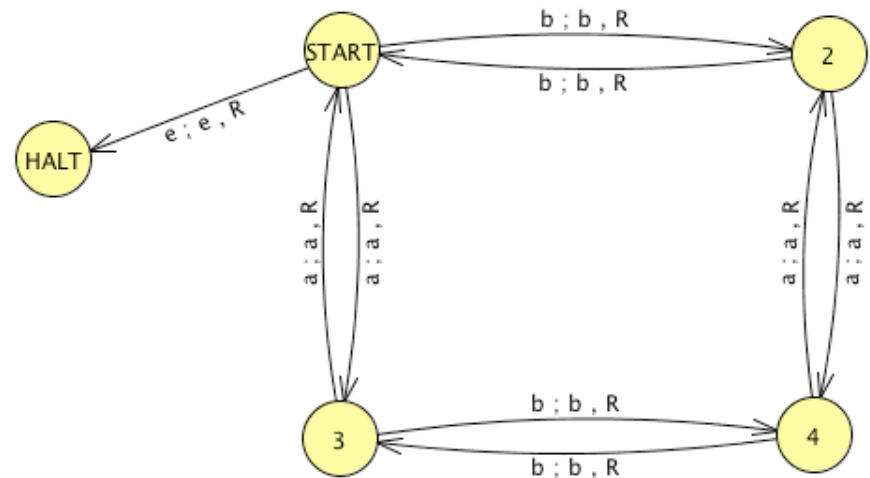
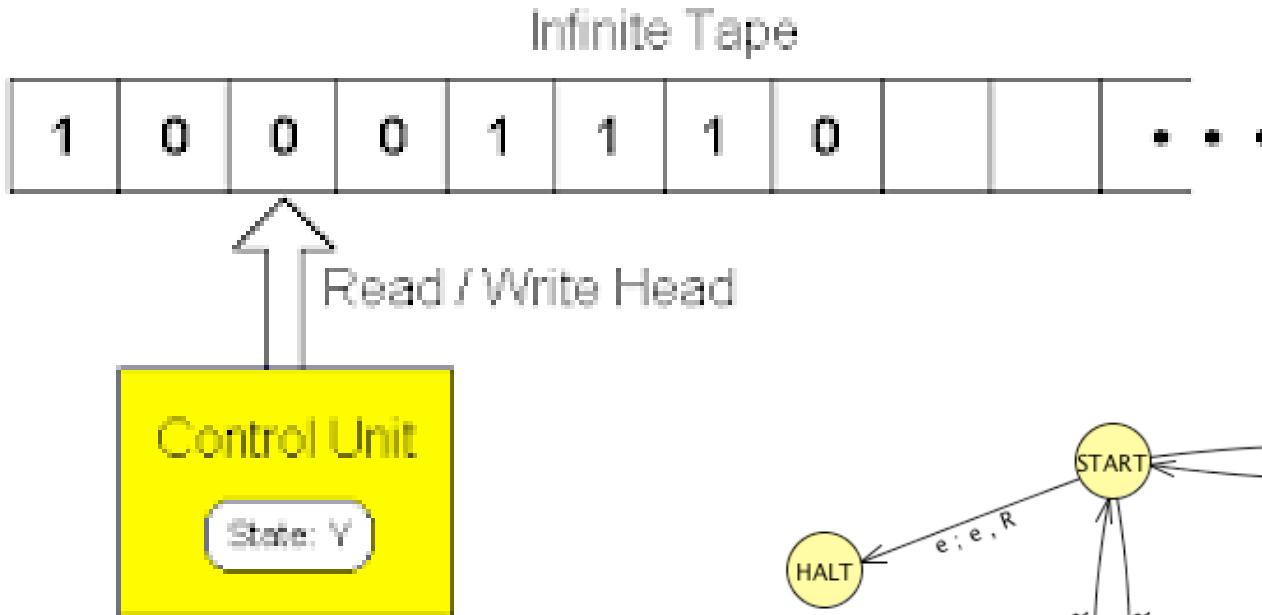

CMSC 330: Organization of Programming Languages

Lambda Calculus

Turing Machine



Turing Completeness

- ▶ Turing machines are the most powerful description of computation possible
 - They define the Turing-computable functions
- ▶ A programming language is **Turing complete** if
 - It can map every Turing machine to a program
 - A program can be written to emulate a Turing machine
 - It is a superset of a known Turing-complete language
- ▶ Most powerful programming language possible
 - Since Turing machine is most powerful automaton

Programming Language Expressiveness

- ▶ So what language features are needed to express all computable functions?
 - What's a minimal language that is Turing Complete?
- ▶ Observe: some features exist just for convenience
 - Multi-argument functions `foo (a, b, c)`
 - Use currying or tuples
 - Loops `while (a < b) ...`
 - Use recursion
 - Side effects `a := 1`
 - Use functional programming pass “heap” as an argument to each function, return it when with function's result:
`effectful : `a → `s → (`s * `a)`

Programming Language Expressiveness

- ▶ It is not difficult to achieve Turing Completeness
 - Lots of things are ‘accidentally’ TC
- ▶ Some fun examples:
 - x86_64 `mov` instruction
 - Minecraft
 - Magic: The Gathering
 - Java Generics
- ▶ There’s a whole cottage industry of proving things to be TC.
- ▶ What about something a little more ‘programmable’?

Mini C

You only have:

- If statement
- Plus 1
- Minus 1
- functions

sum $n = 1+2+3+4+5\dots n$ in Mini C

```
int add1(int n){return n+1;}
int sub1(int n){return n-1;}
int add(int a,int b){
    if(b == 0) return a;
    else return add( add1(a),sub1(b));
}
int sum(int n){
    if(n == 1) return 1;
    else return add(n, sum(sub1(n)));
}
int main(){
    printf("%d\n",sum(5));
}
```

Lambda Calculus (λ -calculus)

- ▶ Proposed in 1930s by
 - Alonzo Church
(born in Washington DC!)
- ▶ Formal system
 - Designed to investigate functions & recursion
 - For exploration of foundations of mathematics
- ▶ Now used as
 - Tool for investigating computability
 - Basis of functional programming languages
 - Lisp, Scheme, ML, OCaml, Haskell...



Why Study Lambda Calculus?

- ▶ It is a “core” language
 - Very small but still Turing complete
- ▶ But with it can explore general ideas
 - Language features, semantics, proof systems, algorithms, ...
- ▶ Plus, higher-order, anonymous functions (aka *lambdas*) are now very popular!
 - C++ (C++11), PHP (PHP 5.3.0), C# (C# v2.0), Delphi (since 2009), Objective C, Java 8, Swift, Python, Ruby (Procs), ... (and functional languages like OCaml, Haskell, F#, ...)
 - Excel, as of 2021!

Lambda Calculus Syntax

- ▶ A lambda calculus **expression** is defined as

$e ::= x$

variable

| $\lambda x.e$

abstraction (fun def)

| $e e$

application (fun call)

- This grammar describes ASTs; not for parsing (ambiguous!)
- Lambda expressions also known as lambda **terms**
- $\lambda x.e$ is like `(fun x -> e)` in OCaml

That's it! Nothing but higher-order functions

Lambda Calculus Syntax

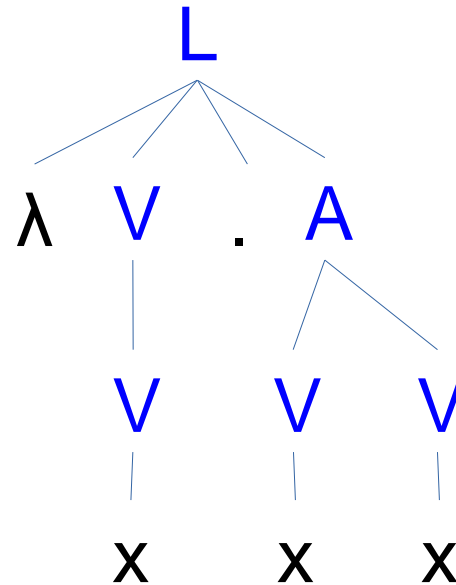
- ▶ How is it ambiguous?
- ▶ Let's try: $\lambda x.x x$

$E \rightarrow V \mid L \mid A$

$L \rightarrow \lambda V.E$

$A \rightarrow E E$

$V \rightarrow v \mid \varepsilon$



Lambda Calculus Syntax

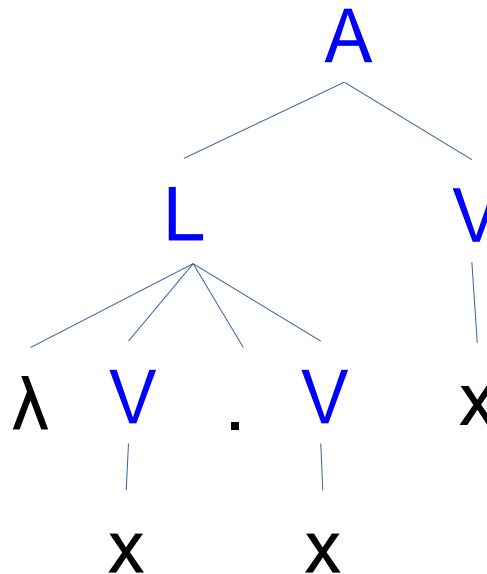
- ▶ How is it ambiguous?
- ▶ Let's try: $\lambda x.x x$

$E \rightarrow V \mid L \mid A$

$L \rightarrow \lambda V.E$

$A \rightarrow E E$

$V \rightarrow v \mid \varepsilon$



Lambda Calculus Syntax

- ▶ While this means that our grammar is not so useful for *parsing*, it is still useful for write LC terms if we follow some conventions
- ▶ Almost all literature you will find uses 2 syntactic conventions
- ▶ We add a third convention that is very common ‘syntactic sugar’ for ease of reading larger LC terms

Three Conventions

- ▶ Scope of λ extends as **far right** as possible
 - Subject to scope delimited by parentheses
 - $\lambda x. \lambda y. x y$ is same as $\lambda x. (\lambda y. (x y))$
- ▶ Function application is left-associative
 - $x y z$ is $(x y) z$
 - Same rule as OCaml
- ▶ As a convenience, we use the following “syntactic sugar” for local declarations
 - $\text{let } x = e1 \text{ in } e2$ is short for $(\lambda x. e2) e1$

Quiz #1

$\lambda x. (y z)$ and $\lambda x. y z$ are equivalent

- A. True
- B. False

Quiz #1

$\lambda x. (y z)$ and $\lambda x. y z$ are equivalent

A. True

B. False

But what does it mean

- ▶ Many ways to define the semantics of LC
- ▶ We will look at 2
 - Operational
 - Definitional Interpreter

Lambda Calculus Semantics

- ▶ Evaluation: All that's involved are function calls $(\lambda x.e1) e2$
 - Evaluate $e1$ with x replaced by $e2$
- ▶ This application is called **beta-reduction**
 - We allow reductions to occur *anywhere* in a term
 - Order reductions are applied does not affect final value! (if there is one)
- ▶ When a term **cannot be reduced further** it is in **beta normal form**

Operational Semantics of LC

- ▶ Because of the use of variables, we need an *environment*
- ▶ Recap: the environment can be thought of as a map from variable names to the term they represent.
 - Often written as $\rho : \text{Env}$
 - $\text{type Env} = \text{Variable} \rightarrow \text{Term}$
- ▶ We *extend* the environment by adding new associations between variables and terms
 - $\text{ext} : \text{Env} \rightarrow \text{Variable} \rightarrow \text{Term} \rightarrow \text{Env}$

Operational Semantics of LC

- ▶ Each 'kind' of term gets its own inference rule
- ▶ When we reach a 'bare' lambda, we're done:

$$\frac{\mathit{val} = \rho v}{A; (\lambda x.e1) \Rightarrow (\lambda x.e1)}$$

Operational Semantics of LC

- ▶ The meaning of variables is based on the current environment:

$$A(v) = t$$

$$A; v \Rightarrow t$$

Operational Semantics of LC

- ▶ We didn't say anything about the *order* things should happen in!
- ▶ Let's evaluate the argument fully first, this is known as *call-by-value*

$$A; e2 \Rightarrow e3$$

$$A; e1 e2 \Rightarrow A; e1 e3$$

$$A; e1 \Rightarrow e2$$

$$A; e1 (\lambda v.e3) \Rightarrow A; e2 (\lambda v.e3)$$

$$\rho' = \text{ext } \rho \ x \ (\lambda v.e2)$$

$$A; (\lambda x.e1) (\lambda v.e2) \Rightarrow A, x:(\lambda v.e2); e1$$

Operational Semantics of LC

- ▶ Let's avoid evaluating the argument, this is known as *call-by-name*

$$A; e1 \Rightarrow e2$$

$$A; e1 e3 \Rightarrow e2 e3$$

$$\rho' = \text{ext } \rho \ x \ e2$$

$$A; (\lambda x. e1) e2 \Rightarrow A, x: e2; e1$$

Operational Semantics of LC

- ▶ The rules we just showed are very common for programming languages based on LC
- ▶ You don't *have* to choose call-by-name or call-by-value, LC as a system let's you choose whatever order you want
- ▶ You can also reduce *under* the lambda.

$$\frac{A; e1 \Rightarrow e2}{A; (\lambda x.e1) \Rightarrow A; (\lambda x.e2)}$$

Operational Semantics of LC

- ▶ Call-by-value vs. call-by-name has its tradeoffs.
- ▶ Most languages use call-by-value (e.g. Ocaml), but some use call-by-name (or a related variant known as call-by-need).
- ▶ Interestingly: more programs terminated under call-by-name. Can you think of why?
- ▶ Consider: $(\lambda x.e2) e1$,
- ▶ What if $e1$ would never terminate, but $e2$ would?

OCaml Lambda Calc AST

```
type id = string
type exp = Var of id
         | Lam of id * exp
         | App of exp * exp
```

► $e ::= x$
| $\lambda x.e$
| $e e$

```
y          Var "y"
λx.x       Lam ("x", Var "x")
λx.λy.x y  Lam ("x", (Lam ("y", App (Var "x", Var "y"))))
           App
(λx.λy.x y) λx.x x  (Lam ("x", Lam ("y", App (Var "x", Var "y"))),
                    Lam ("x", App (Var "x", Var "x")))
```

Quiz #2

What is this term's AST?

$\lambda x. x \ x$

```
type id = string
type exp =
  | Var of id
  | Lam of id * exp
  | App of exp * exp
type env = id -> exp
```

- A. `App (Lam ("x", Var "x"), Var "x")`
- B. `Lam (Var "x", Var "x", Var "x")`
- C. `Lam ("x", App (Var "x", Var "x"))`
- D. `App (Lam ("x", App ("x", "x")))`

Quiz #2

What is this term's AST?

$\lambda x. x \ x$

```
type id = string
type exp =
  | Var of id
  | Lam of id * exp
  | App of exp * exp
type env = id -> exp
```

- A. `App (Lam ("x", Var "x"), Var "x")`
- B. `Lam (Var "x", Var "x", Var "x")`
- C. `Lam ("x", App (Var "x", Var "x"))`
- D. `App (Lam ("x", App ("x", "x")))`

Quiz #3

This term is equivalent to which of the following?

$\lambda x. x \ a \ b$

A. $(\lambda x. x) \ (a \ b)$

B. $((\lambda x. x) \ a) \ b$

C. $\lambda x. (x \ (a \ b))$

D. $(\lambda x. ((x \ a) \ b))$

Quiz #3

This term is equivalent to which of the following?

$\lambda x. x \ a \ b$

A. $(\lambda x. x) \ (a \ b)$

B. $((\lambda x. x) \ a) \ b$

C. $\lambda x. (x \ (a \ b))$

D. $(\lambda x. ((x \ a) \ b))$

Lambda Calculus on paper

- ▶ When doing things ‘by hand’ we often omit the explicit environment and think in terms of *substitutions*
- ▶ You must be careful when doing this by hand as it can get finnickicky!
- ▶ Some examples will help with intuition...

Beta Reduction Examples

▶ $(\lambda x.x) z \rightarrow z$

▶ $(\lambda x.y) z \rightarrow y$

▶ $(\lambda x.x y) z \rightarrow z y$

- A function that applies its argument to y

Beta Reduction Examples (cont.)

▶ $(\lambda x.x y) (\lambda z.z) \rightarrow (\lambda z.z) y \rightarrow y$

▶ $(\lambda x.\lambda y.x y) z \rightarrow \lambda y.z y$

- A curried function of two arguments
- Applies its first argument to its second

▶ $(\lambda x.\lambda y.x y) (\lambda z.zz) x \rightarrow (\lambda y.(\lambda z.zz)y)x \rightarrow (\lambda z.zz)x \rightarrow x x$

Beta Reduction Examples (cont.)

$(\lambda x.x (\lambda y.y)) (u r) \rightarrow$

$(\lambda x.(\lambda w. x w)) (y z) \rightarrow$

Beta Reduction Examples (cont.)

$$(\lambda x.x (\lambda y.y)) (u r) \rightarrow (u r) (\lambda y.y)$$

$$(\lambda x.(\lambda w. x w)) (y z) \rightarrow (\lambda w. (y z) w)$$

Quiz #4

$(\lambda x . y) z$ can be beta-reduced to

A. y

B. $y z$

C. z

D. cannot be reduced

Quiz #4

$(\lambda x. y) z$ can be beta-reduced to

A. y

B. $y z$

C. z

D. cannot be reduced

Quiz #5

Which of the following reduces to $\lambda z. z$?

- a) $(\lambda y. \lambda z. x) z$
- b) $(\lambda z. \lambda x. z) y$
- c) $(\lambda y. y) (\lambda x. \lambda z. z) w$
- d) $(\lambda y. \lambda x. z) z (\lambda z. z)$

Quiz #5

Which of the following reduces to $\lambda z. z$?

a) $(\lambda y. \lambda z. x) z$

b) $(\lambda z. \lambda x. z) y$

c) $(\lambda y. y) (\lambda x. \lambda z. z) w$

d) $(\lambda y. \lambda x. z) z (\lambda z. z)$

Static Scoping & Alpha Conversion

- ▶ Lambda calculus uses **static scoping**
- ▶ Consider the following
 - $(\lambda x.x (\lambda x.x)) z \rightarrow ?$
 - The rightmost “x” refers to the second binding
 - This is a function that
 - Takes its argument and applies it to the identity function
- ▶ This function is “the same” as $(\lambda x.x (\lambda y.y))$
 - Renaming bound variables consistently preserves meaning
 - This is called **alpha-renaming** or **alpha conversion**
 - Ex. $\lambda x.x = \lambda y.y = \lambda z.z$ $\lambda y.\lambda x.y = \lambda z.\lambda x.z$

Quiz #6

Which of the following expressions is **alpha equivalent** to (alpha-converts from)

$(\lambda x. \lambda y. x y) y$

a) $\lambda y. y y$

b) $\lambda z. y z$

c) $(\lambda x. \lambda z. x z) y$

d) $(\lambda x. \lambda y. x y) z$

Quiz #6

Which of the following expressions is **alpha equivalent** to (alpha-converts from)

$(\lambda x. \lambda y. x y) y$

a) $\lambda y. y y$

b) $\lambda z. y z$

c) $(\lambda x. \lambda z. x z) y$

d) $(\lambda x. \lambda y. x y) z$

Variable capture

- ▶ How about the following?
 - $(\lambda x. \lambda y. x y) y \rightarrow ?$
 - When we replace y inside, we don't want it to be **captured** by the inner binding of y , as this violates static scoping
 - I.e., $(\lambda x. \lambda y. x y) y \neq \lambda y. y y$
- ▶ Solution
 - $(\lambda x. \lambda y. x y)$ is “the same” as $(\lambda x. \lambda z. x z)$
 - Due to alpha conversion
 - So alpha-convert $(\lambda x. \lambda y. x y) y$ to $(\lambda x. \lambda z. x z) y$ first
 - Now $(\lambda x. \lambda z. x z) y \rightarrow \lambda z. y z$

OCaml interpreter for Call-by-value

- ▶ Now we can write our interpreter!
- ▶ First some types and utility functions:

```
type id = string
type exp =
  | Var of id
  | Lam of id * exp
  | App of exp * exp
type env = id -> exp
```

```
let emptyEnv = fun x -> failwith "Variable not in scope"
```

```
let extend (rho : env) (name : id) (term : exp) =
  fun x -> if x = name
    then term
    else rho x
```

OCaml interpreter for Call-by-value

- ▶ Now for the eval
- ▶ Return the evaluated term and the new environment:

```
let rec eval (e : exp) (rho : env) =
  match e with
  | Var i      -> (rho i, rho)
  | Lam(x, e1) -> (Lam(x, e1), rho)
  | App(e1, e2) -> let arg = fst (eval e2 rho) in
                    let f   = freshen e1 in
                    (match (fst (eval f rho)) with
                     | Lam(v, body) -> let rho2 = extend rho v arg in
                                         eval body rho2
                     | _ -> failwith "Can't apply a non-function")
```

OCaml interpreter for Call-by-value

- ▶ We didn't show implementation of *freshen*, which ensures that we avoid variable capture
- ▶ Fun exercise: implement *freshen*
- ▶ I used the “Barendregt Convention”: gives everything ‘fresh’ names.
 - If every name is unique, no chance of variable capture
 - Simple, but not great for performance

Quiz #7

Beta-reducing the following term produces what result?

$(\lambda x.x \lambda y.y x) y$

- A. $y (\lambda z.z y)$
- B. $z (\lambda y.y z)$
- C. $y (\lambda y.y y)$
- D. $y y$

Quiz #7

Beta-reducing the following term produces what result?

$(\lambda x.x \lambda y.y x) y$

- A. $y (\lambda z.z y)$
- B. $z (\lambda y.y z)$
- C. $y (\lambda y.y y)$
- D. $y y$

Quiz #8

Beta reducing the following term produces what result?

$\lambda x. (\lambda y. y y) w z$

- a) $\lambda x. w w z$
- b) $\lambda x. w z$
- c) $w z$
- d) Does not reduce

Quiz #8

Beta reducing the following term produces what result?

$$\lambda x. (\lambda y. y y) w z$$

a) $\lambda x. w w z$

b) $\lambda x. w z$

c) $w z$

d) Does not reduce

Let bindings

- ▶ Local variable declarations are like defining a function and applying it immediately (once):

- $\text{let } x = e1 \text{ in } e2 = (\lambda x. e2) e1$

- ▶ Example

- $\text{let } x = (\lambda y. y) \text{ in } x x = (\lambda x. x x) (\lambda y. y)$

where

$$(\lambda x. x x) (\lambda y. y) \rightarrow (\lambda x. x x) (\lambda y. y) \rightarrow (\lambda y. y) (\lambda y. y) \rightarrow (\lambda y. y)$$

Booleans

▶ Church's encoding of mathematical logic

- $\text{true} = \lambda x. \lambda y. x$
- $\text{false} = \lambda x. \lambda y. y$
- if a then b else c
 - Defined to be the expression: $a b c$

▶ Examples

- if true then b else $c = (\lambda x. \lambda y. x) b c \rightarrow (\lambda y. b) c \rightarrow b$
- if false then b else $c = (\lambda x. \lambda y. y) b c \rightarrow (\lambda y. y) c \rightarrow c$

Booleans (cont.)

▶ Other Boolean operations

- $\text{not} = \lambda x.x \text{ false true}$

- ▶ $\text{not } x = x \text{ false true} = \text{if } x \text{ then false else true}$

- ▶ $\text{not true} \rightarrow (\lambda x.x \text{ false true}) \text{ true} \rightarrow (\text{true false true}) \rightarrow \text{false}$

- $\text{and} = \lambda x.\lambda y.x y \text{ false}$

- ▶ $\text{and } x y = \text{if } x \text{ then } y \text{ else false}$

- $\text{or} = \lambda x.\lambda y.x \text{ true } y$

- ▶ $\text{or } x y = \text{if } x \text{ then true else } y$

▶ Given these operations

- Can build up a logical inference system

Quiz #9

What is the lambda calculus encoding of **xor x y**?

- ▶ xor true true = xor false false = false
- ▶ xor true false = xor false true = true

- ▶ x x y
- ▶ x (y true false) y
- ▶ x (y false true) y
- ▶ y x y

true = $\lambda x.\lambda y.x$
false = $\lambda x.\lambda y.y$
if a then b else c = a b c
not = $\lambda x.x$ false true

Quiz #9

What is the lambda calculus encoding of **xor x y**?

- ▶ xor true true = xor false false = false
- ▶ xor true false = xor false true = true

- ▶ x x y
- ▶ x (y true false) y
- ▶ **x (y false true) y**
- ▶ y x y

true = $\lambda x.\lambda y.x$
false = $\lambda x.\lambda y.y$
if a then b else c = a b c
not = $\lambda x.x$ false true

Pairs

- ▶ Encoding of a pair a, b
 - $(a,b) = \lambda x. \text{if } x \text{ then } a \text{ else } b$
 - $\text{fst} = \lambda f. f \text{ true}$
 - $\text{snd} = \lambda f. f \text{ false}$
- ▶ Examples
 - $\text{fst } (a,b) = (\lambda f. f \text{ true}) (\lambda x. \text{if } x \text{ then } a \text{ else } b) \rightarrow$
 $(\lambda x. \text{if } x \text{ then } a \text{ else } b) \text{ true} \rightarrow$
 $\text{if true then } a \text{ else } b \rightarrow a$
 - $\text{snd } (a,b) = (\lambda f. f \text{ false}) (\lambda x. \text{if } x \text{ then } a \text{ else } b) \rightarrow$
 $(\lambda x. \text{if } x \text{ then } a \text{ else } b) \text{ false} \rightarrow$
 $\text{if false then } a \text{ else } b \rightarrow b$

Natural Numbers (Church* Numerals)

► Encoding of non-negative integers

- $0 = \lambda f. \lambda y. y$

- $1 = \lambda f. \lambda y. f y$

- $2 = \lambda f. \lambda y. f (f y)$

- $3 = \lambda f. \lambda y. f (f (f y))$

i.e., $n = \lambda f. \lambda y. \langle \text{apply } f \text{ } n \text{ times to } y \rangle$

- Formally: $n+1 = \lambda f. \lambda y. f (n f y)$

*(Alonzo Church, of course)

Quiz #10

$n = \lambda f.\lambda y.<apply\ f\ n\ times\ to\ y>$

What OCaml type could you give to a Church-encoded numeral?

- ▶ ('a -> 'b) -> 'a -> 'b
- ▶ ('a -> 'a) -> 'a -> 'a
- ▶ ('a -> 'a) -> 'b -> int
- ▶ (int -> int) -> int -> int

Quiz #10

$n = \lambda f.\lambda y.<apply\ f\ n\ times\ to\ y>$

What OCaml type could you give to a Church-encoded numeral?

- ▶ ('a -> 'b) -> 'a -> 'b
- ▶ ('a -> 'a) -> 'a -> 'a
- ▶ ('a -> 'a) -> 'b -> int
- ▶ (int -> int) -> int -> int

Operations On Church Numerals

▶ Successor

- $\text{succ} = \lambda z.\lambda f.\lambda y.f (z f y)$

- $0 = \lambda f.\lambda y.y$

- $1 = \lambda f.\lambda y.f y$

▶ Example

- $\text{succ } 0 =$

$$(\lambda z.\lambda f.\lambda y.f (z f y)) (\lambda f.\lambda y.y) \rightarrow$$

$$\lambda f.\lambda y.f ((\lambda f.\lambda y.y) f y) \rightarrow$$

$$\lambda f.\lambda y.f ((\lambda y.y) y) \rightarrow$$

$$\lambda f.\lambda y.f y$$

$$= 1$$

Since $(\lambda x.y) z \rightarrow y$

Operations On Church Numerals (cont.)

▶ IsZero?

- $\text{iszero} = \lambda z.z (\lambda y.\text{false}) \text{true}$

This is equivalent to $\lambda z.((z (\lambda y.\text{false})) \text{true})$

▶ Example

- $\text{iszero } 0 =$

$(\lambda z.z (\lambda y.\text{false}) \text{true}) (\lambda f.\lambda y.y) \rightarrow$

$(\lambda f.\lambda y.y) (\lambda y.\text{false}) \text{true} \rightarrow$

$(\lambda y.y) \text{true} \rightarrow$

true

Since $(\lambda x.y) z \rightarrow y$

- $0 = \lambda f.\lambda y.y$

Arithmetic Using Church Numerals

- ▶ If M and N are numbers (as λ expressions)
 - Can also encode various arithmetic operations
- ▶ Addition
 - $M + N = \lambda f. \lambda y. M f (N f y)$
Equivalently: $+ = \lambda M. \lambda N. \lambda f. \lambda y. M f (N f y)$
 - In prefix notation (+ M N)
- ▶ Multiplication
 - $M * N = \lambda f. M (N f)$
Equivalently: $* = \lambda M. \lambda N. \lambda f. \lambda y. M (N f) y$
 - In prefix notation (* M N)

Arithmetic (cont.)

► Prove $1+1 = 2$

- $1+1 = \lambda x.\lambda y.(1\ x)\ (1\ x\ y) =$
- $\lambda x.\lambda y.((\lambda f.\lambda y.f\ y)\ x)\ (1\ x\ y) \rightarrow$
- $\lambda x.\lambda y.(\lambda y.x\ y)\ (1\ x\ y) \rightarrow$
- $\lambda x.\lambda y.x\ (1\ x\ y) \rightarrow$
- $\lambda x.\lambda y.x\ ((\lambda f.\lambda y.f\ y)\ x\ y) \rightarrow$
- $\lambda x.\lambda y.x\ ((\lambda y.x\ y)\ y) \rightarrow$
- $\lambda x.\lambda y.x\ (x\ y) = 2$

- $1 = \lambda f.\lambda y.f\ y$
- $2 = \lambda f.\lambda y.f\ (f\ y)$

► With these definitions

- Can build a theory of arithmetic

Arithmetic Using Church Numerals

- ▶ What about subtraction?
 - Easy once you have ‘predecessor’, but...
 - Predecessor is very difficult!
- ▶ Story time:
 - One of Church’s students, Kleene (of Kleene-star fame) was struggling to think of how to encode ‘predecessor’, until it came to him during a trip to the dentist’s office.
 - Take from this what you will
- ▶ Wikipedia has a great derivation of ‘predecessor’, not enough time today.

Looping+Recursion

- ▶ So far we have avoided self-reference, so how does recursion work?
- ▶ We can construct a lambda term that ‘replicates’ itself:
 - Define $D = \lambda x. x x$, then
 - $D D = (\lambda x. x x) (\lambda x. x x) \rightarrow (\lambda x. x x) (\lambda x. x x) = D D$
 - $D D$ is an infinite loop
- ▶ We want to generalize this, so that we can make use of looping

The Fixpoint Combinator

$$Y = \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$$

▶ Then

$$Y F =$$

$$(\lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))) F \rightarrow$$

$$(\lambda x. F (x x)) (\lambda x. F (x x)) \rightarrow$$

$$F ((\lambda x. F (x x)) (\lambda x. F (x x)))$$

$$= F (Y F)$$



▶ $Y F$ is a *fixed point* (aka *fixpoint*) of F

▶ Thus $Y F = F (Y F) = F (F (Y F)) = \dots$

- We can use Y to achieve recursion for F

Example

$\text{fact} = \lambda f. \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * (f (n-1))$

- The second argument to `fact` is the integer
- The first argument is the function to call in the body
 - We'll use `Y` to make this recursively call `fact`

$(Y \text{ fact}) 1 = (\text{fact } (Y \text{ fact})) 1$

→ $\text{if } 1 = 0 \text{ then } 1 \text{ else } 1 * ((Y \text{ fact}) 0)$

→ $1 * ((Y \text{ fact}) 0)$

$= 1 * (\text{fact } (Y \text{ fact}) 0)$

→ $1 * (\text{if } 0 = 0 \text{ then } 1 \text{ else } 0 * ((Y \text{ fact}) (-1)))$

→ $1 * 1 \rightarrow 1$

Factorial 4=?

```
(Y G) 4
  G (Y G) 4
(λr.λn.(if n = 0 then 1 else n × (r (n-1)))) (Y G) 4
(λn.(if n = 0 then 1 else n × ((Y G) (n-1)))) 4
if 4 = 0 then 1 else 4 × ((Y G) (4-1))
4 × (G (Y G) (4-1))
4 × ((λn.(1, if n = 0; else n × ((Y G) (n-1)))) (4-1))
4 × (1, if 3 = 0; else 3 × ((Y G) (3-1)))
4 × (3 × (G (Y G) (3-1)))
4 × (3 × ((λn.(1, if n = 0; else n × ((Y G) (n-1)))) (3-1)))
4 × (3 × (1, if 2 = 0; else 2 × ((Y G) (2-1))))
4 × (3 × (2 × (G (Y G) (2-1))))
4 × (3 × (2 × ((λn.(1, if n = 0; else n × ((Y G) (n-1)))) (2-1))))
4 × (3 × (2 × (1, if 1 = 0; else 1 × ((Y G) (1-1))))))
4 × (3 × (2 × (1 × (G (Y G) (1-1))))))
4 × (3 × (2 × (1 × ((λn.(1, if n = 0; else n × ((Y G) (n-1)))) (1-1))))))
4 × (3 × (2 × (1 × (1, if 0 = 0; else 0 × ((Y G) (0-1))))))
4 × (3 × (2 × (1 × (1))))
24
```

Call-by-name vs. Call-by-value redux

- ▶ Most programming languages choose call-by-value:
 - $(\lambda z.z) ((\lambda y.y) x) \rightarrow (\lambda z.z) x \rightarrow x$
- ▶ Call-by-name is less popular (but does exist)
 - $(\lambda z.z) ((\lambda y.y) x) \rightarrow (\lambda y.y) x \rightarrow x$
- ▶ These *evaluation strategies* are about the relation between functions and their arguments
- ▶ What evaluating *under* the lambda?

Partial Evaluation

- ▶ It is also possible to evaluate within a function (without calling it):
 - $(\lambda y.(\lambda z.z) y x) \rightarrow (\lambda y.y x)$
- ▶ Called **partial evaluation**
 - Can combine with CBN or CBV
 - In practical languages, this evaluation strategy is employed in a limited way, as **compiler optimization**

```
int foo(int x) {  
    return 0+x;  
}
```



```
int foo(int x) {  
    return x;  
}
```

Discussion

- ▶ Lambda calculus is Turing-complete
 - Most powerful language possible
 - Can represent pretty much anything in “real” language
 - Using clever encodings
- ▶ But programs would be
 - Pretty slow ($10000 + 1 \rightarrow$ thousands of function calls)
 - Pretty large ($10000 + 1 \rightarrow$ hundreds of lines of code)
 - Pretty hard to understand (recognize 10000 vs. 9999)
- ▶ In practice
 - We use richer, more **expressive** languages
 - That include built-in primitives

The Need For Types

- ▶ Consider the **untyped** lambda calculus
 - $\text{false} = \lambda x.\lambda y.y$
 - $0 = \lambda x.\lambda y.y$
- ▶ Since everything is encoded as a function...
 - We can easily misuse terms...
 - $\text{false } 0 \rightarrow \lambda y.y$
 - if 0 then ...
 - ...because everything evaluates to some function
- ▶ The same thing happens in assembly language
 - Everything is a machine word (a bunch of bits)
 - All operations take machine words to machine words

Simply-Typed Lambda Calculus (STLC)

- ▶ $e ::= n \mid x \mid \lambda x:t.e \mid e e$
 - Added integers n as primitives
 - Need at least two distinct types (integer & function)...
 - ...to have type errors
 - Functions now include the type t of their argument
- ▶ $t ::= \text{int} \mid t \rightarrow t$
 - int is the type of integers
 - $t_1 \rightarrow t_2$ is the type of a function
 - That takes arguments of type t_1 and returns result of type t_2

Types are limiting

- ▶ STLC will reject some terms as ill-typed, even if they will not produce a run-time error
 - Cannot type check Y in STLC
 - Or in OCaml, for that matter, at least not as written earlier.
- ▶ Surprising theorem: All (well typed) simply-typed lambda calculus terms are **strongly normalizing**
 - A normal form is one that cannot be reduced further
 - A **value** is a kind of normal form
 - Strong normalization means STLC terms **always terminate**
 - Proof is *not* by straightforward induction: Applications “increase” term size

Summary

- ▶ Lambda calculus is a core model of computation
 - We can encode familiar language constructs using only functions
 - These encodings are enlightening – make you a better (functional) programmer
- ▶ Useful for understanding how languages work
 - Ideas of types, evaluation order, termination, proof systems, etc. can be developed in lambda calculus,
 - then scaled to full languages