

# CMSC 330: Organization of Programming Languages

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## Functional Programming with Lists

# Lists in OCaml

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- The basic data structure in OCaml
  - Lists can be of *arbitrary length*
    - Implemented as a linked data structure
  - Lists must be *homogeneous*
    - All elements have the same type
- Operations
  - Construct lists
  - Destruct them via pattern matching

# Constructing Lists

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## Syntax

- `[]` is the empty list (pronounced “nil”)
- `e1 :: e2` prepends element `e1` to list `e2`
  - Operator `::` is pronounced “cons” (both from LISP)
  - `e1` is the head, `e2` is the tail
- `[e1; e2; ...; en]` is *syntactic sugar* for `e1 :: e2 :: ... :: en :: []`

## Examples

<code>3 :: []</code>	<code>(* The list [3] *)</code>
<code>2 :: (3 :: [])</code>	<code>(* The list [2; 3] *)</code>
<code>[1; 2; 3]</code>	<code>(* The list 1 :: (2 :: (3 :: [])) *)</code>

# Constructing Lists

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## Evaluation

- `[]` is a value
- To evaluate `e1 :: e2`, evaluate `e1` to a value `v1`, evaluate `e2` to a (list) value `v2`, and return `v1 :: v2`
  - Actually, OCaml's language description permits evaluating `e2` first; the evaluation order is *unspecified*. This doesn't matter if there are no side effects; more on this later.

## Consequence of the above rules:

- To evaluate `[e1 ; ... ; en]`, evaluate `e1` to a value `v1`, ..., evaluate `en` to a value `vn`, and return `[v1 ; ... ; vn]`

# Examples

---

```
# let y = [1; 1+1; 1+1+1] ;;
```

```
val y : int list = [1; 2; 3]
```

```
# let x = 4::y ;;
```

```
val x : int list = [4; 1; 2; 3]
```

```
# let z = 5::y ;;
```

```
val z : int list = [5; 1; 2; 3]
```

```
# let m = "hello"::"bob"::[];;
```

```
val z : string list = ["hello"; "bob"]
```

# Typing List Construction

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Nil:

$[]: 'a \text{ list}$

i.e., empty list has type  $t \text{ list}$  for any type  $t$

*Polymorphic type:*  
like a generic type in Java

Cons:

If  $e1 : t$  and  $e2 : t \text{ list}$  then  $e1 :: e2 : t \text{ list}$

*With parens for clarity:*

If  $e1 : t$  and  $e2 : (t \text{ list})$  then  $(e1 :: e2) : (t \text{ list})$

# Examples

---

```
# let x = [1; "world"] ;;
```

**This expression has type string but an expression was expected of type int**

```
# let m = [[1]; [2; 3]] ;;
```

```
val y : int list list = [[1]; [2; 3]]
```

```
# let y = 0 :: [1; 2; 3] ;;
```

```
val y : int list = [0; 1; 2; 3]
```

```
# let w = [1; 2] :: y ;;
```

**This expression has type int list but is here used with type int list list**

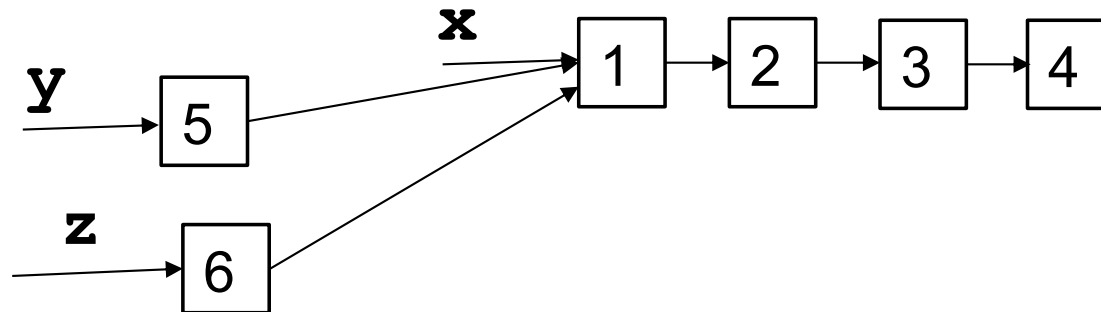
- The left argument of `::` is an element, the right is a list
- Can you construct a list `y` such that `[1; 2] :: y` makes sense?

# Lists are Immutable

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- No way to *mutate* (change) an element of a list
- Instead, build up new lists out of old, e.g., using `::`

```
let x = [1;2;3;4]  
let y = 5::x  
let z = 6::x
```





# Quiz 1

---

What is the type of the following expression?

`[1.0; 2.0; 3.0; 4.0]`

A. `array`

B. `list`

C. `int list`

D. `float list`

# Quiz 1

---

What is the type of the following expression?

`[1.0; 2.0; 3.0; 4.0]`

A. `array`

B. `list`

C. `int list`

D. `float list`

# Quiz 2

---

What is the type of the following expression?

`31 :: [3]`

- A. `int`
- B. `int list`
- C. `int list list`
- D. `error`

## Quiz 2

---

What is the type of the following expression?

`31 :: [3]`

A. `int`

B. `int list`

C. `int list list`

D. `error`

## Quiz 3

---

What is the type of the following expression?

```
[[[]; []; [1.3;2.4]]]
```

A. `int list`

B. `float list list`

C. `float list list list`

D. `error`

## Quiz 3

---

What is the type of the following expression?

```
[[[]; []; [1.3;2.4]]]
```

A. `int list`

B. `float list list`

C. `float list list list`

D. `error`

## Quiz 4

---

What is the type of the following definition?

```
let f x = x :: (0 :: [])
```

A. `int -> int`

B. `int list`

C. `int list -> int list`

D. `int -> int list`

## Quiz 4

---

What is the type of the following definition?

```
let f x = x :: (0 :: [])
```

A. `int -> int`

B. `int list`

C. `int list -> int list`

D. `int -> int list`



# Pattern Matching

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- To pull lists apart, use the **match** construct
- Syntax

```
match e with
| p1 -> e1
| ...
| pn -> en
```

- *p1...pn* are *patterns* made up of `[]`, `::`, constants, and *pattern variables* (which are normal OCaml variables)
- *e1...en* are *branch expressions* in which pattern variables in the corresponding pattern are bound

# Pattern Matching Semantics

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```
match e with
| p1 -> e1
| ...
| pn -> en
```

- Evaluate *e* to a value *v*
- If *p1* matches *v*, then evaluate *e1* to *v1* and return *v1*
- ...
- Else if *pn* matches *v*, then evaluate *en* to *vn* and return *vn*
- Else, no patterns match: raise **Match\_failure** exception
  
- (When evaluating branch expression *ei*, any pattern variables in *pi* are bound in *ei*, i.e., they are in scope)

# Pattern Matching Example

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```
let is_empty l =  
  match l with  
  [] -> true  
  | (h::t) -> false
```

## ▶ Example runs

- `is_empty []` (\* evaluates to **true** \*)
- `is_empty [1]` (\* evaluates to **false** \*)
- `is_empty [1;2]` (\* evaluates to **false** \*)

# Pattern Matching Example (cont.)

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```
let hd l =  
  match l with  
  (h::t) -> h
```

- Example runs

- `hd [1;2;3]` (\* evaluates to 1 \*)
- `hd [2;3]` (\* evaluates to 2 \*)
- `hd [3]` (\* evaluates to 3 \*)
- `hd []` (\* Exception: Match\_failure \*)

## Quiz 5

---

To what does the following expression evaluate?

```
match ["zar"; "doz"] with
  [] -> "kitteh"
| h::t -> h
```

- A. "zar"
- B. "doz"
- C. "kitteh"
- D. []

## Quiz 5

---

To what does the following expression evaluate?

```
match ["zar"; "doz"] with
  [] -> "kitteh"
| h::t -> h
```

- A. "zar"
- B. "doz"
- C. "kitteh"
- D. []

# "Deep" pattern matching

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- You can nest patterns for more precise matches
  - `a :: b` matches lists with **at least one** element
    - Matches `[1 ; 2 ; 3]`, binding `a` to `1` and `b` to `[2 ; 3]`
  - `a :: []` matches lists with **exactly one** element
    - Matches `[1]`, binding `a` to `1`
    - Could also write pattern `a :: []` as `[a]`
  - `a :: b :: []` matches lists with **exactly two** elements
    - Matches `[1 ; 2]`, binding `a` to `1` and `b` to `2`
    - Could also write pattern `a :: b :: []` as `[a ; b]`
  - `a :: b :: c :: d` matches lists with **at least three** elements
    - Matches `[1 ; 2 ; 3]`, binding `a` to `1`, `b` to `2`, `c` to `3`, and `d` to `[]`
    - *Cannot* write pattern as `[a ; b ; c] :: d` (why?)

# Pattern Matching – Wildcards

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- An underscore `_` is a wildcard pattern
  - Matches anything
  - But doesn't add any bindings
  - Useful to hold a place but discard the value
    - i.e., when the variable does not appear in the branch expression
- In previous examples
  - Many values of `h` or `t` ignored
  - Can replace with wildcard `_`



# Pattern Matching – Wildcards (cont.)

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- Code using `_`
  - `let is_empty l = match l with`
    - `[] -> true | (_ :: _) -> false`
  - `let hd l = match l with (h :: _) -> h`
  - `let tl l = match l with (_ :: t) -> t`
- Outputs
  - `is_empty [1] (* evaluates to false *)`
  - `is_empty [] (* evaluates to true *)`
  - `hd [1;2;3] (* evaluates to 1 *)`
  - `tl [1;2;3] (* evaluates to [2;3] *)`
  - `hd [1] (* evaluates to 1 *)`
  - `tl [1] (* evaluates to [] *)`

# Pattern Matching – An Abbreviation

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- `let f p = e`, where `p` is a pattern
  - is shorthand for `let f x = match x with p -> e`
- Examples
  - `let hd (h::_) = h`
  - `let tl (_::t) = t`
  - `let f (x::y::_) = x + y`
  - `let g [x; y] = x + y`
- Useful if there's only one acceptable input

# Pattern Matching Typing

```
match e with
| p1 -> e1
| ...
| pn -> en
```

- If *e* and *p1*, ..., *pn* each have type *ta*
- and *e1*, ..., *en* each have type *tb*
- Then entire `match` expression has type *tb*

## Examples

*type: 'a list -> 'a*  
let hd l =  
 match l with  
 (h :: \_) -> h  
} *tb*  
*ta = 'a list*  
*tb = 'a*

*type: int list -> int*  
let rec sum l =  
 match l with  
 [] -> 0  
 | (h :: t) -> h + sum t  
} *tb*  
*ta = int list*      *tb = int*

# Polymorphic Types

---

- The `sum` function works only for `int lists`
- But the `hd` function works for *any type of list*
  - `hd [1; 2; 3]` (\* returns 1 \*)
  - `hd ["a"; "b"; "c"]` (\* returns "a" \*)
- OCaml gives such functions **polymorphic** types
  - `hd : 'a list -> 'a`
  - this says the function takes a list of *any* element type `'a`, and returns something of that same type
- These are basically generic types in Java
  - `'a list` is like `List<T>`

# Examples Of Polymorphic Types

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- ```
let t1 (_::t) = t
# t1 [1; 2; 3];;
- : int list = [2; 3]
# t1 [1.0; 2.0];;
- : float list = [2.0]
(* t1 : 'a list -> 'a list *)
```
- ```
let fst x y = x
# fst 1 "hello";;
- : int = 1
# fst [1; 2] 1;;
- : int list = [1; 2]
(* fst : 'a -> 'b -> 'a *)
```

# Examples Of Polymorphic Types

---

- ```
let hds (x::_) (y::_) = x::y::[]
# hds [1; 2] [3; 4];;
- : int list = [1; 3]
# hds ["kitty"] ["cat"];;
- : string list = ["kitty"; "cat"]
# hds ["kitty"] [3; 4] -- type error
(* hds: 'a list -> 'a list -> 'a list *)
```
- ```
let eq x y = x = y      (* let eq x y = (x = y) *)
# eq 1 2;;
- : bool = false
# eq "hello" "there";;
- : bool = false
# eq "hello" 1 -- type error
(* eq : 'a -> 'a -> bool *)
```

# Quiz 6

---

What is the type of the following function?

```
let f x y =  
    if x = y then 1 else 0
```

- A. 'a -> 'b -> int
- B. 'a -> 'a -> int
- C. 'a -> 'a -> bool
- D. int

# Quiz 6

---

What is the type of the following function?

```
let f x y =  
    if x = y then 1 else 0
```

- A. 'a -> 'b -> int
- B. 'a -> 'a -> int
- C. 'a -> 'a -> bool
- D. int



# Pattern matching is *AWESOME*

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1. You can't forget a case
  - Compiler issues inexhaustive pattern-match warning
2. You can't duplicate a case
  - Compiler issues unused match case warning
3. You can't get an exception
  - Can't do something like `List.hd []`
4. Pattern matching leads to elegant, concise, beautiful code

# Lists and Recursion

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- Lists have a recursive structure
  - And so most functions over lists will be recursive

```
let rec length l = match l with
  [] -> 0
  | (_::t) -> 1 + (length t)
```

- This is just like an inductive definition
  - *The length of the empty list is zero*
  - *The length of a nonempty list is 1 plus the length of the tail*
- Type of `length`?
  - `'a list -> int`

# More Examples

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- `sum l (* sum of elts in l *)`  
`let rec sum l = match l with`  
    `[] -> 0`  
    `| (x::xs) -> x + (sum xs)`
- `negate l (* negate elements in list *)`  
`let rec negate l = match l with`  
    `[] -> []`  
    `| (x::xs) -> (-x) :: (negate xs)`
- `last l (* last element of l *)`  
`let rec last l = match l with`  
    `[x] -> x`  
    `| (x::xs) -> last xs`

# More Examples (cont.)

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(\* return a list containing all the elements in the list l followed by all the elements in list m \*)

- `append l m`

```
let rec append l m = match l with
  [] -> m
  | (x::xs) -> x::(append xs m)
```

- `rev l` (\* reverse list; hint: use append \*)

```
let rec rev l = match l with
  [] -> []
  | (x::xs) -> append (rev xs) [x]
```

- `rev` takes  $O(n^2)$  time. Can you do better?