

# CMSC 330: Organization of Programming Languages

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## Operational Semantics

# Formal Semantics of a Prog. Lang.

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- ▶ Mathematical description of the meaning of programs written in that language
  - What a program computes, and what it does
- ▶ Three main approaches to formal semantics
  - Operational ← **this course**
    - Often on an **abstract machine** (mathematical model of computer)
    - Analogous to **interpretation**
  - Denotational
  - Axiomatic

# Operational Semantics

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- ▶ We will show how an operational semantics may be defined for Micro-Ocaml
  - And develop an interpreter for it, along the way
- ▶ Approach: use **rules** to define a **judgment**

$$e \Rightarrow v$$

Says “*e* evaluates to *v*”

**e**: expression in Micro-OCaml

**v**: value that results from evaluating **e**

# Definitional Interpreter

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- ▶ Rules for judgment  $e \Rightarrow v$  can be easily turned into idiomatic OCaml code for an interpreter
  - The language's expressions  $e$  and values  $v$  have corresponding OCaml datatype representations **exp** and **value**
  - The semantics is represented as a function

**eval: exp -> value**

- ▶ This way of presenting the semantics is referred to as a **definitional interpreter**
  - The interpreter defines the language's meaning

# Abstract Syntax Tree spec. via “Grammar”

- ▶ We use a grammar for  $e$  to **directly** describe an expression’s **abstract syntax tree (AST)**, i.e.,  $e$ ’s structure

$e ::= x \mid n \mid e + e \mid \text{let } x = e \text{ in } e$

corresponds to (in definitional interpreter)

```
type id = string
type num = int
type exp =
  | Ident of id           (* x *)
  | Num of num           (* n *)
  | Plus of exp * exp    (* e+e *)
  | Let of id * exp * exp
                        (* let x=e in e *)
```

We are *not* concerned about the process of **parsing**, i.e., from text to an AST. We can thus ignore issues of ambiguity, etc. and focus on the **structure** of the AST given by the grammar

# Micro-OCaml Expression Grammar

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$$e ::= x \mid n \mid e + e \mid \text{let } x = e \text{ in } e$$

- ▶  $e$ ,  $x$ ,  $n$  are *meta-variables* that stand for categories of syntax (like non-terminals in a CFG)
  - $x$  is any identifier (like  $z$ ,  $y$ ,  $foo$ )
  - $n$  is any numeral (like  $1$ ,  $0$ ,  $10$ ,  $-25$ )
  - $e$  is any expression (here defined, recursively!)
- ▶ *Concrete syntax* of actual expressions in **black**
  - Such as  $\text{let}$ ,  $+$ ,  $z$ ,  $foo$ ,  $\text{in}$ , ... (like terminals in a CFG)
- $::=$  and  $|$  are *meta-syntax* used to define the syntax of a language (part of “Backus-Naur form,” or BNF)

# Micro-OCaml Expression Grammar

---

$$e ::= x \mid n \mid e + e \mid \text{let } x = e \text{ in } e$$

## Examples

- **1** is a numeral  $n$  which is an expression  $e$
- **1+z** is an expression  $e$  because
  - **1** is an expression  $e$ ,
  - **z** is an identifier  $x$ , which is an expression  $e$ , and
  - **e + e** is an expression  $e$
- **let z = 1 in 1+z** is an expression  $e$  because
  - **z** is an identifier  $x$ ,
  - **1** is an expression  $e$ ,
  - **1+z** is an expression  $e$ , and
  - **let x = e in e** is an expression  $e$

# Values

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- ▶ A **value**  $v$  is an expression's final result

$$v ::= n$$

- ▶ Just numerals for now
  - In terms of an interpreter's representation:  
`type value = int`
  - In a full language, values  $v$  will also include booleans (`true`, `false`), strings, functions, ...



# Defining the Semantics

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- ▶ Use **rules** to define **judgment**  $e \Rightarrow v$
- ▶ Judgments are just statements. We use rules to prove that the statement is true.
  - $1+3 \Rightarrow 4$ 
    - $1+3$  is an expression  $e$ , and  $4$  is a value  $v$
    - This judgment claims that  $1+3$  evaluates to  $4$
    - We use rules to prove it to be true
  - $\text{let } foo=1+2 \text{ in } foo+5 \Rightarrow 8$
  - $\text{let } f=1+2 \text{ in let } z=1 \text{ in } f+z \Rightarrow 4$

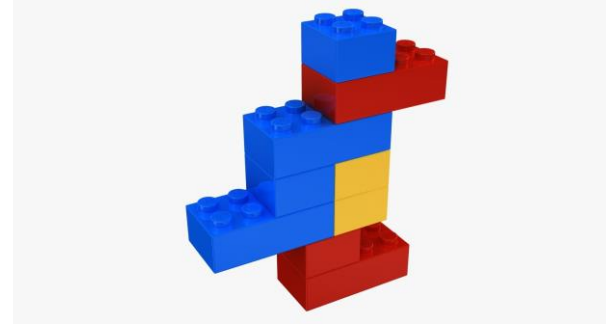
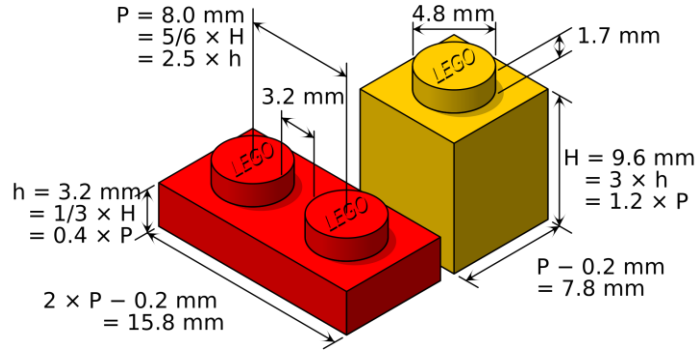
# Rules as English Text

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No rule when  $e$  is  $x$

- ▶ Suppose  $e$  is a numeral  $n$ 
  - Then  $e$  evaluates to itself, i.e.,  $n \Rightarrow n$
- ▶ Suppose  $e$  is an addition expression  $e1 + e2$ 
  - If  $e1$  evaluates to  $n1$ , i.e.,  $e1 \Rightarrow n1$
  - And if  $e2$  evaluates to  $n2$ , i.e.,  $e2 \Rightarrow n2$
  - Then  $e$  evaluates to  $n3$ , where  $n3$  is the sum of  $n1$  and  $n2$
  - I.e.,  $e1 + e2 \Rightarrow n3$
- ▶ Suppose  $e$  is a let expression **let**  $x = e1$  **in**  $e2$ 
  - If  $e1$  evaluates to  $v1$ , i.e.,  $e1 \Rightarrow v1$
  - And if  $e2\{v1/x\}$  evaluates to  $v2$ , i.e.,  $e2\{v1/x\} \Rightarrow v2$ 
    - Here,  $e2\{v1/x\}$  means “the expression after substituting occurrences of  $x$  in  $e2$  with  $v1$ ”
  - Then  $e$  evaluates to  $v2$ , i.e., **let**  $x = e1$  **in**  $e2 \Rightarrow v2$

# Rules are Lego Blocks



# Rules of Inference

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- ▶ We can use a more compact notation for the rules we just presented: **rules of inference**

- Has the following format

$$\frac{H_1 \quad \dots \quad H_n}{C}$$

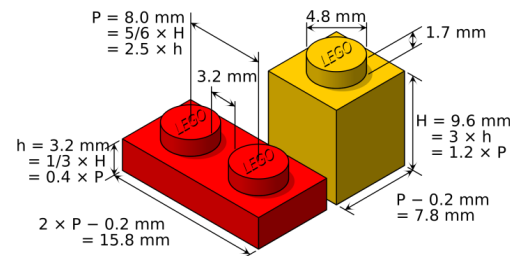
- Says: if the conditions  $H_1 \quad \dots \quad H_n$  (“hypotheses”) are true, then the condition  $C$  (“conclusion”) is true
  - If  $n=0$  (no hypotheses) then the conclusion automatically holds; this is called an axiom
- ▶ We are using inference rules where  $C$  is our judgment about evaluation, i.e., that  **$e \Rightarrow v$**

# Rules of Inference: Num and Sum

- ▶ Suppose  $e$  is a numeral  $n$ 
  - Then  $e$  evaluates to itself, i.e.,  $n \Rightarrow n$

$$n \Rightarrow n$$

- ▶ Suppose  $e$  is an addition expression  $e1 + e2$ 
  - If  $e1$  evaluates to  $n1$ , i.e.,  $e1 \Rightarrow n1$
  - If  $e2$  evaluates to  $n2$ , i.e.,  $e2 \Rightarrow n2$
  - Then  $e$  evaluates to  $n3$ , where  $n3$  is the sum of  $n1$  and  $n2$ , i.e.,  $e1 + e2 \Rightarrow n3$



$$e1 \Rightarrow n1 \quad e2 \Rightarrow n2 \quad n3 \text{ is } n1+n2$$

$$e1 + e2 \Rightarrow n3$$

# Rules of Inference: Let

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- ▶ Suppose  $e$  is a let expression `let  $x = e1$  in  $e2$` 
  - If  $e1$  evaluates to  $v$ , i.e.,  $e1 \Rightarrow v1$
  - If  $e2\{v1/x\}$  evaluates to  $v2$ , i.e.,  $e2\{v1/x\} \Rightarrow v2$
  - Then  $e$  evaluates to  $v2$ , i.e., `let  $x = e1$  in  $e2$`   $\Rightarrow v2$

$$e1 \Rightarrow v1 \quad e2\{v1/x\} \Rightarrow v2$$

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$$\text{let } x = e1 \text{ in } e2 \Rightarrow v2$$

# Derivations

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- ▶ When we apply rules to an expression in succession, we produce a **derivation**
  - It's a kind of **tree**, rooted at the conclusion
- ▶ Produce a derivation by **goal-directed search**
  - Pick a rule that could prove the goal
  - Then repeatedly apply rules on the corresponding hypotheses
    - **Goal: Show that `let x = 4 in x+3 ⇒ 7`**

# Derivations

---

$$\frac{}{n \Rightarrow n} \quad \frac{e1 \Rightarrow n1 \quad e2 \Rightarrow n2 \quad n3 \text{ is } n1+n2}{e1 + e2 \Rightarrow n3}$$

$$\frac{e1 \Rightarrow v1 \quad e2\{v1/x\} \Rightarrow v2}{\text{let } x = e1 \text{ in } e2 \Rightarrow v2}$$

**Goal:** show that  
`let x = 4 in x+3 ⇒ 7`

$$\frac{\frac{4 \Rightarrow 4 \quad 3 \Rightarrow 3 \quad 7 \text{ is } 4+3}{4+3 \Rightarrow 7}}{\text{let } x = 4 \text{ in } x+3 \Rightarrow 7}$$



# Quiz 1

---

What is derivation of the following judgment?

$$2 + (3 + 8) \Rightarrow 13$$

(a)

$$2 \Rightarrow 2 \quad 3 + 8 \Rightarrow 11$$

-----

$$2 + (3 + 8) \Rightarrow 13$$

(b)

$$8 \Rightarrow 8$$

$$3 \Rightarrow 3$$

11 is 3+8

-----

$$2 \Rightarrow 2 \quad 3 + 8 \Rightarrow 11 \quad 13 \text{ is } 2+11$$

-----

$$2 + (3 + 8) \Rightarrow 13$$

(c)

$$3 \Rightarrow 3 \quad 8 \Rightarrow 8$$

-----

$$3 + 8 \Rightarrow 11$$

$$2 \Rightarrow 2$$

-----

$$2 + (3 + 8) \Rightarrow 13$$

# Quiz 1

What is derivation of the following judgment?

$$2 + (3 + 8) \Rightarrow 13$$

(a)

$$2 \Rightarrow 2 \quad 3 + 8 \Rightarrow 11$$

-----

$$2 + (3 + 8) \Rightarrow 13$$

(b)

$$8 \Rightarrow 8$$

$$3 \Rightarrow 3$$

11 is 3+8

-----

$$2 \Rightarrow 2 \quad 3 + 8 \Rightarrow 11 \quad 13 \text{ is } 2+11$$

-----

$$2 + (3 + 8) \Rightarrow 13$$

(c)

$$3 \Rightarrow 3 \quad 8 \Rightarrow 8$$

-----

$$3 + 8 \Rightarrow 11$$

$$2 \Rightarrow 2$$

-----

$$2 + (3 + 8) \Rightarrow 13$$

# Definitional Interpreter

- ▶ The style of rules lends itself directly to the implementation of an **interpreter as a recursive function**

```
let rec eval (e:exp):value =
  match e with
  | Ident x -> (* no rule *)
    failwith "no value"
  | Num n -> n
  | Plus (e1,e2) ->
    let n1 = eval e1 in
    let n2 = eval e2 in
    let n3 = n1+n2 in
    n3
  | Let (x,e1,e2) ->
    let v1 = eval e1 in
    let e2' = subst v1 x e2 in
    let v2 = eval e2' in v2
```

$$n \Rightarrow n$$

$$e1 \Rightarrow n1 \quad e2 \Rightarrow n2 \quad n3 \text{ is } n1+n2$$

$$e1 + e2 \Rightarrow n3$$

$$e1 \Rightarrow v1 \quad e2\{v1/x\} \Rightarrow v2$$

$$\text{let } x = e1 \text{ in } e2 \Rightarrow v2$$

# Derivations = Interpreter Call Trees

---

$$\frac{\frac{4 \Rightarrow 4 \quad 3 \Rightarrow 3 \quad 7 \text{ is } 4+3}{4+3 \Rightarrow 7}}{4 \Rightarrow 4} \quad \frac{}{\text{let } x = 4 \text{ in } x+3 \Rightarrow 7}$$

Has the same shape as the recursive call tree of the interpreter:

$$\frac{\frac{\text{eval Num } 4 \Rightarrow 4 \quad \text{eval Num } 3 \Rightarrow 3 \quad 7 \text{ is } 4+3}{\text{eval (subst 4 "x" Plus (Ident ("x"), Num 3))} \Rightarrow 7}}{\text{eval Let ("x", Num 4, Plus (Ident ("x"), Num 3))} \Rightarrow 7}$$

# Semantics Defines Program Meaning

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- ▶  $e \Rightarrow v$  holds if and only if a *proof* can be built
  - Proofs are derivations: axioms at the top, then rules whose hypotheses have been proved to the bottom
  - No proof means there *exists no*  $v$  for which  $e \Rightarrow v$
- ▶ Proofs can be constructed bottom-up
  - In a goal-directed fashion
- ▶ Thus, function  $\text{eval } e = \{v \mid e \Rightarrow v\}$ 
  - Determinism of semantics implies at most one element for any  $e$
- ▶ So: Expression  $e$  *means*  $v$

# Environment-style Semantics

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- ▶ So far, semantics used substitution to handle variables
  - As we evaluate, we replace all occurrences of a variable  $x$  with values it is bound to
- ▶ An alternative semantics, closer to a real implementation, is to use an **environment**
  - As we evaluate, we maintain an explicit map from variables to values, and look up variables as we see them

# Environments

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- ▶ Mathematically, an environment is a **partial function** from identifiers to values
  - If  $A$  is an environment, and  $x$  is an identifier, then  $A(x)$  can either be
    - a value  $v$  (intuition: the value of the variable stored on the stack)
    - undefined (intuition: the variable has not been declared)
- ▶ An environment can be visualized as a table
  - If  $A$  is

Id	Val
$x$	0
$y$	2

- then  $A(x)$  is 0,  $A(y)$  is 2, and  $A(z)$  is undefined

# Notation, Operations on Environments

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- ▶  $\bullet$  is the empty environment
- ▶  $A, \mathbf{x}:\mathbf{v}$  is the environment that extends  $A$  with a mapping from  $\mathbf{x}$  to  $\mathbf{v}$ 
  - We write  $\mathbf{x}:\mathbf{v}$  instead of  $\bullet, \mathbf{x}:\mathbf{v}$  for brevity
  - NB. if  $A$  maps  $\mathbf{x}$  to some  $\mathbf{v}'$ , then that mapping is *shadowed* by  $\mathbf{x}:\mathbf{v}$  in  $A, \mathbf{x}:\mathbf{v}$
- ▶ Lookup  $A(\mathbf{x})$  is defined as follows

$$\begin{aligned} \bullet(\mathbf{x}) &= \text{undefined} \\ (A, \mathbf{y}:\mathbf{v})(\mathbf{x}) &= \begin{cases} \mathbf{v} & \text{if } \mathbf{x} = \mathbf{y} \\ A(\mathbf{x}) & \text{if } \mathbf{x} \neq \mathbf{y} \text{ and } A(\mathbf{x}) \text{ defined} \\ \text{undefined} & \text{otherwise} \end{cases} \end{aligned}$$



# Definitional Interpreter: Environments

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```
type env = (id * value) list

let extend env x v = (x,v)::env

let rec lookup env x =
  match env with
  [] -> failwith "undefined"
| (y,v)::env' ->
  if x = y then v
  else lookup env' x
```

An environment is just a list of mappings,  
which are just pairs of variable to value  
- called an **association list**

# Semantics with Environments

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- ▶ The environment semantics changes the judgment

$$e \Rightarrow v$$

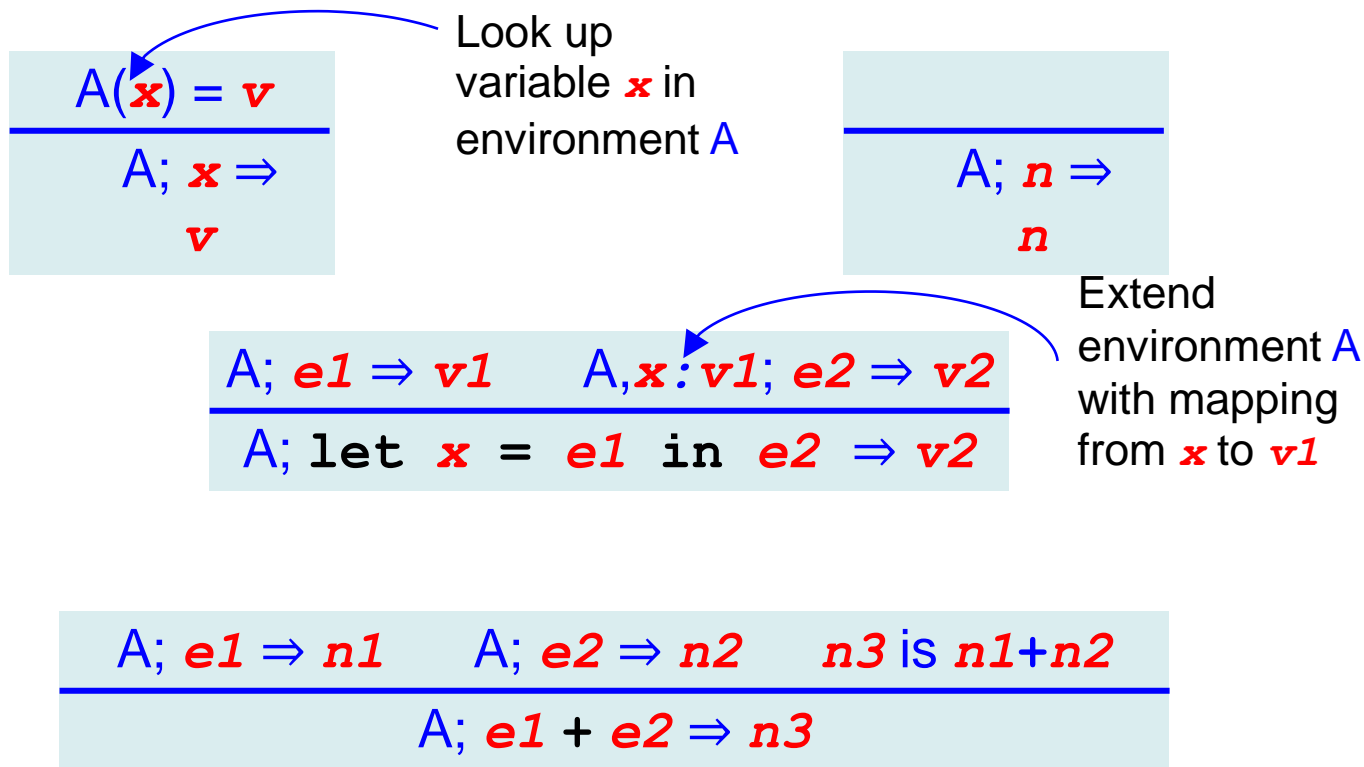
to be

$$A; e \Rightarrow v$$

where  $A$  is an environment

- Idea:  $A$  is used to give values to the identifiers in  $e$
  - $A$  can be thought of as containing declarations made up to  $e$
- ▶ Previous rules can be modified by
    - Inserting  $A$  everywhere in the judgments
    - Adding a rule to look up variables  $x$  in  $A$
    - Modifying the rule for **let** to add  $x$  to  $A$

# Environment-style Rules



# Definitional Interpreter: Evaluation

---

```
let rec eval env e =
  match e with
  | Ident x -> lookup env x
  | Num n -> n
  | Plus (e1,e2) ->
    let n1 = eval env e1 in
    let n2 = eval env e2 in
    let n3 = n1+n2 in
    n3
  | Let (x,e1,e2) ->
    let v1 = eval env e1 in
    let env' = extend env x v1 in
    let v2 = eval env' e2 in v2
```

# Quiz 2

What is a derivation of the following judgment?

•; let x=3 in x+2 ⇒ 5

(a)

$$\frac{\begin{array}{l} x \Rightarrow 3 \quad 2 \Rightarrow 2 \quad 5 \text{ is } 3+2 \\ \hline 3 \Rightarrow 3 \quad x+2 \Rightarrow 5 \\ \hline \text{let } x=3 \text{ in } x+2 \Rightarrow 5 \end{array}}$$

(c)

$$\frac{\begin{array}{l} x:2; x \Rightarrow 3 \quad x:2; 2 \Rightarrow 2 \quad 5 \text{ is } \\ 3+2 \\ \hline \end{array}}{\text{•; let } x=3 \text{ in } x+2 \Rightarrow 5}$$

(b)

$$\frac{\begin{array}{l} x:3; x \Rightarrow 3 \quad x:3; 2 \Rightarrow 2 \quad 5 \text{ is } 3+2 \\ \hline \text{•; } 3 \Rightarrow 3 \quad x:3; x+2 \Rightarrow 5 \\ \hline \end{array}}{\text{•; let } x=3 \text{ in } x+2 \Rightarrow 5}$$

# Quiz 2

---

What is a derivation of the following judgment?

•; let  $x=3$  in  $x+2 \Rightarrow 5$

(a)

$$\frac{\frac{x \Rightarrow 3 \quad 2 \Rightarrow 2 \quad 5 \text{ is } 3+2}{\text{-----}}}{3 \Rightarrow 3 \quad x+2 \Rightarrow 5}$$
$$\text{-----}$$
$$\text{let } x=3 \text{ in } x+2 \Rightarrow 5$$

(c)

$$\frac{x:2; x \Rightarrow 3 \quad x:2; 2 \Rightarrow 2 \quad 5 \text{ is } 3+2 \quad \text{--}}{\text{-----}}$$
$$\text{•; let } x=3 \text{ in } x+2 \Rightarrow 5$$

(b)

$$\frac{\frac{x:3; x \Rightarrow 3 \quad x:3; 2 \Rightarrow 2 \quad 5 \text{ is } 3+2}{\text{-----}}}{\text{•; } 3 \Rightarrow 3 \quad x:3; x+2 \Rightarrow 5}$$
$$\text{-----}$$
$$\text{•; let } x=3 \text{ in } x+2 \Rightarrow 5$$

# Adding Conditionals to Micro-OCaml

---

```
e ::= x | v | e + e | let x = e in e  
| eq0 e | if e then e else e
```

```
v ::= n | true | false
```

- ▶ In terms of interpreter definitions:

```
type exp =  
  | Val of value  
  | ... (* as before *)  
  | Eq0 of exp  
  | If of exp * exp * exp
```

```
type value =  
  Int of int  
  | Bool of bool
```

# Rules for Eq0 and Booleans

---

$$\frac{}{A; \text{true} \Rightarrow \text{true}}$$
$$\frac{}{A; \text{false} \Rightarrow \text{false}}$$
$$A; e \Rightarrow 0$$
$$A; \text{eq0 } e \Rightarrow \text{true}$$
$$A; e \Rightarrow v \quad v \neq 0$$
$$A; \text{eq0 } e \Rightarrow \text{false}$$

- ▶ Booleans evaluate to themselves
  - $A; \text{false} \Rightarrow \text{false}$
- ▶ `eq0` tests for 0
  - $A; \text{eq0 } 0 \Rightarrow \text{true}$
  - $A; \text{eq0 } 3+4 \Rightarrow \text{false}$



# Rules for Conditionals

---

$$A; e1 \Rightarrow \text{true} \quad A; e2 \Rightarrow v$$
$$A; \text{if } e1 \text{ then } e2 \text{ else } e3 \Rightarrow v$$
$$A; e1 \Rightarrow \text{false} \quad A; e3 \Rightarrow v$$
$$A; \text{if } e1 \text{ then } e2 \text{ else } e3 \Rightarrow v$$

- ▶ Notice that only one branch is evaluated
  - $A; \text{if } \text{eq}0 \ 0 \ \text{then } 3 \ \text{else } 4 \Rightarrow 3$
  - $A; \text{if } \text{eq}0 \ 1 \ \text{then } 3 \ \text{else } 4 \Rightarrow 4$

# Quiz 3

What is the derivation of the following judgment?

•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10

(a)

```
•; 3  $\Rightarrow$  3    •; 2  $\Rightarrow$  2    3-2 is 1
-----
•; eq0 3-2  $\Rightarrow$  false      •; 10  $\Rightarrow$  10
-----
•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

(b)

```
3  $\Rightarrow$  3    2  $\Rightarrow$  2
3-2 is 1
-----
eq0 3-2  $\Rightarrow$  false      10  $\Rightarrow$  10
-----
if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

(c)

```
•; 3  $\Rightarrow$  3
•; 2  $\Rightarrow$  2
3-2 is 1
-----
•; 3-2  $\Rightarrow$  1      1  $\neq$  0
-----
•; eq0 3-2  $\Rightarrow$  false      •; 10  $\Rightarrow$  10
-----
•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

# Quiz 3

What is the derivation of the following judgment?

•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10

(a)

```
•; 3  $\Rightarrow$  3    •; 2  $\Rightarrow$  2    3-2 is 1
-----
•; eq0 3-2  $\Rightarrow$  false          •; 10  $\Rightarrow$  10
-----
•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

(b)

```
3  $\Rightarrow$  3    2  $\Rightarrow$  2
3-2 is 1
-----
eq0 3-2  $\Rightarrow$  false          10  $\Rightarrow$  10
-----
if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

(c)

```
•; 3  $\Rightarrow$  3
•; 2  $\Rightarrow$  2
3-2 is 1
-----
•; 3-2  $\Rightarrow$  1    1  $\neq$  0
-----
•; eq0 3-2  $\Rightarrow$  false          •; 10  $\Rightarrow$  10
-----
•; if eq0 3-2 then 5 else 10  $\Rightarrow$  10
```

# Updating the Interpreter

```
let rec eval env e =
  match e with
  | Ident x -> lookup env x
  | Val v -> v
  | Plus (e1,e2) ->
    let Int n1 = eval env e1 in
    let Int n2 = eval env e2 in
    let n3 = n1+n2 in
    Int n3
  | Let (x,e1,e2) ->
    let v1 = eval env e1 in
    let env' = extend env x v1 in
    let v2 = eval env' e2 in v2
  | Eq0 e1 ->
    let Int n = eval env e1 in
    if n=0 then Bool true else Bool false
  | If (e1,e2,e3) ->
    let Bool b = eval env e1 in
    if b then eval env e2
    else eval env e3
```

Pattern match will fail if `e1` or `e2` is not an `Int`; this is **dynamic type checking!** (But `Match_failure` not the best way to signal an error)

Basically both rules for `eq0` in this one snippet

Both `if` rules here

# Adding Closures to Micro-OCaml

```
e ::= x | v | e + e | let x = e in e  
      | eq0 e | if e then e else e  
      | e e | fun x -> e
```

```
v ::= n | true | false | (A, λx.e)
```

Environment

Code  
(id and exp)

- ▶ In terms of interpreter definitions:

```
type exp =  
  | Val of value  
  | If of exp * exp * exp  
  ... (* as before *)  
  | Call of exp * exp  
  | Fun of id * exp
```

```
type value =  
  Int of int  
  | Bool of bool  
  | Closure of env * id * exp
```

# Rule for Closures: Lexical/Static Scoping

---

$$A; \text{fun } \mathbf{x} \rightarrow \mathbf{e} \Rightarrow (A, \lambda \mathbf{x}. \mathbf{e})$$

$$\frac{A; \mathbf{e1} \Rightarrow (A', \lambda \mathbf{x}. \mathbf{e}) \quad A; \mathbf{e2} \Rightarrow \mathbf{v1} \quad A', \mathbf{x}:\mathbf{v1}; \mathbf{e} \Rightarrow \mathbf{v}}{A; \mathbf{e1} \ \mathbf{e2} \Rightarrow \mathbf{v}}$$

► Notice

- Creating a closure captures the current environment  $A$
- A call to a function
  - evaluates the body of the closure's code  $\mathbf{e}$  with function closure's environment  $A'$  extended with parameter  $\mathbf{x}$  bound to argument  $\mathbf{v1}$

► Left to you: How will the definitional interpreter change?

# Rule for Closures: Dynamic Scoping

---

$$A; \text{fun } x \rightarrow e \Rightarrow (\bullet, \lambda x. e)$$
$$\frac{A; e1 \Rightarrow (\bullet, \lambda x. e) \quad A; e2 \Rightarrow v1 \quad A, x:v1; e \Rightarrow v}{A; e1 \ e2 \Rightarrow v}$$

## ► Notice

- Creating a closure ignores the current environment  $A$
- A call to a function
  - evaluates the body of the closure's code  $e$  with the current environment  $A$  extended with parameter  $x$  bound to argument  $v1$

## ► Easy to see dynamic scoping was an implementation error!

# Quick Look: Type Checking

---

- ▶ Inference rules can also be used to specify a program's **static semantics**
  - I.e., the rules for type checking
- ▶ We won't cover this in depth in this course, but here is a flavor.
- ▶ Types  $t ::= \mathbf{bool} \mid \mathbf{int}$
- ▶ Judgment  $\vdash e : t$  says  $e$  has type  $t$ 
  - We define inference rules for this judgment, just as with the operational semantics



# Some Type Checking Rules

---

- ▶ Boolean constants have type `bool`

$$\frac{}{\vdash \text{true} : \text{bool}}$$
$$\frac{}{\vdash \text{false} : \text{bool}}$$

- ▶ Equality checking has type `bool` too

- Assuming its target expression has type `int`

$$\frac{}{\vdash e : \text{int}}$$
$$\frac{}{\vdash \text{eq0 } e : \text{bool}}$$

- ▶ Conditionals

$$\frac{}{\vdash e1 : \text{bool} \quad \vdash e2 : t \quad \vdash e3 : t}$$
$$\frac{}{\vdash \text{if } e1 \text{ then } e2 \text{ else } e3 : t}$$

# Handling Binding

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- ▶ What about the types of variables?
  - Taking inspiration from the environment-style operational semantics, what could you do?
- ▶ Change judgment to be  $G \vdash e : t$  which says  $e$  has type  $t$  under *type environment*  $G$ 
  - $G$  is a map from variables  $x$  to types  $t$ 
    - Analogous to map  $A$ , but maps vars to types, not values
- ▶ What would be the rules for `let`, and variables?

# Type Checking with Binding

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- ▶ Variable lookup

$$\frac{G(\mathbf{x}) = t}{G \vdash \mathbf{x} : t}$$

*analogous to*

$$\frac{A(\mathbf{x}) = v}{A; \mathbf{x} \Rightarrow v}$$

- ▶ Let binding

$$\frac{G \vdash e1 : t1 \quad G, \mathbf{x} : t1 \vdash e2 : t2}{G \vdash \text{let } \mathbf{x} = e1 \text{ in } e2 : t2}$$

*analogous to*

$$\frac{A; e1 \Rightarrow v1 \quad A, \mathbf{x} : v1; e2 \Rightarrow v2}{A; \text{let } \mathbf{x} = e1 \text{ in } e2 \Rightarrow v2}$$

# Scaling up

---

- ▶ Operational semantics (and similarly styled typing rules) can handle full languages
  - With records, recursive variant types, objects, first-class functions, and more
- ▶ Provides a concise notation for explaining what a language does. Clearly shows:
  - Evaluation order
  - Call-by-value vs. call-by-name
  - Static scoping vs. dynamic scoping
  - ... We may look at more of these later

# Scaling up: Lego City

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# Scaling up: Web Assembly

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[webassembly.github.io/spec/core/](https://webassembly.github.io/spec/core/)



## WebAssembly Specification

Release 1.1 (Draft, Mar 12, 2021)

Editor: Andreas Rossberg

Latest Draft: <https://webassembly.github.io/spec/core/>

Issue Tracker: <https://github.com/webassembly/spec/issues/>

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# Scaling up: Web Assembly

[webassembly.github.io/spec/core/exec/conventions.html#formal-notation](https://webassembly.github.io/spec/core/exec/conventions.html#formal-notation)



Introduction

Structure

Validation

Execution

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## Formal Notation

### Note:

This section gives a brief explanation of the notation for specifying execution formally. For the interested reader, a more thorough introduction can be found in respective text books. [2]

The formal execution rules use a standard approach for specifying operational semantics, rendering them into *reduction rules*. Every rule has the following general form:

$$\text{configuration} \rightarrow \text{configuration}$$

A *configuration* is a syntactic description of a program state. Each rule specifies one *step* of execution. As long as there is at most one reduction rule applicable to a given configuration, reduction – and thereby execution – is *deterministic*. WebAssembly has only very few exceptions to this, which are noted explicitly in this specification.

For WebAssembly, a configuration typically is a tuple  $(S; F; \text{instr}^*)$  consisting of the current *store*  $S$ , the *call frame*  $F$  of the current function, and the sequence of *instructions* that is to be executed. (A more precise definition is given *later*.)

To avoid unnecessary clutter, the store  $S$  and the frame  $F$  are omitted from reduction rules that do not touch them.