# BILL RECORDED LECTURE

October 19, 2020

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# REVIEW FOR MIDTERM

October 19, 2020

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## **SHIFT CIPHER**

October 19, 2020

#### The Shift Cipher, Formally

*M* = {all texts in lowercase English alphabet}
 *M* for Message space.
 All arithmetic mod 26.

• Choose uniform  $s \in \mathcal{K} = \{0, \dots, 25\}$ .  $\mathcal{K}$  for Keyspace.

• Encode 
$$(m_1 \dots m_t)$$
 as  $(m_1 + s \dots m_t + s)$ .

• Decode 
$$(c_1 \ldots c_t)$$
 as  $(c_1 - s \ldots c_t - s)$ .

Can verify that correctness holds.

#### **Freq Vectors**

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The **Freq Vector of** T is

$$\vec{f_T} = \left(\frac{N_a}{N}, \frac{N_b}{N}, \cdots, \frac{N_z}{N}\right)$$

English freq shifted by 0 is  $\vec{f_0}$ 

For  $1 \le i \le 25$ , English freq shifted by i is  $\vec{f_i}$ .



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We describe a way to tell if a text **Is English** that we will use throughout this course.

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If 'difficult' cipher used, we may use different IS-ENGLISH function.

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**Note:** No Near Misses. There will not be two values of s that are both close to 0.065.

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Note: Quite likely to succeed in the first try, or at least very early.

#### Variants of the Shift Cipher

- 1.  $\Sigma = \{a, \dots, z, 0, \dots, 9, +, -, \times, \div\}$  (e.g., Math textbooks).
- 2.  $\Sigma$  is some other language (e.g., Greek, Russian).
- 3.  $\Sigma=\{0,\ldots,9\}$  (e.g, Credit Cards).
- 4.  $\Sigma=\{0,1\}^8$  (e.g., Ascii). Can use  $\oplus$  instead of +s. Very fast!

These all have small key spaces and freq-of-symbol-use so can be cracked.

Include other symbols depending on the branch of math. E.g.,  $\wedge,\vee$  for logic.

We made the comment **We KNOW that SHIFT was used.** More generally we will always use the following assumption. **Kerckhoff's principle:** 

- Eve knows The encryption scheme.
- Eve knows the alphabet and the language.
- Eve does not know the key
- ▶ The key is chosen at random.

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So seeing the *y* does not help Eve **at all**. Is this info-theoretic security or comp-security? Discuss **Info-Theoretic** If Eve has unlimited computing power she still learns **nothing**.

Alphabet is  $\{x, y\}$ .  $s \in \{0, 1\}$  randomly.  $\Pr(m = x) = p_x$ .  $\Pr(m = y) = p_y$ .

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$$\Pr(m = x | c = x) = \frac{0.5p_x}{0.5(p_x + p_y)} = p_x$$

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So seeing the ciphertext gives Eve NO INFORMATION. Upshot The 1-letter shift Information-Theoretic Secure.

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#### Is 2-letter Shift Uncrackable?

Is 2-letter Shift Uncrackable? Discuss. No. Alphabet is  $\{X, Y\}$ . If Eve sees XX then she knows that the original message was one of

#### $\{XX, YY\}$

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So Eve has learned something. HW will make this rigorous.

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# Other Single Letter Ciphers

October 19, 2020

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## **Affine Cipher**

**Def** The Affine cipher with *a*, *b*:

- 1. Encrypt via  $x \rightarrow ax + b \pmod{26}$ . (*a* has to be rel prime to 26 so that  $a^{-1} \pmod{26}$  exists.
- 2. Decrypt via  $x \to a^{-1}(x-b) \pmod{26}$ .

**Limit on Keys** (a, b) must be such that a has an inverse. More on next page.

Easily cracked Only 312 keys. Use Is-English for each key.

**Shift Cipher Key** *s* could be **anything** in  $\{0, \ldots, 25\}$ . 26 keys.

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## The (a, b) for the Affine Cipher

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 Can extend to get a formula for φ(p<sub>1</sub><sup>a<sub>1</sub></sup> ··· p<sub>k</sub><sup>a<sub>k</sub></sup>).
 Caveat: To really use it need to factor n.

#### The Quadratic Cipher

**Def** The Quadratic cipher with a, b, c: Encrypt via  $x \rightarrow ax^2 + bx + c \pmod{26}$ .

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#### The Quadratic Cipher

**Def** The Quadratic cipher with a, b, c: Encrypt via  $x \rightarrow ax^2 + bx + c \pmod{26}$ . Does not work and was never used because: **No easy test for Invertibility (depends on def of easy).** 

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#### **General Substitution Cipher**

**Def Gen Sub Cipher** with perm f on  $\{0, \ldots, 25\}$ .

- 1. Encrypt via  $x \to f(x)$ .
- 2. Decrypt via  $x \to f^{-1}(x)$ .

PRO Very Large Key Space: 26!, so brute force not an option.
CON 100 years ago Hard to use, so we will look at alternatives that take a short seed and get a random looking perm.
CON today Crackable. We discuss how later.

 $\Sigma = \{a, ..., k\}$ . Key: (jack, 4).



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1. List out the key word and then the remaining letters:

2. Now do Shift 4 on this:

$$|f|g|h|i|j|a|c|k|b|d|e$$

This is where  $a, b, c, \ldots$  go, so:

$$\begin{vmatrix} a & b & c & d & e & f & g & h & i & j & k \\ f & g & h & i & j & a & c & k & b & d & e \end{vmatrix}$$

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# **UPSHOT**

- 1. From short key got random looking perm (in its day, not now).
- 2. Keyword Mixed cipher is similar, probably better, but we skip.

Alice and Eve play the following game:

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We measure how good the Keyword-Shift is by the probability that an optimal Eve can win.

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Eve's strategy:

Alice gives Eve perm  $\tau$ . If  $\tau$  is one of the  $\sigma_i$  then Eve says KS, otherwise Eve says RP.

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Prob Eve wins is
$$\Pr(KS) \times 1 + \Pr(RP) \times L = \frac{1}{2} \times 1 + \frac{1}{2} \times L = \frac{1}{2}(1 + L) = L'$$
which is very close to 1.
Upshot Unlimited Eve wins most of the time.

# Strategy for Comp Limited Eve

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1. Eve gets  $\tau$ .



# Strategy for Comp Limited Eve

- 1. Eve gets  $\tau$ .
- 2. If  $\tau$  has 3 consecutive letters (e.g., p, q, r) then say KS, else say RP. (We do not count wrap around.)

### **Prob that Limited Eve Wins**

If KS then Eve is correct (we omit this part).

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Prob that Eve wins is  $\geq 1 - 0.04 = 0.96$ . Prob Eve wins is  $\frac{1}{2} \times 1 + \frac{1}{2} \times 0.096 = 0.98$  Terminology: 1-Gram, 2-Gram, 3-Gram

**Notation** Let *T* be a text.



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### Terminology: 1-Gram, 2-Gram, 3-Gram

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- 4. One usually talks about the freq of *n*-grams.

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I stands for Iterations and will be large (like 2000).
R stands for Redos and will be small (like 5).

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Input T. Find Freq of 1-grams and n-grams.  $\sigma_{\rm init}$  is perm that maps most freq to e, etc. Uses 1-gram freq.

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Candidates for  $\sigma$  are  $\sigma_1, \ldots, \sigma_R$ 

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The parameters R and I need to be picked carefully.