

# Blocks of Five

**Exposition by William Gasarch-U of MD**

**Part I:**

# **A UMCP Math Competition Problem And Its Generalization**

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### Problem

Find  $m \in \mathbb{N}$  such that the following are true

- 1) There exists an awesome collection of  $m$  blocks.
- 2) There does not exist an awesome collection of  $m + 1$  blocks.

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We first generalize the problem.

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2)  $m \leq 2 + |T_1| + |T_2| \leq 2 + 3 + 16 = 21$ .

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Hence  $m = 506$  is the answer.

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**This leads to our general problem.**

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**Question** For all  $n \geq 5$  determine  $f(n)$ .

**Part II:**

**Generalize the UMCP Solution**

**To Determine  $f(n)$  for  $n \geq 106$**

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**Side Project** We will soon improve this bound to  $n \leq 33$  using **complicated** though elementary techniques. Try to get a better-than-105 bound on  $n$  that uses **simple** elementary techniques.

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## Part III:

### Applying Equations To Our Problem

We Determine  $f(n)$  for  $33 \leq n \leq 105$

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means a collection of blocks where every  $y \in [n]$  appears  $\leq 5$  times.

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**WRITE DOWN the Def and Notation!**

**Example and Thm:**  $\sum_{i \in [5]} n_i = n$



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**Lemma**  $\sum_{i \in [5]} in_i = mn$ . **WRITE THIS DOWN!**

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(Proof by more algebra than you want to do.)

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Since  $n \in \mathbb{N}$ ,  $n \leq 33$ .



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**Case 2** There is no such  $y$ . Then  $n \leq 33$ , contrary to hypothesis.

**Part IV:**

**Using Programs**

**We Determine  $f(n)$  for  $5 \leq n \leq 32$**

**GEN-NI PROGRAM.** Generates all  $(n_1, n_2, n_3, n_4, n_5)$



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## Sketch of Code

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$$n_4 = p$$

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**If**  $(\forall i)[0 \leq n_i \leq 5]$  **then**

    TABLE-NI = TABLE-NI  $\cup (n, m; n_1, n_2, n_3, n_4, n_5)$

## Running Example: $n = 22$ , $m = 17$

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$(0, 3, 7, 2, 10)$

$(1, 1, 7, 4, 9)$

$(0, 4, 4, 5, 9)$

$(1, 2, 4, 7, 8)$

$(0, 5, 1, 8, 8)$

$(2, 0, 4, 9, 7)$

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Those are a lot of options!



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If we eliminate **all** of them then we know  $17 \notin f(22)$ .

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(2,0,4,9,7)

(1,3,1,10,7)

(2,1,1,12,6)

Those are a lot of options!

If we eliminate **all** of them then we know  $17 \notin f(22)$ .

If we eliminate **some** of them we may have insight into how to construct a 22-awesome\* collection of 17 blocks.

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This does mean that  $bt_1$  is not a valid blocktype.

General Theorem on next slide.

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If there exists  $1 \leq i \leq 5$  such that  $bt^i > n_i$  then there is no block of type  $bt$ .



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If there exists  $1 \leq i \leq 5$  such that  $bt^i > n_i$  then there is no block of type  $bt$ .

$bt$  is called **invalid**

# Elim-bt Theorem

**Thm** Assume there is an  $n$ -awesome\* collection.

For  $1 \leq i \leq 5$  let  $n_i$  be the number of  $i$ -mult elts.

Let  $bt$  be a blocktype.

If there exists  $1 \leq i \leq 5$  such that  $bt^i > n_i$  then there is no block of type  $bt$ .

$bt$  is called **invalid**

If  $bt^i > n_i$  then  $bt$  has  $> n_i$  mult- $i$  elts which is a contradiction.

# GEN-BT PROGRAM

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## Sketch of Code

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**Input**  $(n, m; n_1, n_2, n_3, n_4, n_5)$

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**Input**  $(n, m; n_1, n_2, n_3, n_4, n_5)$

TABLE-BT =  $\emptyset$

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TABLE-BT =  $\emptyset$

**For**  $b_1 = 5$  downto 1

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TABLE-BT =  $\emptyset$

**For**  $b_1 = 5$  downto 1

**For**  $b_2 = b_1$  downto 1



# GEN-BT PROGRAM

## Sketch of Code

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**For**  $b_4 = b_3$  downto 1

$b_5 = m + 4 - b_1 - b_2 - b_3 - b_4$

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## Sketch of Code

**Input**  $(n, m; n_1, n_2, n_3, n_4, n_5)$

TABLE-BT =  $\emptyset$

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**if**  $1 \leq b_5 \leq 5$  then

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## Sketch of Code

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**For**  $b_1 = 5$  downto 1

**For**  $b_2 = b_1$  downto 1

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**For**  $b_4 = b_3$  downto 1

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**if**  $1 \leq b_5 \leq 5$  then

$bt = [b_1, b_2, b_3, b_4, b_5]$

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**For**  $b_4 = b_3$  downto 1

$b_5 = m + 4 - b_1 - b_2 - b_3 - b_4$

**if**  $1 \leq b_5 \leq 5$  then

$bt = [b_1, b_2, b_3, b_4, b_5]$

**if**  $(\forall 1 \leq i \leq 5)[bt^i \leq n_i]$  then

# GEN-BT PROGRAM

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$bt = [b_1, b_2, b_3, b_4, b_5]$

**if**  $(\forall 1 \leq i \leq 5)[bt^i \leq n_i]$  then

                        TABLE-BT = TABLE-BT  $\cup \{bt\}$

**Note** Output is a **set** of blocktypes.

# GEN-BT PROGRAM

## Sketch of Code

**Input**  $(n, m; n_1, n_2, n_3, n_4, n_5)$

TABLE-BT =  $\emptyset$

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**For**  $b_2 = b_1$  downto 1

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**For**  $b_4 = b_3$  downto 1

$b_5 = m + 4 - b_1 - b_2 - b_3 - b_4$

**if**  $1 \leq b_5 \leq 5$  then

$bt = [b_1, b_2, b_3, b_4, b_5]$

**if**  $(\forall 1 \leq i \leq 5)[bt^i \leq n_i]$  then

                        TABLE-BT = TABLE-BT  $\cup \{bt\}$

**Note** Output is a **set** of blocktypes.

**Side project** Do this program more efficiently.



## Example of Elimination Via Equations

For  $n = 17$   $m = 7$ .  $(n_1, n_2, n_3, n_4, n_5) = (6, 9, 2, 0, 0)$ .

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$$A_1 = \{1, 2, 3, 4, 5\} \quad A_2 = \{1, 6, 7, 8, 9\} \quad A_3 = \{1, 10, 11, 12, 13\}$$

$$A_4 = \{2, 6, 10, 14, 15\} \quad A_5 = \{2, 7, 11, 16, 17\} \quad A_6 = \{3, 8, 12, 14, 16\}$$

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$$r_1 = 3 \quad r_2 = 3 \quad r_3 = 2 \quad r_4 = 1 \quad r_5 = 1 \quad r_6 = 2$$

$$r_7 = 2 \quad r_8 = 2 \quad r_9 = 1 \quad r_{10} = 2 \quad r_{11} = 2 \quad r_{12} = 2$$

$$r_{13} = 1 \quad r_{14} = 2 \quad r_{15} = 1 \quad r_{16} = 2 \quad r_{17} = 1$$

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## Block Types

$A_1$  has blocktype  $[3, 3, 2, 1, 1]$

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$n_1 = 6$ . 6 elts appear 1 time. 1 appears  $1 \times 6$  times in blocktypes.

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### Block Types

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**General**  $i$  appears  $i \times n_i$  times in blocktypes.



# Example of Eliminate Via Equations

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$$n = 22, m = 17.$$

## Example of Eliminate Via Equations

$n = 22$ ,  $m = 17$ . We consider  $(n_1, n_2, n_3, n_4, n_5) = (0, 3, 7, 2, 10)$ .

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Each block type must sum to  $m + 4 = 21$ .

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Blocktypes are 5-tuples of numbers in  $\{1, 2, 3, 4, 5\}$  that sum to 21:

$[5, 5, 5, 4, 2]$

$[5, 5, 5, 3, 3]$

$[5, 5, 4, 4, 3]$

$[5, 4, 4, 4, 4]$ .

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[5, 5, 4, 4, 3]

[5, 4, 4, 4, 4]. **No!**

## Example of Eliminate Via Equations

$n = 22$ ,  $m = 17$ . We consider  $(n_1, n_2, n_3, n_4, n_5) = (0, 3, 7, 2, 10)$ .

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There are **no** blocks of type  $[5, 4, 4, 4]$  since there are only 2 elements of multiplicity 4.



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Continue this exciting story on the next slide.

# Eliminating (0, 3, 7, 2, 10)

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Block types:

$x_1$  blocks of type  $[5, 5, 5, 4, 2]$ . 3 mult-5, 1 mult-4, 1 mult-2.

$x_2$  blocks of type  $[5, 5, 5, 3, 3]$ . 3 mult-5, 2 mult-3.

$x_3$  blocks of type  $[5, 5, 4, 4, 3]$ . 2 mult-5, 2 mult-4, 1 mult-3.

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$x_3$  blocks of type  $[5, 5, 4, 4, 3]$ . 2 mult-5, 2 mult-4, 1 mult-3.

Using mult-5:  $3x_1 + 3x_2 + 2x_3 = 5 \times 10 = 50$

## Eliminating (0, 3, 7, 2, 10)

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## Eliminating (0, 3, 7, 2, 10)

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Using mult-4:  $x_1 + 2x_2 = 4 \times 2 = 8$

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Using mult-5:  $3x_1 + 3x_2 + 2x_3 = 5 \times 10 = 50$

Using mult-4:  $x_1 + 2x_2 = 4 \times 2 = 8$

Using mult-3:  $2x_2 + x_3 = 3 \times 7 = 21$

Using mult-2:  $x_1 = 2 \times 3 = 6$



## Eliminating (0, 3, 7, 2, 10)

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Since there are 17 blocks:  $x_1 + x_2 + x_3 = 17$ .

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Using mult-5:  $3x_1 + 3x_2 + 2x_3 = 5 \times 10 = 50$

Using mult-4:  $x_1 + 2x_2 = 4 \times 2 = 8$

Using mult-3:  $2x_2 + x_3 = 3 \times 7 = 21$

Using mult-2:  $x_1 = 2 \times 3 = 6$

Since there are 17 blocks:  $x_1 + x_2 + x_3 = 17$ .

**Algebra shows these equations have no solution at all!**

# Eliminating (0, 3, 7, 2, 10)

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$x_1$  blocks of type  $[5, 5, 5, 4, 2]$ . 3 mult-5, 1 mult-4, 1 mult-2.

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$x_3$  blocks of type  $[5, 5, 4, 4, 3]$ . 2 mult-5, 2 mult-4, 1 mult-3.

Using mult-5:  $3x_1 + 3x_2 + 2x_3 = 5 \times 10 = 50$

Using mult-4:  $x_1 + 2x_2 = 4 \times 2 = 8$

Using mult-3:  $2x_2 + x_3 = 3 \times 7 = 21$

Using mult-2:  $x_1 = 2 \times 3 = 6$

Since there are 17 blocks:  $x_1 + x_2 + x_3 = 17.$

**Algebra shows these equations have no solution at all!**

Only needed that it had no solution in  $\mathbb{N}$ .

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We call this set of equations **the usual equations**.

# GEN-SOL PROGRAM

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# Eliminating a Solution

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$$n = 22, m = 17, (n_1, n_2, n_3, n_4, n_5) = (1, 1, 7, 4, 9).$$

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Blocktypes:

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The equations:

$$\text{Mult 1: } x_1 = 1 \times n_1 = 1$$

$$\text{Mult 2: } x_2 = 2 \times n_2 = 2$$

$$\text{Mult 3: } 2x_3 + x_4 = 3 \times n_3 = 21$$

$$\text{Mult 4: } x_2 + 2x_4 + 4x_5 = 4 \times n_4 = 16$$

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There are 4 solutions:  $\langle x_1, x_2, x_3, x_4, x_5 \rangle =$

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We show  $\langle 1, 2, 9, 3, 2 \rangle$  does not work on next slide.

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But  $\binom{n_5}{2} = \binom{9}{2} = 36 < 42$ . Contradiction.

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**Recall**  $bt$  is a block type. If  $1 \leq i \leq 5$  then  $bt^i$  is how many  $i$ 's are in  $bt$ .

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$$1 \times \binom{bt_1^5}{2} + 2 \times \binom{bt_2^5}{2} + 9 \times \binom{bt_3^5}{2} + 3 \times \binom{bt_4^5}{2} + 4 \times \binom{bt_5^5}{2} = 42$$

Numb of pairs of Mult-5 elts:  $\binom{n_5}{2} = \binom{9}{2} = 36 < 45$ .

Contradiction!

## Example of Elim using Equations

**Recall**  $bt$  is a block type. If  $1 \leq i \leq 5$  then  $bt^i$  is how many  $i$ 's are in  $bt$ .

**Example**  $m = 22$ ,  $m = 17$ ,  $(n_1, n_2, n_3, n_4, n_5) = (1, 1, 7, 4, 9)$ .

1  $bt_1$  [5, 5, 5, 5, 1].  $bt_1^1 = 1$ .  $bt_1^5 = 4$ .

2  $bt_2$  [5, 5, 5, 4, 2].  $bt_2^2 = 1$ .  $bt_2^4 = 1$ .  $bt_2^5 = 3$ .

9  $bt_3$  [5, 5, 5, 3, 3].  $bt_3^3 = 2$ .  $bt_3^5 = 3$ .

3  $bt_4$  [5, 5, 4, 4, 3].  $bt_4^3 = 1$ .  $bt_4^4 = 2$ .  $bt_4^5 = 2$ .

4  $bt_5$  [5, 4, 4, 4, 4].  $bt_5^4 = 4$ .  $bt_5^5 = 1$ .

We rephrase the argument from the last slide.

The number of pairs of Mult-5 elts is at least

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General Theorem on next slide.

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# SOL-BAD PROGRAM

**Input**  $(n, m; n_1, n_2, n_3, n_4, n_5), [[bt_1], \dots, [bt_e]], \langle x_1, \dots, x_e \rangle$

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Output SOLGOOD (this will be TRUE or FALSE)



# *m*WORKS? PROGRAM

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For all  $[[bt_1], \dots, [bt_e]]$  in TABLE-BT

TABLE-EQ = GEN-EQ $[[bt_1], \dots, [bt_e]]$

For all  $\langle eq_1, \dots, eq_6 \rangle \in$  TABLE-EQ

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TABLE-SOL = GEN-SOL( $\langle eq_1, \dots, eq_6 \rangle$ )

For all  $\langle x_1, \dots, x_e \rangle \in$  TABLE-SOL

SOL-GOOD =

SOL-BAD( $n, m; n_1, n_2, n_3, n_4, n_5$ ),  $[[bt_1], \dots, [bt_e]]$ ,  $\langle x_1, \dots, x_e \rangle$ )

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We are not done yet. See Next Slide.

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Which am I rooting for? I will be happy with either:

- a) We are DONE
  - b) We find some math of interest and THEN we are DONE.
- I will be unhappy if we can't actually solve the problem.