

**Homework 9 Morally DUE May 5 at 11:00 AM  
THIS HW IS TWO PAGES LONG!!!!!!!!!!!!!!!!!!!!!!**

1. (35 points) Give the reduction  $3COL \leq CNF - SAT$ .

**SOLUTION**

We are given a graph  $G = (V, E)$ .

We assume  $V = \{1, \dots, n\}$ .

For every vertex  $i$  we have 3 Boolean variables. We list them and what they mean

$x_{iR}$ : T if  $COL(i) = R$ .

$x_{iB}$ : T if  $COL(i) = B$ .

$x_{iG}$ : T if  $COL(i) = G$ .

We now write down a formula in two parts

PART ONE: Making sure that a satisfying assignment really is a (not necessarily proper) coloring

Every vertex has at least one color:

$$\bigwedge_{i=1}^n (x_{iR} \vee x_{iB} \vee x_{iG})$$

Every vertex has at most one color:

$$\bigwedge_{i=1}^n \neg(x_{iR} \wedge x_{iB}) \wedge \neg(x_{iR} \wedge x_{iG}) \wedge \neg(x_{iB} \wedge x_{iG})$$

PART TWO: Make sure it's a proper coloring

$$\bigwedge_{(i,j) \in E} \neg(x_{iR} \wedge x_{jR}) \wedge \neg(x_{iB} \wedge x_{jB}) \wedge \neg(x_{iG} \wedge x_{jG})$$

**END OF SOLUTION**

2. (35 points) We assume all Turing Machines have  $\Sigma = \{1, 2, 3\}$  and 3 is the # symbol. and the state set  $Q$  is an initial segment of  $\mathbb{N} - \{0\}$  (that is, it will be something like  $\{1, 2, 3, 4\}$ ).

- (a) (20 points) Describe a procedure to code Turing Machines into  $\mathbb{N}$  such that the following holds:

- Two different Turing Machines map to different numbers. (Though it is okay if some numbers do not get mapped to.)
- The following should be computable:  
 Input:  $x, y \in \mathbf{N}$   
 Output:  
 If  $x$  does not code a TM than output THATSBSMAN.  
 If  $x$  does code a TM than let it be  $M_x$ . Run  $M_x(y)$  (this might diverge, and that's fine.)

HINT- do not over think this. Any way you code a TM into numbers should work.

- (b) (15 points) Let  $M$  be the TM:  $Q = \{1, 2, 3\}$ ,  $\Sigma = \{1, 2, 3\}$ ,  $s = 1$ ,  $h = 3$ ,
- $\delta(1, 1) = (1, L)$ .  
 $\delta(1, 2) = (1, 2)$ .  
 $\delta(1, 3) = (2, R)$ .  
 $\delta(2, 1) = (1, 1)$ .  
 $\delta(2, 2) = (3, 3)$ .  
 $\delta(2, 3) = (3, L)$ .

Use your procedure to encode this into a number. Show your work and give us your number. (If your number involves the product of numbers, you need not multiply them together. For example, if the above codes to  $2^{7^6} \times 3^{4^5}$  then you can leave it in that form and not do the multiplication.)

**SOLUTION ON NEXT PAGE**

## SOLUTION

### THE CODING:

Let  $M = (Q, \{a, b, \#\}, \delta, s, h)$

The number will be the product of the following numbers

- (a)  $2^{|Q|}$ .
- (b)  $3^s$  (Recall that  $s$ , the start state, is a number)
- (c)  $5^h$  (Recall that  $h$ , the halt state, is a number)
- (d) there will be  $n = (Q - 1) \times \Sigma$  rules. Let  $p_1, \dots, p_n$  be the  $n$  primes after 5 (so  $p_1 = 7$ ). (It's  $Q - 1$  since there are no transitions out of  $h$ .) Order the rules lexicographically by  $Q \times \Sigma$ , so

$\delta(1, 1)$

$\delta(1, 2)$

$\delta(1, 3)$

$\delta(2, 1)$

$\delta(2, 2)$

$\delta(2, 3)$

$\vdots$

$\delta(|Q| - 1, 3)$ .

For  $1 \leq i \leq n$  take rule  $i$  and form the following number.

- i.  $\delta(p, \sigma) = (q, \sigma')$  maps to  $2^p \times 3^\sigma \times 5^q \times 7^{\sigma'}$ . Note that  $\sigma' \in \{1, 2, 3\}$ .
- ii.  $\delta(p, \sigma) = (q, L)$  maps to  $2^p \times 3^\sigma \times 5^q \times 7^4$ . Note that  $4 \notin \{1, 2, 3\}$  so it won't be confused with a symbol.
- iii.  $\delta(p, \sigma) = (q, R)$  maps to  $2^p \times 3^\sigma \times 5^q \times 7^5$ . Note that  $5 \notin \{1, 2, 3\}$  so it won't be confused with a symbol or with the number that encodes  $L$ .

**GOTO NEXT PAGE FOR THE CODING OF THE TM**

$Q = \{1, 2, 3\}$  (so the number has  $2^3$ ),

$\Sigma = \{1, 2, 3\}$ ,

$s = 1$  (so the number has  $3^1$ ),

$h = 3$  (so the number has  $5^3$ ).

$\delta(1, 1) = (1, L)$ . This is coded by  $7^{2^1 3^1 5^1 7^4}$

$\delta(1, 2) = (1, 2)$ . This is coded by  $11^{2^1 3^2 5^1 7^2}$

$\delta(1, 3) = (2, R)$ . This is coded by  $13^{2^1 3^3 5^2 7^5}$

$\delta(2, 1) = (4, 1)$ . This is coded by  $17^{2^2 3^1 5^4 7^1}$

$\delta(2, 2) = (3, 3)$ . This is coded by  $23^{2^2 3^2 5^3 7^3}$

$\delta(2, 3) = (3, L)$ . This is coded by  $29^{2^2 3^3 5^3 7^4}$

So the Turing Machine maps to the number

$$2^3 \times 3^1 \times 5^3 \times$$

$$7^{2^1 3^1 5^1 7^4} \times 11^{2^1 3^2 5^1 7^2} \times 13^{2^1 3^3 5^2 7^5} \times 17^{2^2 3^1 5^4 7^1} \times 23^{2^2 3^2 5^3 7^3} \times 29^{2^2 3^3 5^3 7^4}.$$

**END OF SOLUTION**

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3. (30 points) During this problem we will use  $M_1, \dots, M_{100}$  to mean ANY 100 Turing Machines. They are not associated to any numbering.

HALTON0 is the set of all Turing Machines that halt on input 0.

- (a) (10 points) Bill gives you 100 Turing Machines  $M_1, \dots, M_{100}$ . He wants to know if *at least 17 of them are in HALTON0*.

Come up with a Turing Machine  $M$  (by that I mean just write psuedocode that uses  $M_1, \dots, M_{100}$ ) so that

$M \in \text{HALTON0}$  iff at least 17 of  $M_1, \dots, M_{100}$  are in HALTON0.

- (b) (10 points) Bill gives you 100 Turing Machines  $M_1, \dots, M_{100}$ . He wants to know HOW MANY are in HALTON0.

If you could ASK HALTON0 100 questions then you could do this—just ask  $M_1 \in \text{HALTON0?}$ ,  $M_2 \in \text{HALTON0?}$ , ...,  $M_{100} \in \text{HALTON0?}$  and output the number that returned YES.

What if you can ask HALTON0 less than 100 questions? Find a number  $q < 100$  such that you can determine HOW MANY are in HALTON0 with  $q$  questions to HALTON0. Write psuedocode (which will make  $q$  queries to HALTON0) that will, on input  $M_1, \dots, M_{100}$ , output HOW MANY of them are in HALTON0 (so the output is a number between 0 and 100). Try to make  $q$  as small as you can. (HINT: Use part (a).)

- (c) (10 points) Bill gives you 100 Turing Machines  $M_1, \dots, M_{100}$ . He wants to know WHICH ONES halt on 0.

If you could ASK HALTON0 100 questions then you could do this—just ask  $M_1 \in \text{HALTON0?}$ ,  $M_2 \in \text{HALTON0?}$ , ...,  $M_{100} \in \text{HALTON0?}$  and see see which ones return YES.

What if you are allowed to ask HALTON0 less than 100 questions? IS there a number  $q < 100$  such that you can determine WHICH of  $M_1, \dots, M_{100}$  are in HALTON0 with  $q$  questions to HALTON0? Prove your result.

## SOLUTION

- (a) Turing Machine  $M$ :
- i. Run  $M_1(0), \dots, M_{100}(0)$  all at the same time and wait until 17 of them halt.
  - ii. If you see 17 of them halting, then halt.

Clearly  $M$  halts on 0 (actually on any input) IFF  $\geq 17$  of the  $M_i$ 's halt.

- (b) Here is the procedure:
- i. Create a TM  $M$  such that  $M$  halts on 0 IFF at least 50 of  $M_1, \dots, M_{100}$  halt on 0. (use the technique in part (a)). If YES then we know  $\geq 50$  of them halt on 0, if NO then we know that  $\leq 49$  of them halt on 0.
  - ii. Proceed by binary search to find out how many halt on 0.

The number of queries to HALTON0 is  $\lceil \log_2(100) \rceil = 7$ .

- (c) First apply the technique of part (b) to find out HOW MANY halt on 0. This only took 7 queries. Say the answer is that  $a$  of them halt.

Then *RUN ALL OF THEM UNTIL a HALT!* Once  $a$  halt, you know which  $a$  halt and you know that NO OTHERS will halt. So we know the  $a$  that halt, and that the others DO NOT.

**END OF SOLUTION**