

# Start Recording

# Pigeonhole Principle

CMSC250

## Look at These Pigeons.



Figure: Look

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- ③ Is there a pair of New Yorkers with the same number of hairs on their heads?

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- ② Is there a pair of you with the same birthday week? **Not guaranteed, since there are less than 52 of you!**
- ③ Is there a pair of New Yorkers with the same number of hairs on their heads? **Yes! Number of hairs on your head  $\leq 300,000$ , New Yorkers  $\geq 8,000,000$ .**

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- Let  $A = \{1, 2, 3, 4, 5, 6, 7, 8\}$ . If I pick 5 integers, is it the case that at least one pair of integers has a sum of 9? **Yes. Boxes = pairs of ints that sum to 9:**

(1, 8)

(2, 7)

(3, 6)

(4, 5)

and balls = ints to pick.

## Examples First

- 5 Let  $A \subseteq \{1, 2, \dots, 10\}$ , and  $|A| = 6$ . Is there a pair of subsets of  $A$  that have the same sum?

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- ⑤ Let  $A \subseteq \{1, 2, \dots, 10\}$ , and  $|A| = 6$ . Is there a pair of subsets of  $A$  that have the same sum? **Yes.**

There are  $2^6 = 64$  subsets of  $A$ . Max sum:  $10 + 9 + \dots + 5 = 45$

Min sum: 0

46 different sums (boxes)

64 different subsets (balls).

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- ⑥ Is it true that within a group of 700 people, there must be 2 who have the same **first** and **last** initials? **Yes.**  
There are  $26^2 = 676$  different sets of first and last initials (boxes)  
There are 700 people (balls).

## Formal Statement of the Principle

### Pigeonhole Principle

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- Can I have empty boxes?

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No

**Absolutely.** Only thing we need is one box with at least 2 balls.

- Example: There might not be somebody with initials  $(X, Y)$ .

## Pigeonhole Principle (in functions)

Let  $A$  and  $B$  be finite sets such that  $|A| > |B|$ . Then, there does not exist a one-to-one function  $f : A \mapsto B$ .



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- 2 If there are 105 of you, are there at least **3** of you with the same birthday week? **Yes. If there are at most 2, then  $2 \times 52 = 104 < 105$**
- 3 Is it true that within a group of 86 people, there exist **at least 4** with the same **last initial** (e.g **B** for Justin **B**ieber).

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- 3 Is it true that within a group of 86 people, there exist **at least 4** with the same **last initial** (e.g **B** for Justin **B**ieber). **Yes. Boxes = #initials=26. For  $k = 3$ ,  $86 > 3 \times 26 = 78$**

## Another Interesting Example

- ④ Let  $M = \{1, 2, 3, \dots, 1000\}$  and suppose  $A \subseteq M$  such that  $|A| = 20$ . How many **subsets of  $A$**  sum to the same number?

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There are  $2^{20}$  subsets of  $A$ . The max sum is

$$1000 + 999 + \dots + 981 = \sum_{i=1}^{1000} i - \sum_{i=1}^{980} i \stackrel{\text{Gauss}}{=} \frac{1000 \cdot 1001}{2} - \frac{980 \cdot 981}{2} =$$

19810. The min sum is 0, corresponding to  $\emptyset \subseteq A$ . So 19811 sums. Since  $\lceil 2^{20}/19811 \rceil = 53$  (yes, you may totally use a calculator here), there are 53 subsets of  $A$  that sum to the same number.

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The proof is Non-constructive: Cannot use the proof to find the 53 sets.

# Generalization

## Generalized Pigeonhole Principle

Let  $n$  and  $m$  be positive integers. If  $n$  balls are placed into  $m$  boxes then some box has at least  $\lceil \frac{n}{m} \rceil$  balls.

- Our second example set consisted of examples of the **generalized** form of the principle.



# Stop Recording