

CMSC 330: Organization of Programming Languages

DFAs, and NFAs, and Regexp
(Oh my!)

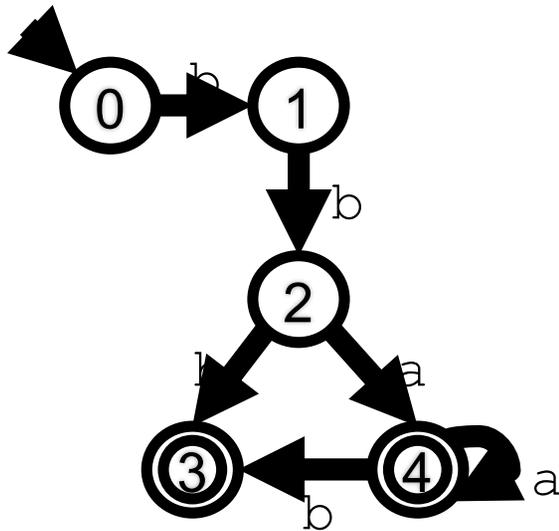
Types of Finite Automata

- ▶ **Deterministic** Finite Automata (DFA)
 - Exactly one sequence of steps for each string
 - All examples so far
- ▶ **Nondeterministic** Finite Automata (NFA)
 - May have many sequences of steps for each string
 - Accepts if **any path** ends in final state at end of string
 - More compact than DFA
 - But more expensive to test whether a string matches

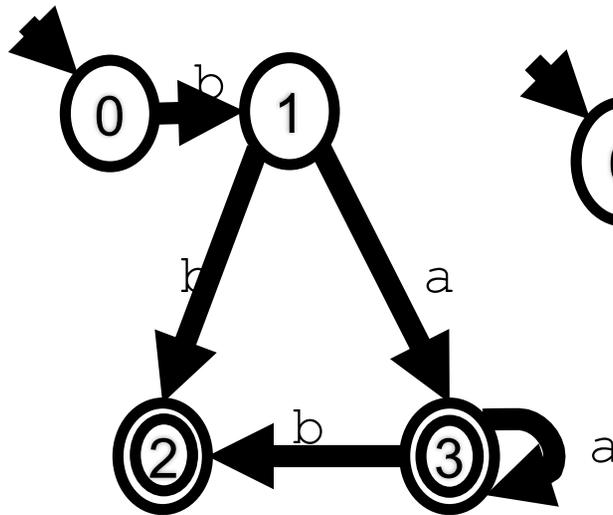
Quiz 1: Which DFA matches this regexp?

$b(b|a+b?)$

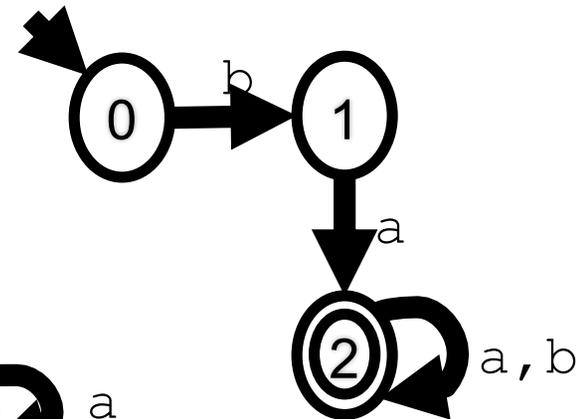
A.



B.



C.

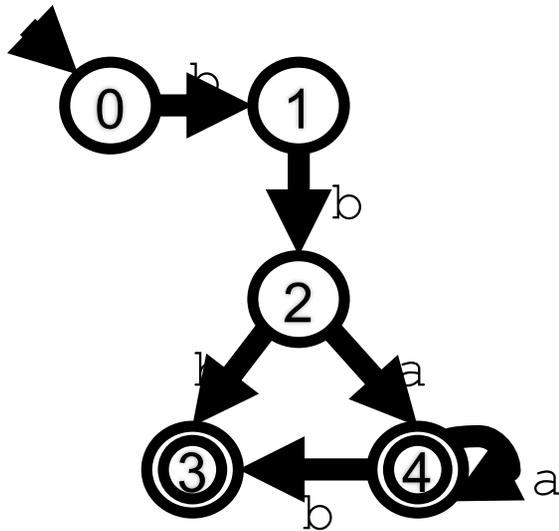


D. None of the above

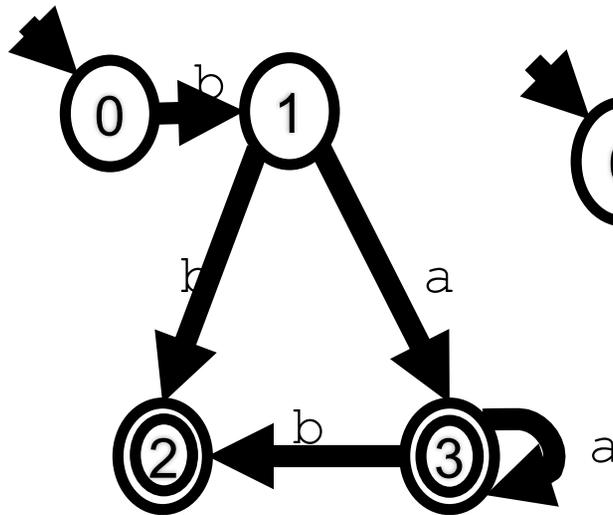
Quiz 1: Which DFA matches this regexp?

b (b | a+b?)

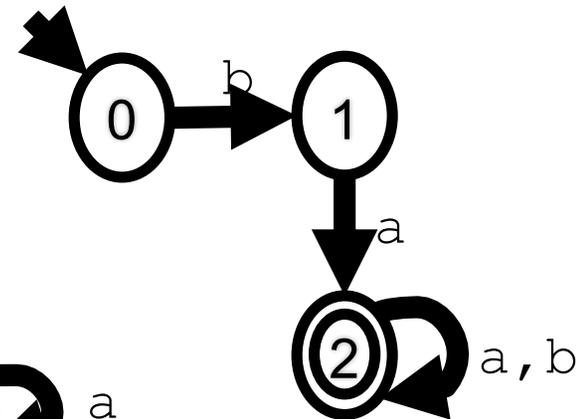
A.



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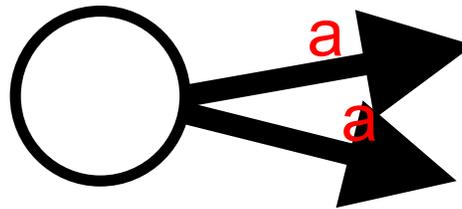
C.



D. None of the above

Comparing DFAs and NFAs

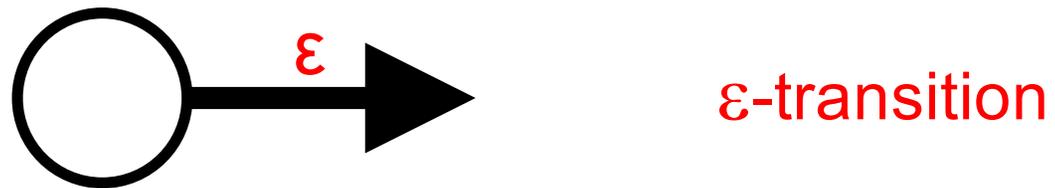
- ▶ NFAs can have **more** than one transition leaving a state on the same symbol



- ▶ DFAs allow only one transition per symbol
 - I.e., transition function must be a valid function
 - DFA is a special case of NFA

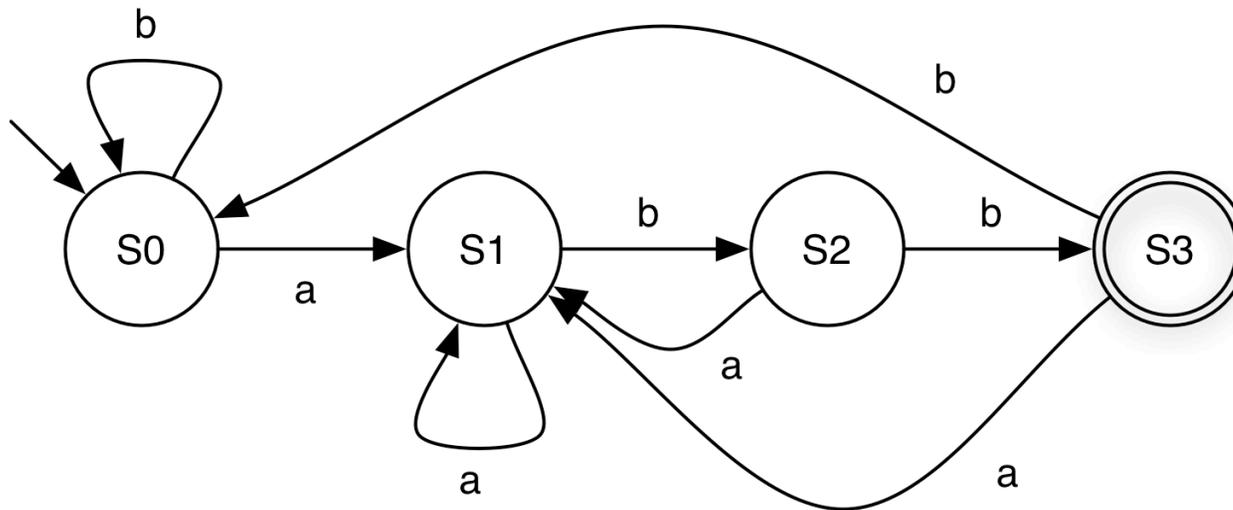
Comparing DFAs and NFAs (cont.)

- ▶ NFAs may have transitions with empty string label
 - May move to new state without consuming character

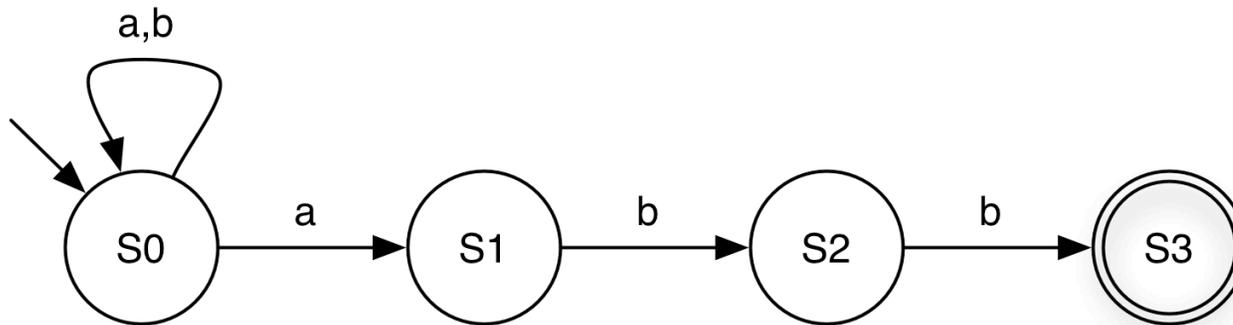


- ▶ DFA transition must be labeled with symbol
 - DFA is a special case of NFA

DFA for $(a|b)^*abb$



NFA for $(a|b)^*abb$



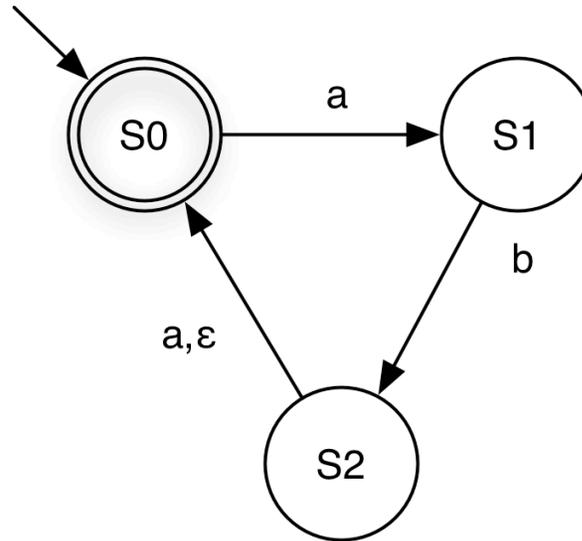
▶ ba

- Has paths to either S0 or S1
- Neither is final, so rejected

▶ babaabb

- Has paths to different states
- One path leads to S3, so accepts string

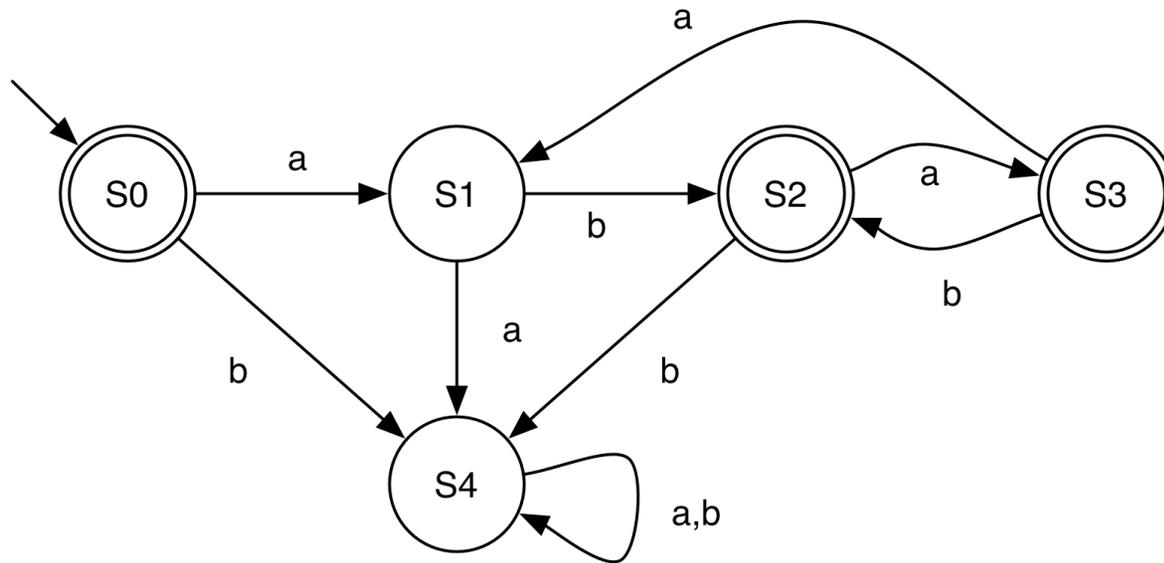
NFA for $(ab|aba)^*$



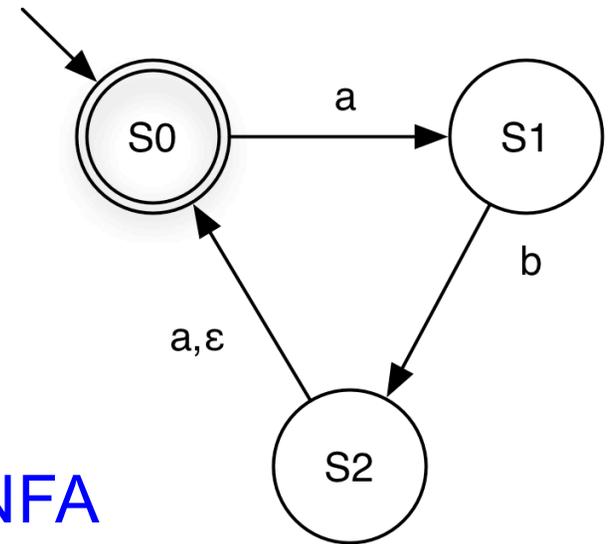
- ▶ **aba**
 - Has paths to states S0, S1
- ▶ **ababa**
 - Has paths to S0, S1
 - Need to use ϵ -transition

Comparing NFA and DFA for $(ab|aba)^*$

DFA



NFA



NFA Acceptance Algorithm Sketch

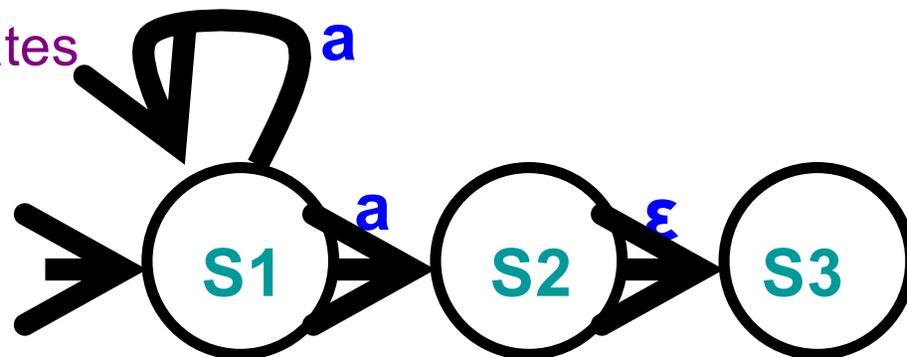
- ▶ When NFA processes a string s
 - NFA must keep track of several “current states”
 - Due to multiple transitions with same label
 - ϵ -transitions
 - If any current state is final when done then accept s
- ▶ Example
 - After processing “a”

- NFA may be in states

S1

S2

S3



Formal Definition

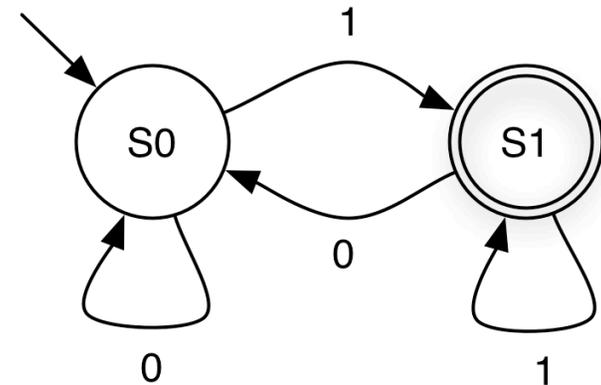
- ▶ A **deterministic finite automaton** (*DFA*) is a 5-tuple $(\Sigma, Q, q_0, F, \delta)$ where
 - Σ is an alphabet
 - Q is a nonempty set of states
 - $q_0 \in Q$ is the start state
 - $F \subseteq Q$ is the set of final states
 - $\delta : Q \times \Sigma \rightarrow Q$ specifies the DFA's transitions
 - What's this definition saying that δ is?
- ▶ A DFA accepts s if it **stops** at a final state on s

Formal Definition: Example

- $\Sigma = \{0, 1\}$
- $Q = \{S0, S1\}$
- $q_0 = S0$
- $F = \{S1\}$
-

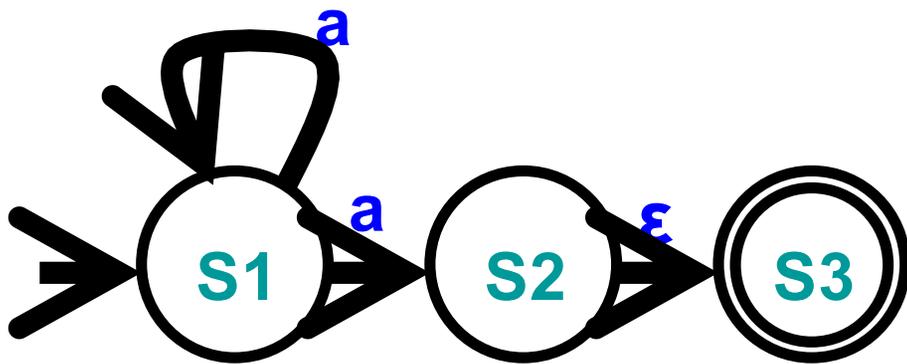
		symbol	
		0	1
input state	S0	S0	S1
	S1	S0	S1

or as $\{ (S0,0,S0),(S0,1,S1),(S1,0,S0),(S1,1,S1) \}$



Nondeterministic Finite Automata (NFA)

- ▶ An *NFA* is a 5-tuple $(\Sigma, Q, q_0, F, \delta)$ where
 - Σ, Q, q_0, F as with DFAs
 - $\delta \subseteq Q \times (\Sigma \cup \{\epsilon\}) \times Q$ specifies the NFA's transitions



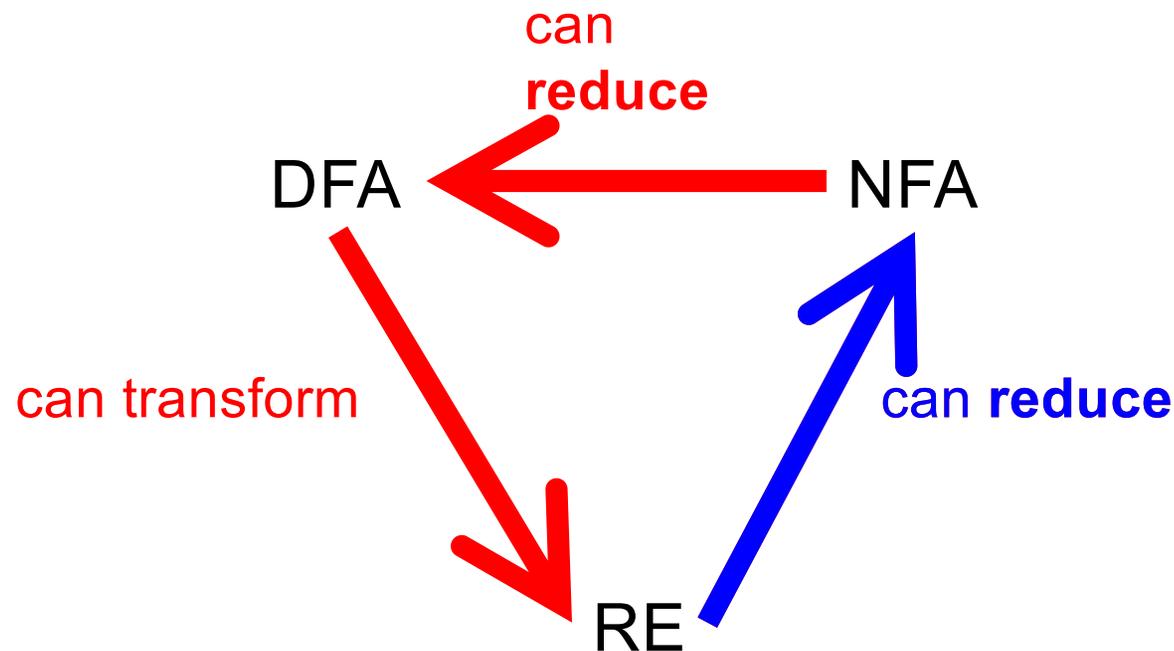
- $\Sigma = \{a\}$
- $Q = \{S1, S2, S3\}$
- $q_0 = S1$
- $F = \{S3\}$
- $\delta = \{ (S1,a,S1), (S1,a,S2), (S2,\epsilon,S3) \}$

Example

- ▶ An NFA accepts s if there is **at least one path** via s from the NFA's start state to a final state

Relating REs to DFAs and NFAs

- ▶ Regular expressions, NFAs, and DFAs accept the same languages!

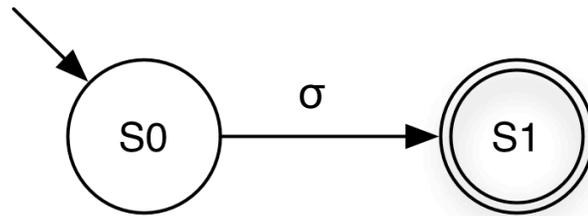


Reducing Regular Expressions to NFAs

- ▶ Goal: Given regular expression A , construct NFA: $\langle A \rangle = (\Sigma, Q, q_0, F, \delta)$
 - Remember regular expressions are defined recursively from primitive RE languages
 - Invariant: $|F| = 1$ in our NFAs
 - Recall F = set of final states
- ▶ Will define $\langle A \rangle$ for base cases: σ , ε , \emptyset
 - Where σ is a symbol in Σ
- ▶ And for inductive cases: AB , $A|B$, A^*

Reducing Regular Expressions to NFAs

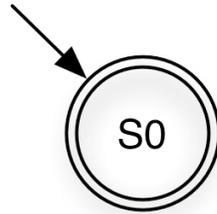
- ▶ Base case: σ



$$\langle \sigma \rangle = (\{\sigma\}, \{S0, S1\}, S0, \{S1\}, \{(S0, \sigma, S1)\})$$

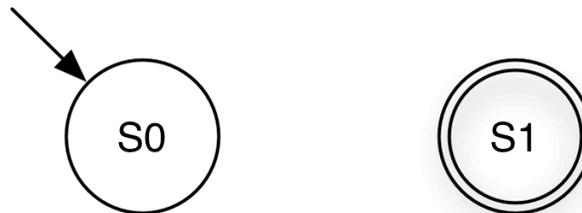
Reduction

- ▶ Base case: ϵ



$$\langle \epsilon \rangle = (\emptyset, \{S0\}, S0, \{S0\}, \emptyset)$$

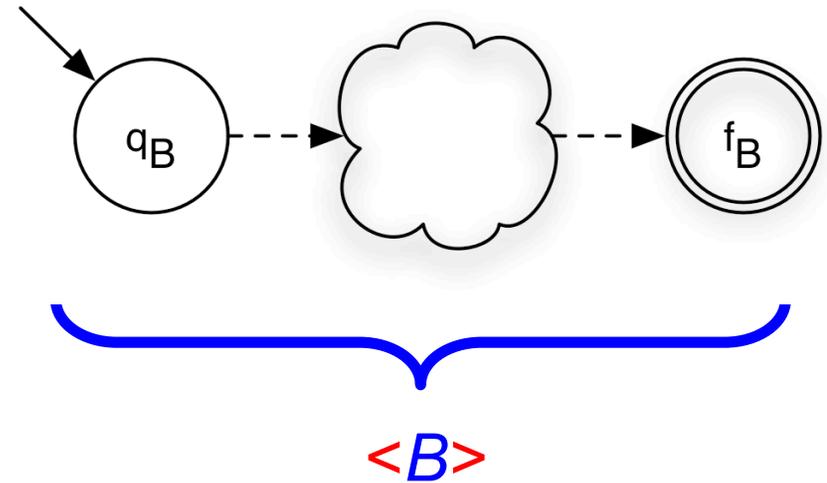
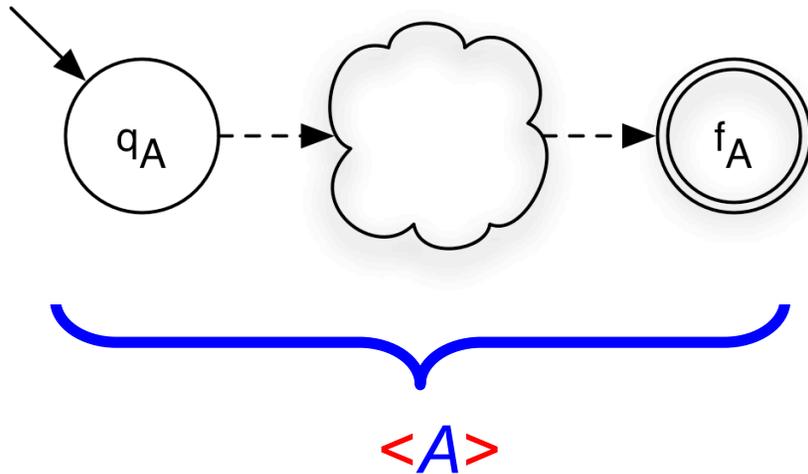
- ▶ Base case: \emptyset



$$\langle \emptyset \rangle = (\emptyset, \{S0, S1\}, S0, \{S1\}, \emptyset)$$

Reduction: Concatenation

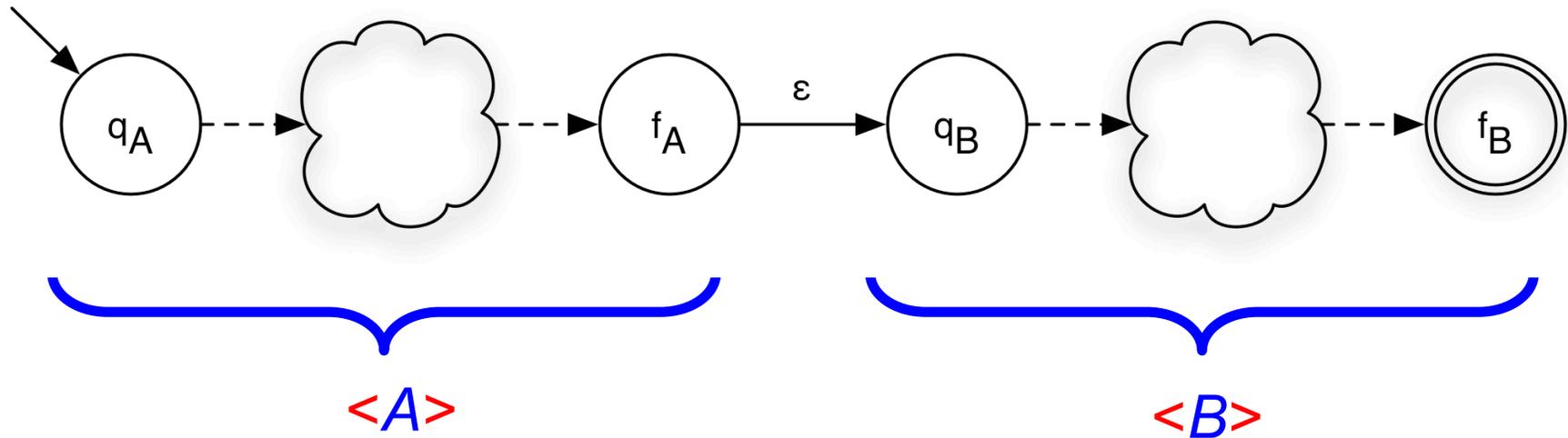
► Induction: AB



- $\langle A \rangle = (\Sigma_A, Q_A, q_A, \{f_A\}, \delta_A)$
- $\langle B \rangle = (\Sigma_B, Q_B, q_B, \{f_B\}, \delta_B)$

Reduction: Concatenation

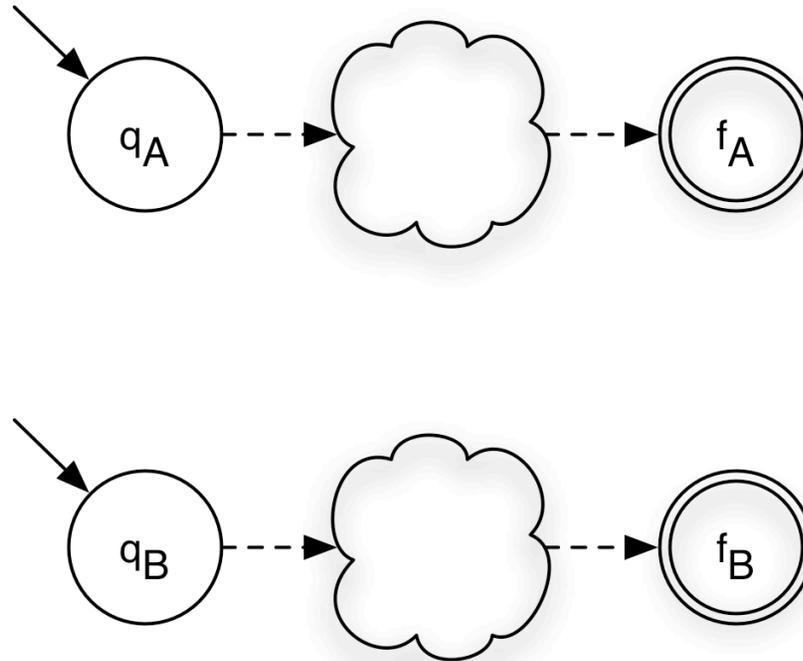
► Induction: AB



- $\langle A \rangle = (\Sigma_A, Q_A, q_A, \{f_A\}, \delta_A)$
- $\langle B \rangle = (\Sigma_B, Q_B, q_B, \{f_B\}, \delta_B)$
- $\langle AB \rangle = (\Sigma_A \cup \Sigma_B, Q_A \cup Q_B, q_A, \{f_B\}, \delta_A \cup \delta_B \cup \{(f_A, \epsilon, q_B)\})$

Reduction: Union

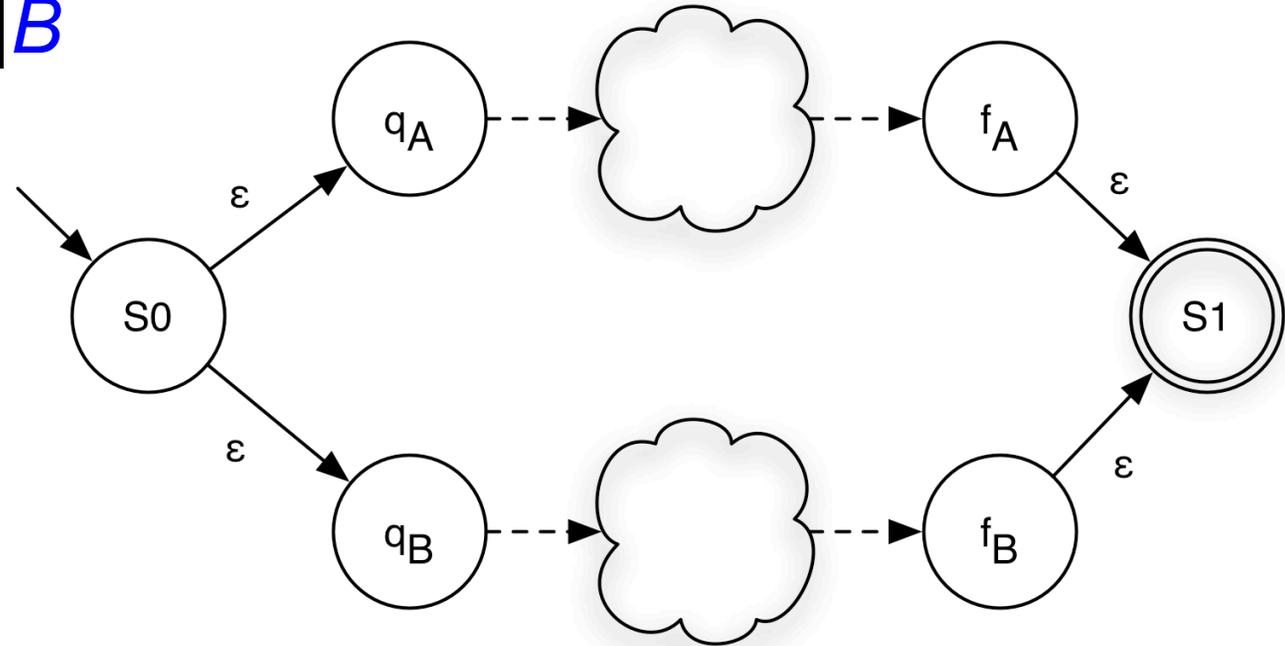
► Induction: $A|B$



- $\langle A \rangle = (\Sigma_A, Q_A, q_A, \{f_A\}, \delta_A)$
- $\langle B \rangle = (\Sigma_B, Q_B, q_B, \{f_B\}, \delta_B)$

Reduction: Union

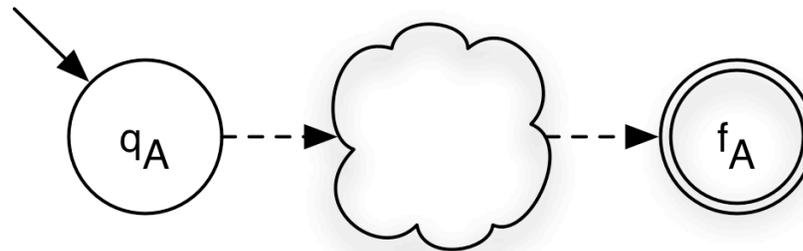
► Induction: $A|B$



- $\langle A \rangle = (\Sigma_A, Q_A, q_A, \{f_A\}, \delta_A)$
- $\langle B \rangle = (\Sigma_B, Q_B, q_B, \{f_B\}, \delta_B)$
- $\langle A|B \rangle = (\Sigma_A \cup \Sigma_B, Q_A \cup Q_B \cup \{S_0, S_1\}, S_0, \{S_1\}, \delta_A \cup \delta_B \cup \{(S_0, \varepsilon, q_A), (S_0, \varepsilon, q_B), (f_A, \varepsilon, S_1), (f_B, \varepsilon, S_1)\})$

Reduction: Closure

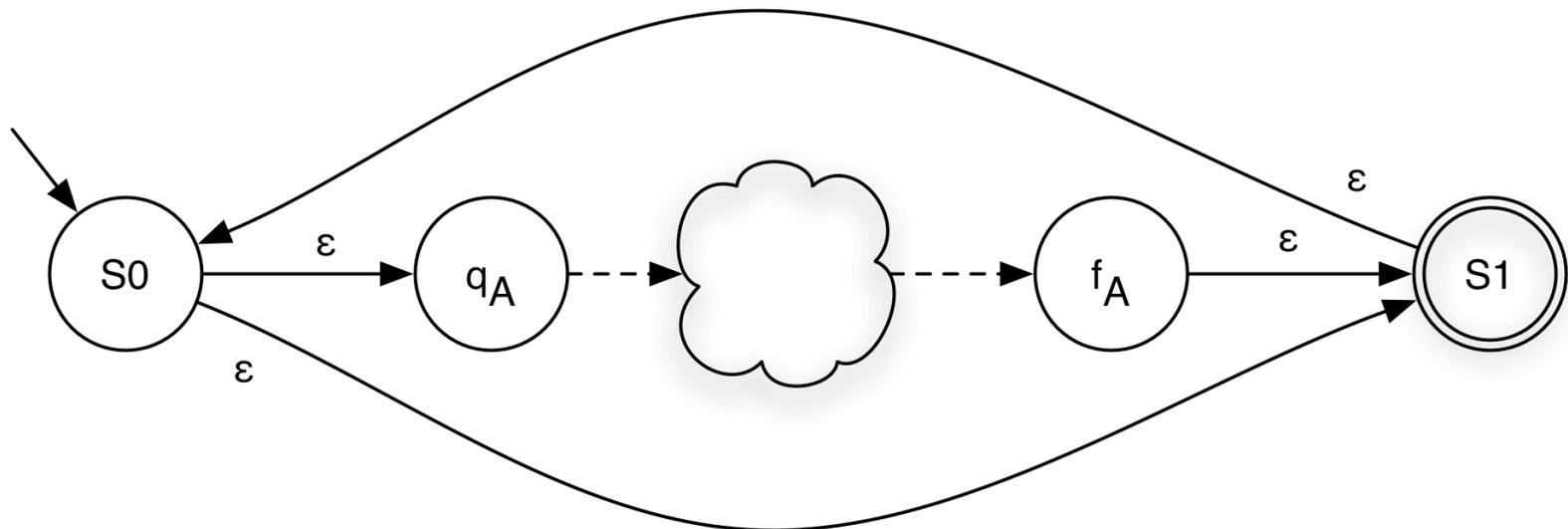
- ▶ Induction: A^*



- $\langle A \rangle = (\Sigma_A, Q_A, q_A, \{f_A\}, \delta_A)$

Reduction: Closure

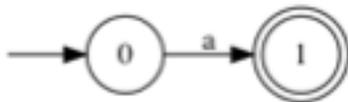
- ▶ Induction: A^*



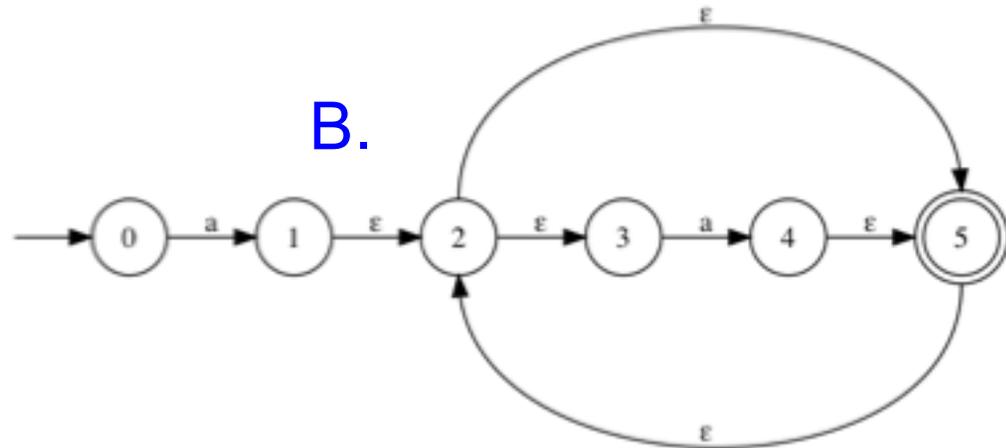
- $\langle A \rangle = (\Sigma_A, Q_A, q_A, \{f_A\}, \delta_A)$
- $\langle A^* \rangle = (\Sigma_A, Q_A \cup \{S_0, S_1\}, S_0, \{S_1\}, \delta_A \cup \{(f_A, \epsilon, S_1), (S_0, \epsilon, q_A), (S_0, \epsilon, S_1), (S_1, \epsilon, S_0)\})$

Quiz 2: Which NFA matches a^* ?

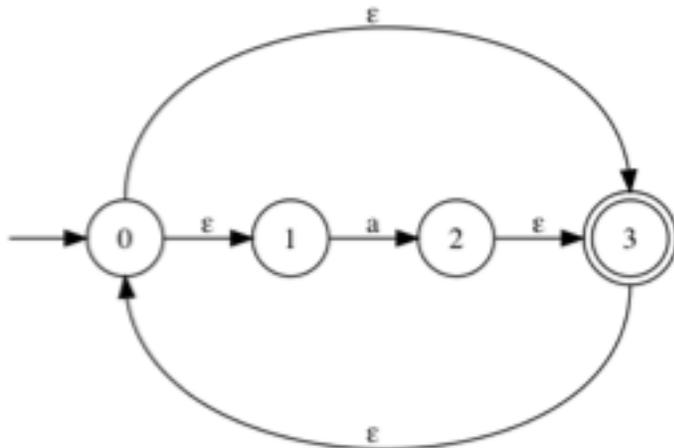
A.



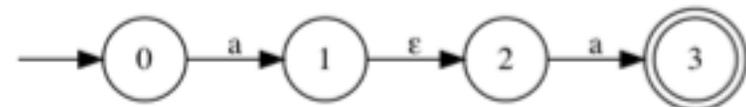
B.



C.

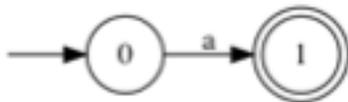


D.

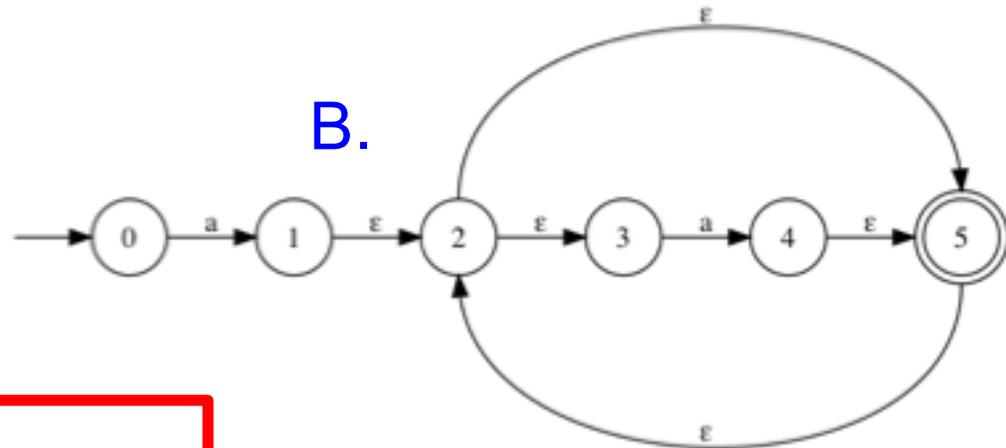


Quiz 2: Which NFA matches a^* ?

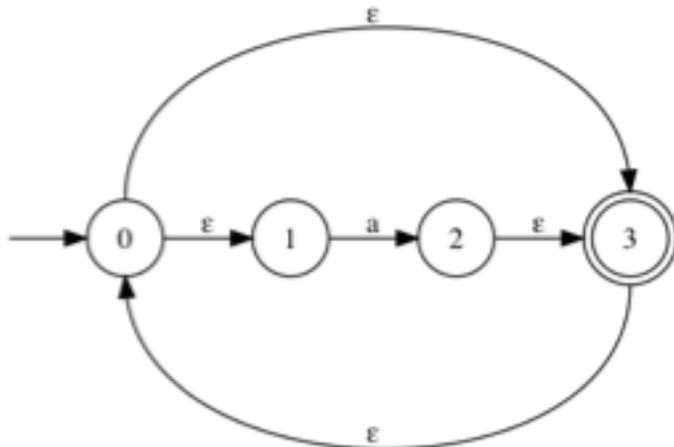
A.



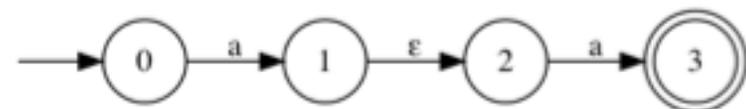
B.



C.

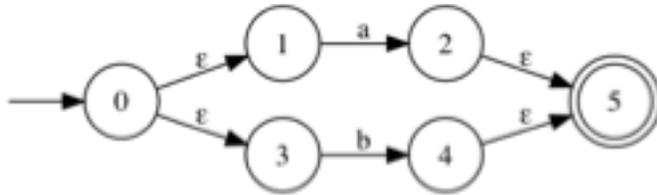


D.

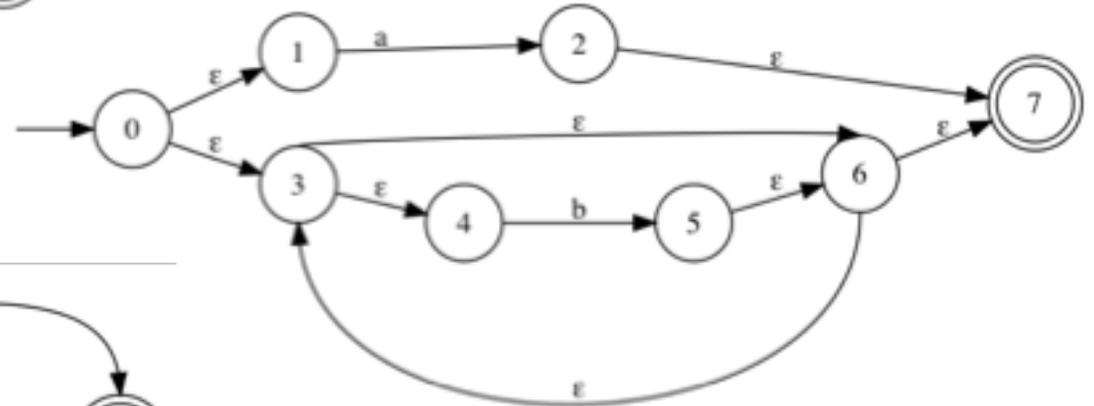


Quiz 3: Which NFA matches $a|b^*$?

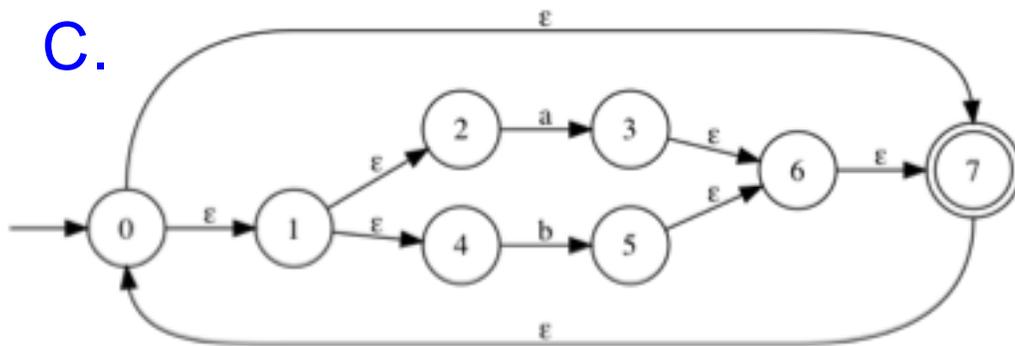
A.



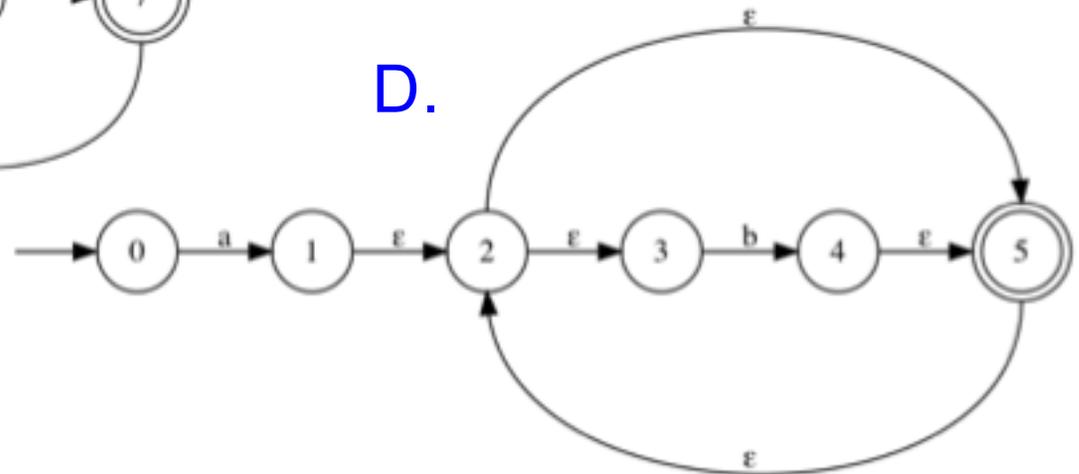
B.



C.

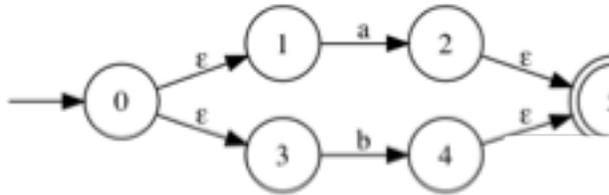


D.

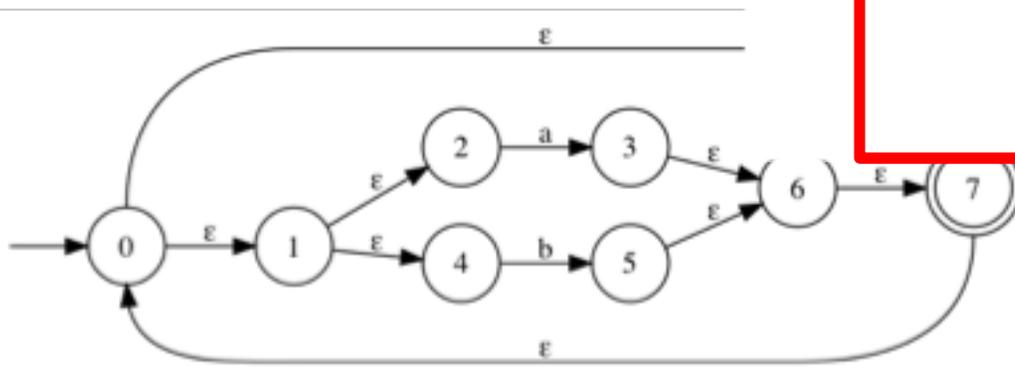
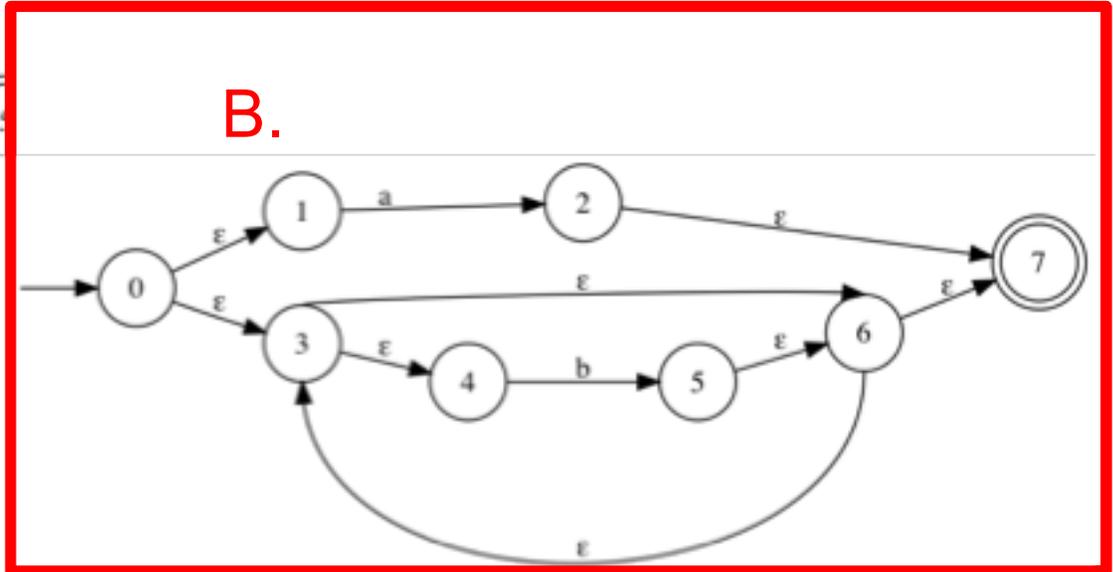


Quiz 3: Which NFA matches $a|b^*$?

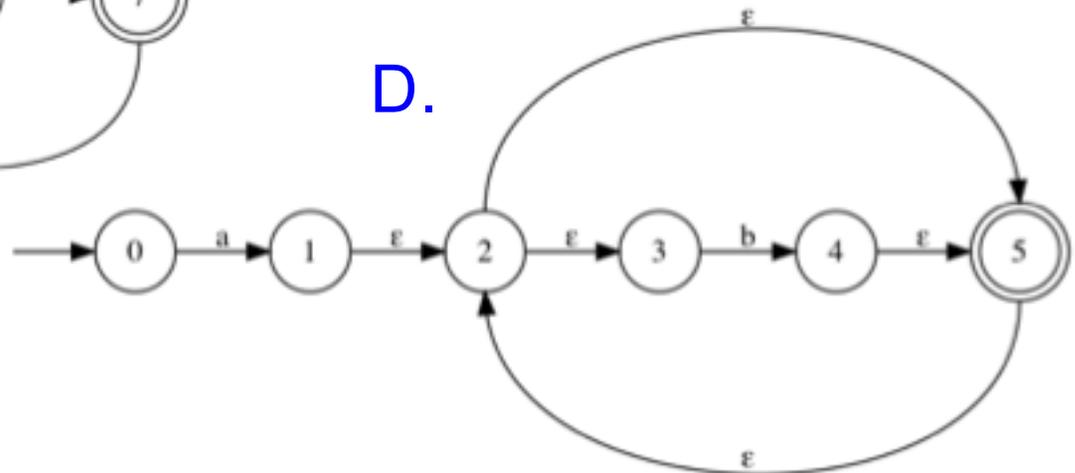
A.



B.



D.



RE \rightarrow NFA

Draw NFAs for the regular expression $(0|1)^*110^*$

RE \rightarrow NFA

Draw NFAs for the regular expression $(ab^*c|d^*a|ab)d$

Reduction Complexity

- ▶ Given a regular expression A of size n ...
Size = # of symbols + # of operations
- ▶ How many states does $\langle A \rangle$ have?
 - Two added for each $|$, two added for each $*$
 - $O(n)$
 - That's pretty good!

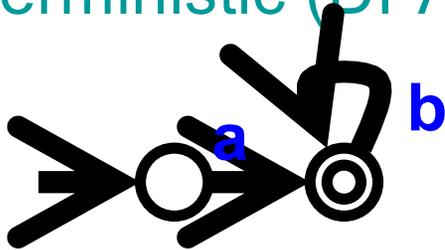
Recap

- ▶ Finite automata

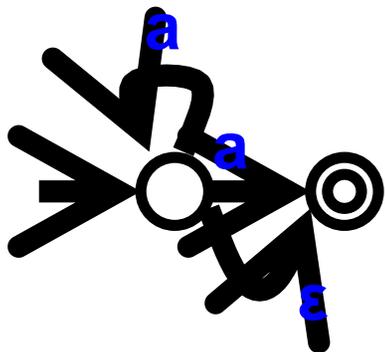
- Alphabet, states...
- $(\Sigma, Q, q_0, F, \delta)$

- ▶ Types

- Deterministic (DFA)

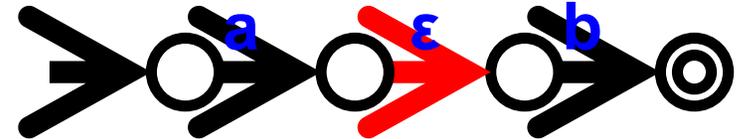


- Non-deterministic (NFA)

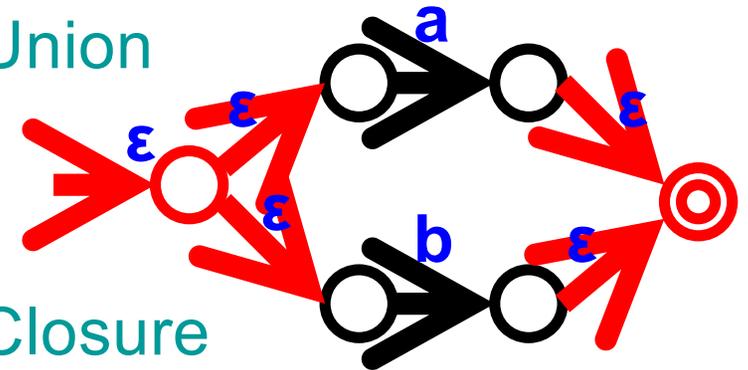


- ▶ Reducing RE to NFA

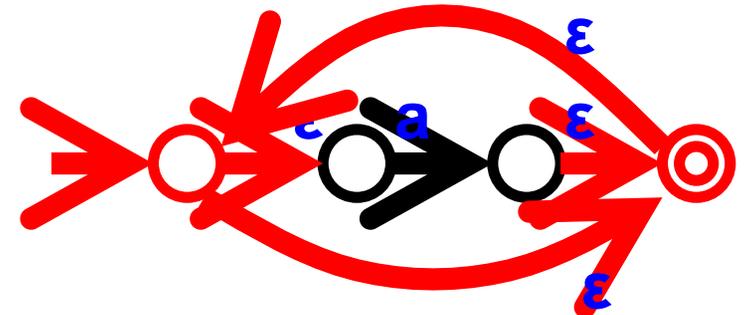
- Concatenation



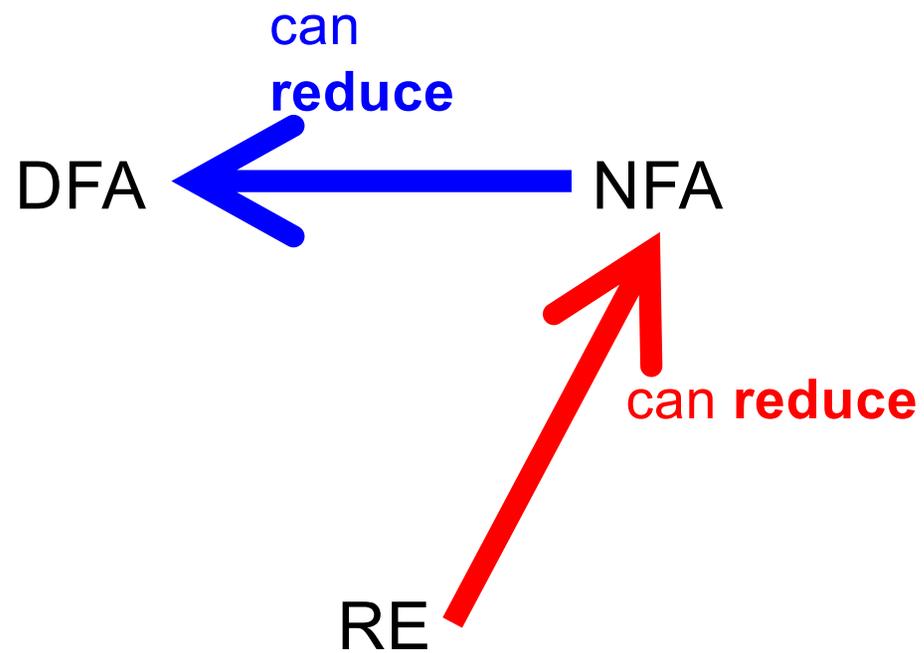
- Union



- Closure

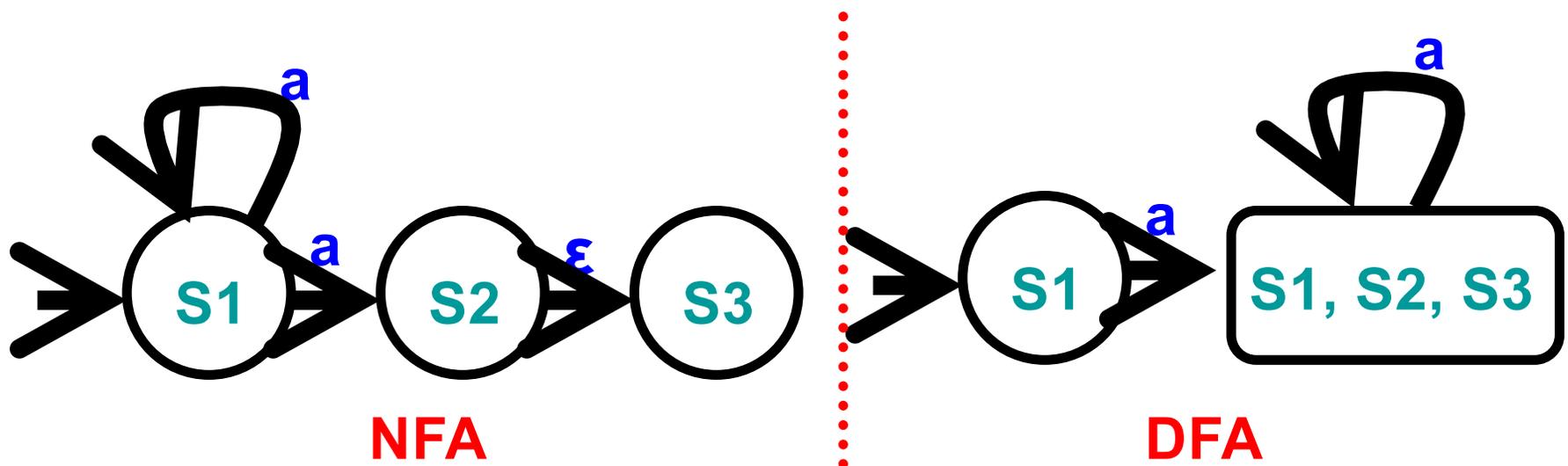


Reducing NFA to DFA



Reducing NFA to DFA

- ▶ NFA may be reduced to DFA
 - By explicitly tracking the set of NFA states
- ▶ Intuition
 - Build DFA where
 - Each DFA state represents a set of NFA “current states”
- ▶ Example



Algorithm for Reducing NFA to DFA

- ▶ Reduction applied using the **subset** algorithm
 - DFA state is a subset of set of all NFA states
- ▶ Algorithm
 - Input
 - NFA $(\Sigma, Q, q_0, F_n, \delta)$
 - Output
 - DFA $(\Sigma, R, r_0, F_d, \delta)$
 - Using two subroutines
 - ϵ -closure(δ, p) (and ϵ -closure(δ, S))
 - move(δ, p, a) (and move(δ, S, a))

ϵ -transitions and ϵ -closure

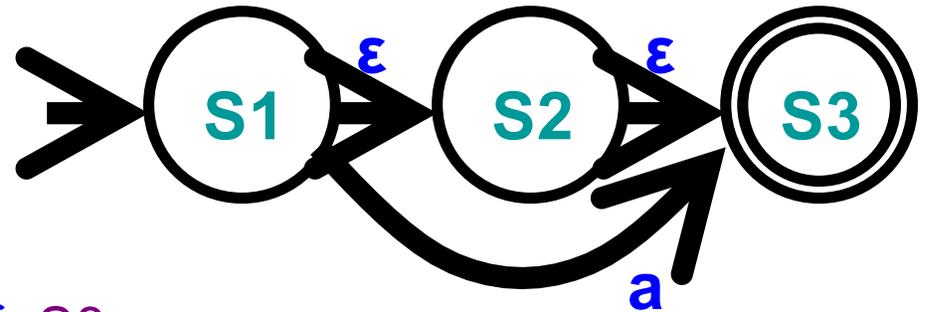
- ▶ We say $p \xrightarrow{\epsilon} q$
 - If it is possible to go from state p to state q by taking only ϵ -transitions in δ
 - If $\exists p, p_1, p_2, \dots, p_n, q \in Q$ such that
 - ▶ $\{p, \epsilon, p_1\} \in \delta, \{p_1, \epsilon, p_2\} \in \delta, \dots, \{p_n, \epsilon, q\} \in \delta$
- ▶ ϵ -closure(δ, p)
 - Set of states reachable from p using ϵ -transitions alone
 - ▶ Set of states q such that $p \xrightarrow{\epsilon} q$ according to δ
 - ▶ ϵ -closure(δ, p) = $\{q \mid p \xrightarrow{\epsilon} q \text{ in } \delta\}$
 - ▶ ϵ -closure(δ, Q) = $\{q \mid p \in Q, p \xrightarrow{\epsilon} q \text{ in } \delta\}$
 - Notes
 - ▶ ϵ -closure(δ, p) always includes p
 - ▶ We write ϵ -closure(p) or ϵ -closure(Q) when δ is clear from context

ϵ -closure: Example 1

▶ Following NFA contains

- $S1 \xrightarrow{\epsilon} S2$
- $S2 \xrightarrow{\epsilon} S3$
- $S1 \xrightarrow{\epsilon} S3$

▶ Since $S1 \xrightarrow{\epsilon} S2$ and $S2 \xrightarrow{\epsilon} S3$



▶ ϵ -closures

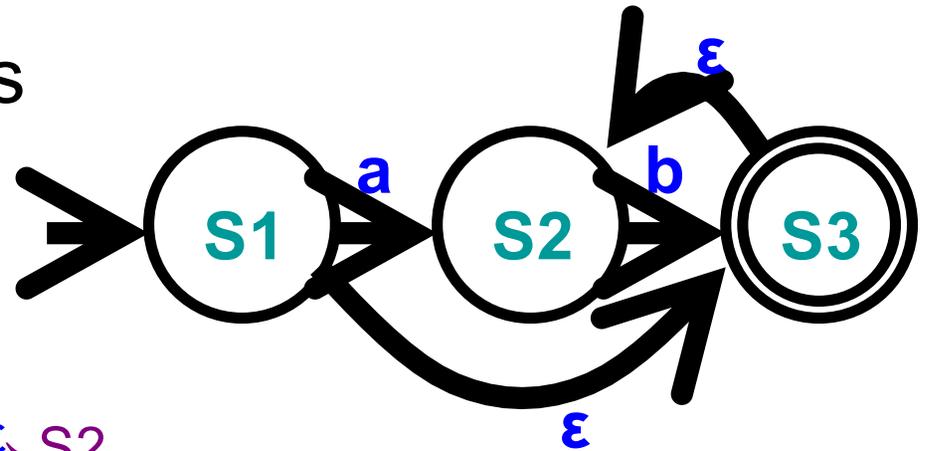
- ϵ -closure(S1) = { S1, S2, S3 }
- ϵ -closure(S2) = { S2, S3 }
- ϵ -closure(S3) = { S3 }
- ϵ -closure({ S1, S2 }) = { S1, S2, S3 } \cup { S2, S3 }

ϵ -closure: Example 2

▶ Following NFA contains

- $S1 \xrightarrow{\epsilon} S3$
- $S3 \xrightarrow{\epsilon} S2$
- $S1 \xrightarrow{\epsilon} S2$

➤ Since $S1 \xrightarrow{\epsilon} S3$ and $S3 \xrightarrow{\epsilon} S2$



▶ ϵ -closures

- ϵ -closure($S1$) = $\{ S1, S2, S3 \}$
- ϵ -closure($S2$) = $\{ S2 \}$
- ϵ -closure($S3$) = $\{ S2, S3 \}$
- ϵ -closure($\{ S2, S3 \}$) = $\{ S2 \} \cup \{ S2, S3 \}$

ϵ -closure Algorithm: Approach

▶ Input: NFA $(\Sigma, Q, q_0, F_n, \delta)$, State Set R

▶ Output: State Set R'

▶ Algorithm

Let $R' = R$

// start states

Repeat

Let $R = R'$

// continue from previous

Let $R' = R \cup \{q \mid p \in R, (p, \epsilon, q) \in \delta\}$

// new ϵ -reachable states

Until $R = R'$

// stop when no new states

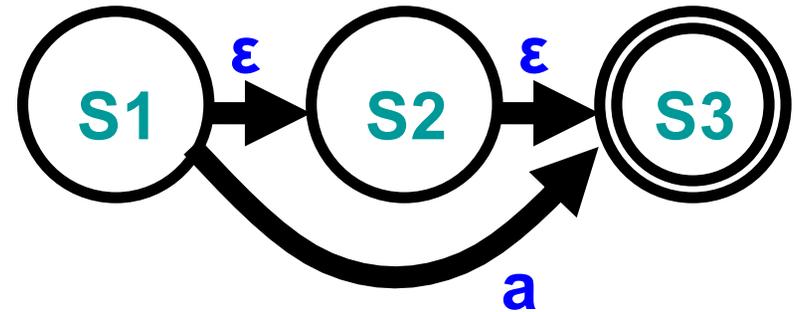
This algorithm computes a **fixed point**

- see note linked from project description

ϵ -closure Algorithm Example

► Calculate ϵ -closure($\delta, \{S1\}$)

R	R'
{S1}	{S1}
{S1}	{S1, S2}
{S1, S2}	{S1, S2, S3}
{S1, S2, S3}	{S1, S2, S3}



Let $R' = R$
Repeat
 Let $R = R'$
 Let $R' = R \cup \{q \mid p \in R, (p, \epsilon, q) \in \delta\}$
Until $R = R'$

Calculating $\text{move}(p,a)$

► $\text{move}(\delta,p,a)$

- **Set of states** reachable from p using exactly one transition on a

- Set of states q such that $\{p, a, q\} \in \delta$

- $\text{move}(\delta,p,a) = \{ q \mid \{p, a, q\} \in \delta \}$

- $\text{move}(\delta,Q,a) = \{ q \mid p \in Q, \{p, a, q\} \in \delta \}$

- i.e., can “lift” $\text{move}()$ to start from a *set* of states Q

- **Notes:**

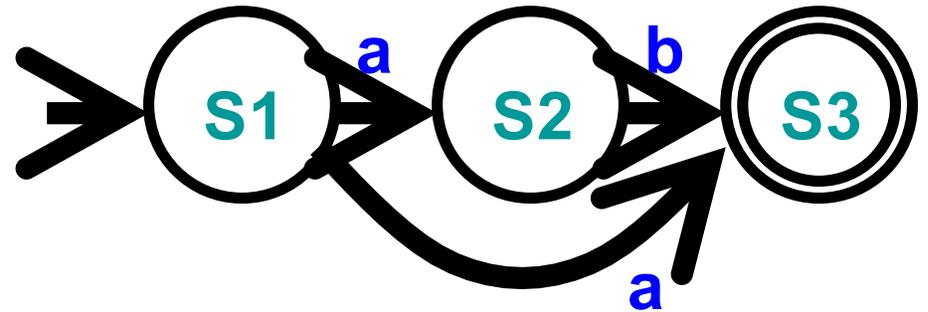
- $\text{move}(\delta,p,a)$ is \emptyset if no transition $(p,a,q) \in \delta$, for any q

- We write $\text{move}(p,a)$ or $\text{move}(R,a)$ when δ clear from context

move(a,p) : Example 1

► Following NFA

- $\Sigma = \{ a, b \}$



► Move

- $\text{move}(S1, a) = \{ S2, S3 \}$
- $\text{move}(S1, b) = \emptyset$
- $\text{move}(S2, a) = \emptyset$
- $\text{move}(S2, b) = \{ S3 \}$
- $\text{move}(S3, a) = \emptyset$
- $\text{move}(S3, b) = \emptyset$

$$\text{move}(\{S1, S2\}, b) = \{ S3 \}$$

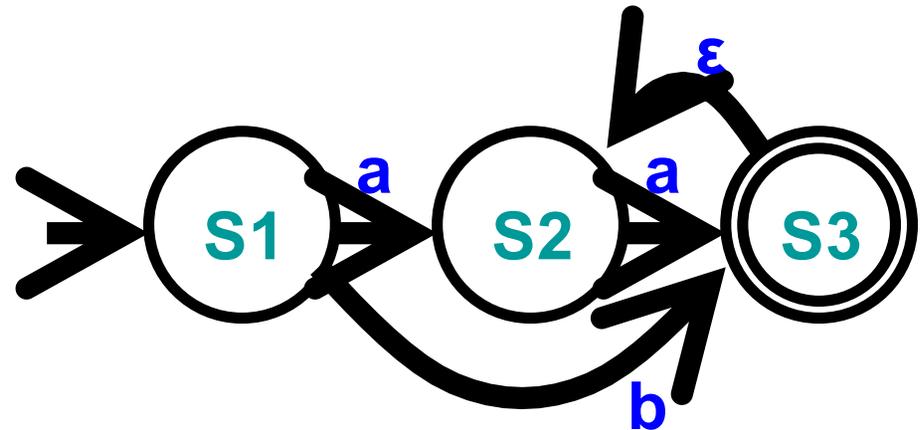
move(a,p) : Example 2

► Following NFA

- $\Sigma = \{ a, b \}$

► Move

- $\text{move}(S1, a) = \{ S2 \}$
- $\text{move}(S1, b) = \{ S3 \}$
- $\text{move}(S2, a) = \{ S3 \}$
- $\text{move}(S2, b) = \emptyset$
- $\text{move}(S3, a) = \emptyset$
- $\text{move}(S3, b) = \emptyset$



$$\text{move}(\{S1, S2\}, a) = \{S2, S3\}$$

NFA \rightarrow DFA Reduction Algorithm (“subset”)

► Input NFA $(\Sigma, Q, q_0, F_n, \delta)$, Output DFA $(\Sigma, R, r_0, F_d, \delta')$

► Algorithm

Let $r_0 = \varepsilon\text{-closure}(\delta, q_0)$, add it to R

// DFA start state

While \exists an unmarked state $r \in R$

// process DFA state r

Mark r

// each state visited once

For each $a \in \Sigma$

// for each letter a

Let $E = \text{move}(\delta, r, a)$

// states reached via a

Let $e = \varepsilon\text{-closure}(\delta, E)$

// states reached via ε

If $e \notin R$

// if state e is new

Let $R = R \cup \{e\}$

// add e to R (unmarked)

Let $\delta' = \delta' \cup \{r, a, e\}$

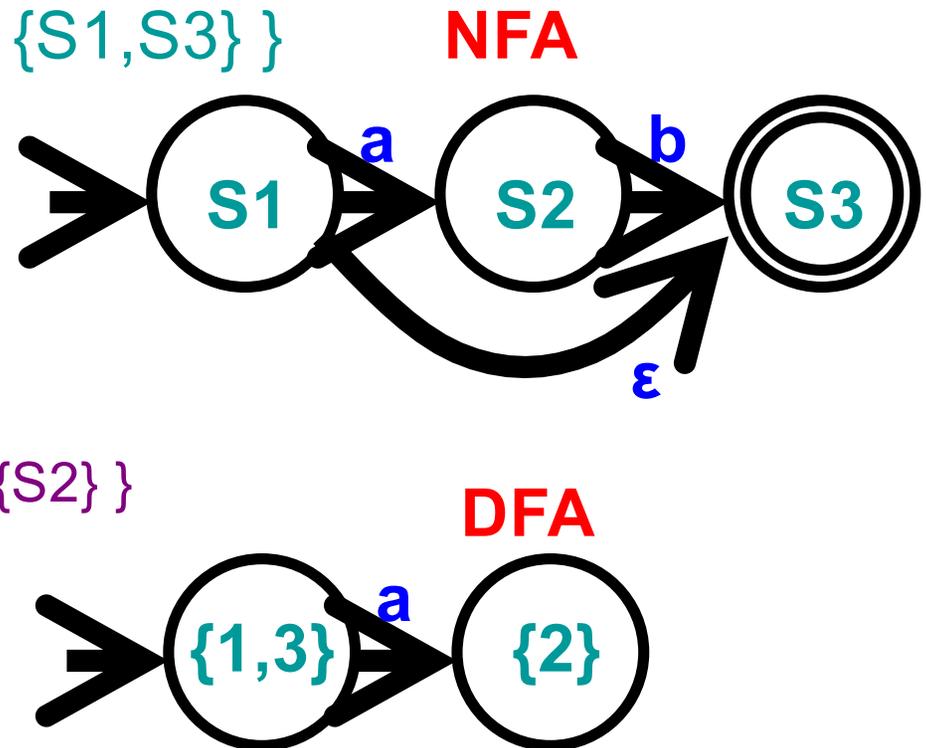
// add transition $r \rightarrow e$

Let $F_d = \{r \mid \exists s \in r \text{ with } s \in F_n\}$

// final if include state in F_n

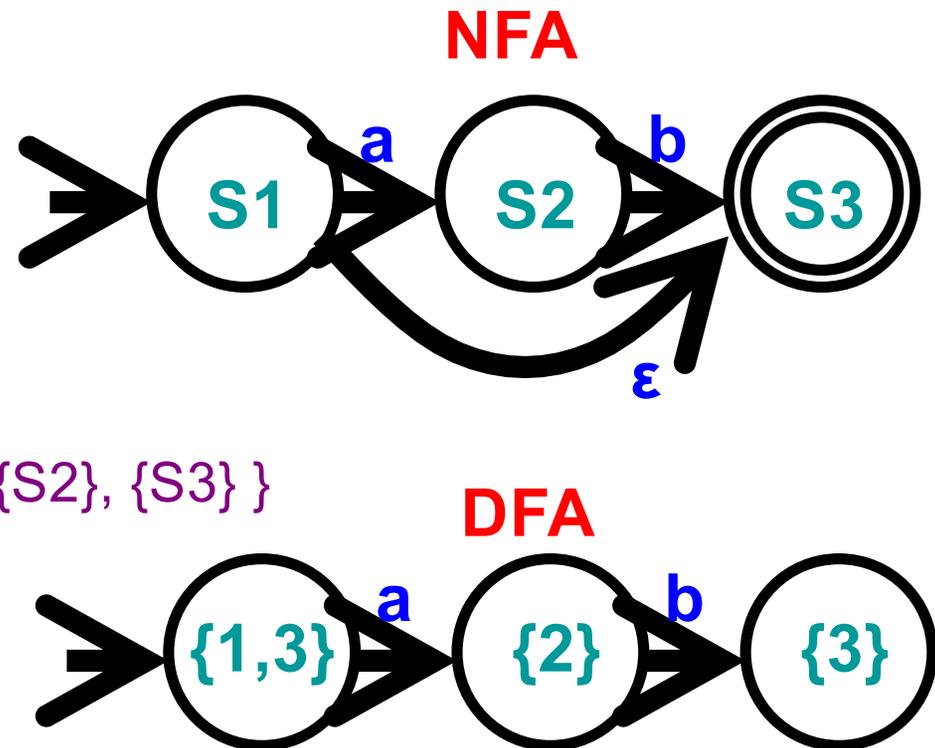
NFA \rightarrow DFA Example 1

- Start = ε -closure($\delta, S1$) = { {S1,S3} }
- R = { {S1,S3} }
- $r \in R = \{S1,S3\}$
- move($\delta, \{S1,S3\}, a$) = {S2}
 - e = ε -closure($\delta, \{S2\}$) = {S2}
 - R = R \cup {{S2}} = { {S1,S3}, {S2} }
 - $\delta' = \delta' \cup \{ \{S1,S3\}, a, \{S2\} \}$
- move($\delta, \{S1,S3\}, b$) = \emptyset



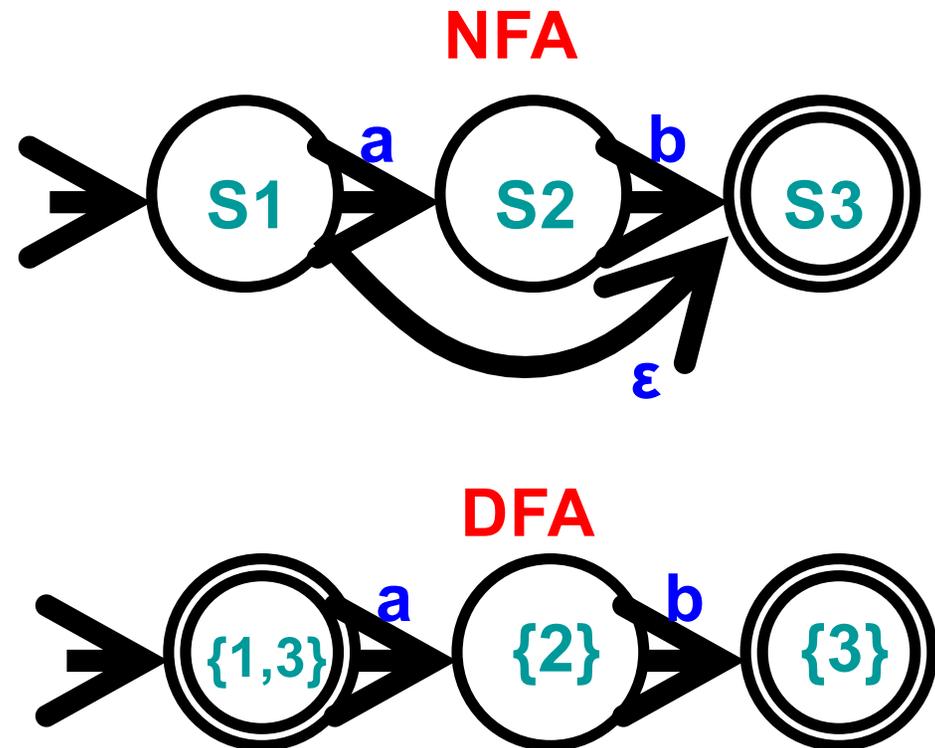
NFA \rightarrow DFA Example 1 (cont.)

- $R = \{ \{S1, S3\}, \{S2\} \}$
- $r \in R = \{S2\}$
- $\text{move}(\delta, \{S2\}, a) = \emptyset$
- $\text{move}(\delta, \{S2\}, b) = \{S3\}$
 - $e = \varepsilon\text{-closure}(\delta, \{S3\}) = \{S3\}$
 - $R = R \cup \{\{S3\}\} = \{ \{S1, S3\}, \{S2\}, \{S3\} \}$
 - $\delta' = \delta' \cup \{\{S2\}, b, \{S3\}\}$



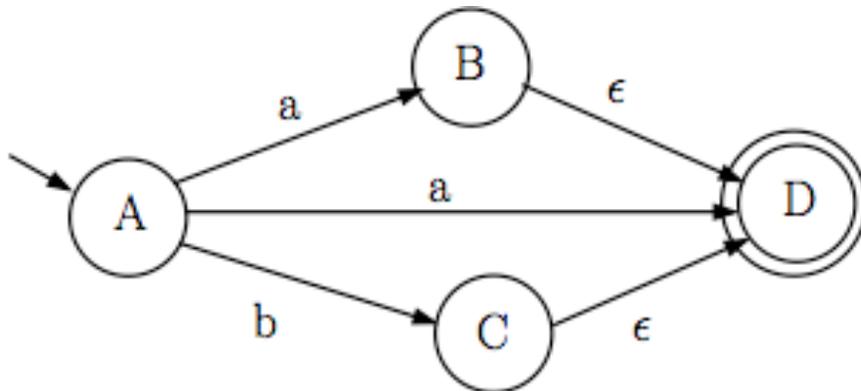
NFA \rightarrow DFA Example 1 (cont.)

- $R = \{ \{S1,S3\}, \{S2\}, \{S3\} \}$
- $r \in R = \{S3\}$
- $\text{Move}(\{S3\},a) = \emptyset$
- $\text{Move}(\{S3\},b) = \emptyset$
- Mark $\{S3\}$, exit loop
- $F_d = \{ \{S1,S3\}, \{S3\} \}$
 - Since $S3 \in F_n$
- Done!

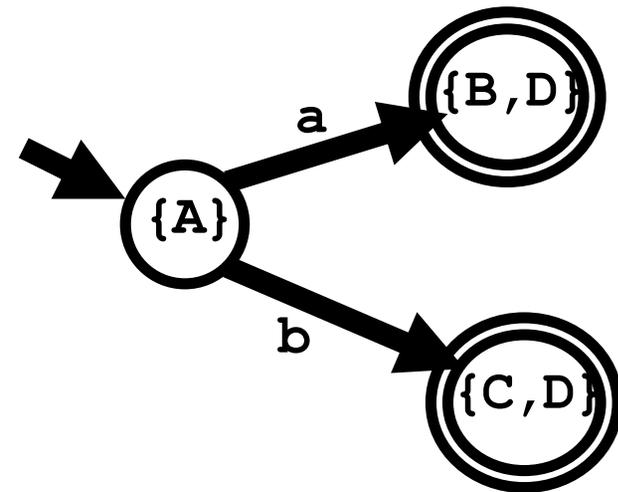


NFA \rightarrow DFA Example 2

► NFA

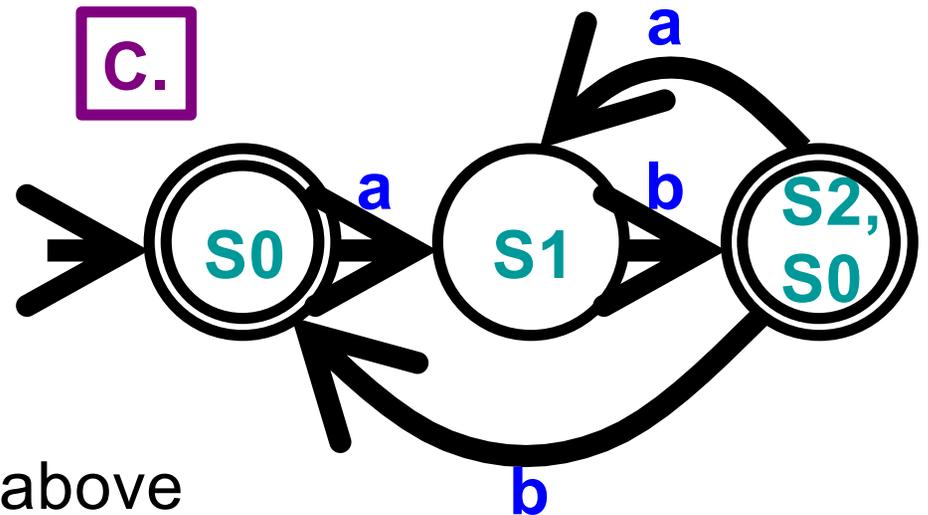
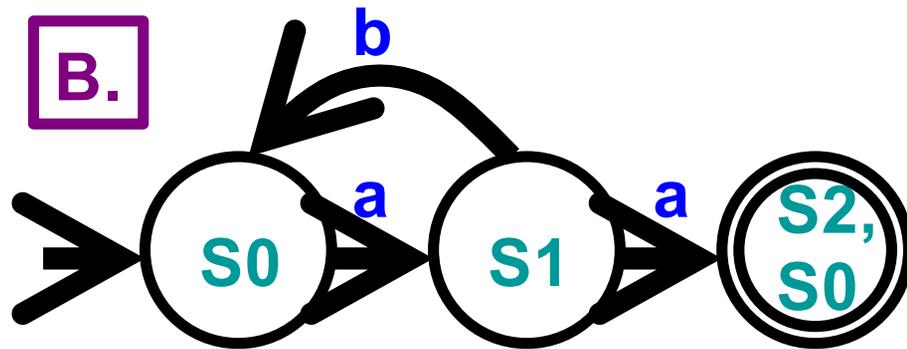
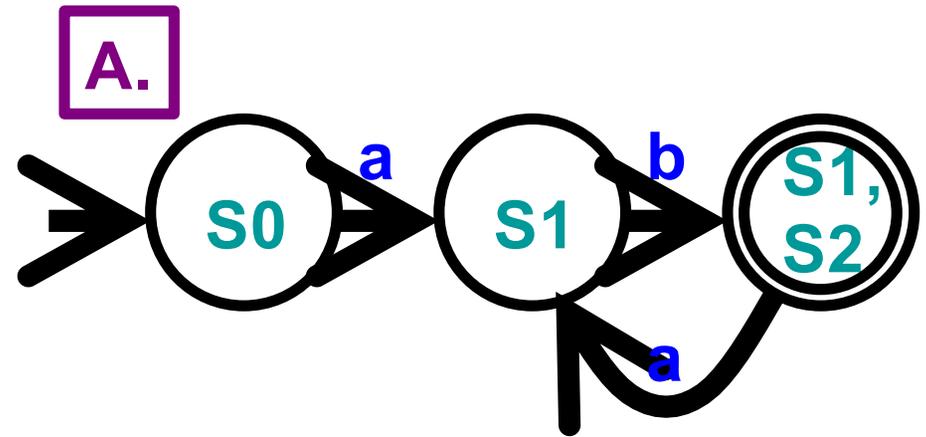
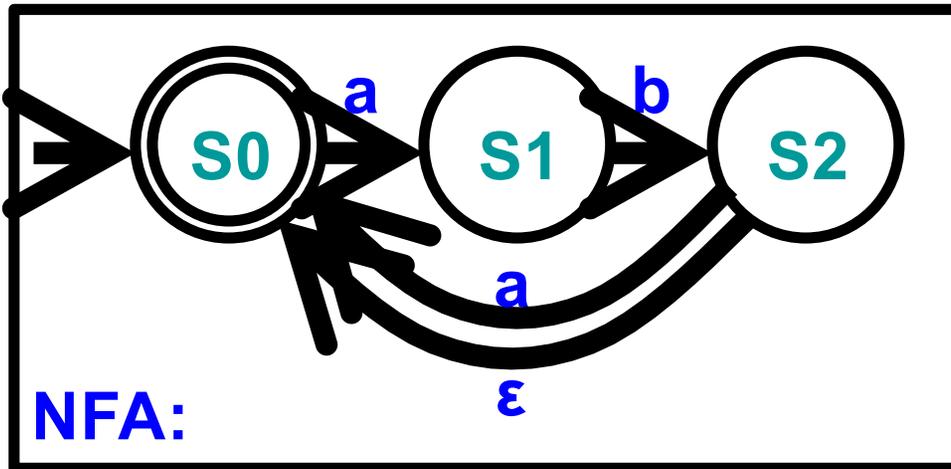


► DFA



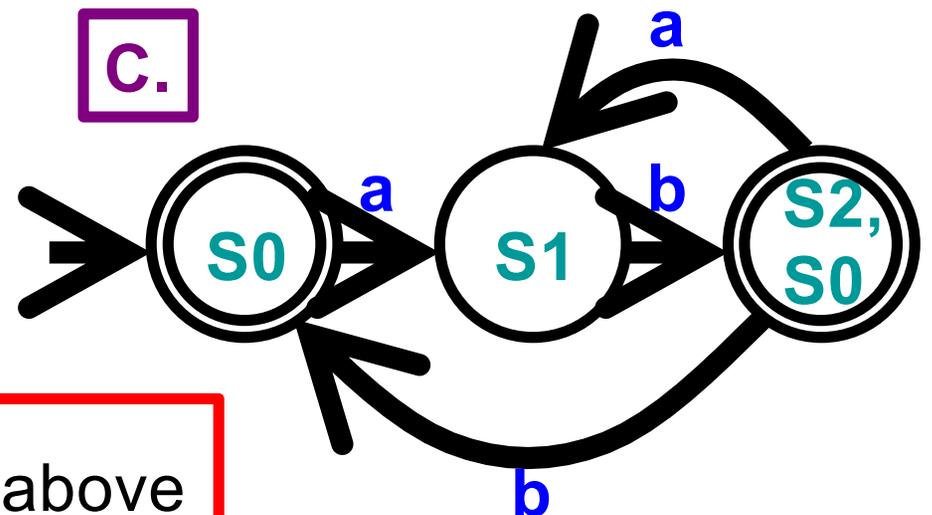
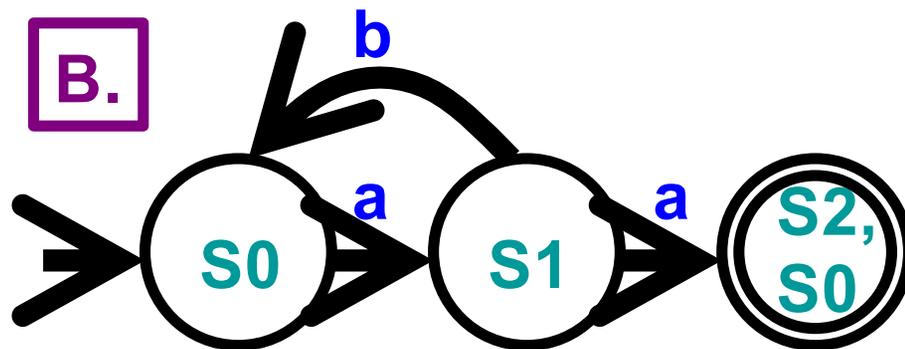
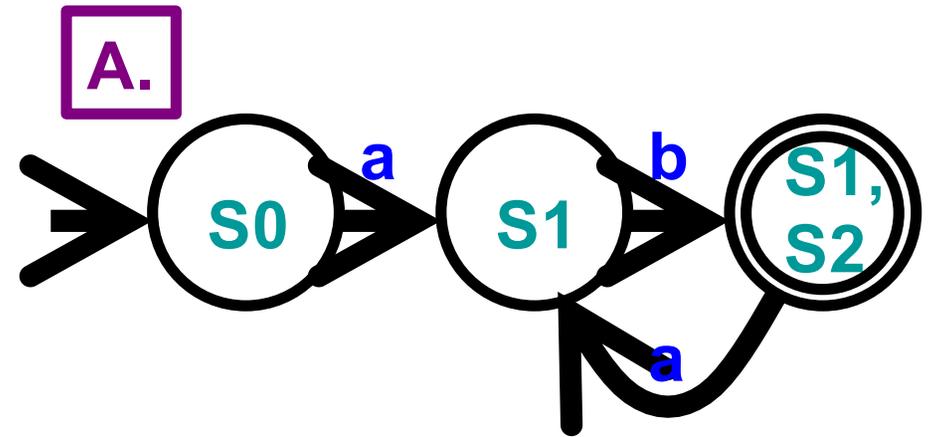
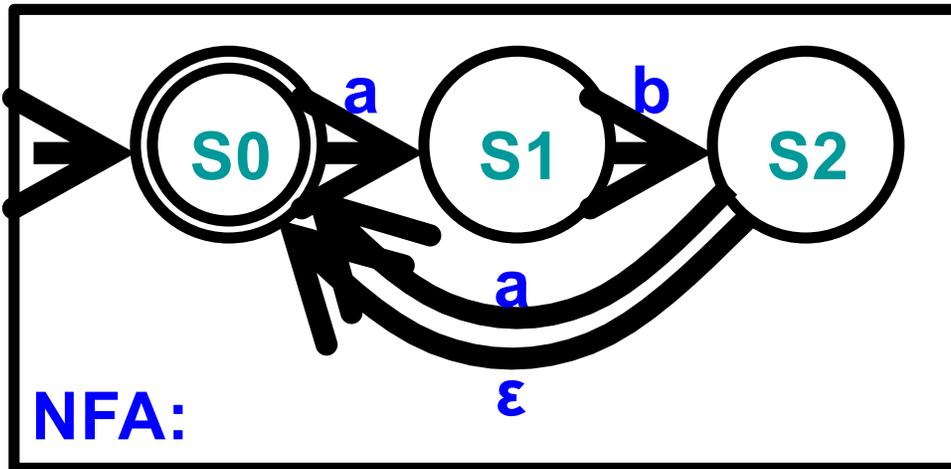
$$R = \{ \boxed{\{A\}}, \boxed{\{B,D\}}, \boxed{\{C,D\}} \}$$

Quiz 4: Which DFA is equiv to this NFA?



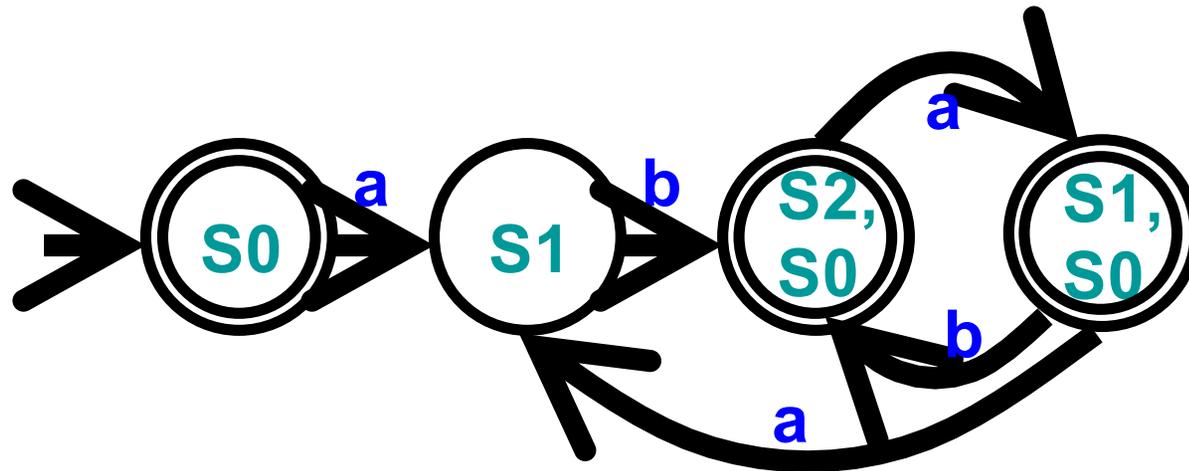
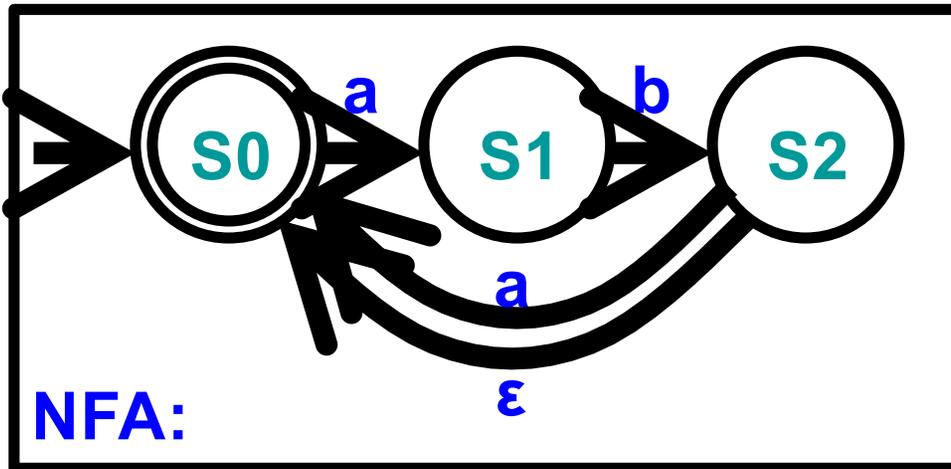
D. None of the above

Quiz 4: Which DFA is equiv to this NFA?



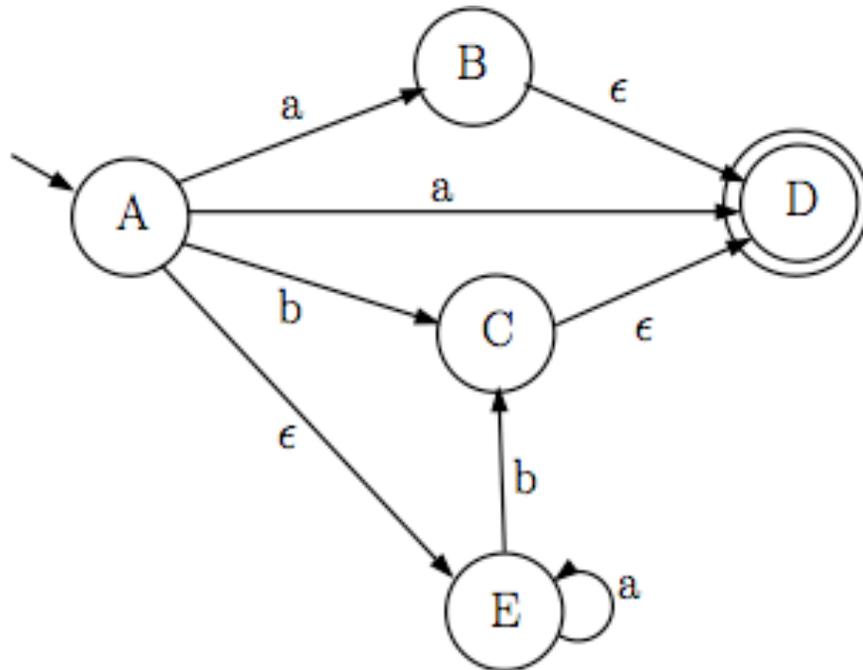
D. None of the above

Actual Answer

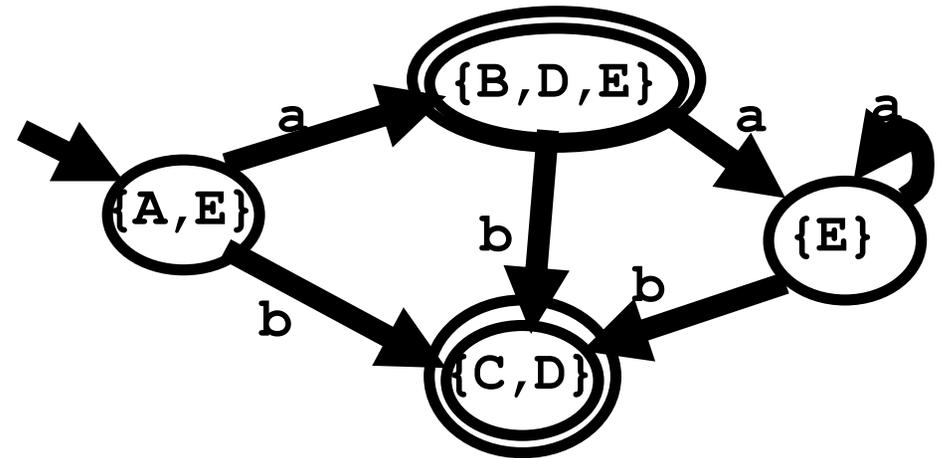


NFA \rightarrow DFA Example 3

► NFA

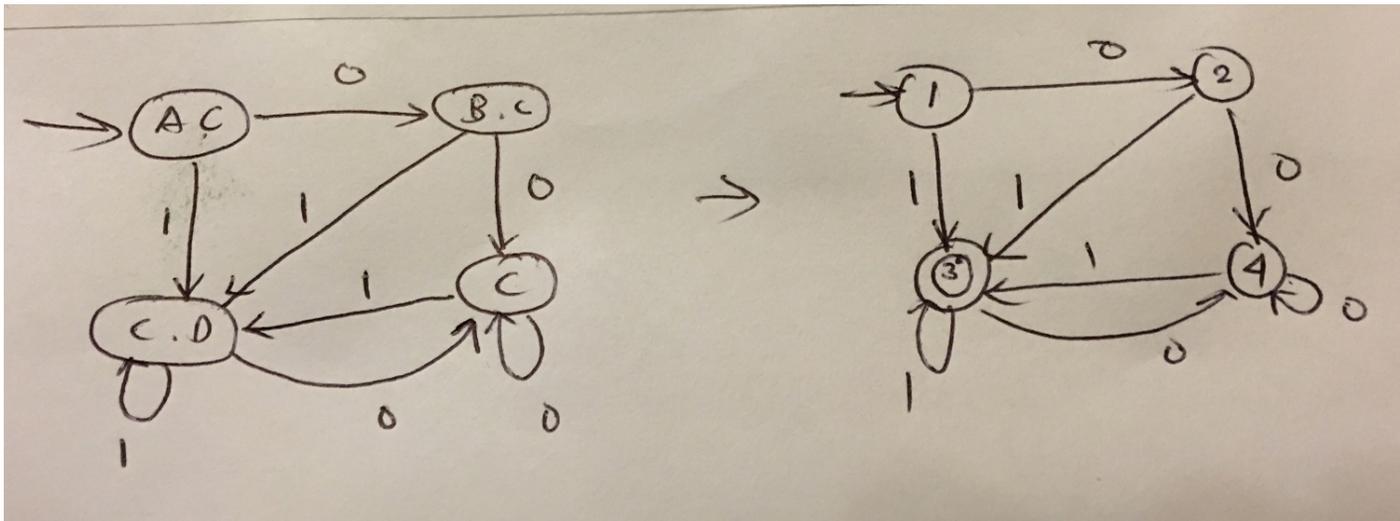
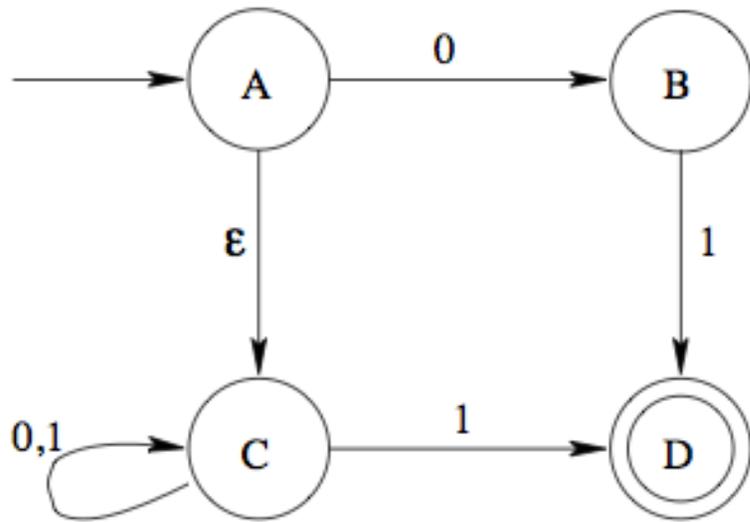


► DFA

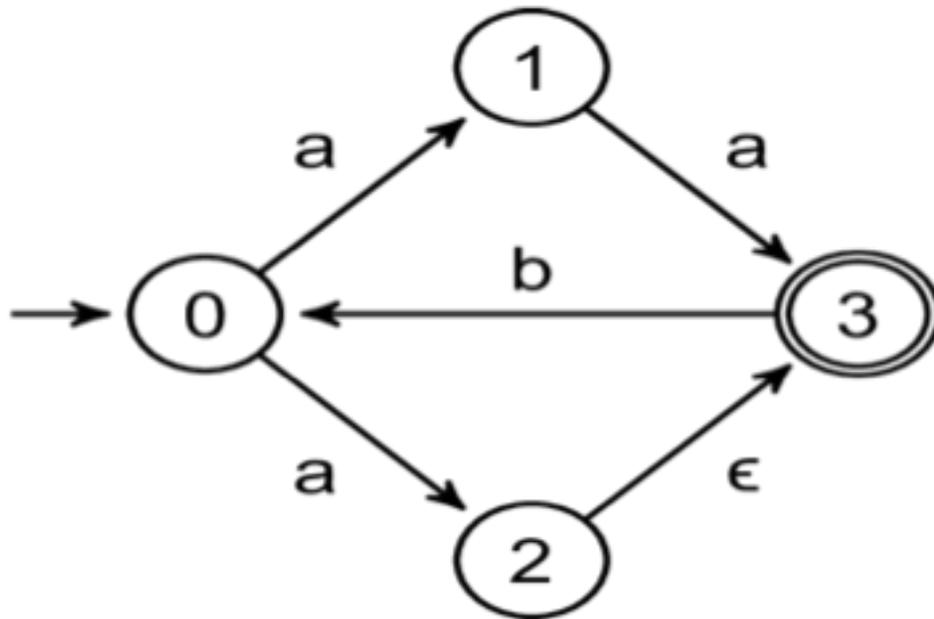


$$R = \{ \boxed{\{A,E\}}, \boxed{\{B,D,E\}}, \boxed{\{C,D\}}, \boxed{\{E\}} \}$$

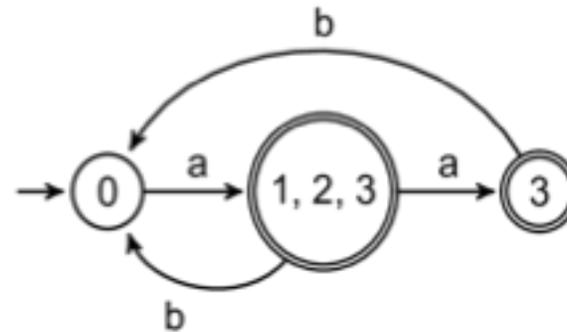
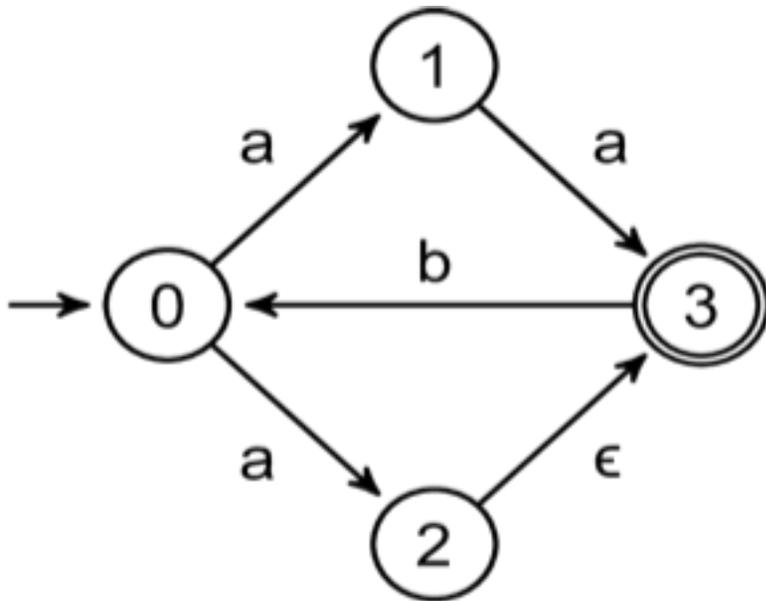
NFA \rightarrow DFA Example



NFA \rightarrow DFA Practice

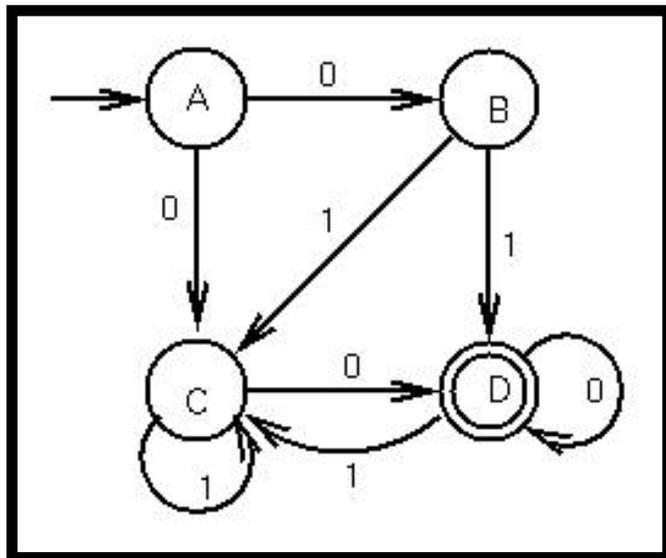


NFA \rightarrow DFA Practice

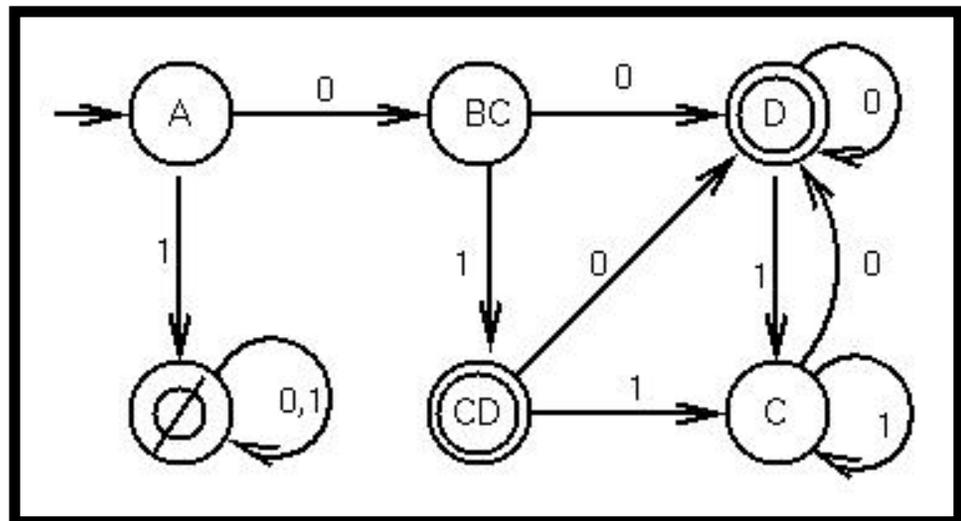


Analyzing the reduction

- ▶ Any string from $\{A\}$ to either $\{D\}$ or $\{CD\}$
 - Represents a path from A to D in the original NFA



NFA



DFA

Subset Algorithm as a Fixed Point

▶ Input: NFA $(\Sigma, Q, q_0, F, \delta)$

▶ Output: DFA M'

▶ Algorithm

Let $q_0' = \varepsilon\text{-closure}(\delta, q_0)$

Let $F' = \{q_0'\}$ if $q_0' \cap F \neq \emptyset$, or \emptyset otherwise

Let $M' = (\Sigma, \{q_0'\}, q_0', F', \emptyset)$

// starting approximation of

DFA

Repeat

Let $M = M'$

// current DFA approx

For each $q \in \text{states}(M)$, $a \in \Sigma$

// for each DFA state q and letter a

Let $s = \varepsilon\text{-closure}(\delta, \text{move}(\delta, q, a))$

// new subset from q

Let $F' = \{s\}$ if $s \cap F \neq \emptyset$, or \emptyset otherwise, // subset contains final?

$M' = M' \cup (\emptyset, \{s\}, \emptyset, F', \{(q, a, s)\})$

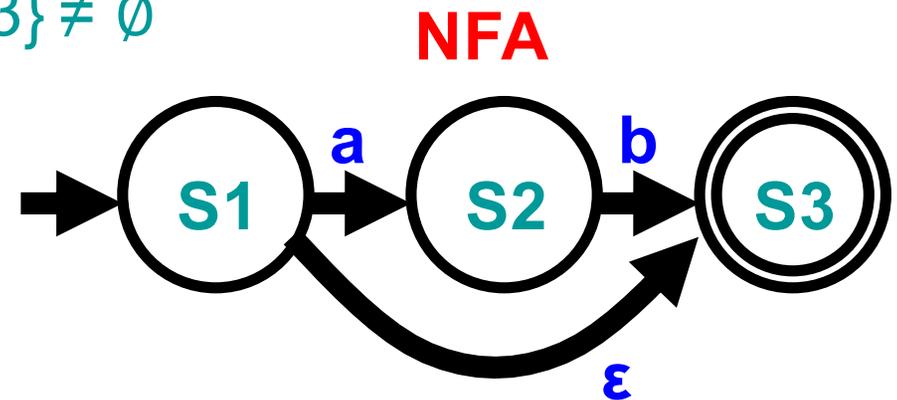
// update DFA

Until $M' = M$

// reached fixed point

Redux: DFA to NFA Example 1

- $q_0' = \varepsilon\text{-closure}(\delta, S1) = \{S1, S3\}$
- $F' = \{\{S1, S3\}\}$ since $\{S1, S3\} \cap \{S3\} \neq \emptyset$



- $M' = \{ \Sigma, \{ \{S1, S3\} \}, \{S1, S3\}, \{ \{S1, S3\} \}, \emptyset \}$
 $Q' \quad q_0' \quad F' \quad \delta'$

Redux: DFA to NFA Example 1 (cont)

- $M' = \{ \Sigma, \{\{S1, S3\}\}, \{S1, S3\}, \{\{S1, S3\}\}, \emptyset \}$

- $q = \{S1, S3\}$

- $a = a$

- $s = \{S2\}$

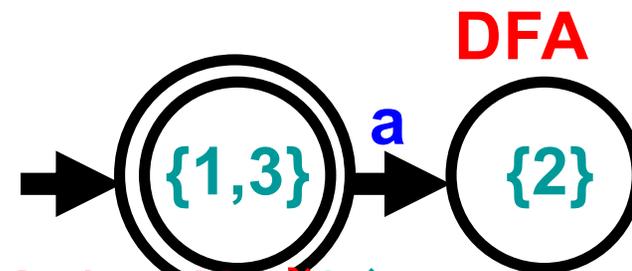
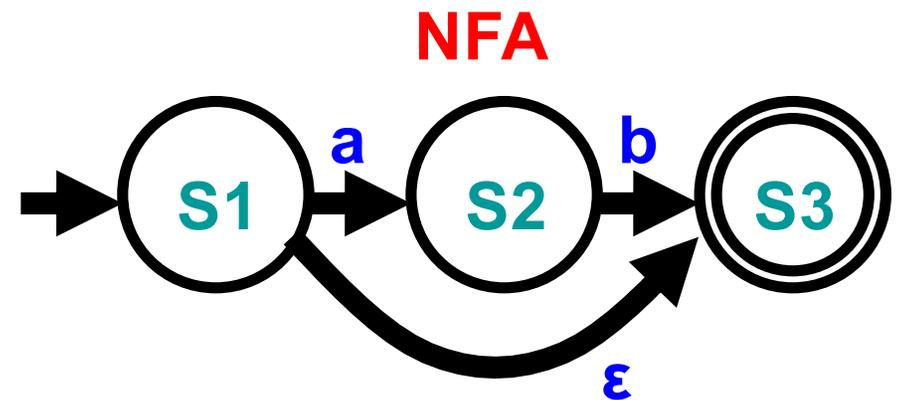
- since $\text{move}(\delta, \{S1, S3\}, a) = \{S2\}$

- and $\epsilon\text{-closure}(\delta, \{S2\}) = \{S2\}$

- $F' = \emptyset$

- Since $\{S2\} \cap \{S3\} = \emptyset$

- where $s = \{S2\}$ and $F = \{S3\}$



- $M' = M' \cup (\emptyset, \{\{S2\}\}, \emptyset, \emptyset, \{(\{S1, S3\}, a, \{S2\})\})$

- $= \{ \Sigma, \{\{S1, S3\}, \{S2\}\}, \{S1, S3\}, \{\{S1, S3\}\}, \{(\{S1, S3\}, a, \{S2\})\} \}$

Q'

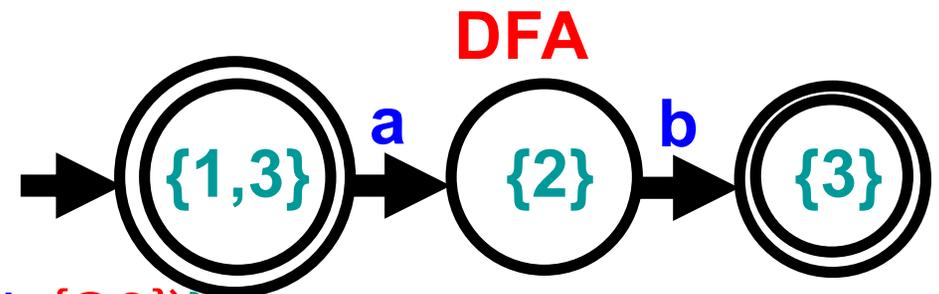
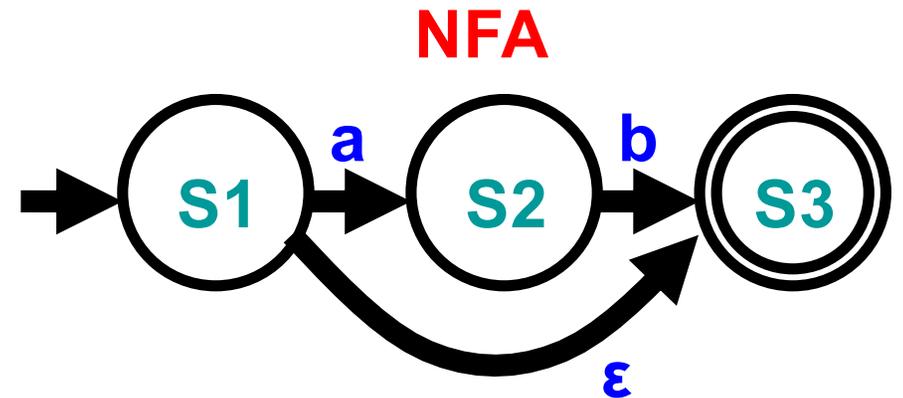
q_0'

F'

δ'

Redux: DFA to NFA Example 1 (cont)

- $M' = \{ \Sigma, \{\{S1,S3\},\{S2}\}, \{S1,S3\}, \{\{S1,S3\}\}, \{(\{S1,S3\},a,\{S2\})\} \}$
 - $q = \{S2\}$
 - $a = b$
 - $s = \{S3\}$
 - since $move(\delta,\{S2\},b) = \{S3\}$
 - and $\epsilon\text{-closure}(\delta,\{S3\}) = \{S3\}$
- $F' = \{\{S3\}\}$
 - Since $\{S3\} \cap \{S3\} = \{S3\}$
 - where $s = \{S3\}$ and $F = \{S3\}$



- $M' = M' \cup$

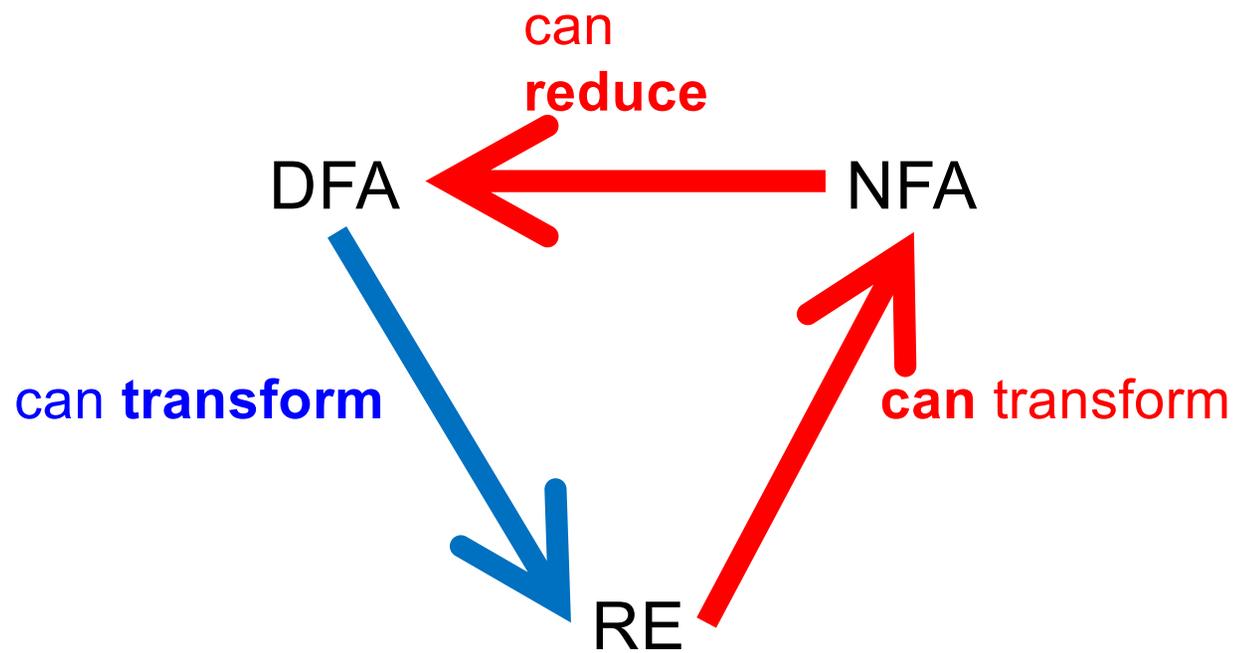
$(\emptyset, \{\{S3\}\}, \emptyset, \{\{S3\}\}, \{(\{S2\},b,\{S3\})\})$

$= \{ \Sigma, \{\{S1,S3\},\{S2\},\{S3\}\}, \{S1,S3\}, \{\{S1,S3\},\{S3\}\}, \{(\{S1,S3\},a,\{S2\}), (\{S2\},b,\{S3\})\} \}$

Analyzing the Reduction

- ▶ Can reduce any NFA to a DFA using subset alg.
- ▶ How many states in the DFA?
 - Each DFA state is a subset of the set of NFA states
 - Given NFA with n states, DFA may have 2^n states
 - Since a set with n items may have 2^n subsets
 - Corollary
 - Reducing a NFA with n states may be $O(2^n)$

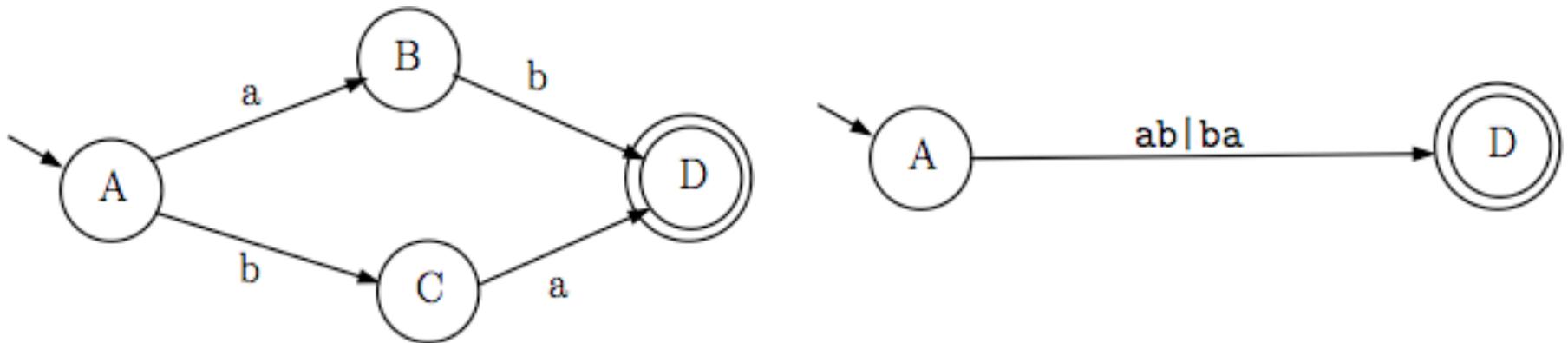
Reducing DFA to RE



Reducing DFAs to REs

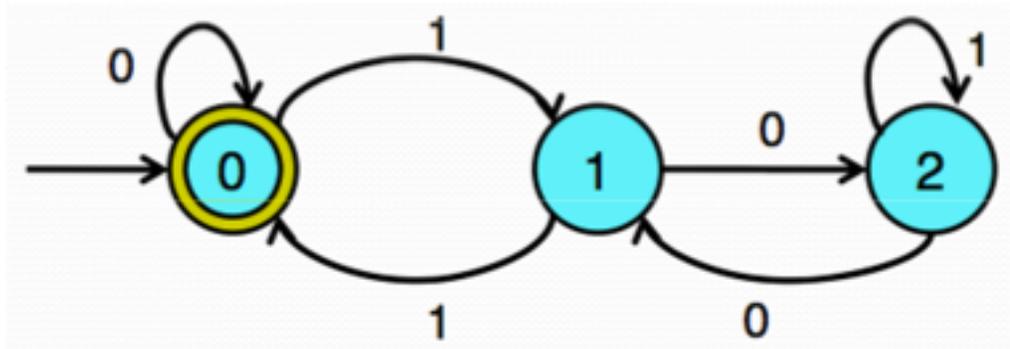
► General idea

- Remove states one by one, labeling transitions with regular expressions
- When two states are left (start and final), the transition label is the regular expression for the DFA



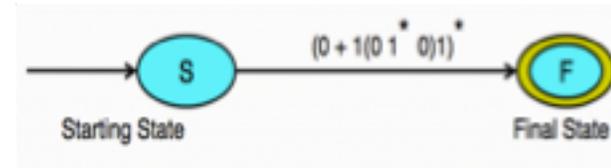
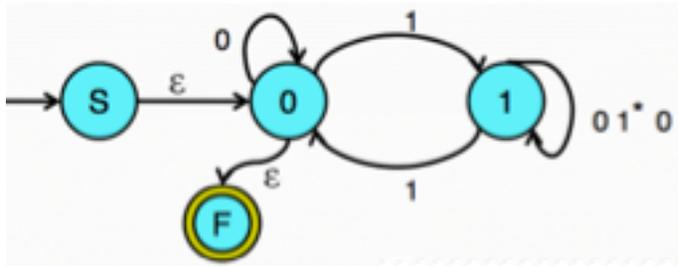
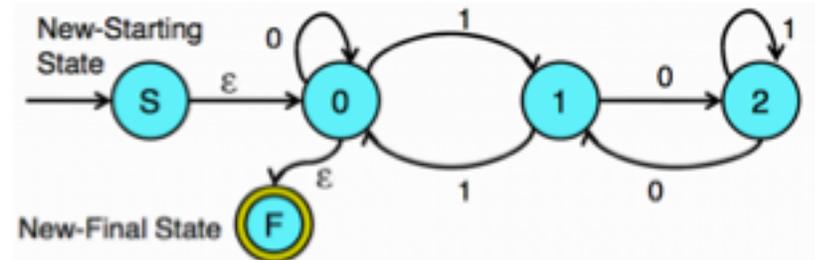
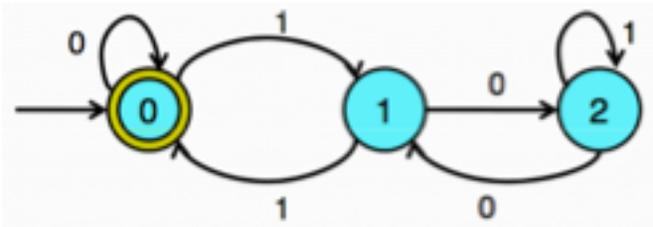
DFA to RE example

Language over $\Sigma = \{0,1\}$ such that every string is a multiple of 3 in binary



DFA to RE example

Language over $\Sigma = \{0,1\}$ such that every string is a multiple of 3 in binary



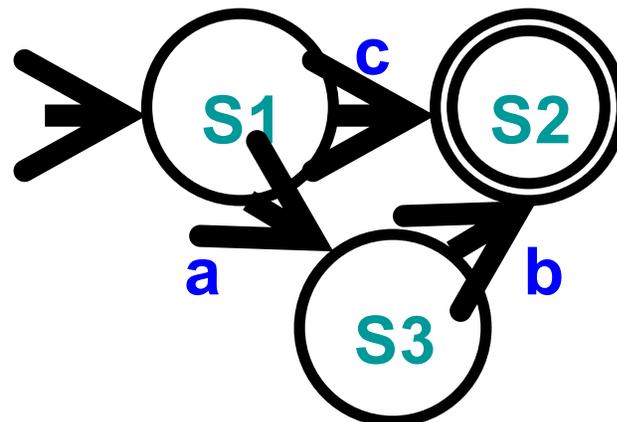
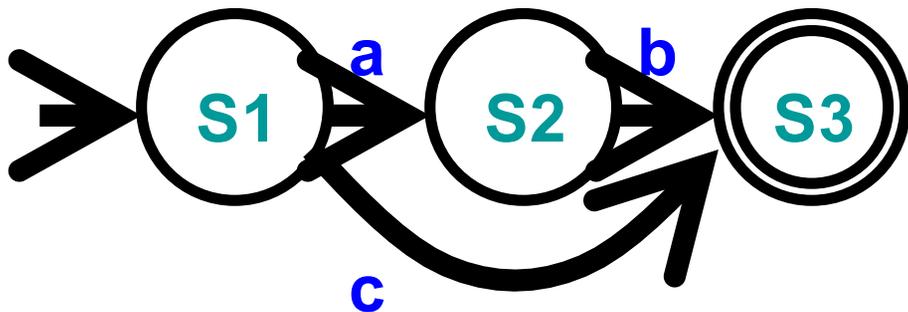
$$(0 + 1(0 1^* 0)1)^*$$

Other Topics

- ▶ Minimizing DFA
 - Hopcroft reduction
- ▶ Complementing DFA
- ▶ Implementing DFA

Minimizing DFAs

- ▶ Every regular language is recognizable by a **unique** minimum-state DFA
 - Ignoring the particular names of states
- ▶ In other words
 - For every DFA, there is a unique DFA with minimum number of states that accepts the same language



Minimizing DFA: Hopcroft Reduction

► Intuition

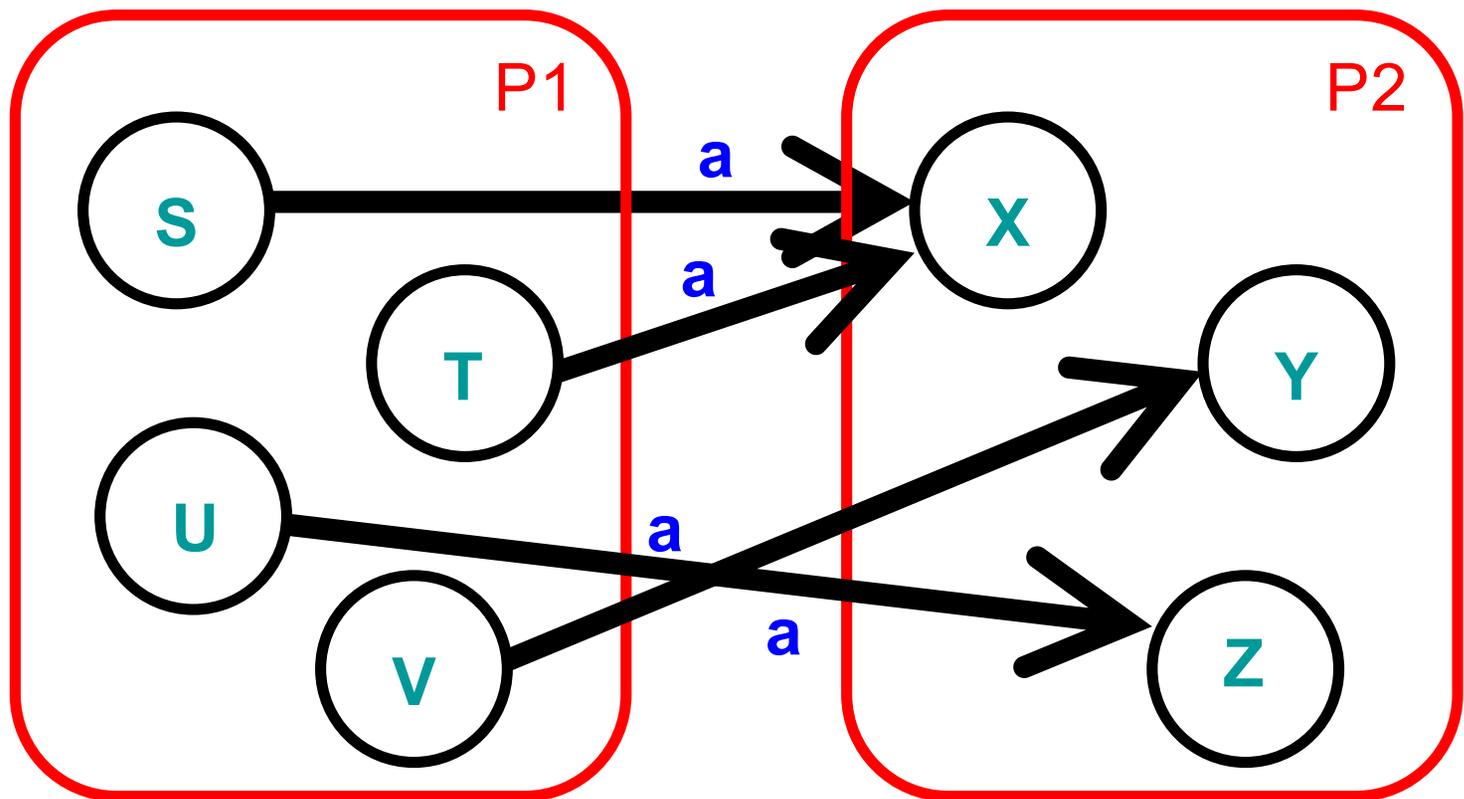
- Look to distinguish states from each other
 - End up in different accept / non-accept state with identical input

► Algorithm

- Construct initial partition
 - Accepting & non-accepting states
- Iteratively split partitions (until partitions remain fixed)
 - Split a partition if **members in partition have transitions to different partitions for same input**
 - Two states x, y belong in same partition if and only if for all symbols in Σ they transition to the same partition
- Update transitions & remove dead states

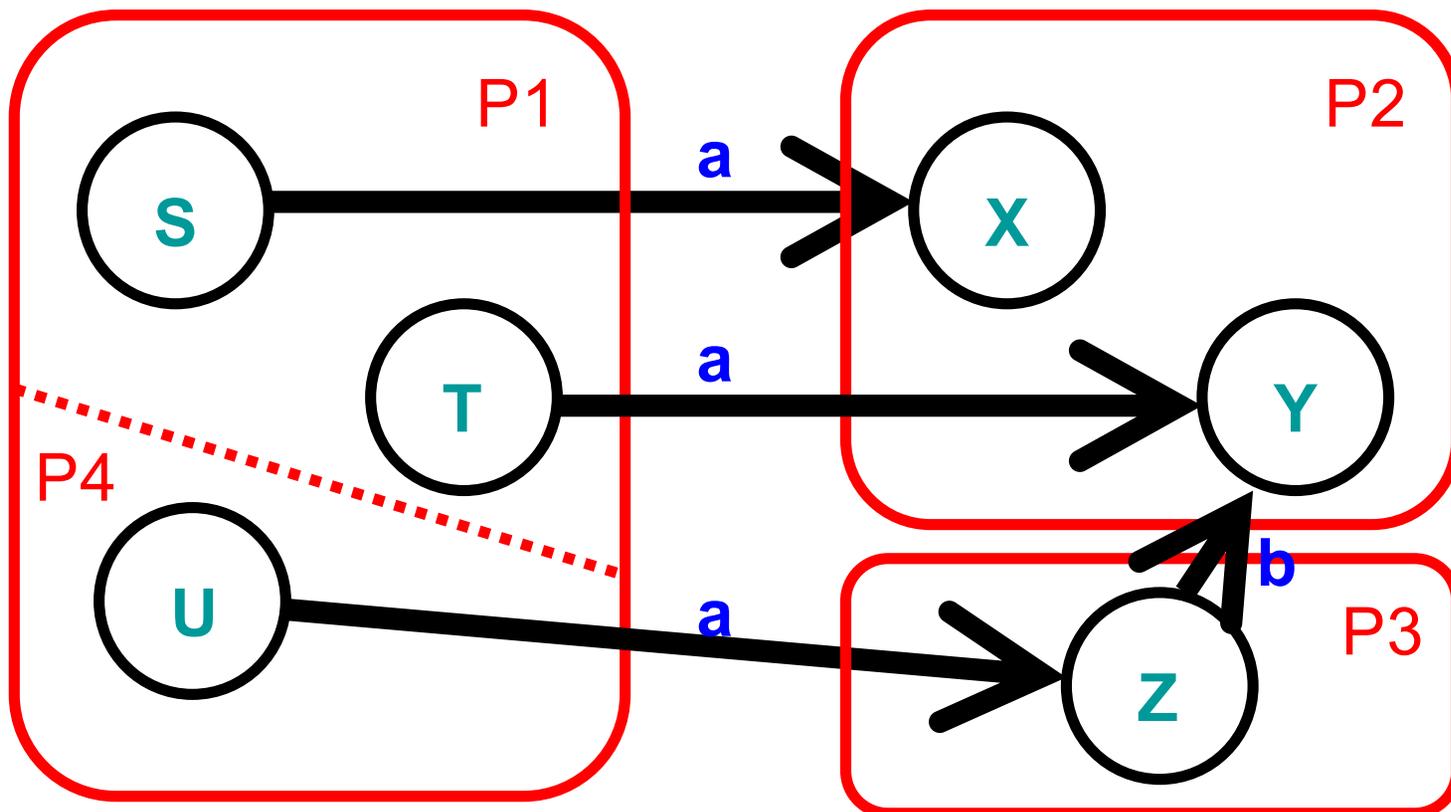
Splitting Partitions

- ▶ No need to split partition $\{S, T, U, V\}$
 - All transitions on a lead to identical partition $P2$
 - Even though transitions on a lead to different states



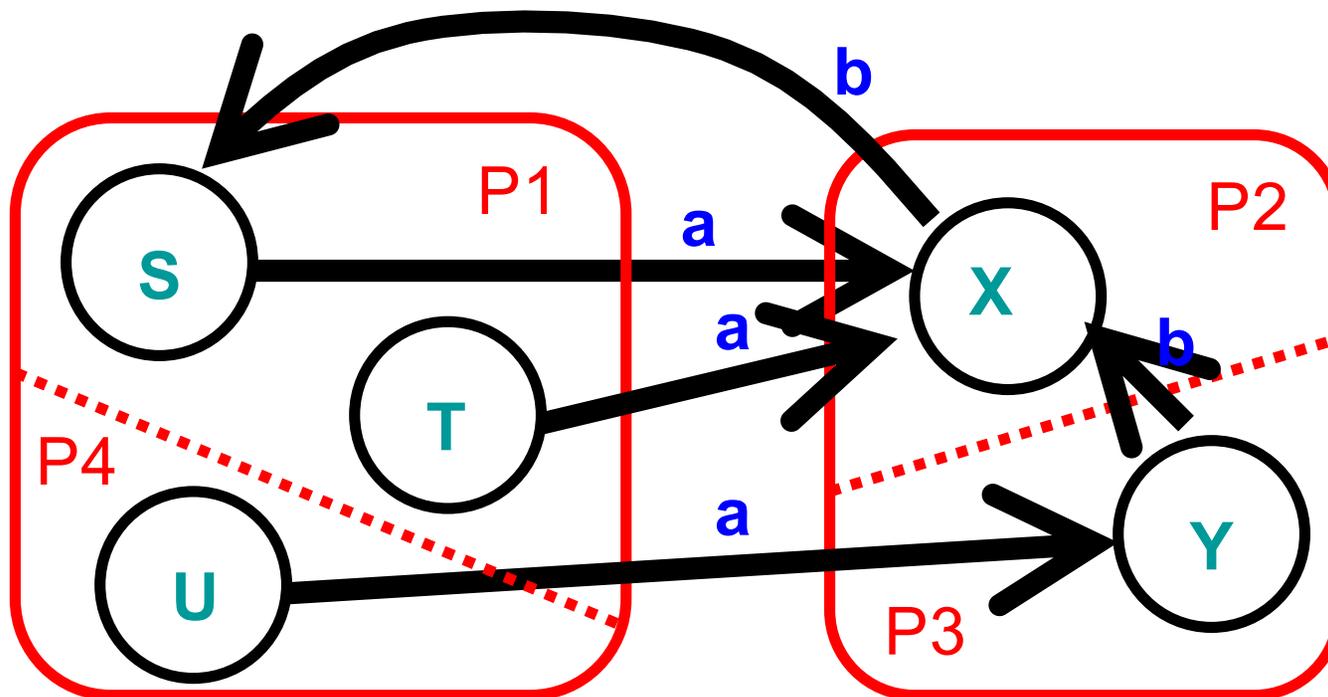
Splitting Partitions (cont.)

- ▶ Need to split partition $\{S, T, U\}$ into $\{S, T\}$, $\{U\}$
 - Transitions on a from S, T lead to partition $P2$
 - Transition on a from U lead to partition $P3$



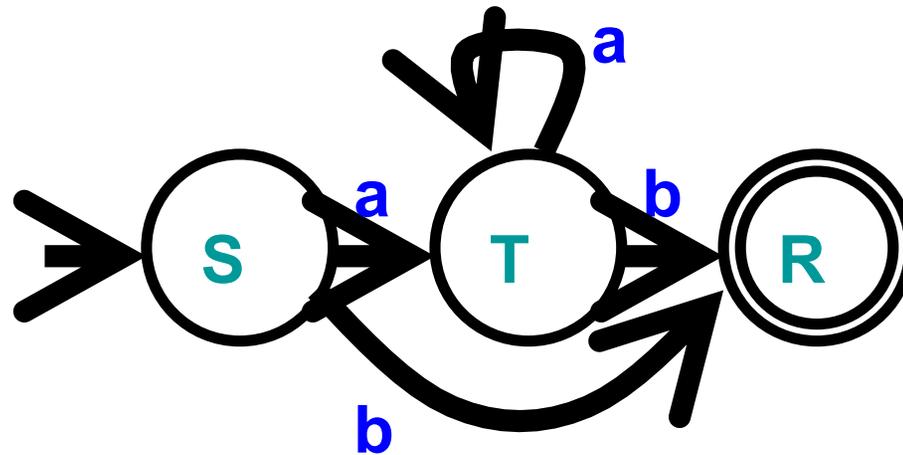
Resplitting Partitions

- ▶ Need to reexamine partitions after splits
 - Initially no need to split partition $\{S, T, U\}$
 - After splitting partition $\{X, Y\}$ into $\{X\}$, $\{Y\}$ we need to split partition $\{S, T, U\}$ into $\{S, T\}$, $\{U\}$



Minimizing DFA: Example 1

- ▶ DFA

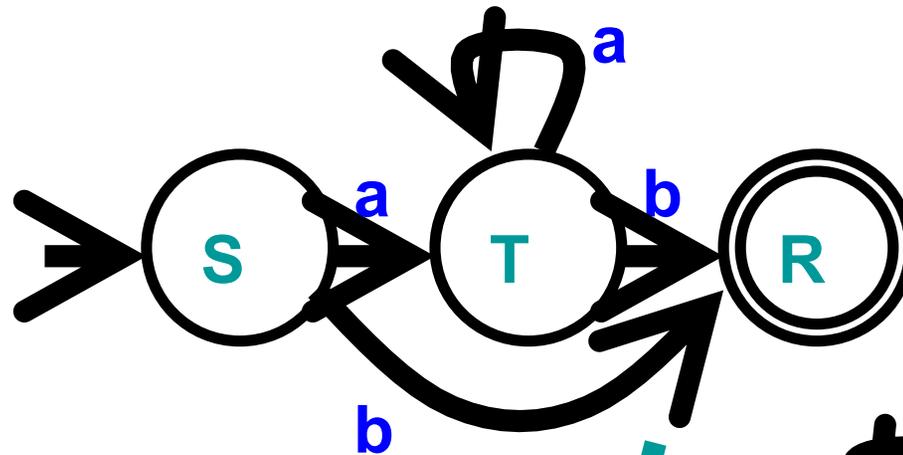


- ▶ Initial partitions

- ▶ Split partition

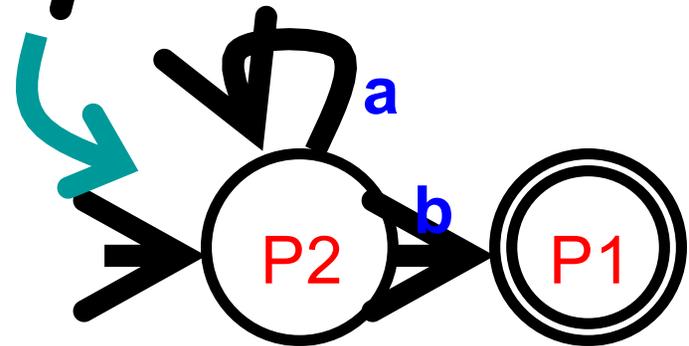
Minimizing DFA: Example 1

▶ DFA



▶ Initial partitions

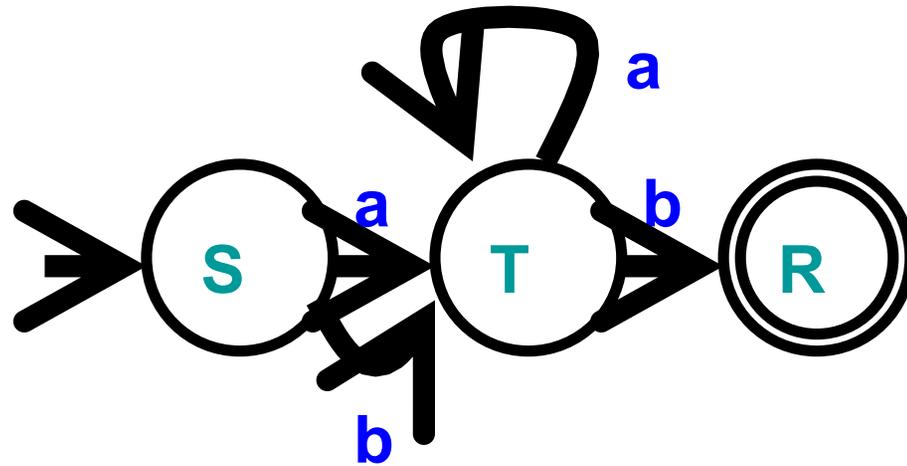
- Accept { R } = P1
- Reject { S, T } = P2



▶ Split partition? → Not required, minimization done

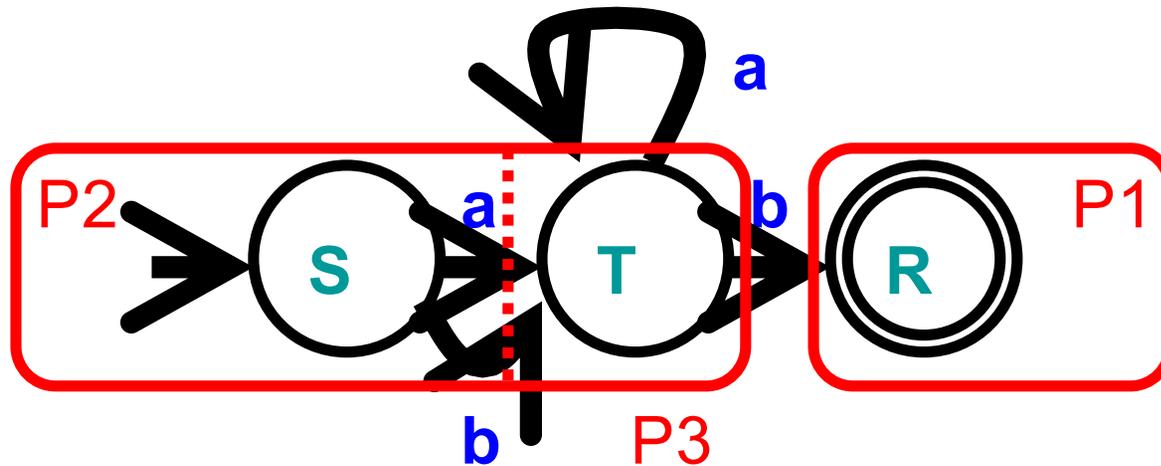
- $\text{move}(S, a) = T \in P2$
- $\text{move}(T, a) = T \in P2$
- $\text{move}(S, b) = R \in P1$
- $\text{move}(T, b) = R \in P1$

Minimizing DFA: Example 2



Minimizing DFA: Example 2

► DFA



► Initial partitions

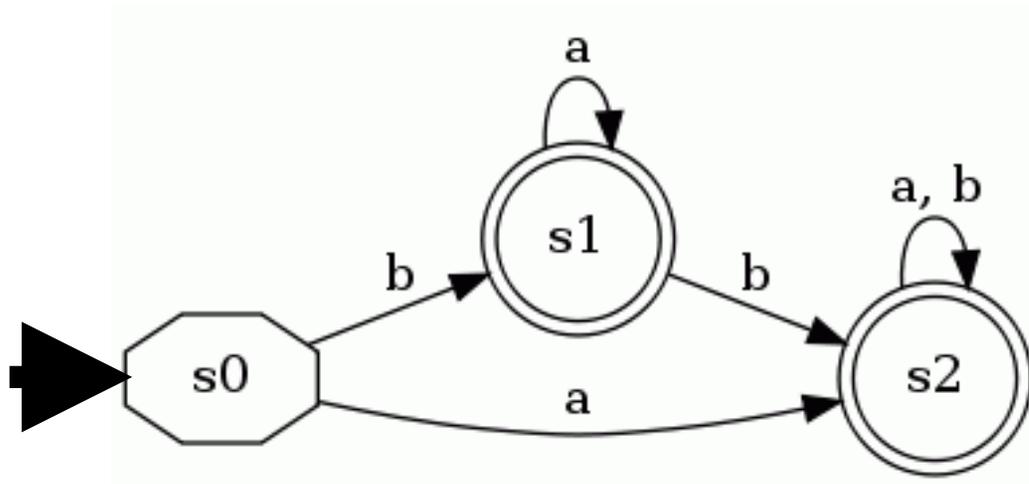
- Accept $\{ R \} = P1$
- Reject $\{ S, T \} = P2$

DFA
already
minimal

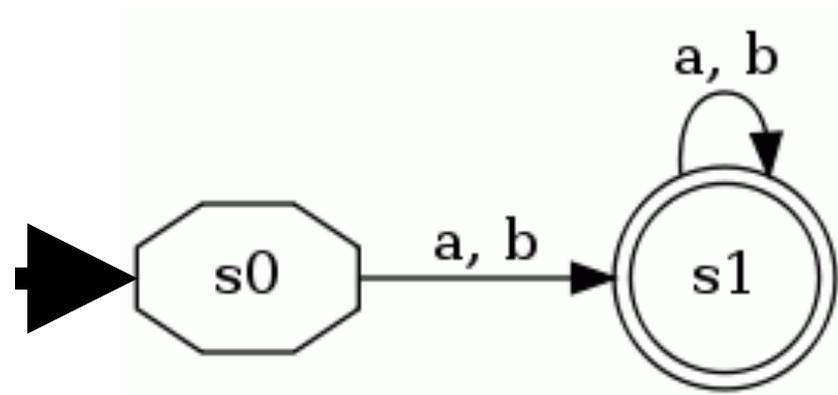
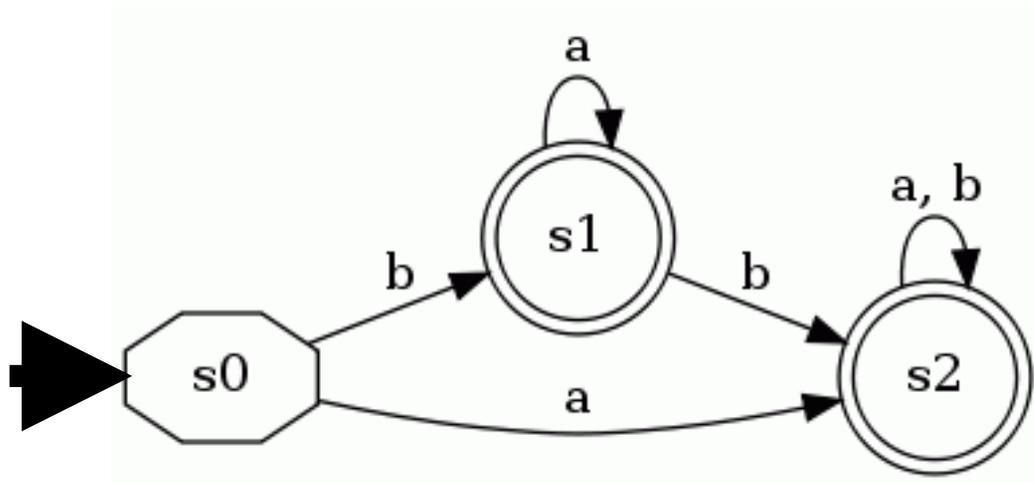
► Split partition? → Yes, different partitions for B

- $move(S,a) = T \in P2$
- $move(T,a) = T \in P2$
- $move(S,b) = T \in P2$
- $move(T,b) = R \in P1$

Minimizing DFA: Example 3

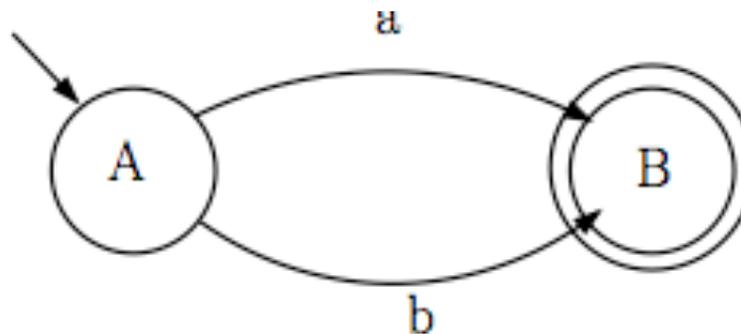


Minimizing DFA: Example 3



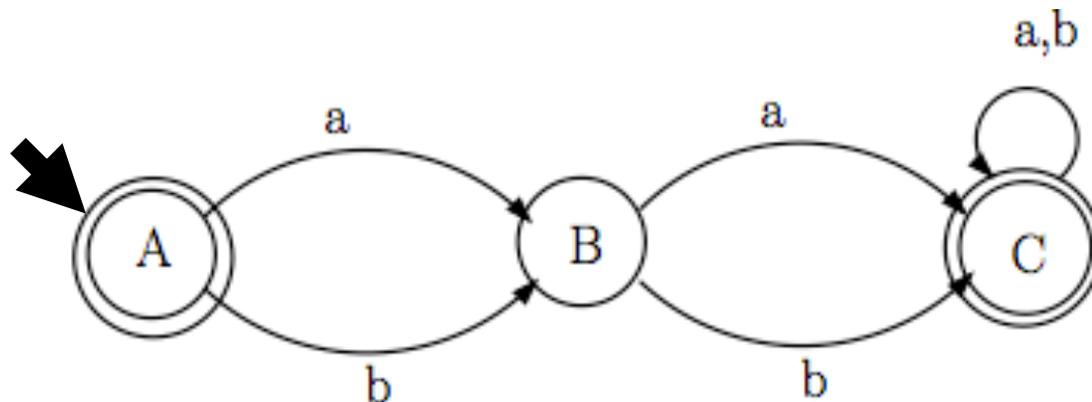
Complement of DFA

- ▶ Given a DFA accepting language L
 - How can we create a DFA accepting its complement?
 - Example DFA
 - ▶ $\Sigma = \{a,b\}$



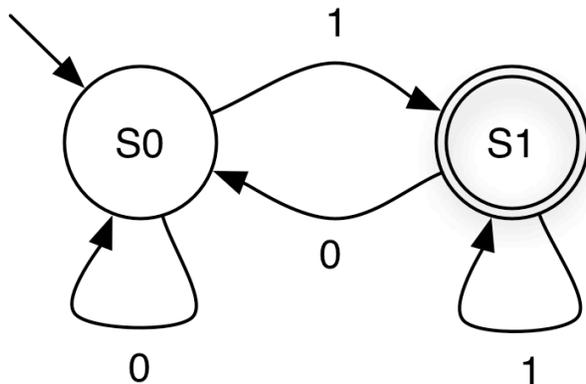
Complement of DFA

- ▶ Algorithm
 - Add explicit transitions to a dead state
 - Change every accepting state to a non-accepting state & every non-accepting state to an accepting state
- ▶ Note this **only** works with DFAs
 - Why not with NFAs?



Implementing DFAs (one-off)

It's easy to build a program which mimics a DFA



```
cur_state = 0;
while (1) {

    symbol = getchar();

    switch (cur_state) {

        case 0: switch (symbol) {
            case '0': cur_state = 0; break;
            case '1': cur_state = 1; break;
            case '\n': printf("rejected\n"); return 0;
            default:  printf("rejected\n"); return 0;
        }
        break;

        case 1: switch (symbol) {
            case '0': cur_state = 0; break;
            case '1': cur_state = 1; break;
            case '\n': printf("accepted\n"); return 1;
            default:  printf("rejected\n"); return 0;
        }
        break;

        default: printf("unknown state; I'm confused\n");
        break;
    }
}
```

Implementing DFAs (generic)

More generally, use generic table-driven DFA

given components $(\Sigma, Q, q_0, F, \delta)$ of a DFA:

let $q = q_0$

while (there exists another symbol s of the input string)

$q := \delta(q, s)$;

if $q \in F$ then

 accept

else reject

- q is just an integer
- Represent δ using arrays or hash tables
- Represent F as a set

Running Time of DFA

- ▶ How long for DFA to decide to accept/reject string s ?
 - Assume we can compute $\delta(q, c)$ in constant time
 - Then time to process s is $O(|s|)$
 - Can't get much faster!
- ▶ Constructing DFA for RE A may take $O(2^{|A|})$ time
 - But usually not the case in practice
- ▶ So there's the initial overhead
 - But then processing strings is fast

Regular Expressions in Practice

- ▶ Regular expressions are typically “compiled” into tables for the generic algorithm
 - Can think of this as a simple byte code interpreter
 - But really just a representation of $(\Sigma, Q_A, q_A, \{f_A\}, \delta_A)$, the components of the DFA produced from the RE
- ▶ Regular expression implementations often have extra constructs that are non-regular
 - I.e., can accept more than the regular languages
 - Can be useful in certain cases
 - Disadvantages
 - Nonstandard, plus can have higher complexity

Summary of Regular Expression Theory

- ▶ Finite automata
 - DFA, NFA
- ▶ Equivalence of RE, NFA, DFA
 - RE \rightarrow NFA
 - Concatenation, union, closure
 - NFA \rightarrow DFA
 - ϵ -closure & subset algorithm
- ▶ DFA
 - Minimization, complement
 - Implementation