## BILL, RECORD LECTURE!!!!

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## The Shift Cipher（cont）

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4. Only one of the dot products will be close to 0.065 .

Did we really need the numbers 0.068 and 0.035 ? Do we actually need them?
This will come up later in the course in a situation where finding the numbers is hard.

## How we Would Crack Shift If Did Not Know Parameters 0.065, 0.035

Important point is that $f_{E} \cdot f_{E}$ is BIG, $f_{E} \cdot f_{i}$ SMALL. Do not need to know HOW BIG, HOW SMALL.

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But if we have a few candidates for IS-ENGLISH there may be other ways to pick out the real one.

## Variants of the Shift Cipher

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Include other symbols depending on the branch of math. E.g., $\wedge, \vee$ for logic.

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What to do? Find distribution of alphabet for these types of docs. Write code sim to Is-English and try all shifts.

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4. Parity Checks.

## Byte-wise Shift Cipher

- In ASCII all small letters, cap letters, numbers, punctuation, mapped to 8-bit strings.
- Use XOR instead of modular addition. Fast!
- Decode and Encode are both XOR.
- Essential properties still hold.

| Hex | Dec | Char |  | Hex | Dec | Char | \|Hex | Dec | Char | Hex | Dec | Char |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0x00 | 0 | NULL | null | 0×20 | 32 | Space | 0x40 | 64 | \& | 0x60 | 96 |  |
| $0 \times 01$ | 1 | SOH | Start of heading | $0 \times 21$ | 33 | 1 | 0x41 | 65 | A | 0x61 | 97 | a |
| 0x02 | 2 | STX | Start of text | 0×22 | 34 | " | 0x42 | 66 | B | $0 \times 62$ | 98 | b |
| $0 \times 03$ | 3 | ETX | End of text | $0 \times 23$ | 35 | \# | 0x43 | 67 | C | $0 \times 63$ | 99 | c |
| $0 \times 04$ | 4 | EOT | End of transmission | 0x24 | 36 | \$ | 0x44 | 68 | D | 0x64 | 100 | d |
| $0 \times 05$ | 5 | ENQ | Enquiry | $0 \times 25$ | 37 | \% | 0x45 | 69 | E | 0x65 | 101 | e |
| $0 \times 06$ | 6 | ACK | Acknowledge | $0 \times 26$ | 38 | \& | $0 \times 46$ | 70 | F | 0x66 | 102 | f |
| 0x07 | 7 | BELL | Bell | $0 \times 27$ | 39 | , | 0x47 | 71 | G | 0x67 | 103 | $g$ |
| 0x08 | 8 | BS | Backspace | $0 \times 28$ | 40 | $($ | 0x48 | 72 | H | $0 \times 68$ | 104 | h |
| $0 \times 09$ | 9 | TAB | Horizontal tab | 0x29 | 41 | ) | 0x49 | 73 | I | 0x69 | 105 | i |
| $0 \times 0 \mathrm{~A}$ | 10 | LF | New line | 0x2A | 42 | * | 0x4A | 74 | J | $0 \times 6 \mathrm{~A}$ | 106 | j |
| $0 \times 0 \mathrm{~B}$ | 11 | VT | Vertical tab | 0x2B | 43 | + | 0x4B | 75 | K | $0 \times 6 \mathrm{~B}$ | 107 | k |
| 0x0C | 12 | FF | Form Feed | 0×2C | 44 | , | 0x4C | 76 | L | 0x6C | 108 | 1 |
| 0x0D | 13 | CR | Carriage return | 0×2D | 45 | - | 0x4D | 77 | M | 0x6D | 109 | m |
| 0x0E | 14 | SO | Shift out | 0x2E | 46 | - | 0x4E | 78 | N | $0 \times 6 \mathrm{E}$ | 110 | n |
| 0x0F | 15 | SI | Shift in | 0×2F | 47 | 1 | 0x4F | 79 | 0 | 0x6F | 111 | O |
| $0 \times 10$ | 16 | DLE | Data link escape | 0×30 | 48 | 0 | 0x50 | 80 | P | 0x70 | 112 | p |
| $0 \times 11$ | 17 | DC1 | Device control 1 | 0×31 | 49 | 1 | $0 \times 51$ | 81 | Q | 0x71 | 113 | q |
| $0 \times 12$ | 18 | DC2 | Device control 2 | $0 \times 32$ | 50 | 2 | 0×52 | 82 | R | $0 \times 72$ | 114 | r |
| $0 \times 13$ | 19 | DC3 | Device control 3 | 0x33 | 51 | 3 | 0x53 | 83 | S | 0x73 | 115 | 5 |
| $0 \times 14$ | 20 | DC4 | Device control 4 | $0 \times 34$ | 52 | 4 | $0 \times 54$ | 84 | T | $0 \times 74$ | 116 | t |
| $0 \times 15$ | 21 | NAK | Negative ack | 0×35 | 53 | 5 | $0 \times 55$ | 85 | U | $0 \times 75$ | 117 | u |
| $0 \times 16$ | 22 | SYN | Synchronous idle | 0×36 | 54 | 6 | 0x56 | 86 | V | 0x76 | 118 | $v$ |
| $0 \times 17$ | 23 | ETB | End transmission block | $0 \times 37$ | 55 | 7 | 0x57 | 87 | W | 0x77 | 119 | w |
| $0 \times 18$ | 24 | CAN | Cancel | 0x38 | 56 | 8 | 0x58 | 88 | X | 0x78 | 120 | x |
| $0 \times 19$ | 25 | EM | End of medium | $0 \times 39$ | 57 | 9 | $0 \times 59$ | 89 | Y | 0x79 | 121 | y |
| $0 \times 1 \mathrm{~A}$ | 26 | SUB | Substitute | 0x3A | 58 | : | 0x5A | 90 | z | $0 \times 7 \mathrm{~A}$ | 122 | z |
| $0 \times 1 \mathrm{~B}$ | 27 | FSC | Escape | 0×3B | 59 | ; | 0x5B | 91 | I | $0 \times 7 \mathrm{~B}$ | 123 | $\{$ |
| $0 \times 1 \mathrm{C}$ | 28 | FS | File separator | 0×3C | 60 | < | 0x5C | 92 | 1 | 0x7C | 124 |  |
| $0 \times 1 \mathrm{D}$ | 29 | GS | Group separator | 0x3D | 61 | $=$ | 0x5D | 93 | ] | 0x7D | 125 | \} |
| $0 \times 1 \mathrm{E}$ | 30 | RS | Record separator | 0×3E | 62 | > | 0x5E | 94 | ^ | 0x7E | 126 | - |
| $0 \times 1 \mathrm{~F}$ | 31 | US | Unit separator | 0×3F | 63 | ? | 0x5F | 95 |  | 0x7F | 127 | DEL |

Source: http://benborowiec.com/2011/07/23/better-ascii-table/

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- Verify that correctness holds.
- Curiosity: Encrypt and Decrypt Key are the same.


## Example

Key is $\mathbf{1 1 0 0 1 1 1 0}$.
Alice wants to send $00011010,11100011,00000000$.
She sends
$00011010 \oplus 11001110$
$11100011 \oplus 11001110$
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No. Eve has no way of knowing that.

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- Byte is more secure- More Keys.
- Byte is less secure- uses punctuation which yields more patterns.
- I do not know the answer.


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- The key space must be large enough to make exhaustive-search attacks impractical.
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- How large this is may be technology-dependent.
- Note: this makes some assumptions...
- English-language plaintext
- Ciphertext sufficiently long so only one valid plaintext


## Kerckhoff＇s Principle

## Kerckhoff's principle

We made the comment We KNOW that SHIFT was used. More generally we will always use the following assumption. Kerckhoff's principle:

- Eve knows The encryption scheme.
- Eve knows the alphabet and the language.
- Eve does not know the key
- The key is chosen at random.


## Arguments For And Against Kerckhoff's Principle

## Arguments For:

- Easier to keep key secret than algorithm.
- Easier to change key than to change algorithm.
- Standardization:
- Ease of deployment.
- Public validation.
- If prove system secure then very strong proof of security since even if Eve knows scheme she can't crack.


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Arguments Against:

- The first few years (months? days? hours?) of a new type of cipher, perhaps you can use that Eve does not know it. But she will soon!


## Formal Security with Shift Cipher as Example

## 1-Letter Shift Cipher

Odd Situation What if message is only one-letter long? Discuss Can Eve crack a one-letter message?

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For reasons of space I call them TE.

## Convention

- $m \in\{x, y\}$ is the message Alice wants to send
- $s \in\{0,1\}$ is the shift.
- $c \in\{x, y\}$ is what Alice sends.

The statement
Alice sends $m+s$
means that that Alice sends $m$ shifted by $s$ (with wrap around).

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| $m$ | $s$ | $c$ |
| :---: | :---: | :---: |
| $x$ | 0 | $x$ |
| $x$ | 1 | $y$ |
| $y$ | 0 | $y$ |
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## (TE1) $\{x, y\}$, Equally Likely; Shift 0,1 Equally Likely

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| $m$ | $s$ | $c$ | $\operatorname{Pr}$ |
| :---: | :---: | :---: | :---: |
| $x$ | 0 | $x$ | $1 / 4$ |
| $x$ | 1 | $y$ | $1 / 4$ |
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Eve sees $c=x$. Now what does she know?

| $m$ | $s$ | $c$ | Pr Not Normalized | Pr Normalized |
| :---: | :---: | :---: | :---: | :---: |
| $x$ | 0 | $x$ | $1 / 4$ | $1 / 2$ |
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$$

Eve sees $c=x$. Now what does she know?

| $m$ | $s$ | $c$ | Pr Not Normalized | Pr Normalized |
| :---: | :---: | :---: | :---: | :---: |
| $x$ | 0 | $x$ | $1 / 4$ | $1 / 2$ |
| $y$ | 1 | $x$ | $1 / 4$ | $1 / 2$ |

Eve learned nothing from seeing c. Intuitively this means secure.

## (TE2) Alphabet $\{x, y\}$, Unequal Prob

$\operatorname{Pr}(m=x)=\frac{1}{4} ; \operatorname{Pr}(m=y)=\frac{3}{4} . \operatorname{Pr}(s=0)=\frac{1}{2} ; \operatorname{Pr}(s=1)=\frac{1}{2}$.

| $m$ | $s$ | $c$ | $\operatorname{Pr}$ |
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| $x$ | 0 | $x$ | $1 / 8$ |
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Eve learned nothing from seeing $m$. Intuitively this means secure.
(TE3) Alphabet $\{x, y\}$, Equal Prob, Shift Biased $\operatorname{Pr}(m=x)=\frac{1}{2} ; \operatorname{Pr}(m=y)=\frac{1}{2} . \operatorname{Pr}(s=0)=\frac{1}{4}, \operatorname{Pr}(s=1)=\frac{3}{4}$.

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Before: Eve $-\operatorname{Pr}(m=x)=\frac{1}{2}$. After: Eve $\operatorname{Pr}(m=x)=\frac{1}{4}$.

## BILL, RECORD LECTURE!!!!

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- We need to make this all more rigorous!


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Intuition $\operatorname{Pr}(A \mid B)=\frac{\operatorname{Pr}(A \cap B)}{\operatorname{Pr}(B)}$ is saying that the entire space is now $\operatorname{Pr}(B)$. Within that space what is the prob of $A$ happening? Its $\operatorname{Pr}(A \cap B)$.

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This example is bad since, for example
$\operatorname{Pr}\left(s=5 \mid d_{1}=2\right)=\operatorname{Pr}\left(d_{2}=3\right)=\frac{1}{6}$.
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The rest are similar and are always either 0 or $\frac{1}{3}$.

## Conditional Probability Example with Funky Dice

Josh rolls two dice $d_{1}, d_{2}$ and finds $s=d_{1}+d_{2}$.
The dice are not independent.
$d_{1}$ is fair.
If $d_{1}$ is $i$, then $d_{2} \leq i$, but within that equal prob.
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The rest are similar. Many are 0 .

## Conditional Probability Example with a Biased Coin

Bill has two coins F (for Fair) and B (for Biased) $\operatorname{Pr}(H)=\frac{3}{4}$ ).
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$\operatorname{Pr}(B \cap H)=\operatorname{Pr}(B) \times \operatorname{Pr}(H \mid B)=\frac{1}{2} \times \frac{3}{4}=\frac{3}{8}$.
$\operatorname{Pr}(H)=\operatorname{Pr}(B) \times \operatorname{Pr}(H \mid B)+\operatorname{Pr}(F) \times \operatorname{Pr}(H \mid F)=\frac{1}{2} \times \frac{1}{2}+\frac{1}{2} \times \frac{3}{4}=\frac{5}{8}$

## Conditional Probability Example with a Biased Coin

Bill has two coins F (for Fair) and B (for Biased) $\operatorname{Pr}(H)=\frac{3}{4}$ ).
He picks one at random (using a sep fair coin).
He flips the coin.
$\operatorname{Pr}(H \mid B)=\frac{3}{4}$ by definition of Bias.
$\operatorname{Pr}(H \mid F)=\frac{1}{2}$ by definition of Fair.
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## Definition of a Secure Crypto System

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Is this info-theoretic security or comp-security? Discuss
Info-Theoretic If Eve has unlimited computing power she still learns nothing.

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So seeing the ciphertext gives Eve NO INFORMATION. Upshot The 1-letter shift Information-Theoretic Secure.

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No. Alphabet is $\{X, Y\}$.
If Eve sees $X X$ then she knows that the original message was one of

$$
\{X X, Y Y\}
$$

So Eve has learned something. HW will make this rigorous.

## Summary and a New Question

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New Question Is the last item that important?
We are saying that Eve knows prob stuff, but does she really KNOW something?

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In clear: Is Eric a double agent working for the Romulans? The answer comes via a shift cipher: $\mathbf{A}$ (which is either Y or N )
Since the answer to both questions was the same, namely $A$, Eve knows Eric is working for either both or neither.

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Does this leak information Discuss.

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For Now A lesson in how even defining security and leak must be done carefully.

## Private-Key Encryption



## Private-key encryption



## Private-key encryption

- A private-key encryption scheme is defined by a message space $\mathcal{M}$ and algorithms (Gen, Enc, Dec)
- Gen (key generation algorithm): outputs $k \in \mathcal{K}$ (For SHIFT this is $k \in\{0, \ldots, 25\}$. Should 0 be included?)
- Enc (encryption algorithm): takes key $k$ and message $m \in \mathcal{M}$ as input; outputs ciphertext $c$

$$
c \leftarrow E n c_{k}(m)
$$

(For SHIFT this is $\operatorname{Enc}\left(m_{1}, \ldots, m_{n}\right)=\left(m_{1}+k, \ldots, m_{n}+k\right)$.)

- Dec (decryption algorithm): takes key $k$ and ciphertext $c$ as input; outputs $m$ or "error"

$$
m:=\operatorname{Dec}_{k}(c)
$$

(For SHIFT this is $\operatorname{Dec}\left(c_{1}, \ldots, c_{n}\right)=\left(c_{1}-k, \ldots, c_{n}-k\right)$.)
$\forall k$ output by Gen $\forall m \in \mathcal{M}, \operatorname{Dec}_{k}\left(E n c_{k}(m)\right)=m$
(For SHIFT this is $(m+k)-k=m$ )

## BILL, STOP RECORDING LECTURE!!!!

BILL STOP RECORD LECTURE!!!

