BILL START RECORDING

Pollard's ρ Algorithm for Factoring (1975)

We want to factor N.



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p is a factor of N (we don't know p). Note $p \le N^{1/2}$.

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We **somehow** find x, y such that $x \equiv y \pmod{p}$. Useful?

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gcd(x - y, N) will likely yield a nontrivial factor of N since p divides both.

We look at several approaches to finding such an x, y that do not work before presenting the approach that does work.

Approach 1: Rand Seq mod p, Intuition

Generate random sequence $x_1, x_2, \ldots \in \{0, \ldots, N-1\}$.

Every time you get a new x_i , test, for all $1 \le j \le i - 1$,

 $x_i \equiv x_j \pmod{p}$.

Hope to get a YES.

If get YES then do

 $gcd(x_i - x_j, N).$

```
 \begin{array}{l} x_1 \leftarrow \operatorname{rand}(1, N-1), \ i \leftarrow 2 \\ \text{while TRUE} \\ x_i \leftarrow \operatorname{rand}(1, N-1) \\ \text{ for } j \leftarrow 1 \text{ to } i-1 \\ \text{ if } x_i \equiv x_j \pmod{p} \text{ then} \\ d \leftarrow \gcd(x_i - x_j, N) \\ \text{ if } d \neq 1 \text{ and } d \neq N \text{ then break} \\ i \leftarrow i+1 \\ \text{ output(d)} \end{array}
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$$x_{1} \leftarrow \operatorname{rand}(1, N - 1), i \leftarrow 2$$

while TRUE
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for $j \leftarrow 1$ to $i - 1$
if $x_{i} \equiv x_{j} \pmod{p}$ then
 $d \leftarrow \operatorname{gcd}(x_{i} - x_{j}, N)$
if $d \neq 1$ and $d \neq N$ then break
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PRO: Bday paradox: x_i 's are balls, mod p are boxes. So likely to find $x_i \equiv x_j \pmod{p}$ within $p^{1/2} \sim N^{1/4}$ iterations.

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CON: Need to already know *p*.

$$x_{1} \leftarrow \operatorname{rand}(1, N - 1), i \leftarrow 2$$

while TRUE
$$x_{i} \leftarrow \operatorname{rand}(1, N - 1)$$

for $j \leftarrow 1$ to $i - 1$
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ADJUST: Always do GCD.

Approach 2: Rand Seq mod p, W/O p, Intuition

Generate random sequence $x_1, x_2, \ldots \in \{0, \ldots, N-1\}$.

Every time you get a new x_i , do, for all $1 \le j \le i - 1$,

$$gcd(x_i - x_j, N).$$

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So do not need to know p. And if $x_i \equiv x_j \pmod{p}$, you'll get a factor.

Approach 2: Rand Seq mod p, W/O p, Program

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 \begin{array}{l} x_1 \leftarrow \operatorname{rand}(1, N-1) \ i \leftarrow 2 \\ \text{while TRUE} \\ x_i \leftarrow \operatorname{rand}(1, N-1) \\ \text{for } j \leftarrow 1 \text{ to } i-1 \\ d = \gcd(x_i - x_j, N) \\ \text{if } d \neq 1 \text{ and } d \neq N \text{ then break} \\ i \leftarrow i+1 \\ \text{output(d)} \end{array}
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PRO: Bday paradox: x_i 's:balls, mod p:boxes. Prob find $x_i \equiv x_j \pmod{p}$ with $i \leq p^{1/2} \sim N^{1/4}$. Perhaps sooner-other prime factors. Not knowing p does not matter.

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operations:

$$\sum_{i=1}^{N^{1/4}} i^2 \sim (N^{1/4})^3 \sim N^{3/4}$$
 BAD :-(.

Another Issue: Space

```
x_{1} \leftarrow \operatorname{rand}(1, N - 1) \ i \leftarrow 2
while TRUE
x_{i} \leftarrow \operatorname{rand}(1, N - 1)
for j \leftarrow 1 to i - 1
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```

iterations this is $N^{1/4}$ space. Too much space :-(

Approach 3: Rand Looking Sequence, Intuition

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How to create a **random looking** sequence?

Approach 3: Rand Looking Sequence, Intuition

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How to create a random looking sequence?

• Pick random $x_1, c \in \{1, ..., N-1\}$.

Approach 3: Rand Looking Sequence, Intuition

How to create a random looking sequence?

- Pick random $x_1, c \in \{1, ..., N-1\}$.
- lf know x_{i-1} , create

$$x_i = x_{i-1} * x_{i-1} + c \pmod{N}.$$

The sequence x₁, x₂, x₃ will hopefully be random enough that the bday paradox applies. We use the informal term random looking for this.

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PRO Empirically seq x_1, x_2 is random enough, so $N^{1/4}$ iterations.

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$$x_{1} \leftarrow \operatorname{rand}(1, N - 1), c \leftarrow \operatorname{rand}(1, N - 1), i \leftarrow 2$$

while TRUE
$$x_{i} \leftarrow x_{i-1} * x_{i-1} + c \pmod{N}$$

for $j \leftarrow 1$ to $i - 1$
$$x_{j} \leftarrow x_{j-1} * x_{j-1} + c$$

 $d \leftarrow \operatorname{gcd}(x_{i} - x_{j}, N)$
if $d \neq 1$ and $d \neq N$ then break
 $i \leftarrow i + 1$
output(d)
PRO Empirically set x_{i} x_{i} is random enough so

PRO Empirically seq x_1, x_2 is random enough, so $N^{1/4}$ iterations. **PRO** Space not a problem.

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PRO Empirically seq x_1, x_2 is random enough, so $N^{1/4}$ iterations.

- **PRO** Space not a problem.
- **CON** Time still a problem :-(

What Do We Really Want?

We want to find $i, j \leq N^{1/4}$ such that $x_i \equiv x_j \pmod{p}$.

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We want to find $i, j \le N^{1/4}$ such that $x_i \equiv x_j \pmod{p}$. Key x_i computed via recurrence so $x_i = x_j \implies x_{i+a} = x_{j+a}$.

What Do We Really Want?

We want to find $i, j \le N^{1/4}$ such that $x_i \equiv x_j \pmod{p}$. Key x_i computed via recurrence so $x_i = x_j \implies x_{i+a} = x_{j+a}$. Lemma If exists $i < j \le M$ with $x_i \equiv x_j$ then exists $k \le M$ such that $x_k \equiv x_{2k}$.

Rand Looking Sequence x_1 , c chosen at random in $\{1, \ldots, N\}$, then $x_i = x_{i-1} * x_{i-1} + c \pmod{N}$.

Rand Looking Sequence x_1 , c chosen at random in $\{1, \ldots, N\}$, then $x_i = x_{i-1} * x_{i-1} + c \pmod{N}$.

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Rand Looking Sequence x_1 , c chosen at random in $\{1, \ldots, N\}$, then $x_i = x_{i-1} * x_{i-1} + c \pmod{N}$.

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Final Algorithm

Define
$$f_c(x) \leftarrow x * x + c$$

 $x \leftarrow \operatorname{rand}(1, N-1), c \leftarrow \operatorname{rand}(1, N-1), y \leftarrow f_c(x)$ while TRUE

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$$\begin{array}{l} x \leftarrow f_c(x) \\ y \leftarrow f_c(f_c(y)) \\ d \leftarrow \gcd(x - y, N) \\ \text{if } d \neq 1 \text{ and } d \neq N \text{ then break} \\ \text{output(d)} \end{array}$$

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Erika, Guido, and Nataliya haven't worked on it yet.

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Why is it important to learn why it works in theory?

- 1. Make sure it really works. This is low-priority. Hey! It works!
- 2. If we know how it works in theory then perhaps can improve it. This is high-priority. Commonly theory and practice work together to improve both.

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