BILL, RECORD LECTURE!!!!

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The Complexity of Problems: P and NP

Exposition by William Gasarch—U of MD

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To even ask these questions we need (1) a standard way to describe sets and a (2) model of computation.

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- 3. To define Algorithm we need a model of computation.

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- 1. Everything computable is computable by a Turing machine.
- 2. Turing machines compute with discrete steps so one can talk about how many steps a computation takes.
- 3. There are many models of computation. They are all equiv up to **poly time**. Hence **poly time** can be defined without getting into the details of a Turing machine or other models.

Polynomial Time and Other Classes

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Consider **SAT**.

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- If I came up with a (1.5)ⁿ algorithm that's just brute force with some tricks.
- If I came up with an n¹⁰⁰⁰ algorithm then it's NOT brute force. I would have found something very clever. Not practical, but that cleverness can probably be exploited to get a practical algorithm.

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- 3. Quadratic time not closed under composition: if f(n), g(n) are quadratic then f(g(n)) is quartic, not quadratic.
- P is closed under composition: if f(n), g(n) are poly then f(g(n)) is poly.

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If x ∈ A then there is a SHORT (poly in |x|) proof of this fact, namely y, such that x can be VERIFIED in poly time.

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▶ If $x \notin A$ then there is NO proof that $x \in A$.

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Our Plan for NP

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Reductions

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Contrapositive If $X \leq Y$ and $X \notin P$ then $Y \notin P$.

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The condition:

for EVERY $X \in NP$, $X \leq Y$? seemed very hard to meet.

Variants of SAT

We define several variants of SAT:



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3. 3SAT is CNF-SAT where each clause has \leq 3 literals.

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 The proof is not hard, but it involves looking at actual TMs. SAT was the first NP-complete problem. You could not use some other problem. 3SAT was the second by an easy reduction.

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- Once we have 3SAT is NP-complete we will NEVER use Turing machines again. To show Y NP-complete: (1) Y ∈ NP, (2) A ≤ Y for a known A that is NPC, often 3SAT.

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- 3. Thousands of problems are NP-complete. If any are in P then they are all in P.

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- Once we have 3SAT is NP-complete we will NEVER use Turing machines again. To show Y NP-complete: (1) Y ∈ NP, (2) A ≤ Y for a known A that is NPC, often 3SAT.
- 3. Thousands of problems are NP-complete. If any are in P then they are all in P.
- 4. Most Computer Scientists and Mathematicians think $P \neq NP$.

History: HAM and EUL

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The theory of NP-completeness enabled mathematicians to **state** what they wanted rigorously $(HAM \in P)$ and also gave the basis for proving likely it **cannot** be done (since HAM is NP-Complete).

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3. HAM is NP-complete. Just take my word for it.

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2) Graph *G* with 7*k* vertices as follows: For each clause we have 7 vertices. Label them with the 7 ways to set the 3 vars to make the clause satisfiable. For example, for the clause $x \lor y \lor \neg z$, we have 7 vertices: TTT, TTF, TFT, TFF, FTT, FTF, FFF.

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There are no edges between vertices associated to the same clause. We put an edge between vertices associated with different clauses if the assignments do not conflict. Example:

(x = T, y = T, z = T) has edge to (w = F, x = T, z = T) but not to (w = F, x = F, z = T).

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3) Example on next slide

 $(x \lor y \lor z) \land (w \lor \overline{z}) \land (\overline{x} \lor z)$



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- 1. We **do not know** that $3SAT \notin P$.
- 2. We **do not know** that $CLIQ \notin P$.
- 3. We do know that $3SAT \in P \text{ IFF } CLIQ \in P$.

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- 2. We **do not know** that $CLIQ \notin P$.
- 3. We do know that $3SAT \in P$ IFF $CLIQ \in P$.
- 4. We **believe** $3SAT \notin P$, hence we **believe** $CLIQ \notin P$.

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- 3. Intuitively **coming up with a proof** seems harder than **verifying a proof**.
- 4. $\mathrm{P}\neq\mathrm{NP}$ has great explanatory power. See next slide.

Set Cover Given *n* and $S_1, \ldots, S_m \subseteq \{1, \ldots, n\}$ find the least number of sets S_i 's that cover $\{1, \ldots, n\}$.

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4. There are many other approx problems where P = NP explains why they cannot be improved.

My opinions



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2. $P \neq NP$. In fact, SAT requires $2^{\Omega(n)}$ time.

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