#### CSMC 412

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Set 15

## File System Implementation

- File-System Structure
- File-System Operations
- Directory Implementation
- Allocation Methods
- Free-Space Management
- Efficiency and Performance
- Recovery
- Example: WAFL File System

#### Objectives

- To describe the details of implementing local file systems and directory structures
- To describe the implementation of remote file systems
- To discuss block allocation and free-block algorithms and trade-offs

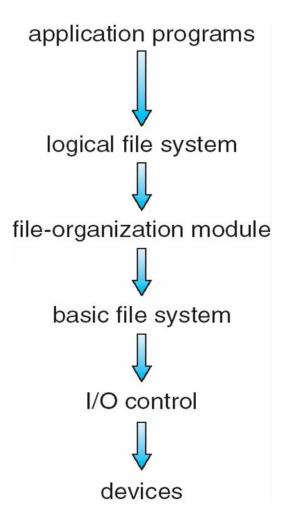
#### File system Implementation

- Defines
  - How files and directories are stored
  - How disk space is managed
  - How to make everything work efficiently and reliably

#### File-System Structure

- File structure
  - Logical storage unit
  - Collection of related information
- File system resides on secondary storage (disks)
  - Provided user interface to storage, mapping logical to physical
  - Provides efficient and convenient access to disk by allowing data to be stored, located, and retrieved easily
- Disk provides in-place rewrite and random access
  - I/O transfers performed in **blocks** of **sectors** (usually 512 bytes)
- File control block storage structure consisting of information about a file
- Device driver controls the physical device
- File system organized into layers

#### Layered File System



#### File System Layers

- Device drivers manage I/O devices at the I/O control layer
  - Given commands like "read drive1, cylinder 72, track 2, sector 10, into memory location 1060" outputs low-level hardware specific commands to hardware controller
- Basic file system given command like "retrieve block 123" translates to device driver
- Also manages memory buffers and caches (allocation, freeing, replacement)
  - Buffers hold data in transit
  - Caches hold frequently used data
- File organization module understands files, logical address, and physical blocks
- Translates logical block # to physical block #
- Manages free space, disk allocation

## File System Layers (Cont.)

- Logical file system manages metadata information
  - Translates file name into file number, file handle, location by maintaining file control blocks (inodes in UNIX)
  - Directory management
  - Protection
- Layering useful for reducing complexity and redundancy, but adds overhead and can decrease performance.
- Translates file name into file number, file handle, location by maintaining file control blocks (inodes in UNIX)
  - Logical layers can be implemented by any coding method according to OS designer

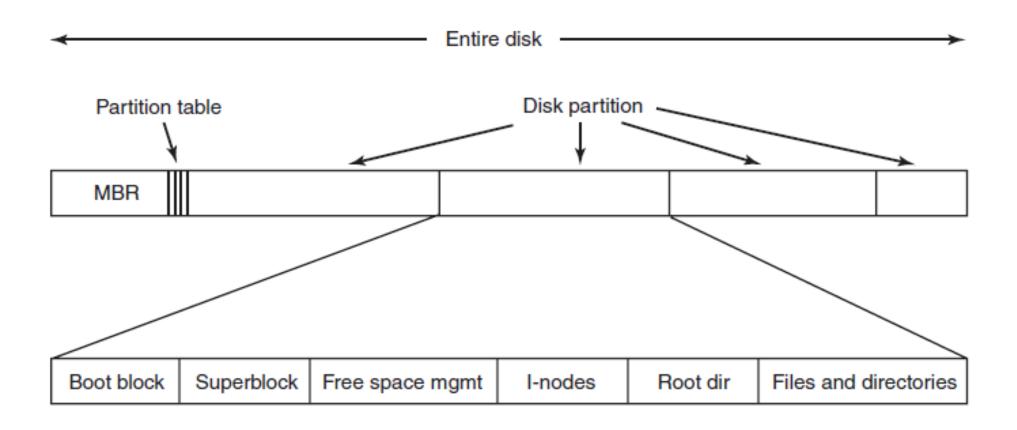
## File System Layers (Cont.)

- Many file systems, sometimes many within an operating system
  - Each with its own format (CD-ROM is ISO 9660; Unix has UFS, FFS; Windows has FAT, FAT32, NTFS as well as floppy, CD, DVD Blu-ray, Linux has more than 40 types, with extended file system ext2 and ext3 leading; plus distributed file systems, etc.)
  - New ones still arriving ZFS, GoogleFS, Oracle ASM, FUSE

#### File-System Implementation

- We have system calls at the API level, but how do we implement their functions?
  - On-disk and in-memory structures
- Boot control block contains info needed by system to boot OS from that volume
  - Needed if volume contains OS, usually first block of volume
- Volume control block (superblock, master file table) contains volume details
  - Total # of blocks, # of free blocks, block size, free block pointers or array
- Directory structure organizes the files
  - Names and inode numbers, master file table

## File System Layout



#### File System Layout

- MBR: Master Boot Record
- Partition table:
  - present at the end of MBR
  - Gives the starting and ending address of each partition
- Boot Block: When a computer is booted,
  - BIOS reads and executes MBR
  - Locates active partition
  - Reads the first block Boot Block and executes it.
    - Program in the boot block loads the operating system contained in that partition
  - Every partition contains a boot block even though it may not have a bootable OS

#### File-System Implementation (Cont.)

- Per-file File Control Block (FCB) contains many details about the file
  - inode number, permissions, size, dates
  - NFTS stores into in master file table using relational DB structures

file permissions

file dates (create, access, write)

file owner, group, ACL

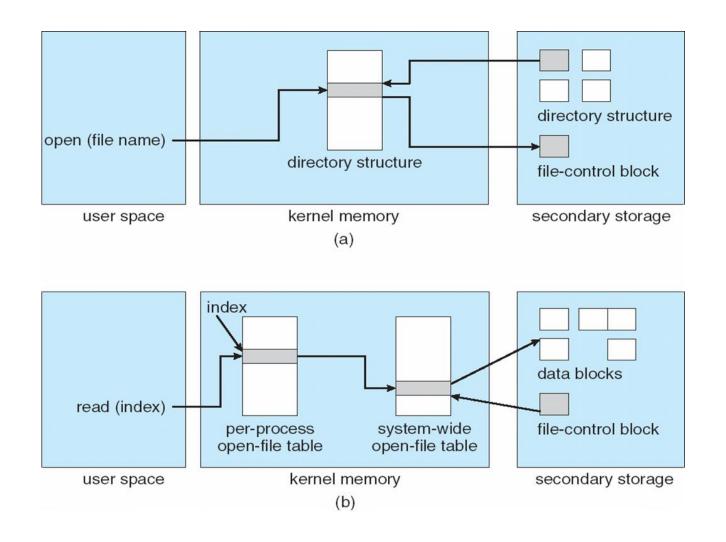
file size

file data blocks or pointers to file data blocks

#### In-Memory File System Structures

- Mount table storing file system mounts, mount points, file system types
- The following figure illustrates the necessary file system structures provided by the operating systems
- opening a file
- reading a file
- Plus buffers hold data blocks from secondary storage
- Open returns a file handle for subsequent use
- Data from read eventually copied to specified user process memory address

#### In-Memory File System Structures

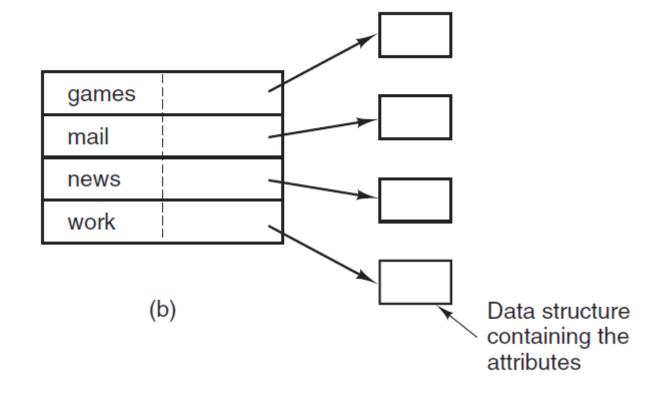


#### Directory Implementation

- Linear list of file names with pointer to the data blocks
  - Simple to program
  - Time-consuming to execute
    - Linear search time
    - Could keep ordered alphabetically via linked list or use B+ tree
- Hash Table linear list with hash data structure
  - Decreases directory search time
  - Collisions situations where two file names hash to the same location
  - Only good if entries are fixed size, or use chainedoverflow method

## Directory Implementation

games	attributes
mail	attributes
news	attributes
work	attributes

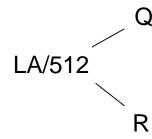


#### Allocation Methods - Contiguous

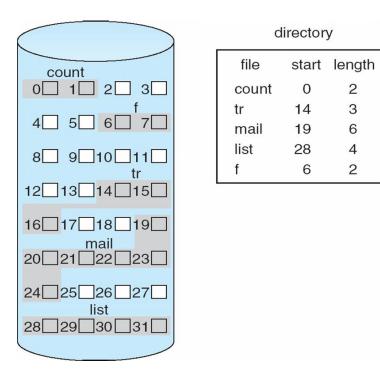
- An allocation method refers to how disk blocks are allocated for files:
- Contiguous allocation each file occupies set of contiguous blocks
  - Best performance in most cases
  - Simple only starting location (block #) and length (number of blocks) are required
  - Problems include finding space for file, knowing file size, external fragmentation, need for compaction off-line (downtime) or on-line

#### Contiguous Allocation

 Mapping from logical to physical



Block to be accessed = Q + starting address
Displacement into block = R



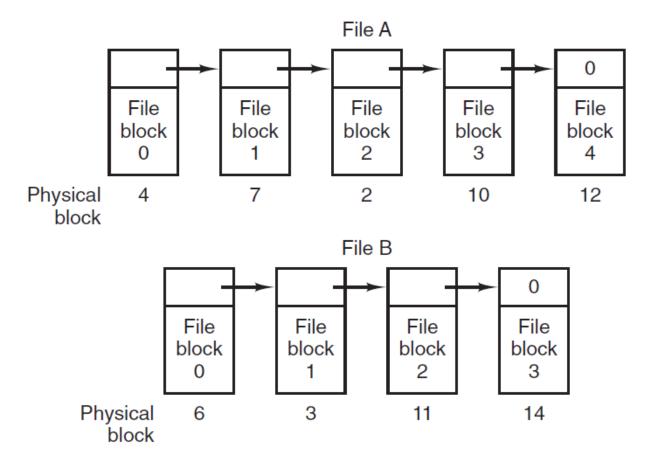
#### Extent-Based Systems

- Many newer file systems (i.e., Veritas File System) use a modified contiguous allocation scheme
- Extent-based file systems allocate disk blocks in extents
  - Allocate in contiguous chunk of space
- An extent is a contiguous block of disks sectors
  - Extents are allocated for file allocation

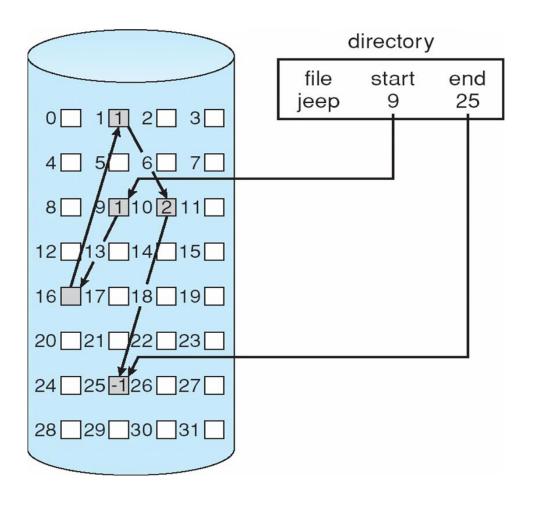
#### Allocation Methods - Linked

- Linked allocation each file a linked list of blocks
  - File ends at nil pointer
  - No external fragmentation
  - Each block contains pointer to next block
  - No compaction, external fragmentation
  - Free space management system called when new block needed
  - Improve efficiency by clustering blocks into groups but increases internal fragmentation
  - Reliability can be a problem
  - Locating a block can take many I/Os and disk seeks

#### Linked Allocation



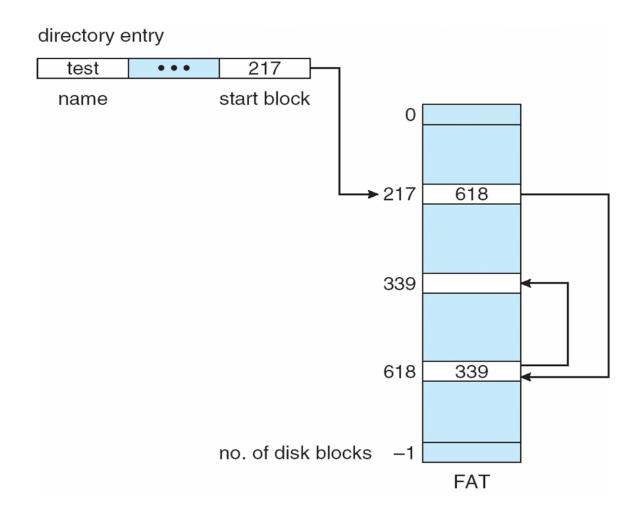
#### Linked Allocation



### Allocation Methods – Linked (Cont.)

- FAT (File Allocation Table) variation
  - Beginning of volume has table, indexed by block number
  - Much like a linked list, but faster on disk and cacheable
  - New block allocation simple

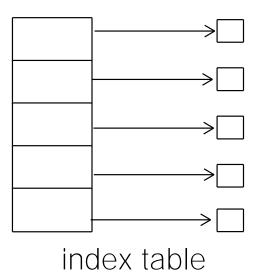
#### File-Allocation Table



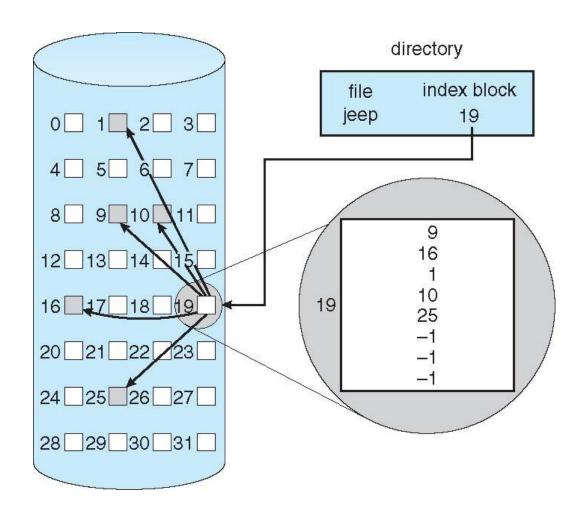
#### Allocation Methods - Indexed

- Indexed allocation
  - Each file has its own index block(s) of pointers to its data blocks

Logical view



#### Example of Indexed Allocation

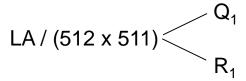


## Indexed Allocation (Cont.)

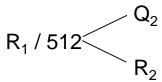
- Need index table
- Random access
- Dynamic access without external fragmentation, but have overhead of index block
- Mapping from logical to physical in a file of maximum size of 256K bytes and block size of 512 bytes. We need only 1 block for index table

## Indexed Allocation – Mapping (Cont.)

- Mapping from logical to physical in a file of unbounded length (block size of 512 words)
- Linked scheme Link blocks of index table (no limit on size)



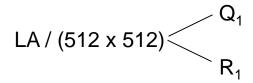
 $Q_1$  = block of index table  $R_1$  is used as follows:



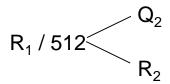
 $Q_2$  = displacement into block of index table  $R_2$  displacement into block of file:

#### Indexed Allocation – Mapping (Cont.)

• Two-level index (4K blocks could store 1,024 four-byte pointers in outer index -> 1,048,567 data blocks and file size of up to 4GB)

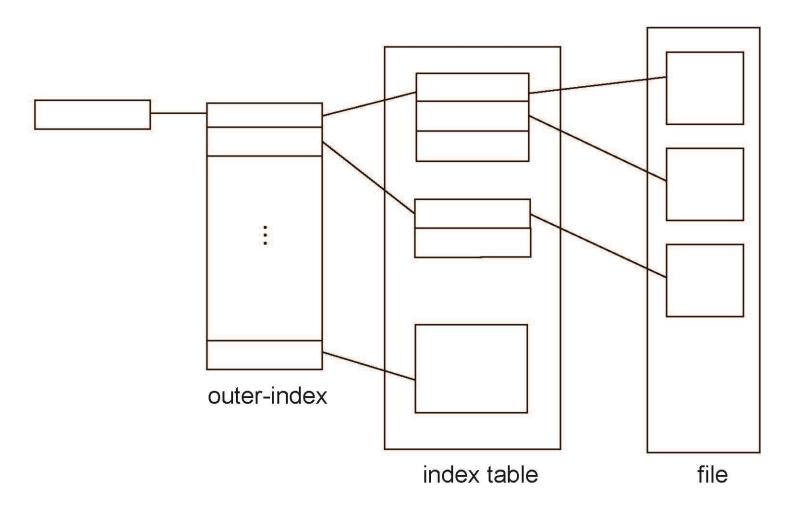


 $Q_1$  = displacement into outer-index  $R_1$  is used as follows:



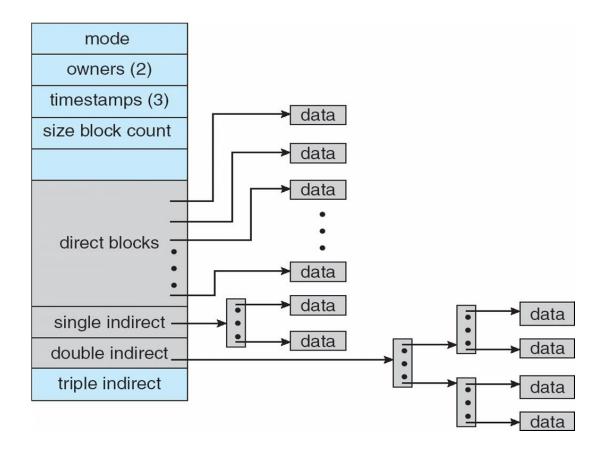
 $Q_2$  = displacement into block of index table  $R_2$  displacement into block of file:

# Indexed Allocation – Mapping (Cont.)



#### Combined Scheme: UNIX UFS

4K bytes per block, 32-bit addresses



More index blocks than can be addressed with 32-bit file pointer

#### Performance

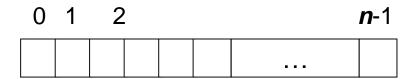
- Best method depends on file access type
  - Contiguous great for sequential and random
- Linked good for sequential, not random
- Declare access type at creation -> select either contiguous or linked
- Indexed more complex
  - Single block access could require 2 index block reads then data block read
  - Clustering can help improve throughput, reduce CPU overhead

## Performance (Cont.)

- Adding instructions to the execution path to save one disk I/O is reasonable
  - Intel Core i7 Extreme Edition 990x (2011) at 3.46Ghz = 159,000 MIPS
    - http://en.wikipedia.org/wiki/Instructions\_per\_second
  - Typical disk drive at 250 I/Os per second
    - 159,000 MIPS / 250 = 630 million instructions during one disk I/O
  - Fast SSD drives provide 60,000 IOPS
    - 159,000 MIPS / 60,000 = 2.65 millions instructions during one disk I/O

#### Free-Space Management

- File system maintains free-space list to track available blocks/clusters
  - (Using term "block" for simplicity)
- Bit vector or bit map (n blocks)



$$bit[i] = \begin{cases} 1 \Rightarrow block[i] \text{ free} \\ 0 \Rightarrow block[i] \text{ occupied} \end{cases}$$

#### Block number calculation

(number of bits per word) \* (number of 0-value words) + offset of first 1 bit

CPUs have instructions to return offset within word of first "1" bit

### Free-Space Management (Cont.)

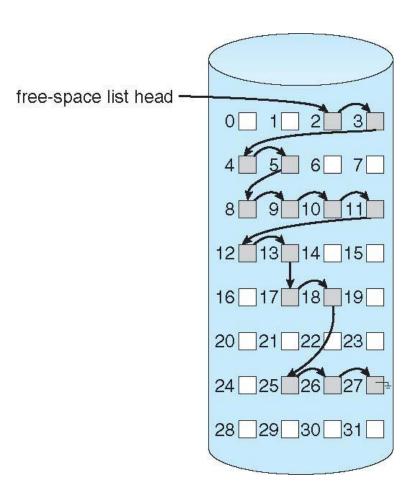
- Bit map requires extra space
  - Example:

```
block size = 4KB = 2^{12} bytes
disk size = 2^{40} bytes (1 terabyte)
n = 2^{40}/2^{12} = 2^{28} bits (or 32MB)
if clusters of 4 blocks -> 8MB of memory
```

Easy to get contiguous files

#### Linked Free Space List on Disk

- Linked list (free list)
  - Cannot get contiguous space easily
  - No waste of space
  - No need to traverse the entire list (if # free blocks recorded)



# Free-Space Management (Cont.)

#### Grouping

 Modify linked list to store address of next n-1 free blocks in first free block, plus a pointer to next block that contains free-block-pointers (like this one)

#### Counting

- Because space is frequently contiguously used and freed, with contiguousallocation, extents, or clustering
  - Keep address of first free block and count of following free blocks
  - Free space list then has entries containing addresses and counts

# Efficiency and Performance

- Efficiency dependent on:
  - Disk allocation and directory algorithms
  - Types of data kept in file's directory entry
  - Pre-allocation or as-needed allocation of metadata structures
  - Fixed-size or varying-size data structures

# Efficiency and Performance (Cont.)

#### Performance

- Keeping data and metadata close together
- Buffer cache separate section of main memory for frequently used blocks
- Synchronous writes sometimes requested by apps or needed by OS
  - No buffering / caching writes must hit disk before acknowledgement
  - Asynchronous writes more common, buffer-able, faster
- Free-behind and read-ahead techniques to optimize sequential access
- Reads frequently slower than writes

## Page Cache

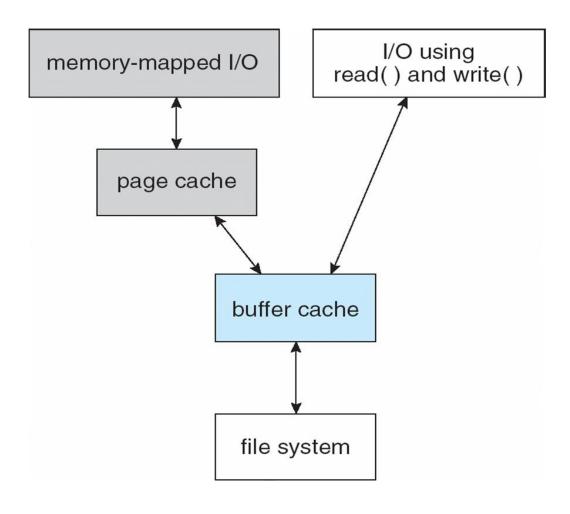
 A page cache caches pages rather than disk blocks using virtual memory techniques and addresses

Memory-mapped I/O uses a page cache

• Routine I/O through the file system uses the buffer (disk) cache

This leads to the following figure

#### I/O Without a Unified Buffer Cache

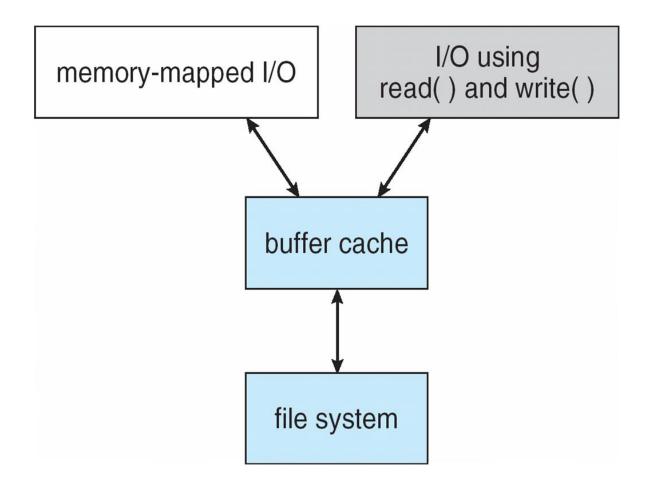


#### Unified Buffer Cache

 A unified buffer cache uses the same page cache to cache both memory-mapped pages and ordinary file system I/O to avoid double caching

But which caches get priority, and what replacement algorithms to use?

## I/O Using a Unified Buffer Cache



## Recovery

- Consistency checking compares data in directory structure with data blocks on disk, and tries to fix inconsistencies
  - Can be slow and sometimes fails
- Use system programs to back up data from disk to another storage device (magnetic tape, other magnetic disk, optical)
- Recover lost file or disk by restoring data from backup

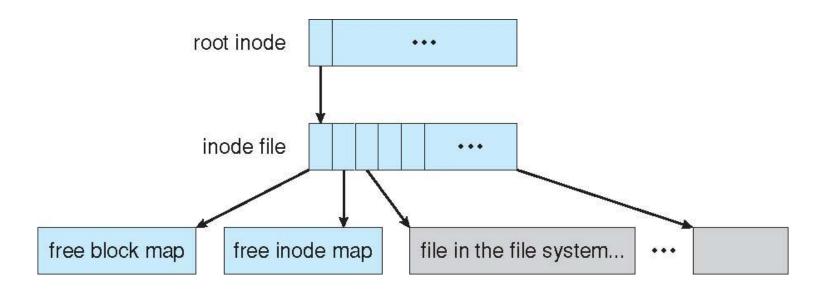
# Log Structured File Systems

- Log structured (or journaling) file systems record each metadata update to the file system as a transaction
- All transactions are written to a log
  - A transaction is considered committed once it is written to the log (sequentially)
  - Sometimes to a separate device or section of disk
  - However, the file system may not yet be updated
- The transactions in the log are asynchronously written to the file system structures
  - When the file system structures are modified, the transaction is removed from the log
- If the file system crashes, all remaining transactions in the log must still be performed
- Faster recovery from crash, removes chance of inconsistency of metadata

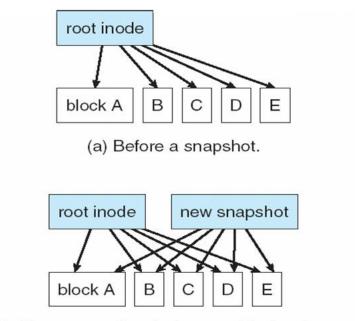
## Example: WAFL File System

- Used on Network Appliance "Filers" distributed file system appliances
- "Write-anywhere file layout"
- Serves up NFS, CIFS, http, ftp
- Random I/O optimized, write optimized
  - NVRAM for write caching
- Similar to Berkeley Fast File System, with extensive modifications

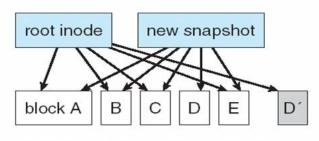
## The WAFL File Layout



## Snapshots in WAFL



(b) After a snapshot, before any blocks change.



(c) After block D has changed to D'.