### **Review For The Midterm**

1. **Begin** Midterm ON Gradescope: Tuesday April 7, 6:00PM-9:00PM. (DSS students get an extension)

- Begin Midterm ON Gradescope: Tuesday April 7, 6:00PM-9:00PM. (DSS students get an extension)
- Resources Midterm is open-everything. The web, my notes, my HW solutions, all fine to use. Cannot ask someone for help. Honor System.
- Caveat You must hand in your own work and you must understand what you hand in.
- 4. Warning Mindlessly copying does not work.

- Begin Midterm ON Gradescope: Tuesday April 7, 6:00PM-9:00PM. (DSS students get an extension)
- Resources Midterm is open-everything. The web, my notes, my HW solutions, all fine to use. Cannot ask someone for help. Honor System.
- Caveat You must hand in your own work and you must understand what you hand in.
- 4. Warning Mindlessly copying does not work.
- Neat LaTex is best. Good handwriting okay. Draw Aut, or use LateX tool posted.

- Begin Midterm ON Gradescope: Tuesday April 7, 6:00PM-9:00PM. (DSS students get an extension)
- Resources Midterm is open-everything. The web, my notes, my HW solutions, all fine to use. Cannot ask someone for help. Honor System.
- Caveat You must hand in your own work and you must understand what you hand in.
- 4. Warning Mindlessly copying does not work.
- Neat LaTex is best. Good handwriting okay. Draw Aut, or use LateX tool posted.
- Our Intent This is exam I intended to give out originally. The extra time is meant for you to format and put in LaTeX.

- Begin Midterm ON Gradescope: Tuesday April 7, 6:00PM-9:00PM. (DSS students get an extension)
- Resources Midterm is open-everything. The web, my notes, my HW solutions, all fine to use. Cannot ask someone for help. Honor System.
- Caveat You must hand in your own work and you must understand what you hand in.
- 4. Warning Mindlessly copying does not work.
- Neat LaTex is best. Good handwriting okay. Draw Aut, or use LateX tool posted.
- Our Intent This is exam I intended to give out originally. The extra time is meant for you to format and put in LaTeX.
- Scope of the Exam
   Short Answer HWs and lectures.
   Long Answer This Presentation.

1. Examples of Reg Langs

Numbers that are  $\equiv i \pmod{j}$ 

```
Numbers that are \equiv i \pmod{j}

\{w : \#_a(w) \equiv i_1 \pmod{j_1} \land \#_b(w) \equiv i_2 \pmod{j_2}\}
```

```
Numbers that are \equiv i \pmod{j}

\{w : \#_a(w) \equiv i_1 \pmod{j_1} \land \#_b(w) \equiv i_2 \pmod{j_2}\}

For a fixed string w, w\{a,b\}^*, \{a,b\}^*w
```

```
Numbers that are \equiv i \pmod{j}

\{w : \#_a(w) \equiv i_1 \pmod{j_1} \land \#_b(w) \equiv i_2 \pmod{j_2}\}

For a fixed string w, w\{a,b\}^*, \{a,b\}^*w

\{a,b\}^*a\{a,b\}^n (DFA requires \sim 2^n, NFA \sim n. Cool!)
```

```
Numbers that are \equiv i \pmod j

\{w: \#_a(w) \equiv i_1 \pmod {j_1} \land \#_b(w) \equiv i_2 \pmod {j_2}\}

For a fixed string w, \ w\{a,b\}^*, \ \{a,b\}^*w

\{a,b\}^*a\{a,b\}^n \text{ (DFA requires } \sim 2^n, \text{ NFA } \sim n. \text{ Cool!})

\{a^i: i \neq n\} \text{ (DFA requires } \sim n, \text{ NFA } \sim 2\sqrt{n} + \text{logstuff Cool!})
```

```
Numbers that are \equiv i \pmod j \{w: \#_a(w) \equiv i_1 \pmod {j_1} \land \#_b(w) \equiv i_2 \pmod {j_2}\} For a fixed string w, w\{a, b\}^*, \{a, b\}^*w \{a, b\}^*a\{a, b\}^n (DFA requires \sim 2^n, NFA \sim n. Cool!) \{a^i: i \neq n\} (DFA requires \sim n, NFA \sim 2\sqrt{n} + \text{logstuff Cool!}) Others
```

```
Numbers that are \equiv i \pmod{j} \{w: \#_a(w) \equiv i_1 \pmod{j_1} \land \#_b(w) \equiv i_2 \pmod{j_2}\} For a fixed string w, w\{a, b\}^*, \{a, b\}^*w \{a, b\}^*a\{a, b\}^n (DFA requires \sim 2^n, NFA \sim n. Cool!) \{a^i: i \neq n\} (DFA requires \sim n, NFA \sim 2\sqrt{n} + \text{logstuff Cool!}) Others
```

- 2. DFA, NFA, REGEX. Equivalence of all of these.
- 3. Closure Properties.
- 4. Non-Regular: ZW Pumping Lemma, Closure properties.

```
\{a^{k_1n}b^{k_2n}:n\in\mathbb{N}\}
```

```
{a^{k_1 n} b^{k_2 n} : n \in \mathbb{N}}
{w : \#_a(w) = \#_b(w)}
```

```
    \{a^{k_1 n} b^{k_2 n} : n \in \mathbb{N} \} 

    \{w : \#_a(w) = \#_b(w) \} 

    \{w : k_1 \#_a(w) = k_2 \#_b(w) \}
```

```
\{a^{k_1 n} b^{k_2 n} : n \in \mathbb{N}\}\
\{w : \#_a(w) = \#_b(w)\}\
\{w : k_1 \#_a(w) = k_2 \#_b(w)\}\
\{a^n\} (Interesting: Small CFL, Large NFA)
```

```
\{a^{k_1 n}b^{k_2 n}: n \in \mathbb{N}\}\
\{w: \#_a(w) = \#_b(w)\}\
\{w: k_1 \#_a(w) = k_2 \#_b(w)\}\
\{a^n\} (Interesting: Small CFL, Large NFA)
```

- 2. Chomsky Normal Form. Needed to make size comparisons.
- 3. Closure Properties.
- 4. Non-CFL's: If  $L \subseteq a^*$  and not regular, than not CFL. If need to keep track of TWO things then NOT CFL. E.g.,  $\{a^nb^nc^n:n\in\mathbb{N}\}$

1. L DFA  $\rightarrow L$  REGEX:

1. L DFA  $\rightarrow L$  REGEX: R(i,j,k) Dynamic Programming.  $|\alpha|$  is exp in number of states.

- 1. L DFA  $\rightarrow L$  REGEX: R(i,j,k) Dynamic Programming.  $|\alpha|$  is exp in number of states.
- 2.  $L REGEX \rightarrow L NFA$ :

- 1. L DFA  $\rightarrow L$  REGEX: R(i,j,k) Dynamic Programming.  $|\alpha|$  is exp in number of states.
- 2. L REGEX  $\rightarrow$  L NFA: induction on formation of a REGEX.

- 1. L DFA  $\rightarrow L$  REGEX: R(i,j,k) Dynamic Programming.  $|\alpha|$  is exp in number of states.
- 2. L REGEX  $\rightarrow$  L NFA: induction on formation of a REGEX.
- 3.  $L \text{ NFA} \rightarrow L \text{ DFA}$ :

- 1. L DFA  $\rightarrow L$  REGEX: R(i,j,k) Dynamic Programming.  $|\alpha|$  is exp in number of states.
- 2. L REGEX  $\rightarrow$  L NFA; induction on formation of a REGEX.
- 3. L NFA  $\rightarrow L$  DFA: powerset construction. States blowup exponentially.

1. Union What to use?

1. Union What to use?

DFA: Cross Product Construction, or

REGEX: by definition, or

NFA: e-transitions.

2. **Intersection** What to use?

1. Union What to use?

DFA: Cross Product Construction, or

REGEX: by definition, or

NFA: e-transitions.

2. Intersection What to use?

DFA: Cross Product Construction.

NFA: Cross Product Construction.

3. **Complimentation** What to use?

1. Union What to use?

DFA: Cross Product Construction, or

REGEX: by definition, or

NFA: e-transitions.

2. **Intersection** What to use?

DFA: Cross Product Construction.

NFA: Cross Product Construction.

3. Complimentation What to use?

DFA: Swap final and non-final states.

4. Concatenation What to use?

1. Union What to use?

DFA: Cross Product Construction, or

REGEX: by definition, or

NFA: e-transitions.

2. **Intersection** What to use?

DFA: Cross Product Construction.

NFA: Cross Product Construction.

3. **Complimentation** What to use?

DFA: Swap final and non-final states.

4. Concatenation What to use?

NFA: *e*-transition from one machine to the other.

REGEX: By Definition.

5. Star What to use?

1. Union What to use?

DFA: Cross Product Construction, or

REGEX: by definition, or

NFA: e-transitions.

2. Intersection What to use?

DFA: Cross Product Construction.

NFA: Cross Product Construction.

3. **Complimentation** What to use?

DFA: Swap final and non-final states.

4. Concatenation What to use?

NFA: *e*-transition from one machine to the other.

REGEX: By Definition.

5. Star What to use?

DFA: On Midterm.

REGEX: By Definition.

### **SUBSEQ Problems**

**Definition** If  $w = \sigma_1 \cdots \sigma_n$  is a string then any string of the form

$$\sigma_{i_1}\cdots\sigma_{i_k}$$

where  $i_1 < \cdots < i_k$  is a subsequence of w. SUBSEQ(w) is the set of all subsequences of the string w. Examples If w = aaba then the subsequences are SUBSEQ(aaba) = {e, a, b, aa, ab, ba, aaa, aab, aba, aaba}. Definition If  $L \subseteq \{a, b\}^*$  then

$$SUBSEQ(L) = \bigcup_{w \in L} SUBSEQ(w).$$

### **SUBSEQ Problems**

**Definition** If  $w = \sigma_1 \cdots \sigma_n$  is a string then any string of the form

$$\sigma_{i_1}\cdots\sigma_{i_k}$$

where  $i_1 < \cdots < i_k$  is a subsequence of w. SUBSEQ(w) is the set of all subsequences of the string w. **Examples** If w = aaba then the subsequences are  $SUBSEQ(aaba) = \{e, a, b, aa, ab, ba, aaa, aab, aba, aaba\}$ . **Definition** If  $L \subseteq \{a, b\}^*$  then

$$SUBSEQ(L) = \bigcup_{w \in L} SUBSEQ(w).$$

#### T or F and prove:

- 1. If L is regular than SUBSEQ(L) is regular.
- 2. If L is context free than SUBSEQ(L) is context free.



## Answer to SUBSEQ Problem: Regular

If L is regular than SUBSEQ(L) is regular.

### Answer to SUBSEQ Problem: Regular

If L is regular than SUBSEQ(L) is regular. YES.

### Answer to SUBSEQ Problem: Regular

If L is regular than SUBSEQ(L) is regular. YES. Let M be a DFA for L.

We form an NFA for SUBSEQ(L).

For every  $\delta(p, \sigma) = q$  in M we add  $\delta(p, e) = q$ .

#### Answer to SUBSEQ Problem: CFL

If L is CFL than SUBSEQ(L) is CFL.

#### Answer to SUBSEQ Problem: CFL

If L is CFL than SUBSEQ(L) is CFL. YES.

#### Answer to SUBSEQ Problem: CFL

If L is CFL than SUBSEQ(L) is CFL. YES. Let M be a CFL for L in Chomsky Normal Form. We form a CFL SUBSEQ(L). For every rule  $A \to \sigma$  we add  $A \to \epsilon$ .

### **Context Free Languages**

#### Definition

A Context Free Grammar (CFL) is  $(V, \Sigma, P, S)$ 

- V is set of nonterminals
- $ightharpoonup \Sigma$  is the alphabet, also called terminals
- ▶  $P \subseteq V \times (V \cup \Sigma)^*$  are the productions or rules
- ▶  $S \in V$  is the start symbol.

### **Context Free Languages**

#### **Definition**

A Context Free Grammar (CFL) is  $(V, \Sigma, P, S)$ 

- V is set of nonterminals
- $ightharpoonup \Sigma$  is the alphabet, also called terminals
- ▶  $P \subseteq V \times (V \cup \Sigma)^*$  are the productions or rules
- $ightharpoonup S \in V$  is the start symbol.

L(G) is the set of strings generated by CFL G.

A Context Free Lang (CFL) is a lang that is L(G) for some CFL G.

#### **Context Free Languages**

#### Definition

A Context Free Grammar (CFL) is  $(V, \Sigma, P, S)$ 

- V is set of nonterminals
- $ightharpoonup \Sigma$  is the alphabet, also called terminals
- ▶  $P \subseteq V \times (V \cup \Sigma)^*$  are the productions or rules
- $ightharpoonup S \in V$  is the start symbol.

L(G) is the set of strings generated by CFL G.

A Context Free Lang (CFL) is a lang that is L(G) for some CFL G.

A CFL is in Chomsky Normal Form CNF) if all of he productions are either of the form

 $A \rightarrow BC$ 

 $A \rightarrow \sigma$  where  $\sigma \in \Sigma$ 

 $A \rightarrow e$  (I didn't include it in class, but I am now.)

Note: If G is a CFL hen there exists a CNF CFL that generates it.

### **Examples of CFL's that are NOT Regular**

```
\{a^nb^n:n\in\mathbb{N}\}
S\to aSb|e
```

### **Examples of CFL's that are NOT Regular**

```
\{a^nb^n:n\in\mathbb{N}\}
S\to aSb|e
\{w:\#_a(w)=\#_b(w)\}
S\to aSbS
S\to bSaS
S\to SS
S\to e
To prove it works requires a proof by induction
```

#### **Examples of CFL's that are NOT Regular**

```
{a^{n}b^{n}: n \in \mathbb{N}}

S \to aSb|e

{w: \#_{a}(w) = \#_{b}(w)}

S \to aSbS

S \to bSaS

S \to SS

S \to e
```

To prove it works requires a proof by induction Not to worry, I will ASSUME you could do such a proof and hence WILL NOT make you.

### **Examples of Langs with Small CFL's, Large NFA's**

$$L = \{a^n\}$$

▶ NFA requires  $\geq n-2$  states. Lets prove it

### **Examples of Langs with Small CFL's, Large NFA's**

$$L = \{a^n\}$$

- ▶ NFA requires  $\geq n-2$  states. Lets prove it If M is an NFA with  $\leq n-2$  states then find a path from the start state to the final state. Let  $a^m$  be the shortest string that take you from the start state to the final state. Since the number of states is  $\leq n-2$ ,  $m \leq n-2$ . So we have  $a^m$  accepted when it should not be. Contradiction.
- ▶ There is a CNF CFL with  $\leq 2 \log_2 n$  rules. For  $n = 2^n$  VERY EASY. If not then have to write n as a sum of powers of 2. Example on next slide.

$$10 = 2^3 + 2^1$$

$$10 = 2^3 + 2^1$$
$$S \to XY$$

$$10 = 2^3 + 2^1$$
  
  $S \to XY$  We make  $X \Rightarrow a^8$  and  $Y \Rightarrow a^2$ .

```
10 = 2^3 + 2^1

S \to XY We make X \Rightarrow a^8 and Y \Rightarrow a^2.

X \to X_1X_1

X_1 \to X_2X_2

X_2 \to X_3X_3

X_3 \to a

Y \to Y_1Y_1

Y_1 \to a
```

$$10=2^3+2^1$$
  $S\to XY$  We make  $X\Rightarrow a^8$  and  $Y\Rightarrow a^2$ .  $X\to X_1X_1$   $X_1\to X_2X_2$   $X_2\to X_3X_3$   $X_3\to a$   $Y\to Y_1Y_1$   $Y_1\to a$  Can shorten a bit: We need  $Y\Rightarrow aa$ , so can just use  $X_2$ .

$$10=2^3+2^1$$
  $S o XY$  We make  $X \Rightarrow a^8$  and  $Y \Rightarrow a^2$ .  $X o X_1X_1$   $X_1 o X_2X_2$   $X_2 o X_3X_3$   $X_3 o a$   $Y o Y_1Y_1$   $Y_1 o a$  Can shorten a bit: We need  $Y \Rightarrow aa$ , so can just use  $X_2$ .  $S o XX_2$   $X o X_1X_1$   $X_1 o X_2X_2$   $X_2 o X_3X_3$   $X_3 o a$