# APPLIED MECHANISM DESIGN FOR SOCIAL GOOD

**JOHN P DICKERSON** 

Lecture #29 - 5/10/2018

CMSC828M Tuesdays & Thursdays 9:30am – 10:45am



### REMINDERS

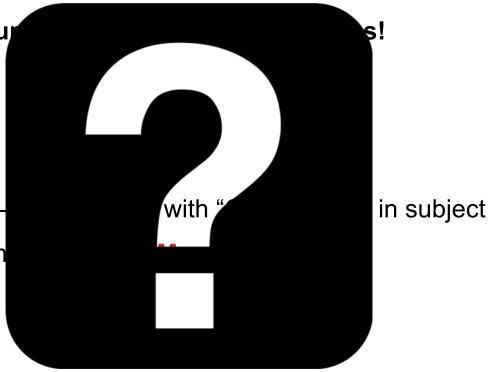
This is the last lectur

#### Final exam:

- (Piazza post)
- Just like midterm -
- Submit your exam

#### **Final project:**

- (Piazza post)
- Submit your project writeups by 5pm on Wednesday, May 16<sup>th</sup>!



# **COURSE EVALUATIONS**

Please please please fill them out!

This is only the second time CMSC828M has been offered

Want to make it better!

Completely anonymous

No impact on your grade

Response Rate			
	Responded	Invited	% Rate
Students	18	34	52.94%



This is all I see until after I've submitted your final grades!



# APPLYING "APPLIED" MECHANISM DESIGN

### **INFORMED CONSENT**

"Respect for persons" – cornerstone value for any conception of research ethics

Informed consent de facto way to "operationalize" that principle

- Integral component of medical research for many decades
- Applicable for any research where "personal information" is divulged or human experimentation performed
- Institutional Review Boards (IRBs) in charge of implementing

#### How it translates into the "big data" world?

Largely ignored by most researchers

# **HISTORY**

Systematic scientific experimentation on human subjects rare and isolated prior to the late 19th century

Some early directives in late 19th century and early 20th century

 Prussian directive in 1900: any medical intervention for any purpose other than diagnosis, healing, and immunisation must obtain "unambiguous consent" from patients after "proper explanation of the possible negative consequences" of the intervention

#### Nuremberg Code, drafted after conclusion of Nazi Doctors' trials:

- established a universal ethical framework for clinical research
- "the voluntary consent of the human subject is absolutely essential" to ethical research
- Detailed specific guidelines on what to present to subjects (nature/duration/purpose, how conducted, effects on health, etc)

# **HISTORY**

Salgo v Leland Stanford etc. Board of Trustees (1957) ... cited as establishing the legal doctrine of informed consent for medical practice and biomedical research in the United States

 Plaintiff was awarded damages for not receiving full disclosure of facts

#### In 1953: NIH put the first IRB in place in its own hospital

- ... voluntary agreement based on informed understanding shall be obtained from the patient
- ... will be given an oral explanation in terms suited for his comprehension
- Only required a voluntary signed statement if the procedure involved "unusual hazard."

# **HISTORY**

#### A more detailed list of requirements emerged later

- 1) A fair explanation of the procedures to be followed, including an identification of those which are experimental;
- 2) A description of the attendant discomforts and risks;
- 3) A description of the benefits to be expected;
- 4) A disclosure of appropriate alternative procedures that would be advantageous for the subject;
- 5) An offer to answer any inquires concerning the procedures;
- 6) An instruction that the subject is free to withdraw his consent and to discontinue participation in the project or activity at any time

# "Common Rule" – codification of "respect for persons, beneficence, and justice"

- Regulates use of human subjects in US today
- More elaborate treatment of all of these aspects

# NON-MEDICAL RESEARCH

Unclear how the rules translate to other types of research

Identifying harm or potential risks difficult

Requirements and experiments change over the course of the study

The list of subjects itself evolving

CS has rarely had to deal with IRBs

Although changing ...

### **INDUSTRY RESEARCH**

Less distinction between conventional or academic social scientific research and industry- or market-oriented research

Data fusion can lead to new insights and uses of data

Hard to translate the "informed consent" requirements to these settings

# CASE STUDY: FACEBOOK EMOTIONAL EXPERIMENT

Facebook routinely does A/B testing to test out new features (e.g., layouts, features, fonts, etc)

In 2014: intentionally manipulated news feeds of 700k users

- Changed the number of positive and negative stories the users saw
- Measured how the users themselves posted after that

Hypothesis: Emotions spread over the social media

**Huge outcry** 

Facebook claims it gets the "consent" from the user agreement

### **OKCUPID EXPERIMENTS**

#### **Experiment 1: Love is Blind**

- Turned off photos for a day
- Activity went way down, but deeper conversations, better responses
- Deeper analysis at the link below

#### **Experiment 2:**

- Turned off text or not kept picture
- Strong support for the hypothesis that the words don't matter

#### **Experiment 3: Power of Suggestion**

Told people opposite of what the algorithm suggested

https://theblog.okcupid.com/we-experiment-on-human-beings-5dd9fe280cd5

# **GDPR AND CONSENT**

# General Data Protection Regulation – new law in EU to take effect next year

#### Requires unambiguous consent

- data subjects are provided with a clear explanation of the processing to which they are consenting
- the consent mechanism is genuinely of a voluntary and "optin" nature
- data subjects are permitted to withdraw their consent easily
- the organisation does not rely on silence or inactivity to collect consent (e.g., pre-ticked boxes do not constitute valid consent);



# **WRAPPING UP**



# PEOPLE YOU SHOULD TALK TO

#### MohammadTaghi HajiAghayi

Fair division, security games, auction theory

#### Me!

Ethics & diversity in matching markets, barter exchange, FATML

#### Larry Ausubel (Economics)

Incentive auctions (he ran the FCC auction)

#### **Peter Cramton (Economics)**

#### Dana Nau + Tom Goldstein

Game theory & behavioral stuff

#### Hal Daume + Jordan Boyd-Graber

FATML, intention behind action



# **GROUPS YOU SHOULD TALK TO**

#### **Google NYC**

Auctions

#### **Microsoft Research**

- Prediction markets
- Auctions
- Market design & society

#### **Facebook**

- Auctions
- Behavioral Econ

Lots, lots, lots more ...



# UPCOMING PLACES TO SUBMIT YOUR PROJECTS

#### **AAAI** (September)

game theory, AI/ML, ethics & AI

**CHI** (September)

human-computer interaction, AI impact on humans

FAT\* (October)

fairness in machine learning

**WWW** (October/November)

Internet stuff, big networks, auctions

NIPS Workshops (October/November)

various ML topics — https://nips.cc/Conferences/2017/Schedule?type=Workshop

**AAMAS** (November)

multi-agent systems, game theory

# **THANKS!**

HTTPS://WWW.COURSEEVALUM.UMD.EDU/