# An Application of Ramsey's Theorem to Logic

# William Gasarch

April 21, 2020

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There are  $x_1, x_2$  such that  $x_1$  connects to EVERY other vertex, and  $x_2$  connects to NO other vertex.

For all  $n \ge 2$  there is G with n vertex that satisfies this sentence.

## Conventions

1. The graphs are symmetric. So E(x, y) really means  $E(x, y) \wedge E(y, x)$ .

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- 2. No self loops, so E(x, x) is always false.
- 3.  $(\exists x_1) \cdots (\exists x_n)$  means they are DISTINCT.
- 4.  $(\forall x_1) \cdots (\forall x_n)$  means they are DISTINCT.

**Notation** If G is a graph and  $\phi$  is a sentence then  $G \models \phi$  means that  $\phi$  is TRUE of G. **Definition** If  $\phi$  is a sentence in the language of graphs then  $\operatorname{spec}(\phi)$  is the set of all n such that there is G on n vertices such that  $G \models \phi$ .

## $\phi = (\exists x_1, x_2, x_3) [E(x_1, x_2) \land E(x_1, x_3)]$



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# Spectrum: Another Example

$$(\forall x_1, x_2, x_3)$$
  
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 $\neg (E(x_1, x_2) \land E(x_1, x_3) \land E(x_2, x_3))$   
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This is asking for a graph without a 3-clique or 3-ind set. By Ramsey's Theorem we know that all graphs of size  $\geq$  6 have a 3-clique or 3-ind set.

 $\operatorname{spec}(\phi) = \{0, 1, 2, 3, 4, 5\}.$ 

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This is NOT the application of Ramsey Theory that this lecture is leading up to.

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 $\phi = (\exists x_1)(\exists x_2)(\forall y)[x_1 = y \lor x_2 = y].$ Discuss If *G* has 2 vertices then  $G \models \phi$ . If  $n \in \{0, 1, 3, 4, 5, ...\}$  and *G* has *n* vertices then  $G \not\models \phi$ . spec $(\phi) = \{2\}.$ 

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All of these sentence were of the form  $(E^*A^*$ -sentences).  $(\exists x_1) \cdots (\exists x_n)(\forall y_1) \cdots (\forall y_m)[\psi(x_1, \ldots, x_n, y_1, y \ldots, y_m)]$ 

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# Lemma About Decidable We Need

#### Lemma

- 1. The following is decidable: Given a sentence  $\phi$  and a graph G, determine if  $G \models \phi$ .
- 2. The following is decidable: Given a sentence  $\phi$  and a number n, determine if  $n \in \operatorname{spec}(\phi)$ .

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Proof Use brute force.

We will use Lemma without comment.

**Note** For many  $(\phi, G)$  can do much better than brute force.

# **Main Theorem**

**Theorem** The following function is computable: Given  $\phi$ , an  $E^*A^*$  sentence in the theory of graphs, output  $\operatorname{spec}(\phi)$ . ( $\operatorname{spec}(\phi)$  will be a finite or cofinite set; hence it will have an easy description.)

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**Theorem** The following function is computable: Given  $\phi$ , an  $E^*A^*$  sentence in the theory of graphs, output  $\operatorname{spec}(\phi)$ . ( $\operatorname{spec}(\phi)$  will be a finite or cofinite set; hence it will have an easy description.) We will take  $\phi$  to be

 $(\exists x_1)\cdots(\exists x_n)(\forall y_1)\cdots(\forall y_m)[\psi(x_1,\ldots,x_n,y_1,\ldots,y_m)]$ 

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Let  $G \models \phi$  with witnesses  $v_1, \ldots, v_n$ . Let H be an induced subgraph of G that contains  $v_1, \ldots, v_n$ . Then  $H \models \phi$ .

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**Proof of Claim 1** Let G = (V, E) and H = (V', E') where  $V' \subseteq V$ . Since  $G \models \phi$ 

$$G \models (\forall y_1 \in V) \cdots (\forall y_m \in V) [\psi(v_1, \ldots, v_n, y_1, \ldots, y_m)]$$

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**Proof of Claim 1** Let G = (V, E) and H = (V', E') where  $V' \subseteq V$ . Since  $G \models \phi$ 

$$G \models (\forall y_1 \in V) \cdots (\forall y_m \in V) [\psi(v_1, \ldots, v_n, y_1, \ldots, y_m)]$$

*H* is just *G* with less vertices, and the vertices that remain have the same edges. And  $v_1, \ldots, v_n$  are in *H*. Hence we DO have

Let  $G \models \phi$  with witnesses  $v_1, \ldots, v_n$ . Let H be an induced subgraph of G that contains  $v_1, \ldots, v_n$ . Then  $H \models \phi$ .

**Proof of Claim 1** Let G = (V, E) and H = (V', E') where  $V' \subseteq V$ . Since  $G \models \phi$ 

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# Claim 2, The Main Claim

If 
$$(\exists N \ge n + 2^n R(m))[N \in \operatorname{spec}(\phi)]$$
 then

$${n+m,\ldots,n+2^nR(m),\ldots} \subseteq \operatorname{spec}(\phi).$$

#### Proof of Claim 2

Since  $N \in \operatorname{spec}(\phi)$  there exists G = (V, E), a graph on N vertices such that  $G \models \phi$ . Let  $v_1, \ldots, v_n$  be such that:

$$(\forall y_1)\cdots(\forall y_m)[\psi(v_1,\ldots,v_n,y_1,\ldots,y_m)].$$

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(Proof continued on next slide)

## **Proof of Claim 2 Continued**

 $(\forall y_1)\cdots(\forall y_m)[\psi(v_1,\ldots,v_n,y_1,\ldots,y_m)].$ 

Let  $X = \{v_1, \ldots, v_n\}$  and U = V - X. Note that  $|U| \ge 2^n R(m)$ . We define a  $2^n$ -Coloring of U.  $u \in U$  maps to  $(b_1, \ldots, b_n)$ :

$$b_i = \begin{cases} 0 \text{ if } (u, v_i) \notin E \\ 1 \text{ if } (u, v_i) \in E \end{cases}$$
(1)

Hence every  $u \in U$  is mapped to a description of how it relates to every element in X. Since  $|U| \ge 2^n R(m)$  there exists R(m)vertices that map to the same vector. Apply Ramsey's Theorem to these R(m) vertices to obtain homog set  $u_1, \ldots, u_m$ . (Proof continued on next slide)

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## **Proof of Claim 2 Continued**

- Either the u<sub>i</sub>'s form a clique or the u<sub>i</sub>'s form an ind. set. We will assume the u<sub>i</sub>'s form a clique (the other case is similar).
- ► All of the u<sub>i</sub>'s map to the same vector. Hence they all look the same to v<sub>1</sub>,..., v<sub>n</sub>.

**Example** All  $u_i$  have edge to  $\{v_1, v_3, v_{17}\}$  but no other  $v_j$ .

Let  $H_0$  be G restricted to  $X \cup \{u_1, \ldots, u_m\}$ . By Claim 1  $H_0 \models \phi$ . For every  $p \ge 1$  we form a graph  $H_p = (V_p, E_p)$  on n + m + p vertices such that  $H_p \models \phi$ : Informally add m + p vertices that are just like the  $u_i$ 's. Formally Next Slide.

# Proof of Claim 2 Continued, Formal $H_p = (V_p, E_p)$

► 
$$V_p = X \cup \{u_1, \dots, u_m, u_{m+1}, \dots, u_{m+p}\}$$
 where  $u_{m+1}, \dots, u_{m+p}$  are new vertices.

- $\blacktriangleright$   $E_p$  is the union of the following edges.
  - The edges in  $H_0$ ,
  - Make  $\{u_1, \ldots, u_{m+p}\}$  form a clique.
  - Let (b<sub>1</sub>,..., b<sub>n</sub>) be the vector that all of the elements of {u<sub>1</sub>,..., u<sub>m</sub>} mapped to. For m + 1 ≤ j ≤ m + p, for 1 ≤ i ≤ m such that b<sub>i</sub> = 1, put an edge between u<sub>j</sub> and v<sub>i</sub>. Example All of the u<sub>j</sub>'s have a edge to {v<sub>1</sub>, v<sub>3</sub>, v<sub>17</sub>} but nothing else.

X sees all of the  $u_1, \ldots, u_{m+p}$  as the same. Hence any subset of the  $\{u_1, \ldots, u_{m+p}\}$  of size *m* looks the same to X and to the other  $u_i$ 's. Hence  $H_p \models \phi$ , so  $n + m + p \in \operatorname{spec}(\phi)$ . End of Proof of Claim 2

$$\begin{split} \phi &= (\exists x_1) \cdots (\exists x_n) (\forall y_1) \cdots (\forall y_m) [\psi(x_1, \dots, x_n, y_1, \dots, y_m)]. \\ N_0 &= n + 2^n R(m). \\ N_0 &\notin \operatorname{spec}(\phi) \implies \operatorname{spec}(\phi) \subseteq \{0, \dots, N_0 - 1\}. \\ \text{Proof of Claim 3} \\ \text{By Claim 2} \\ \{N_0, \dots\} \cap \operatorname{spec}(\phi) \neq \emptyset \implies \{n + m, \dots, N_0, \dots\} \subseteq \operatorname{spec}(\phi). \\ \text{We take the contrapositive with a weaker premise.} \end{split}$$

$$N_0 \notin \operatorname{spec}(\phi) \implies \{N_0, \ldots\} \cap \operatorname{spec}(\phi) = \emptyset$$

$$\implies \operatorname{spec}(\phi) \subseteq \{0, \ldots, N_0 - 1\}.$$

End of Proof of Claim 3

# **Recap Both Claims**

We put a subcase of Claim 2, and Claim 3, next to each other to recap what we know. Let  $N_0 = n + 2^n R(m)$ .

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**Claim 2**  
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#### Claim 3

If  $N_0 \notin \operatorname{spec}(\phi)$  then  $\operatorname{spec}(\phi) \subseteq \{0, \ldots, N_0 - 1\}$ .

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# Algorithm for Finding spec( $\phi$ )

1. Input

$$\phi = (\exists x_1) \cdots (\exists x_n) (\forall y_1) \cdots (\forall y_m) [\psi(x_1, \ldots, x_n, y_1, \ldots, y_m)].$$

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2. Let  $N_0 = n + 2^n R(m)$ . Determine if  $N_0 \in \operatorname{spec}(\phi)$ .

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2.1 If YES then by Claim 2  $\{n + m, \ldots\} \subseteq \operatorname{spec}(\phi)$ . For  $0 \le i \le n + m - 1$  test if  $i \in \operatorname{spec}(\phi)$ . You now know  $\operatorname{spec}(\phi)$  which is co-finite. Output it.

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- 2.1 If YES then by Claim 2  $\{n + m, ...\} \subseteq \operatorname{spec}(\phi)$ . For  $0 \le i \le n + m - 1$  test if  $i \in \operatorname{spec}(\phi)$ . You now know  $\operatorname{spec}(\phi)$  which is co-finite. Output it.
- 2.2 If NO then, by Claim 3 spec $(\phi) \subseteq \{0, \ldots, N_0 1\}$ . For  $0 \le i \le N_0 - 1$  test if  $i \in \text{spec}(\phi)$ . You now know spec $(\phi)$  which is finite set. Output it.

#### End of Proof of Main Theorem

# Other Sentences. Part I

What other Sentences could we look at?

 $E^*A^*$  sentences with more complicated objects than graphs.

- 1. Colored Graphs *c* kinds of edges.
- 2. *a*-ary Hypergraphs *a*-ary Hyperedges.
- 3. Colored *a*-ary Hypergraphs *c* kinds of *a*-ary Hyperedges.
- 4.  $\leq$  *a*-ary Hypergraphs all arities  $\leq$  *a* allowed.
- 5. Colored  $\leq$  *a*-ary Hypergraphs  $c_i$  colors for the *i*-arity sets.

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Discuss for which of these is  $\operatorname{spec}$  decidable.

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Is spec for colored  $\leq$  *a*-hypergraphs decidable? Vote. YES-and will be on HW, YES-but hard, NO, Unknown to Science.

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Is  ${\rm spec}$  for colored  $\leq$  *a*-hypergraphs decidable? Vote. YES-and will be on HW, YES-but hard, NO, Unknown to Science.

YES-and will be on HW or Final.

**Key ingredient** already was on the midterm: Ramsey theory on  $\leq a$ -hypergraphs.

# Other Sentences. Part II

 $(E^*A^*)^*$ -sentences, only predicate E(x, y). Nick sentences.

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## Other Sentences. Part II

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Is spec for Nick Sentences decidable? **Vote** YES, NO, Unknown to Science.

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Is spec for Nick Sentences decidable? Vote YES, NO, Unknown to Science. YES Known If  $\phi$  is a Nick sentence then spec( $\phi$ ) is a union of AP's (called a semi-linear ser) OR the complement of such (proof is hard). So for example

 $\{4,7,10,\ldots\}\cup\{11,22,33,\ldots\}$  is a Semi-linear Set

**Known** If A is semi-linear then there exists  $\phi$  with spec( $\phi$ ) = A. Will be on HW or final.

 $(E^*A^*)^*$ -sentences, predicates of arity  $\leq a$ -ary. McKenzie sentences. Is spec for McKenzie Sentences decidable? Vote. YES, NO, Unknown to Science.

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Is spec for McKenzie Sentences decidable? Vote.

YES, NO, Unknown to Science.YES.

**Known** If  $\phi$  is a Mackenzie sentence then spec $(\phi) \in EXPTIME$ .

 $(E^*A^*)^*$ -sentences, predicates of arity  $\leq a$ -ary. McKenzie sentences.

Is spec for McKenzie Sentences decidable? Vote.

YES, NO, Unknown to Science.YES.

Known If  $\phi$  is a Mackenzie sentence then  $\operatorname{spec}(\phi) \in EXPTIME$ . Also Known If  $A \in EXPTIME$  then there exists Mackenzie  $\phi$  such that  $\operatorname{spec}(\phi) = A$ .

# App, "App", or ""App""

**App** This was **not** a problem people came up with to find an app of Ramsey's Theorem. Ramsey was working on this problem in logic and proved Ramsey's Theorem to help him solve it. So the question in Logic is legit.

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**""App"** This would be unfair. I reserve the 4-quotes if either NOBODY cares or ONLY I care. (When I prove primes are infinite FROM van Der Waerden's Theorem, feel free to use 4 quotes. I am not kidding.)

Vote App OR "App" OR ""App""