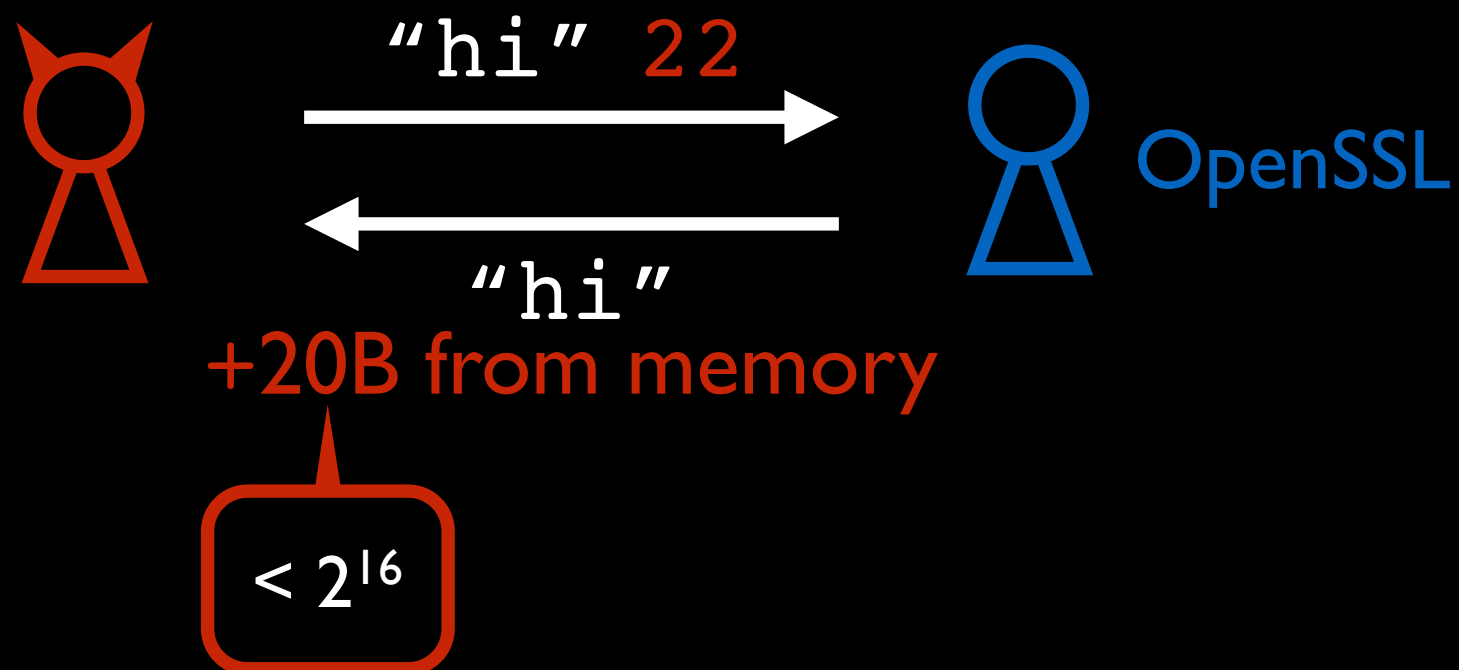
"hi" 22



OpenSSL

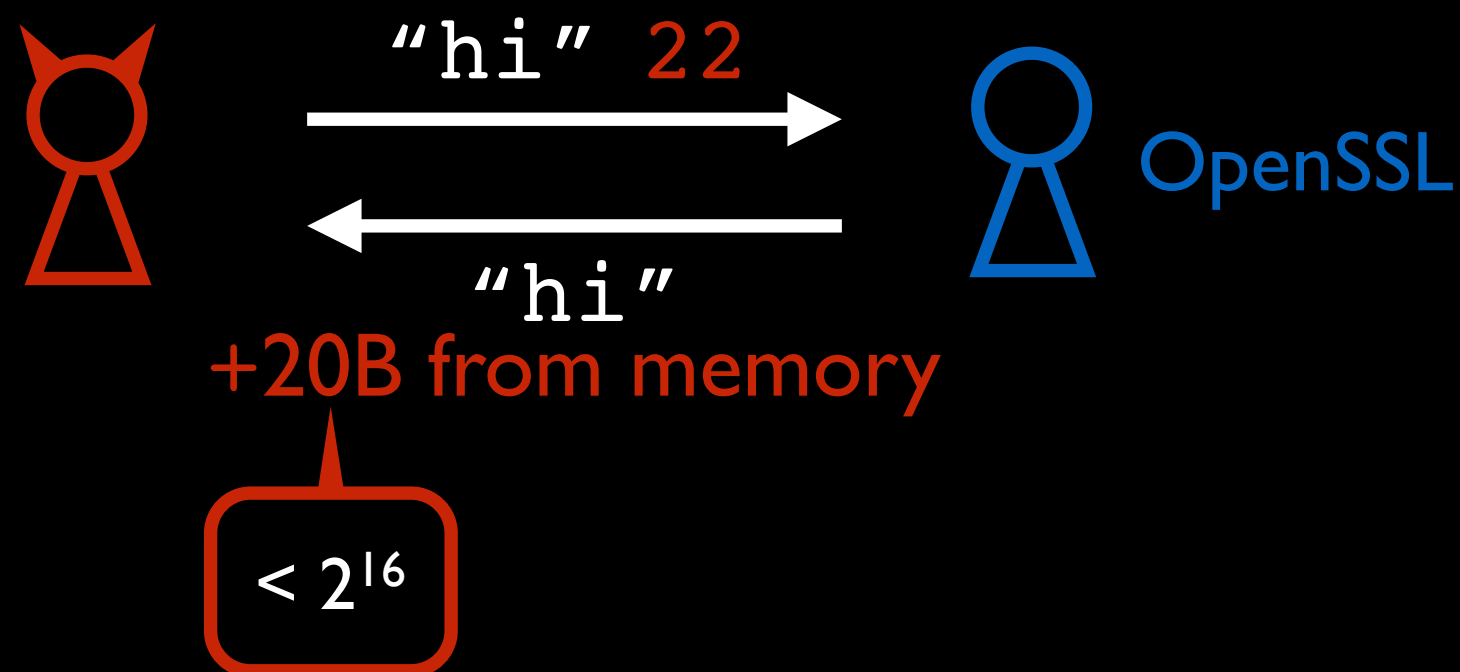


Heartbleed





Heartbleed



Potentially reveals user data and **private keys**

Heartbleed exploits were **undetectable**

Why study Heartbleed?



Why study Heartbleed?



Every vulnerable website should have:

- ① Patched
- ② Revoked
- ③ Reissued

Why study Heartbleed?



Every vulnerable website should have:

- ① Patched
- ② Revoked
- ③ Reissued

Heartbleed is a natural experiment:

How quickly and thoroughly do administrators act?

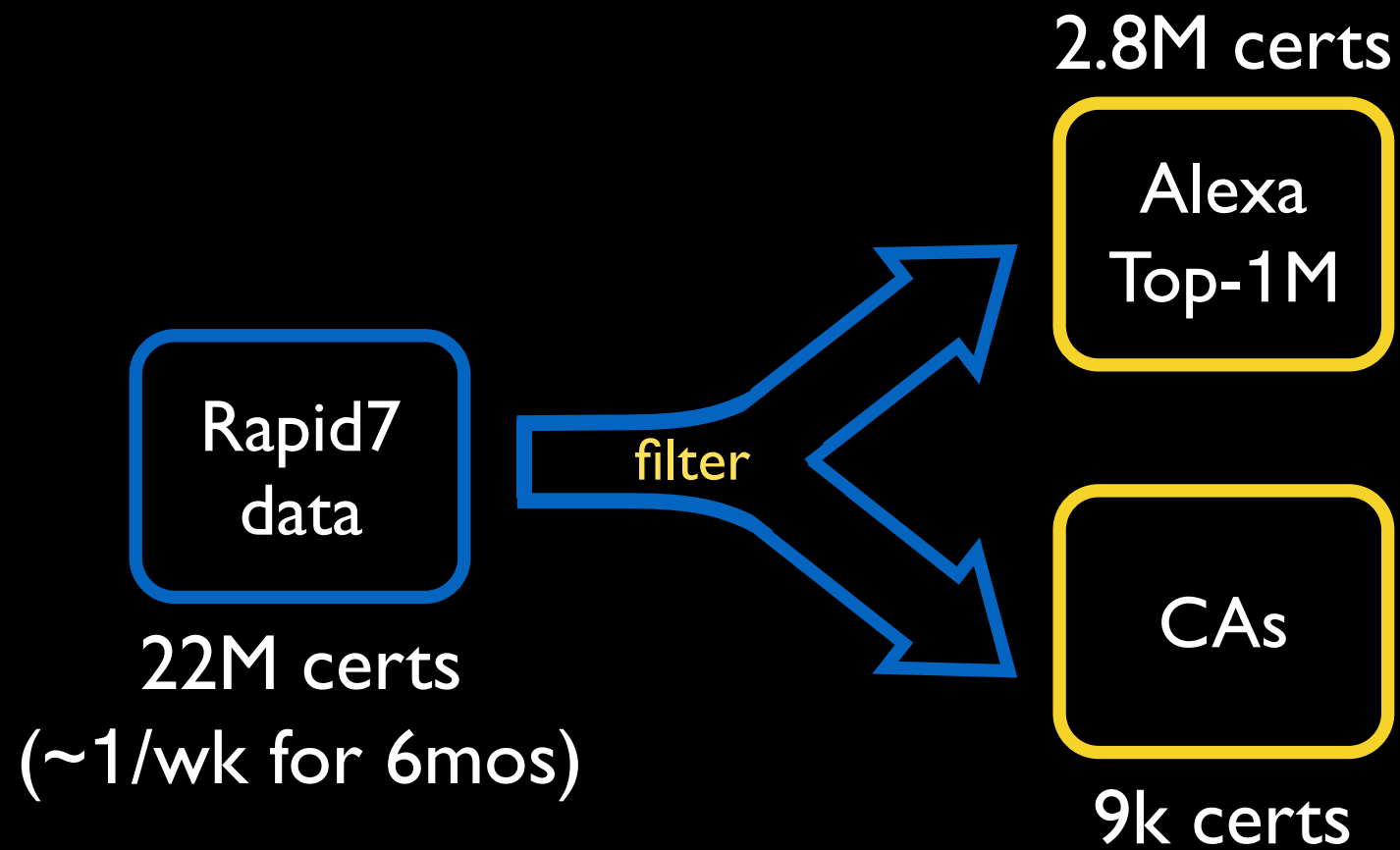
Dataset



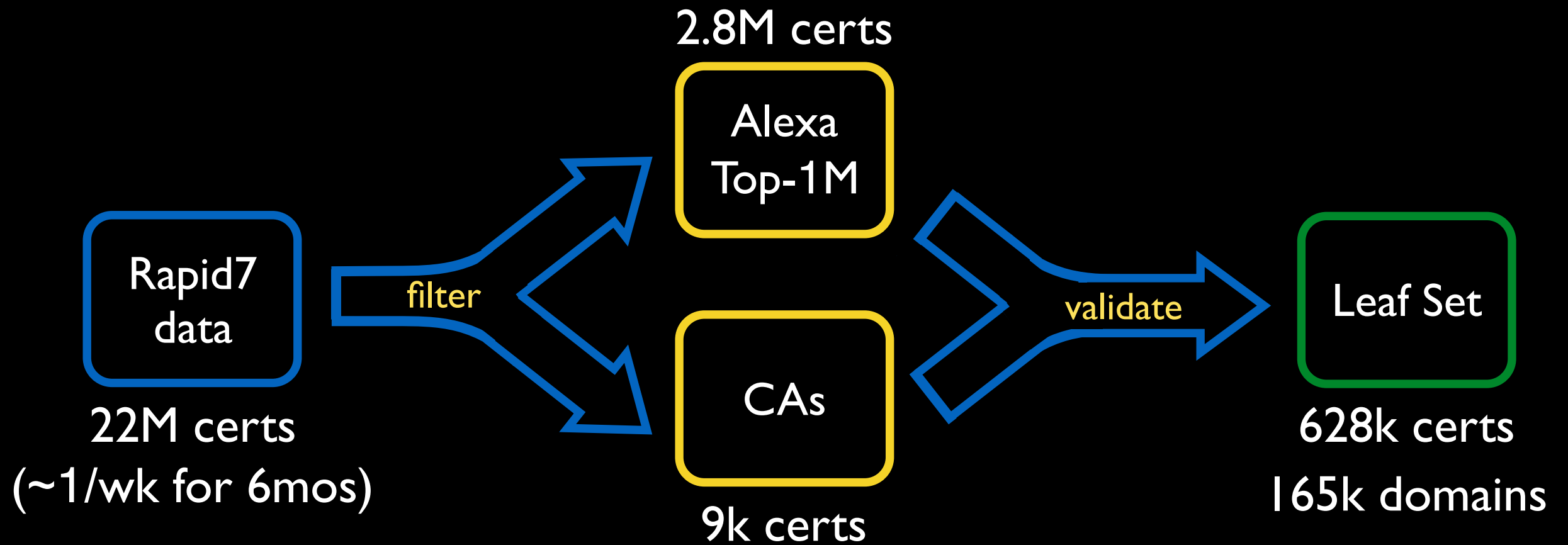
Rapid7
data

22M certs
(~1/wk for 6mos)

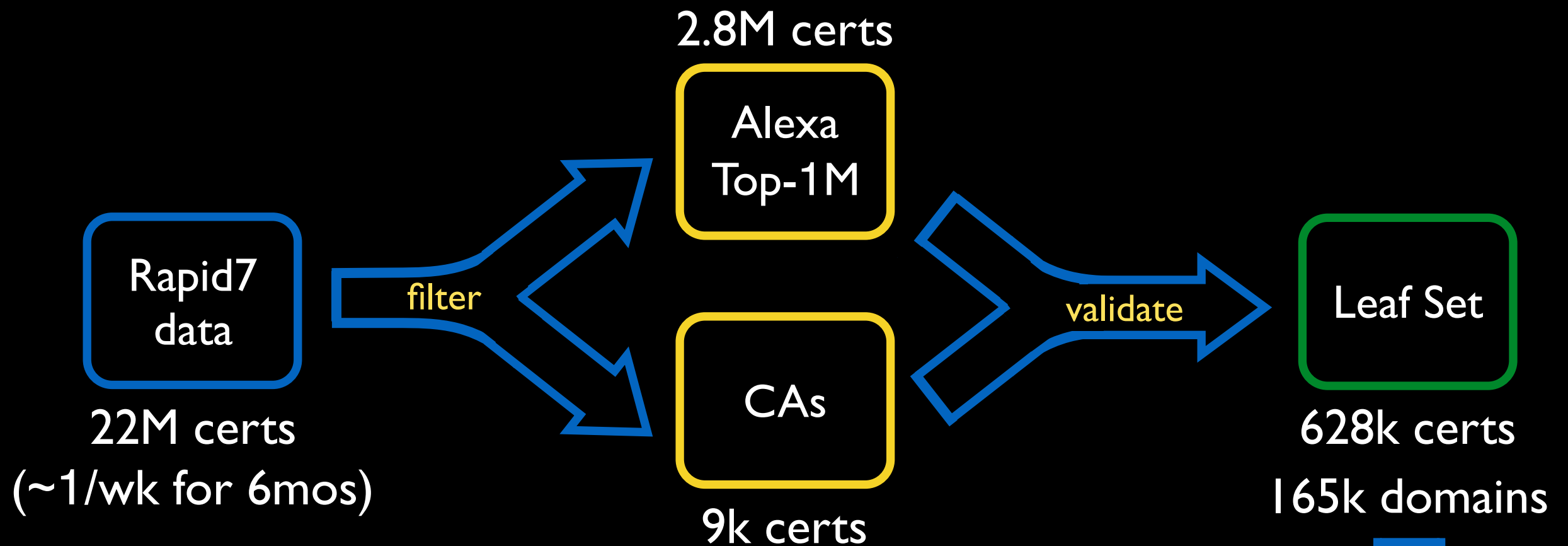
Dataset



Dataset

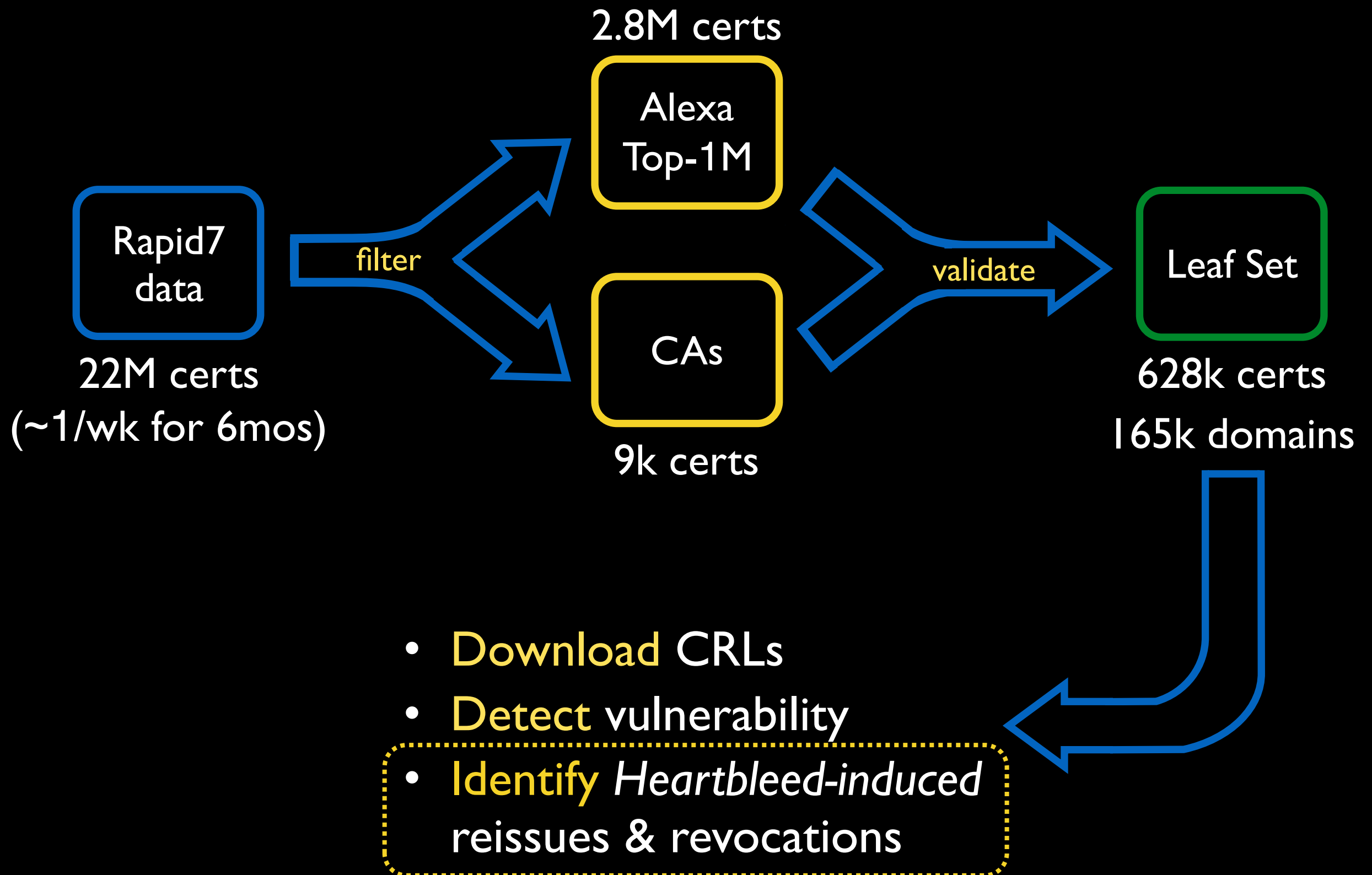


Dataset

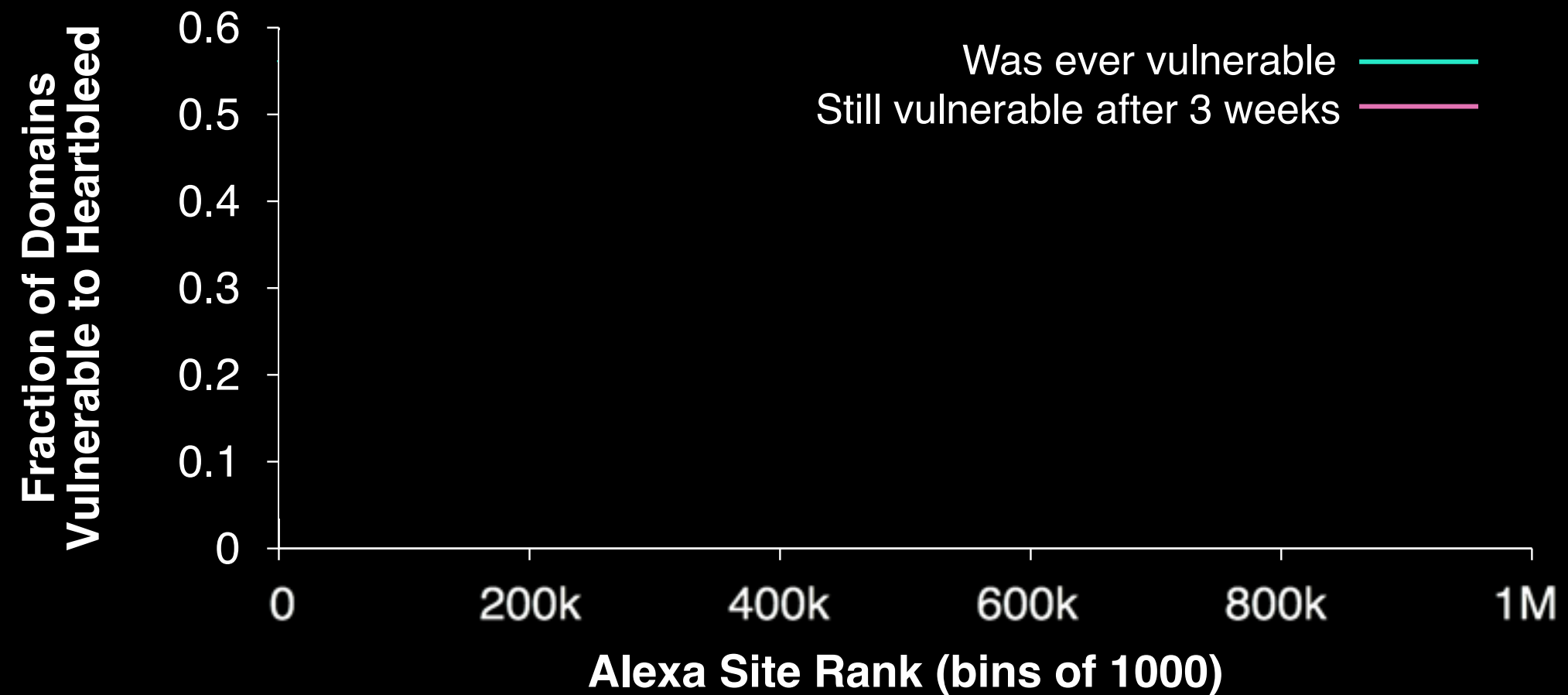


- Download CRLs
- Detect vulnerability
- Identify Heartbleed-induced reissues & revocations

Dataset



Prevalence and patch rates



Prevalence and patch rates

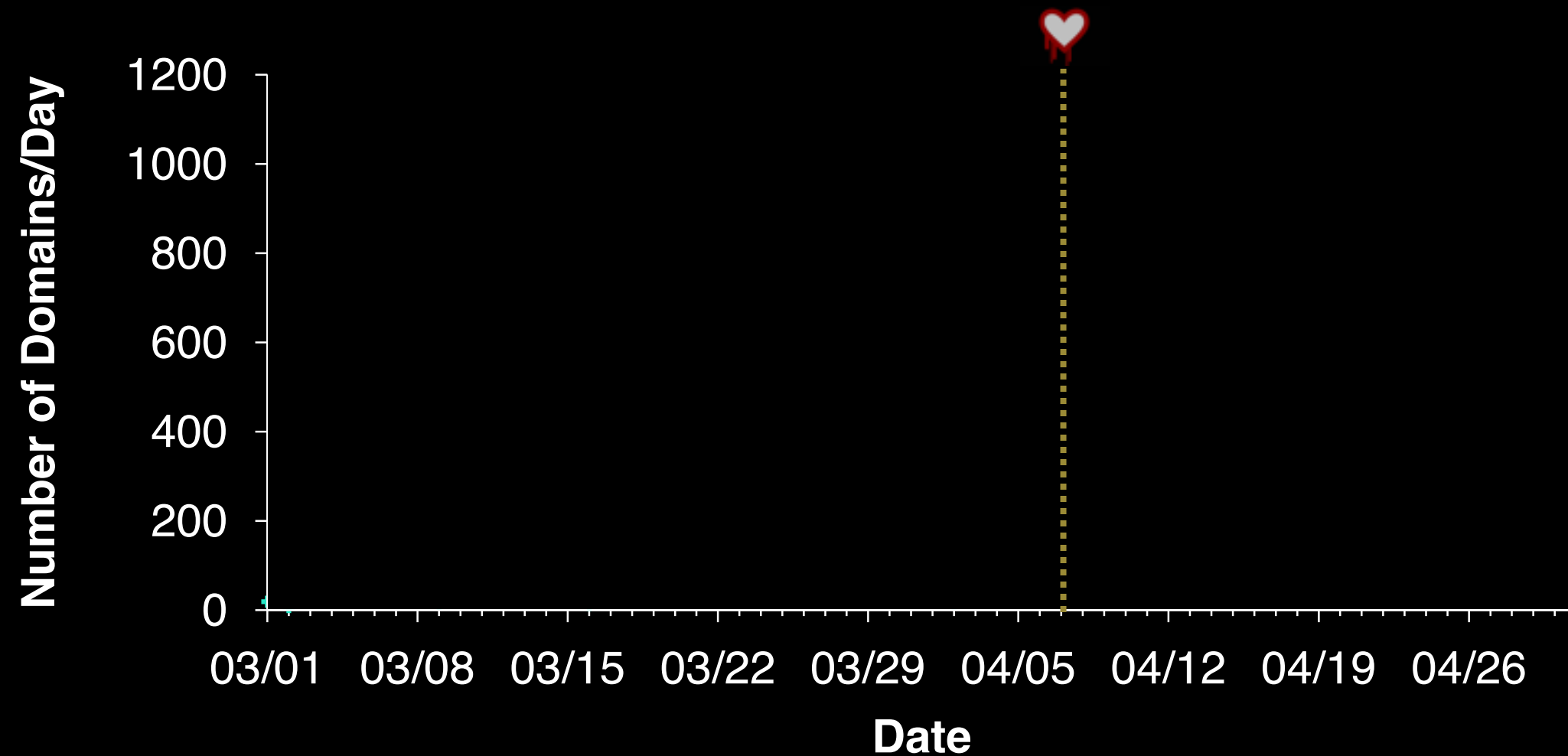


Prevalence and patch rates

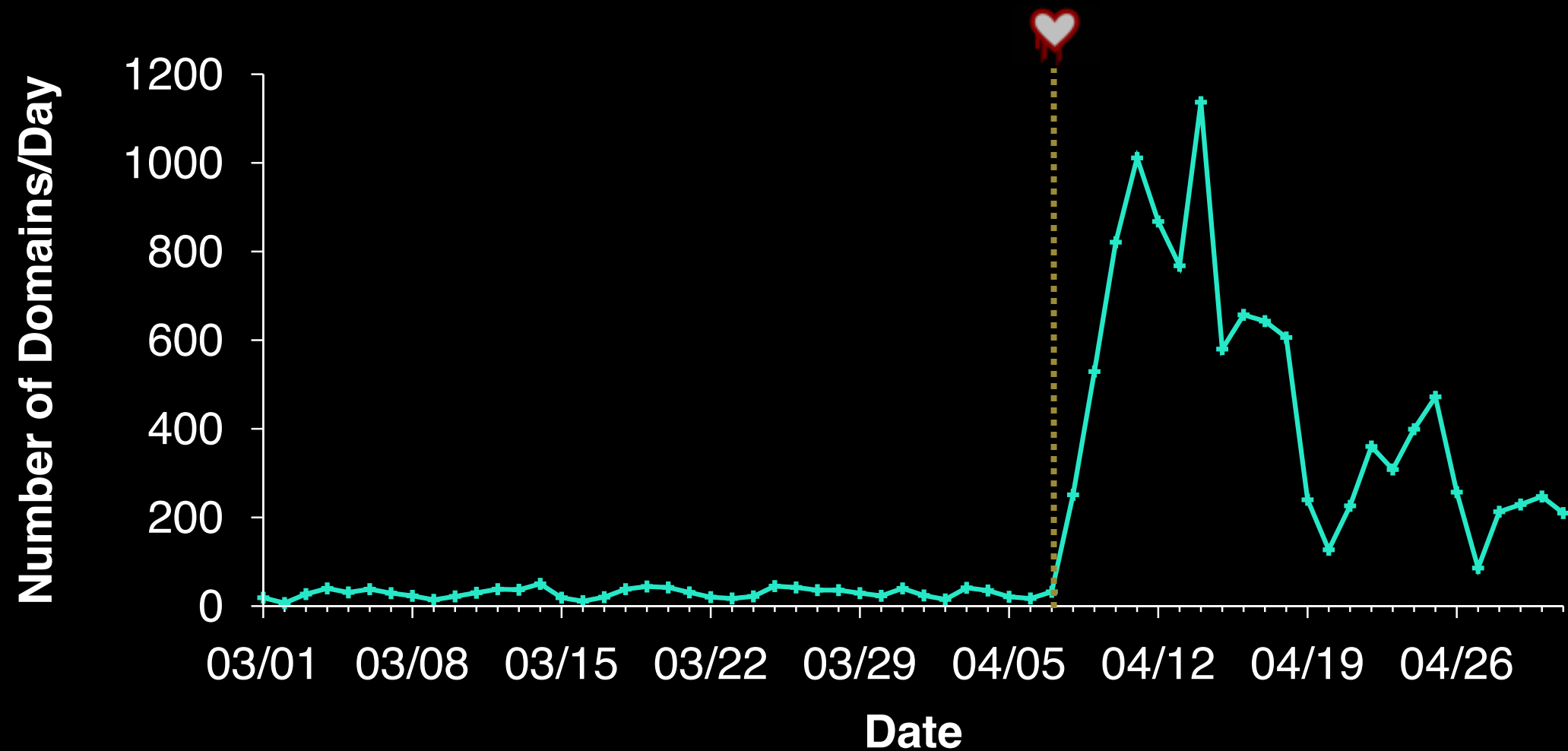


Patching rates are mostly positive
Only ~7% had not patched within 3 weeks

How quickly were certs revoked?

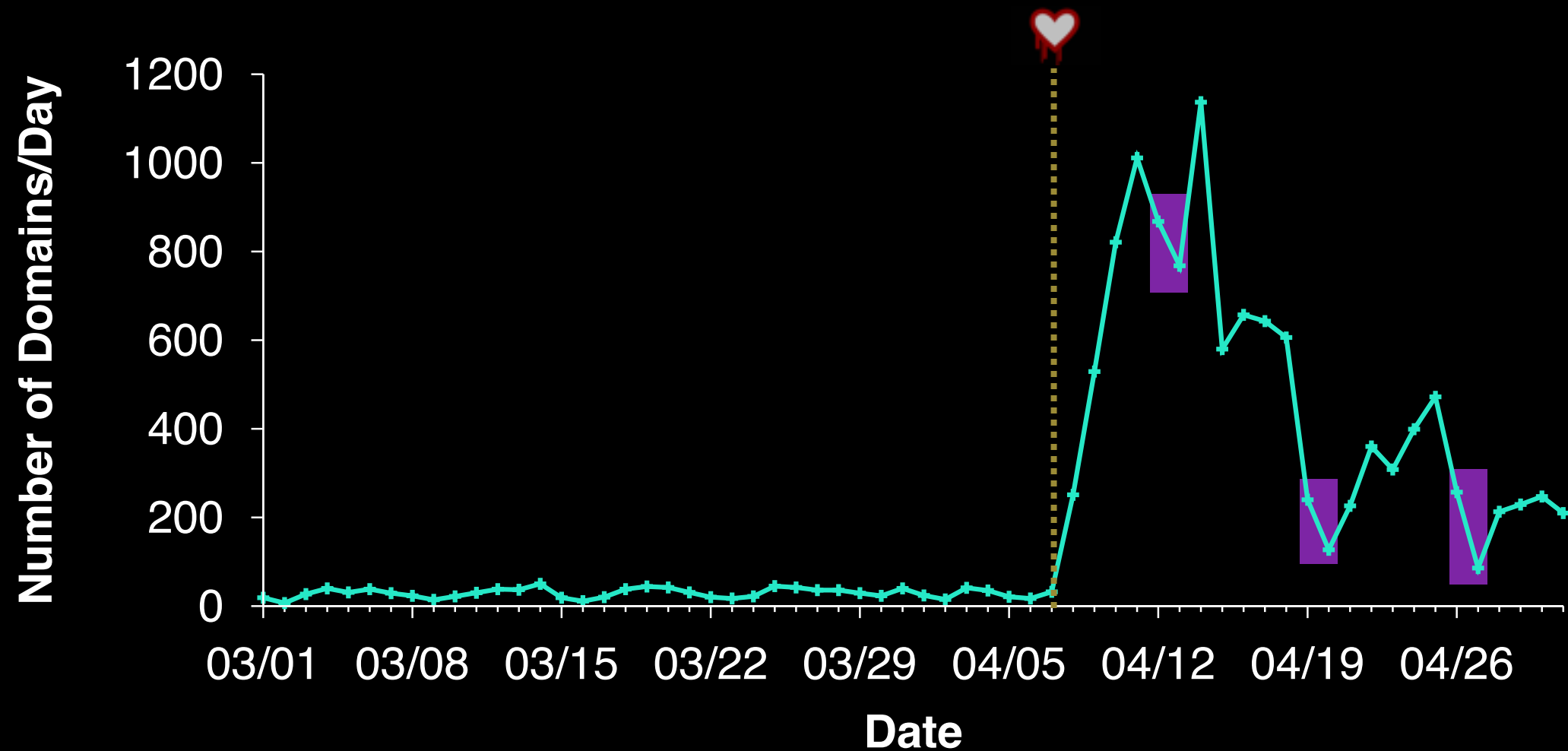


How quickly were certs revoked?



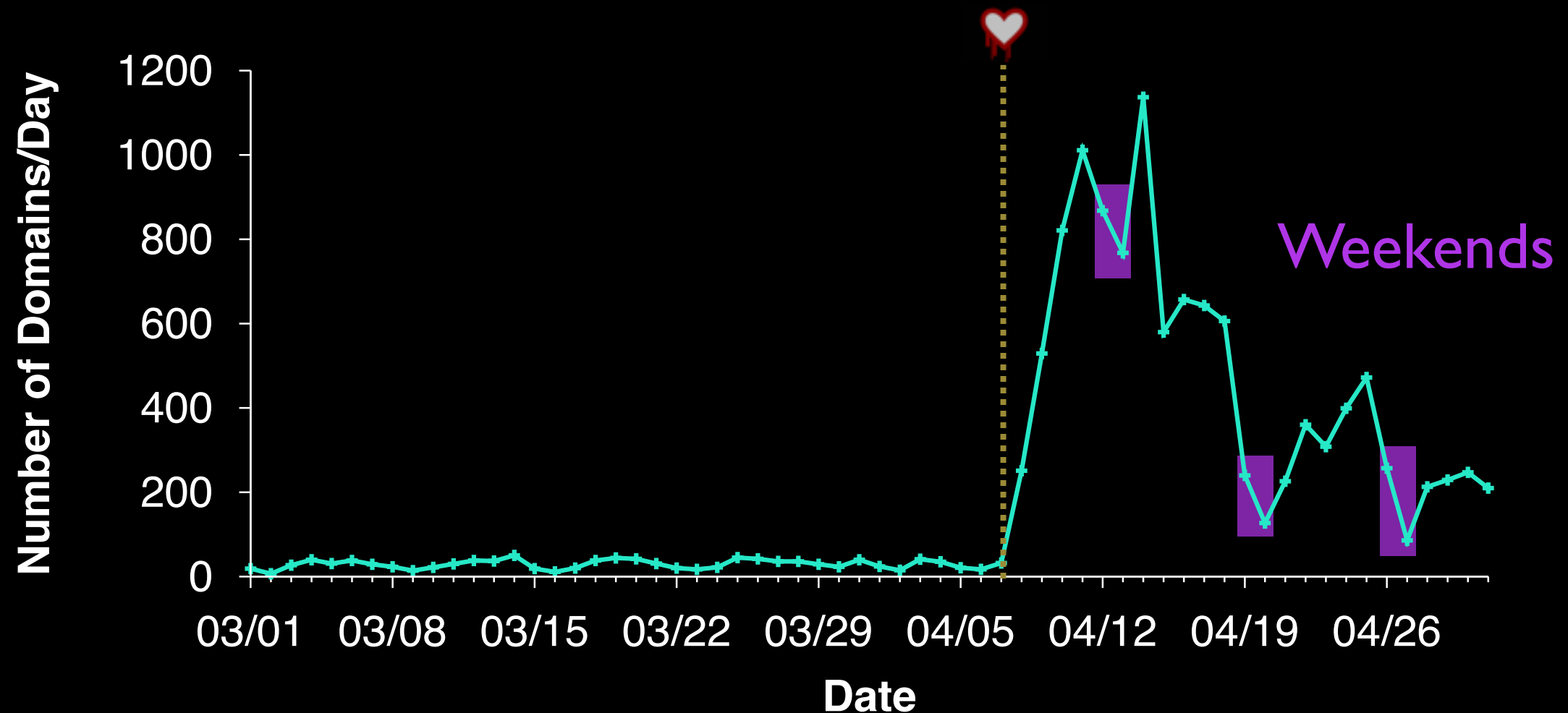
Reaction ramps up quickly

How quickly were certs revoked?



Reaction ramps up quickly

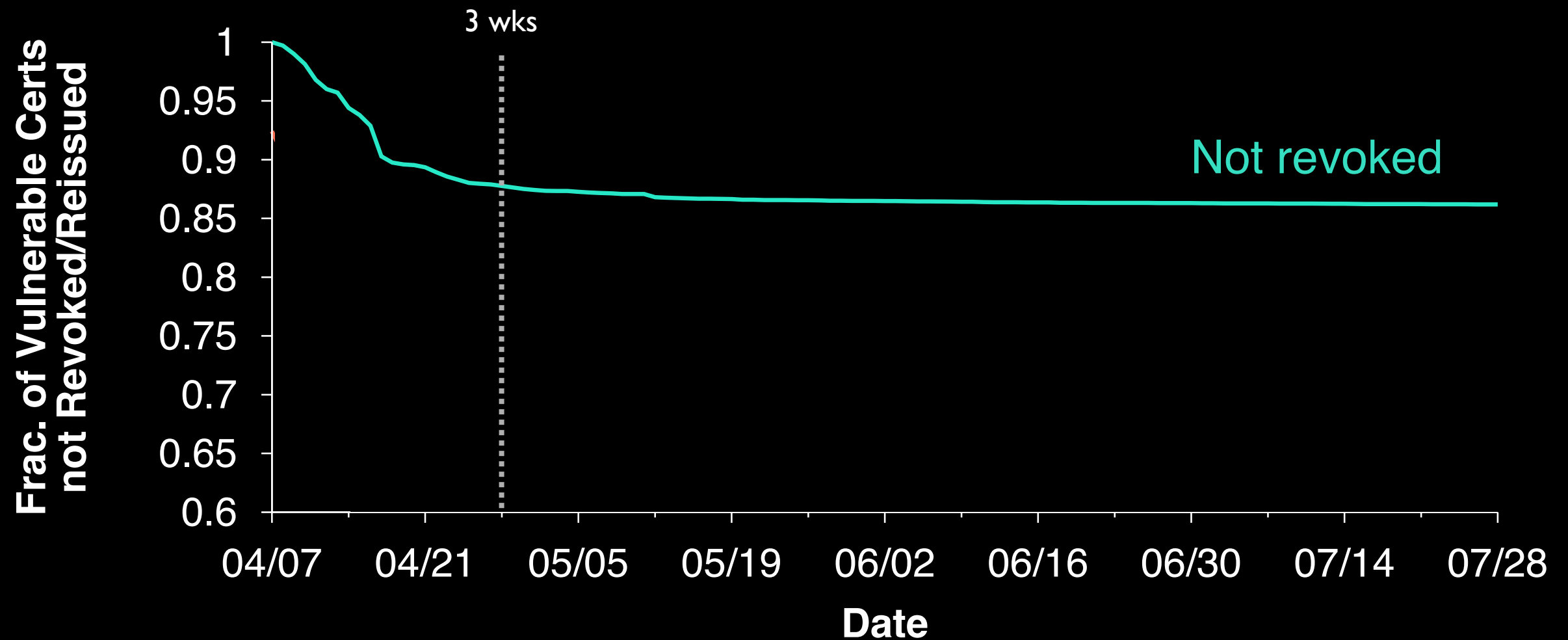
How quickly were certs revoked?



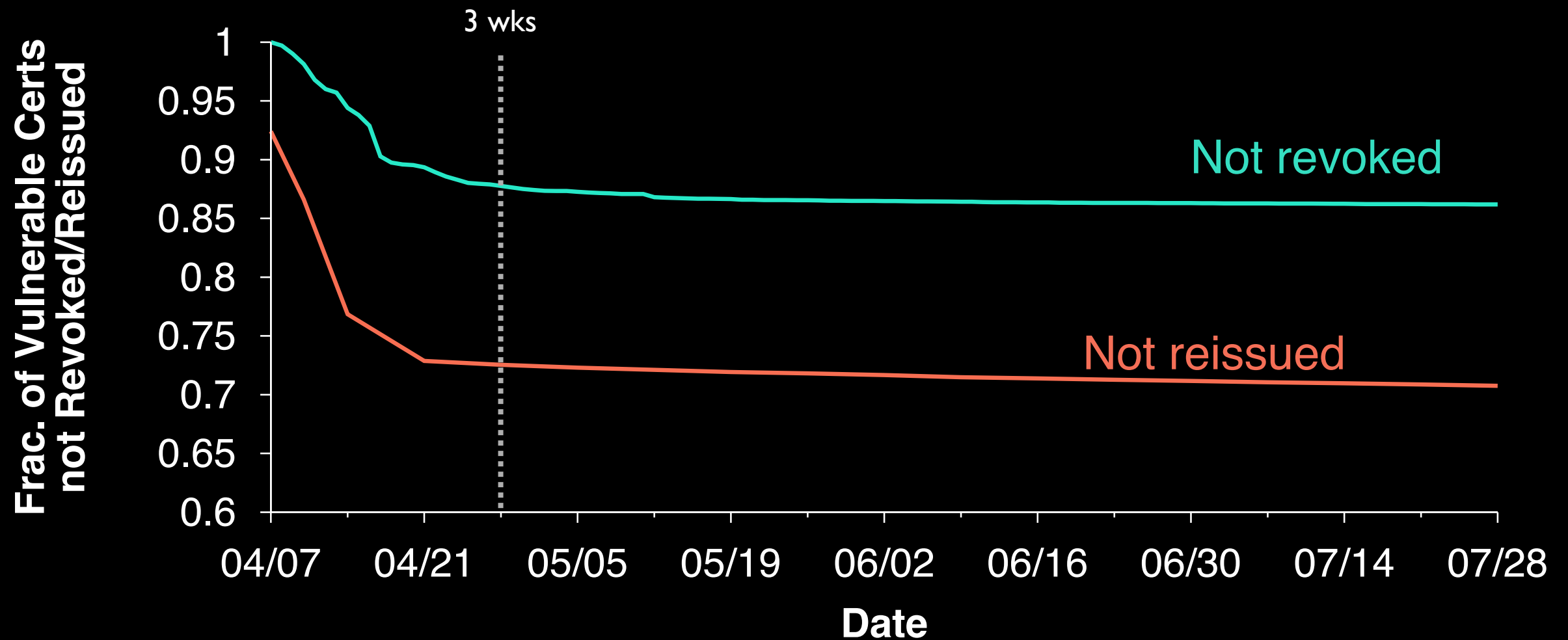
Reaction ramps up quickly

Security takes the weekends off

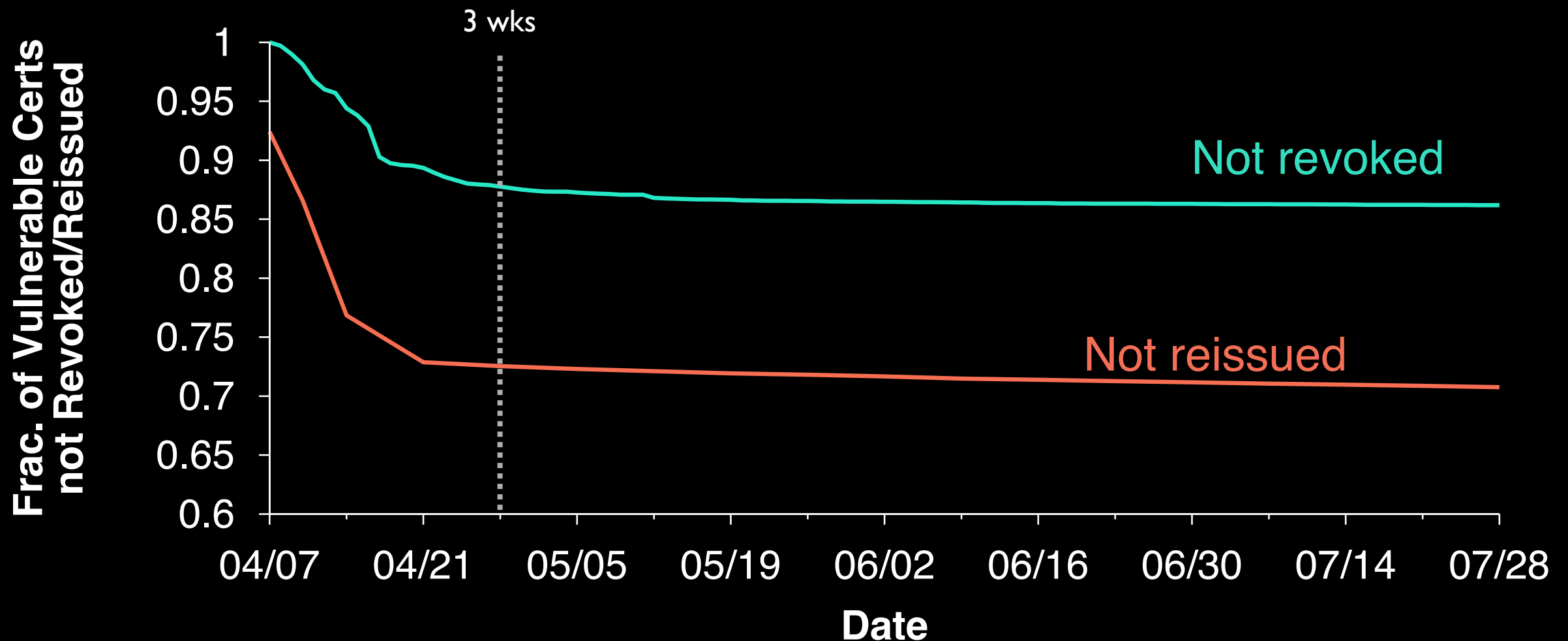
Certificate update rates



Certificate update rates



Certificate update rates



Similar pattern to patches:
Exponential drop-off, then levels out

After 3 weeks:

13%

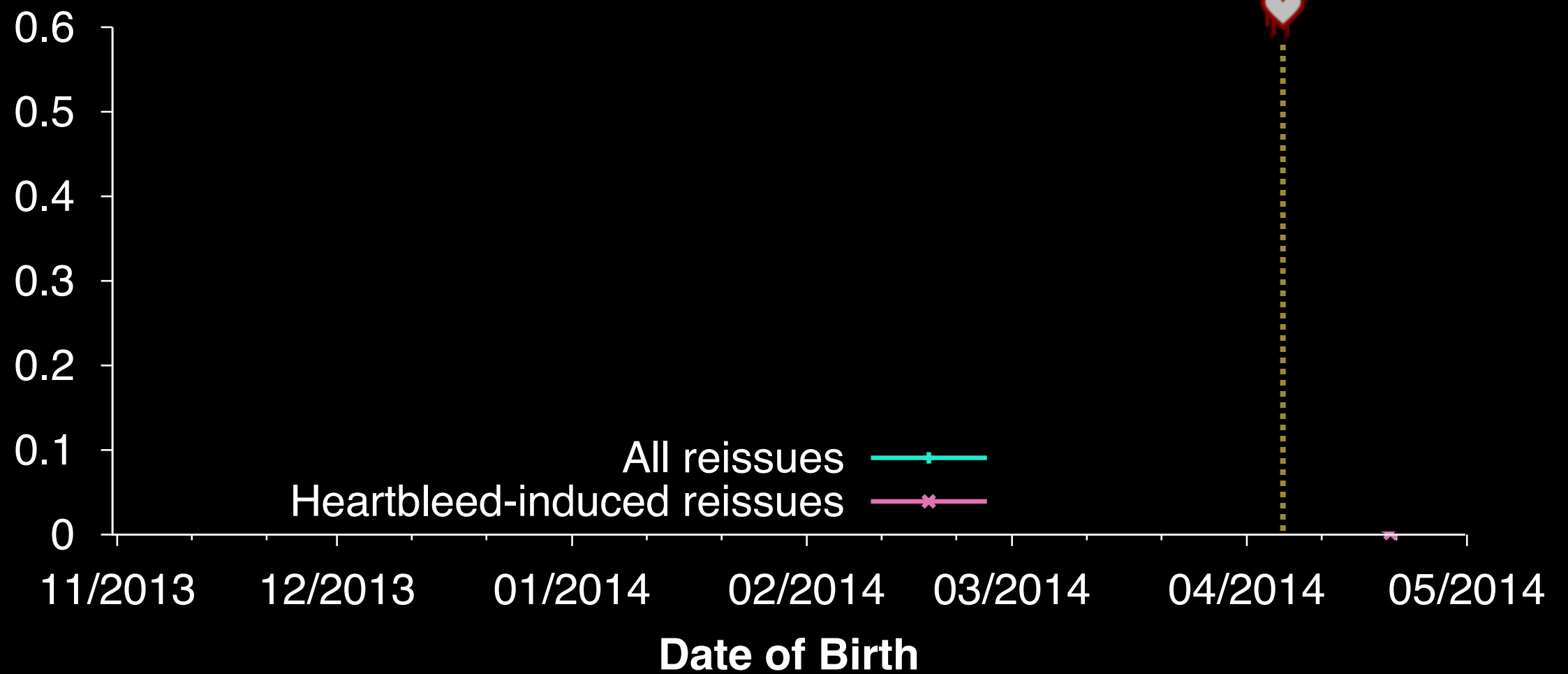
Revoked

27%

Reissued

Reissue $\Rightarrow$ New key?

Fraction of New Certificates
Reissued with the Same Key

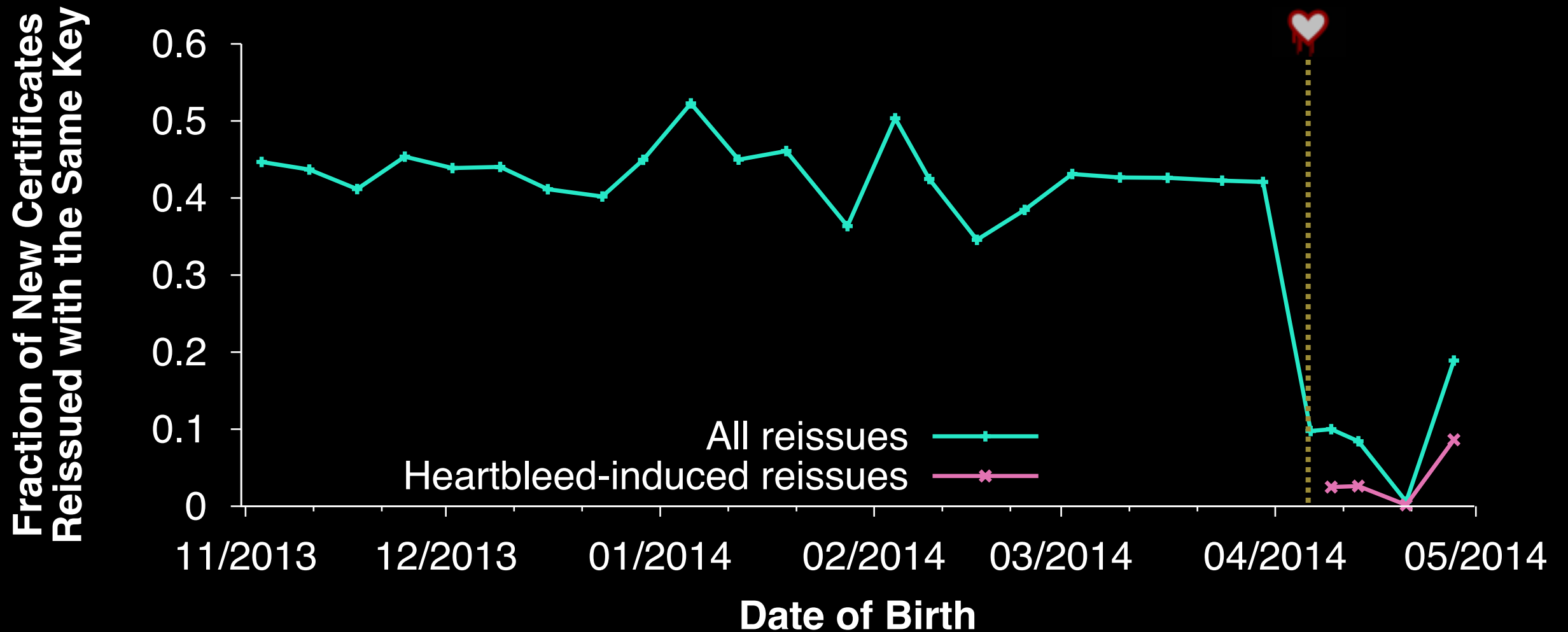


Reissue $\Rightarrow$ New key?

Fraction of New Certificates
Reissued with the Same Key



Reissue $\Rightarrow$ New key?



Reissuing the same key is common practice

4.1% Heartbleed-induced

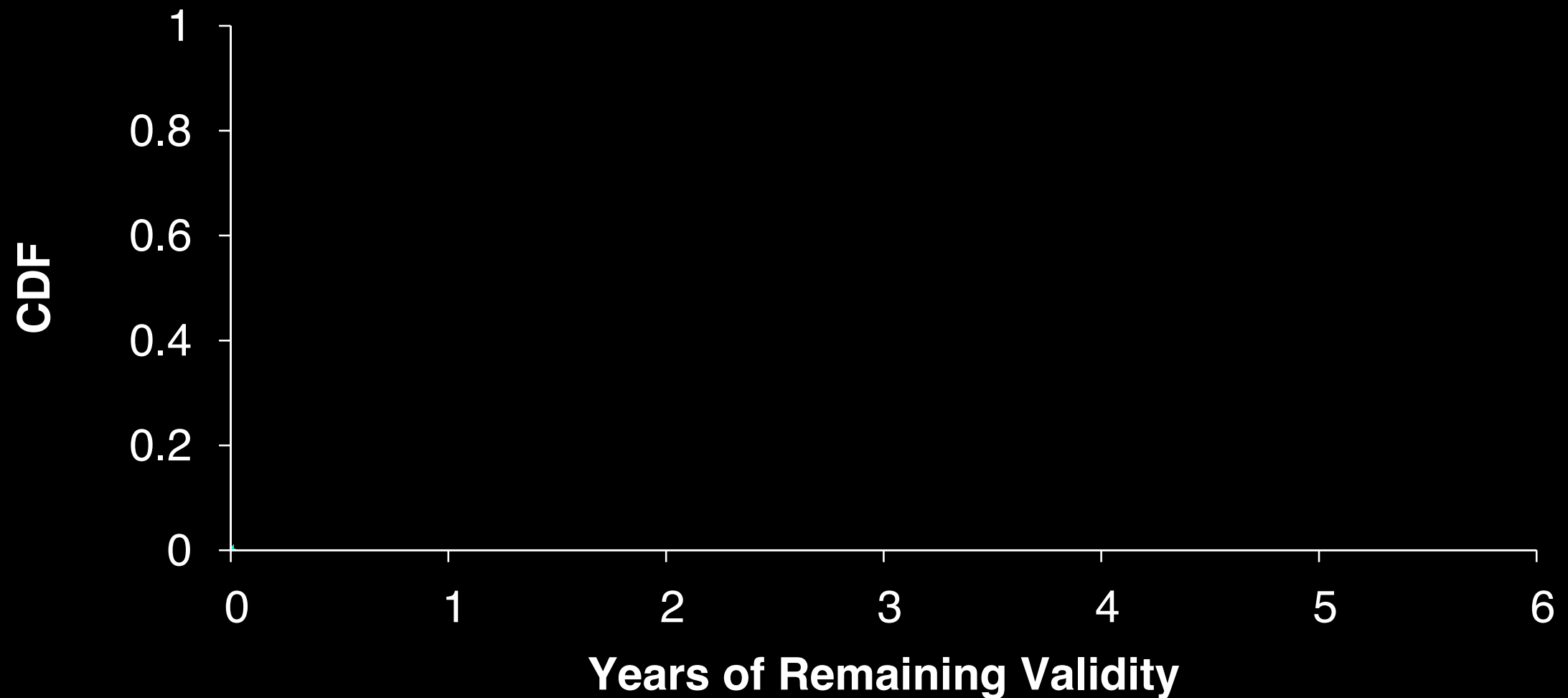
The ugly truth of revocations



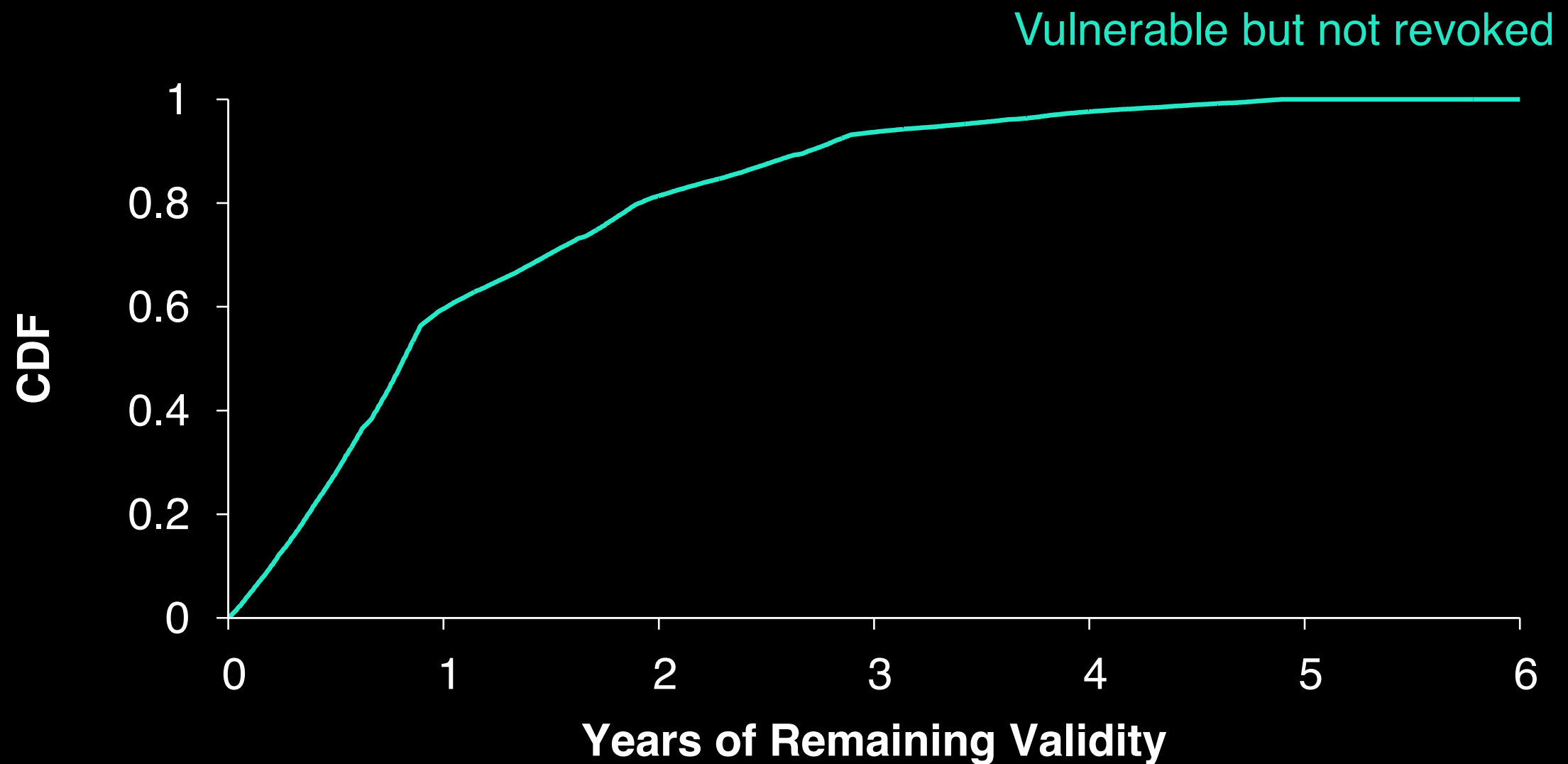
Security is supposed to be a fundamental design goal, but

- **Administrators** trade off security for *ease of maintenance/cost*
- **Certificate authorities** trade off security for *profit*

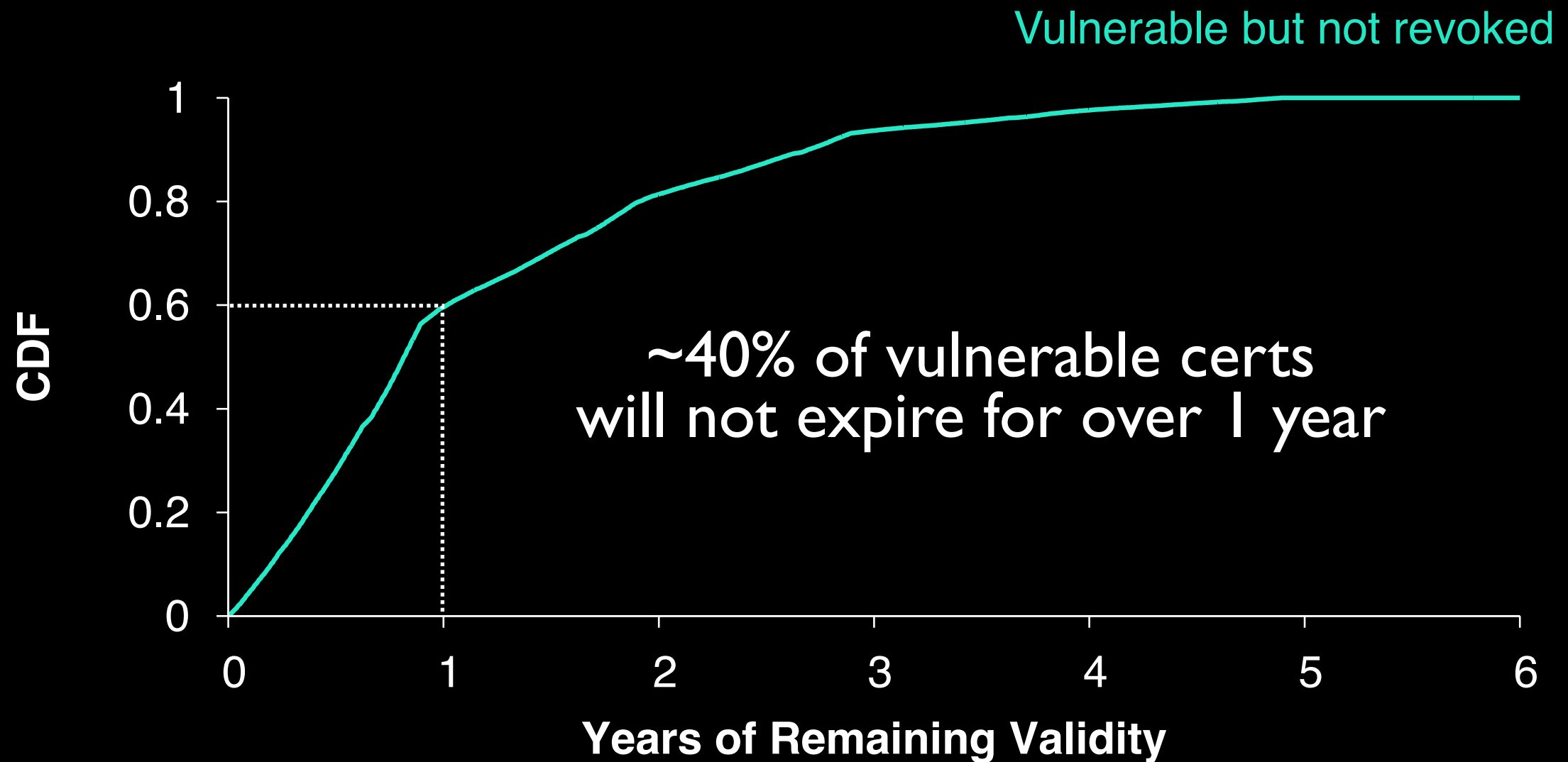
Can we wait for expiration?



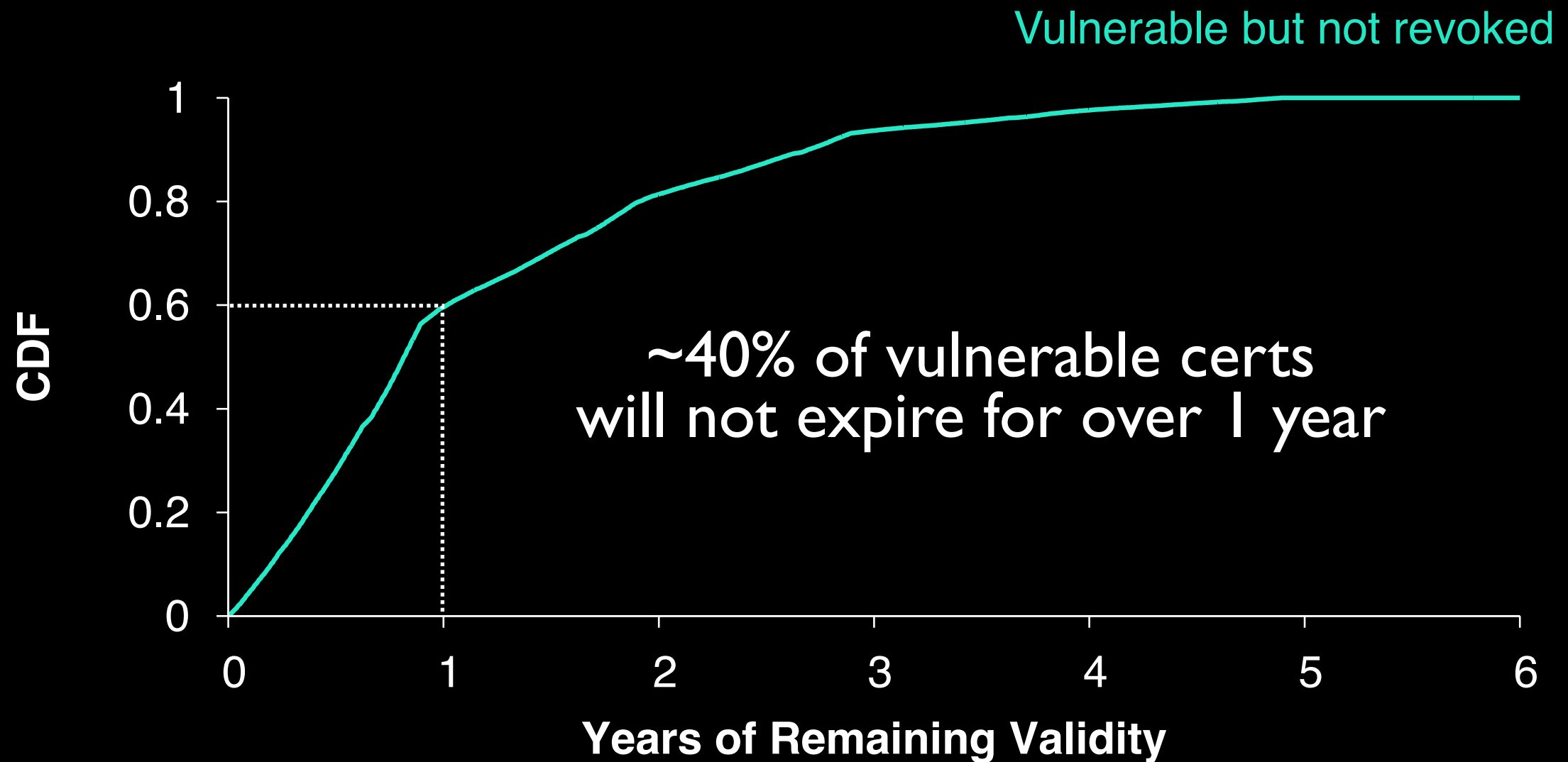
Can we wait for expiration?



Can we wait for expiration?



Can we wait for expiration?



We may be dealing with Heartbleed for years

Testing browser behavior

Revocation protocols

- Browsers *should* support all major protocols
 - CRLs, OCSP, OCSP stapling

Availability of revocation info

- Browsers *should* reject certs they cannot check
 - E.g., because the OCSP server is down

Chain lengths

- Browsers *should* reject a cert if *any* on the chain fail
 - Leaf, intermediate(s), root

Testing browser behavior

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Root 

Intermediate

...

Intermediate

Leaf



Testing browser behavior

Revocation
protocols

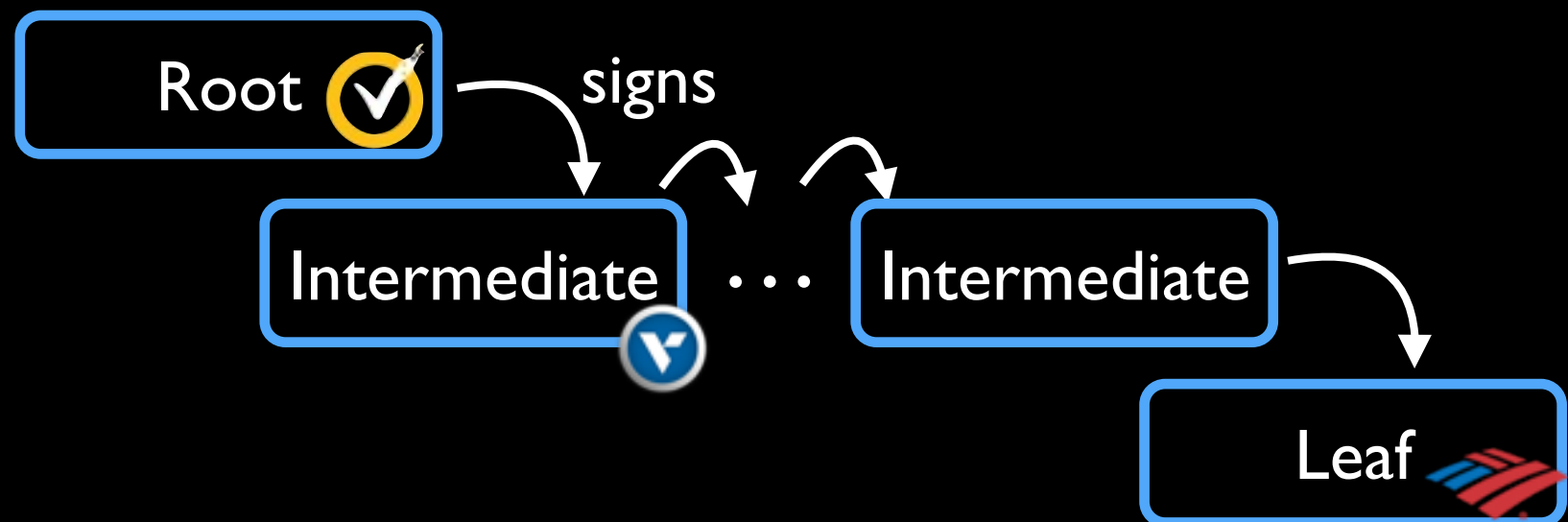
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Testing browser behavior

Revocation
protocols

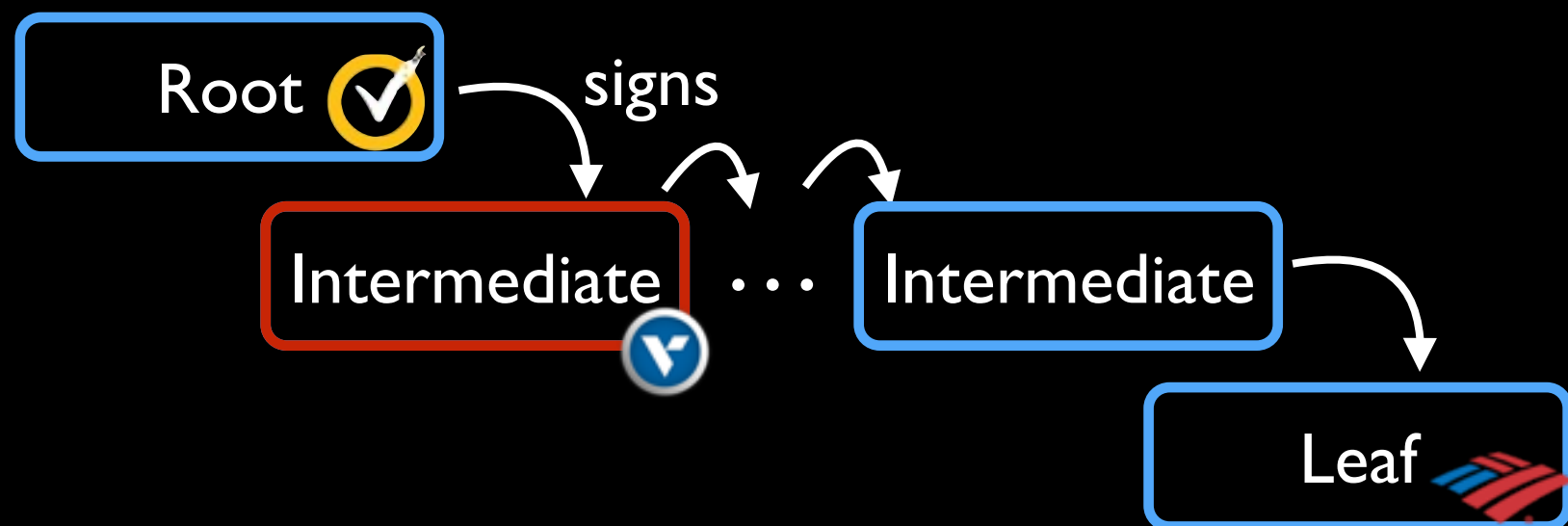
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Testing browser behavior

Revocation
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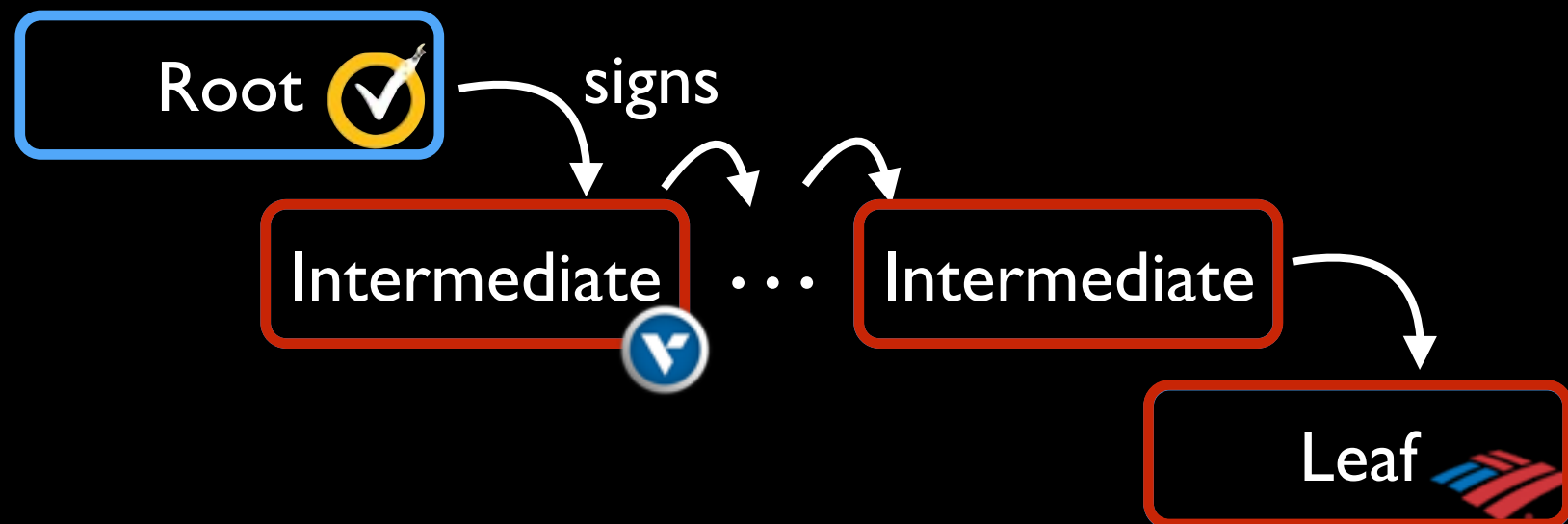
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 - Leaf, intermediate(s), root



Results across all browsers

		Desktop Browsers								Mobile Browsers				
		Chrome 42			Firefox	Opera		Safari	IE		iOS	Andr. 4.1–5.1		IE
		OS X	Win.	Linux	35–37	12.17	28.0	6–8	7–9	10–11	6–8	Stock	Chrome	8.0
CRL														
Int. 1	Revoked	EV	✓	EV	✗	✓	✓	✓	✓	✓	✗	✗	✗	✗
	Unavailable	EV	✓	–	✗	✗	✓	✓	✓	✓	✗	✗	✗	✗
Int. 2+	Revoked	EV	EV	EV	✗	✓	✓	✓	✓	✓	✗	✗	✗	✗
	Unavailable	✗	✗	–	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗
Leaf	Revoked	EV	EV	EV	✗	✓	✓	✓	✓	✓	✗	✗	✗	✗
	Unavailable	✗	✗	–	✗	✗	✗	✗	✗	A	✗	✗	✗	✗
OCSP														
Int. 1	Revoked	EV	EV	EV	EV	✗	✓	✓	✓	✓	✗	✗	✗	✗
	Unavailable	✗	✗	–	✗	✗	L/W	✗	✓	✓	✗	✗	✗	✗
Int. 2+	Revoked	EV	EV	EV	EV	✗	✓	✓	✓	✓	✗	✗	✗	✗
	Unavailable	✗	✗	–	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗
Leaf	Revoked	EV	EV	EV	✓	✓	✓	✓	✓	✓	✗	✗	✗	✗
	Unavailable	✗	✗	–	✗	✗	✗	✗	✗	A	✗	✗	✗	✗
OCSP Stapling														
Request OCSP Staple		✓	✓	✓	✓	✓	✓	✗	✓	✓	✗	I	I	✗
Respect Revoked Staple		✗	✓	–	✓	✓	L/W	–	✓	✓	–	–	–	–

✓ Passes test

✗ Fails test

EV Passes for EV certs

I Ignores OCSP Staple

A Pops up alert to user

L/W Passes on Linux/Win.

Results across all browsers



		Chrome 42		
		OS X	Win.	Linux
CRL				
Int. 1	Revoked	EV	✓	EV
	Unavailable	EV	✓	—
Int. 2+	Revoked	EV	EV	EV
	Unavailable	✗	✗	—
Leaf	Revoked	EV	EV	EV
	Unavailable	✗	✗	—
OCSP				
Int. 1	Revoked	EV	EV	EV
	Unavailable	✗	✗	—
Int. 2+	Revoked	EV	EV	EV
	Unavailable	✗	✗	—
Leaf	Revoked	EV	EV	EV
	Unavailable	✗	✗	—
OCSP Stapling				
Request OCSP Staple		✓	✓	✓
Respect Revoked Staple		✗	✓	—

Generally, only checks for EV certs
~3% of all certs

Allows if revocation info unavailable

Supports OCSP stapling

✓ Passes test

✗ Fails test

EV Passes for EV certs

I Ignores OCSP Staple

A Pops up alert to user

L/W Passes on Linux/Win.

Results across all browsers



Firefox

Never checks CRLs

Only checks intermediates for EV certs

Allows if revocation info unavailable

Supports OCSP stapling

		Desktop Firefox 35-37
CRL		
Int. 1	Revoked	✗
	Unavailable	✗
Int. 2+	Revoked	✗
	Unavailable	✗
Leaf	Revoked	✗
	Unavailable	✗
OCSP		
Int. 1	Revoked	EV
	Unavailable	✗
Int. 2+	Revoked	EV
	Unavailable	✗
Leaf	Revoked	✓
	Unavailable	✗
OCSP Stapling		
Request OCSP Staple		✓
Respect Revoked Staple		✓

✓ Passes test

✗ Fails test

EV Passes for EV certs

I Ignores OCSP Staple

A Pops up alert to user

L/W Passes on Linux/Win.

Results across all browsers



Safari

		Safari 6-8
CRL		
Int. 1	Revoked	✓
	Unavailable	✓
Int. 2+	Revoked	✓
	Unavailable	✗
Leaf	Revoked	✓
	Unavailable	✗
OCSP		
Int. 1	Revoked	✓
	Unavailable	✗
Int. 2+	Revoked	✓
	Unavailable	✗
Leaf	Revoked	✓
	Unavailable	✗
OCSP Stapling		
Request OCSP Staple		✗
Respect Revoked Staple		—

Checks CRLs and OCSP

Allows if revocation info unavailable
Except for first intermediate, for CRLs

Does *not* support OCSP stapling

✓ Passes test

✗ Fails test

EV Passes for EV certs

I Ignores OCSP Staple

A Pops up alert to user

L/W Passes on Linux/Win.

Results across all browsers



Internet Explorer

Checks CRLs *and* OCSP

Often rejects if revocation info unavailable
Pops up alert for leaf in IE 10+

Supports OCSP stapling

		IE	
		7-9	10-11
CRL			
Int. 1	Revoked	✓	✓
	Unavailable	✓	✓
Int. 2+	Revoked	✓	✓
	Unavailable	✗	✗
Leaf	Revoked	✓	✓
	Unavailable	✗	A
OCSP			
Int. 1	Revoked	✓	✓
	Unavailable	✓	✓
Int. 2+	Revoked	✓	✓
	Unavailable	✗	✗
Leaf	Revoked	✓	✓
	Unavailable	✗	A
OCSP Stapling			
Request OCSP Staple		✓	✓
Respect Revoked Staple		✓	✓

✓ Passes test

✗ Fails test

EV Passes for EV certs

I Ignores OCSP Staple

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L/W Passes on Linux/Win.

Results across all browsers

		Mobile Browsers			IE
		iOS 6–8	Andr. 4.1–5.1 Stock Chrome		8.0
CRL					
Int. 1	Revoked	✗	✗	✗	✗
	Unavailable	✗	✗	✗	✗
Int. 2+	Revoked	✗	✗	✗	✗
	Unavailable	✗	✗	✗	✗
Leaf	Revoked	✗	✗	✗	✗
	Unavailable	✗	✗	✗	✗
OCSP					
Int. 1	Revoked	✗	✗	✗	✗
	Unavailable	✗	✗	✗	✗
Int. 2+	Revoked	✗	✗	✗	✗
	Unavailable	✗	✗	✗	✗
Leaf	Revoked	✗	✗	✗	✗
	Unavailable	✗	✗	✗	✗
OCSP Stapling					
Request OCSP Staple		✗	I	I	✗
Respect Revoked Staple		—	—	—	—



Mobile Browsers

Uniformly *never* check

Android browsers request Staple
...and promptly ignore it

✓ Passes test

✗ Fails test

EV Passes for EV certs

I Ignores OCSP Staple

A Pops up alert to user

L/W Passes on Linux/Win.