# Regular Graph Properties by John Brownfield William Gasarch

### 1 Introduction

Consider the following question:

Is the set of Hamiltonian graphs regular?

To pose this question properly we need to specify (a) which strings represent graphs, (b) what do to if a string that does not represent a graph is input.

We represent graphs with an adjacency matrix except that all elements of the lower triangle (below the diagonaly) are X. We still refer to this object as an *adjancey matrix* even though it is not standard. We now proceed formally.

**Def 1.1** All strings are over the alphabet  $\{0, 1, X, \$\}$ . Let x be a string of the form  $x_1 x_2 \cdots x_n$  where the following happen:

- 1. The *i*th element of the  $x_j$  is denoted  $x_{ij}$ .
- 2. For  $1 \le i < j \le n$ ,  $x_{ij} \in \{0, 1\}$ .
- 3. For all  $1 \le i \le n$ ,  $x_{ii} = 0$  (no self loops).
- 4. For all  $1 \le i < j \le n, x_{ji} = X$ .

Any string of the form above is interpreted as the adjacency matrix of a graph.

We identify a graph G with the string that is its adjacency matrix, as above. Hence we will say things like  $Run\ DFA\ M\ on\ G$ .

**Example 1.2** The graph  $K_4$  is the string

#### \$0111\$1011\$1101\$1110\$

We will never express a graph that way. We will instead write down the matrix. In this case

$$\begin{pmatrix} 0 & 1 & 1 & 1 \\ X & X & 1 & 1 \\ X & X & X & 1 \\ X & X & X & 0 \end{pmatrix}$$

**Def 1.3** Let  $\mathcal{G}$  be a set of graphs.

- 1.  $\mathcal{G}$  is a graph property if  $\mathcal{G}$  satisfies the following: for all pairs of graphs,  $(G_1, G_2)$ , if  $G_1$  and  $G_2$  are isomorphic then either  $G_1, G_2 \in \mathcal{G}$  or  $G_1, G_2 \notin \mathcal{G}$ .
- 2. A graph property  $\mathcal{G}$  is regular if there exists a DFA M such that the following hold:
  - (a) If  $G \in \mathcal{G}$  then M(G) accepts.
  - (b) If  $G \notin \mathcal{G}$  then M(G) rejects.
  - (c) If w is a string that does not represent an adjacency matrix then we have no condition on what M(w) is.

# 2 Graph Properties that are Regular

#### Def 2.1

- 1. If  $w \in \{0,1\}^*$  then  $\#_1(w)$  is the number of 1's in w.
- 2. Let  $A \subseteq \mathbb{N}$ . A is regular if the following set is regular:

$$\{w \in \{0,1\}^* : \#_1(w) \in A\}$$

is regular.

**Theorem 2.2** Let  $A \subseteq \mathbb{N}$  be regular.

1. The following graph property is regular:

$$G = \{G = (V, E) : (\forall v \in V)[|\deg(v)| \in A]\}.$$

2. The following graph property is regular:

$$G = \{G = (V, E) : |E| \in A\}.$$

#### **Proof:**

1) Let  $W = \{w \in \{0,1\}^* : \#_1(w) \in A\}$ . W is regular by the definition of  $A \subseteq \mathbb{N}$  being regular. Let  $\alpha$  be the regular expression such that  $L(W) = \alpha$ .

A graph G is in  $\mathcal G$  iff every row of its adjacency matrix is in L. Consider the regular expression

$$\beta = \$(\alpha\$)^*$$

It is easy to see that

 $G \in \mathcal{G}$  implies  $G \in L(\beta)$ .

 $G \notin \mathcal{G}$  implies  $G \notin L(\beta)$ .

2) We leave this to the reader.

**Corollary 2.3** Let  $d, m \in \mathbb{Z}$ . The following graph properties are regular.

- 1. The set of graphs where  $(\forall v)[\deg(v) \geq d]$ .
- 2. The set of graphs where  $(\forall v)[\deg(v) = d]$ .
- 3. The set of graphs where  $(\forall v)[\deg(v) \leq d]$ .
- 4. Let  $A \subseteq \{0, 1, \dots, d-1\}$ . The set of graphs where

$$\{\deg(v)\pmod{m}:v\in V\}\subseteq A\}.$$

- 5. The set of Eulerian graphs. (This is the  $m=2, A=\{0\}$  case.)
- 6. The set of graphs G = (V, E) such that  $|E| \equiv d \pmod{m}$ .

**Open Problem 2.4** Aside from Eulerian graphs are there any other *interesting* graph properties that are regular.

## 3 Partial Graphs

We will need to deal with graphs that are not completely specified. Here is an example of the adjacency matrix of such a (what we will call) partial graph.

We note the following:

- $V = \{1, \dots, 10\}$
- $E = \{(1,2), (1,5), (1,7), (1,9), (2,5), (2,10), (3,4), (3,6), (3,9), (4,10)\}.$
- For all vertices v, (v, v) is not an edge.
- Aside from the prohbition on self-loops, the status of the potential edges in  $\{5, 6, 7, 8, 9\} \times \{5, 6, 7, 8, 9\}$  is not specified.
- The numbers  $\{1, \ldots, 10\}$  on the top and on the sides are not part of the matrix. They are there so the reader can see which rows and column correpond to which vertices.
- We have divided the vertices into two sets  $\{1, 2, 3, 4\}$  and  $\{5, \ldots, 10\}$ . This is not part of the defintion of a partial graph; however, we will do this in our proofs.

We now define partial graphs formally.

**Def 3.1** A partial graph is an  $n \times n$  matrix (entry (i, j) is denoted  $x_{ij}$ ) such that

- 1. For all  $1 \le i \le n$ ,  $x_{ii} = 0$ .
- 2. For all  $1 \le i < j \le n$ ,  $x_{ij} = x_{ji} \in \{0, 1, ?\}$
- 3. For all  $1 \le i < j, x_{ji} = X$ .

# 4 $CLIQ_k$ , $COL_k$ , PLANAR, Are Not Regular

**Def 4.1** Let  $k \ge 1$ .

- 1.  $\mathrm{CLIQ}_k$  is the set of graphs that have a k-clique.
- 2.  $COL_k$  is the set of graphs that are k-colorable.
- 3. PLANAR is the set of graphs that are planar.

There are trivial cases of these problems that are regular. We leave the proof of the following to the reader.

#### Theorem 4.2

- 1.  $\mathrm{CLIQ}_1$  is regular. This is the set of all graphs except the empty graph.
- 2.  $\operatorname{CLIQ}_2$  is regular. This is the set of all graphs that have an edge.
- 3.  $COL_1$  is regular. This is the set of all graphs that have no edges.

We will show the following:

- 1. For  $k \geq 3$ ,  $CLIQ_k$  is not regular.
- 2. For  $k \geq 2$ ,  $COL_k$  is not regular.
- 3. PLANAR is not regular.

The proofs are essentially the same.

# 4.1 A Thought Experiment for $CLIQ_5$ and $COL_4$

Consider two graphs on 10 vertices.

 $G_1$ :  $E_1 = \{1\} \times \{5, 6, 7, 8\}$ .

 $G_2$ :  $E_2 = \{2\} \times \{9, 10, 11, 12\}$ .

We represent the matrices for these graphs but also put in for clarity the vertices each row and column represent.

Here is the matrix for  $G_1$ :

Here is the matrix for  $G_2$ :

|                |   | 1  | 2  | 3  | 4  |   | 5  | 6  | 7  | 8  | 9  | 10 | 11 | 12  |
|----------------|---|----|----|----|----|---|----|----|----|----|----|----|----|-----|
|                |   | _  | _  | _  |    |   | _  | _  | _  | _  | _  | _  | _  | - 1 |
| 1              |   | 0  | 0  | 0  | 0  |   | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0   |
| 2              | İ | \$ | 0  | 0  | 0  | ĺ | 1  | 0  | 0  | 0  | 1  | 1  | 1  | 1   |
| 3              |   | \$ | \$ | 0  | 0  |   | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0   |
| 4              | İ | \$ | \$ | \$ | 0  | ĺ | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0   |
|                |   | _  | _  | _  | _  |   | _  | _  | _  | _  | _  | _  | _  | -   |
| 5              | ĺ | \$ | \$ | \$ | \$ | ĺ | 0  | ?  | ?  | ?  | ?  | ?  | ?  | ?   |
| 6              |   | \$ | \$ | \$ | \$ |   | \$ | 0  | ?  | ?  | ?  | ?  | ?  | ?   |
| 7              | İ | \$ | \$ | \$ | \$ | ĺ | \$ | \$ | 0  | ?  | ?  | ?  | ?  | ?   |
| 8              |   | \$ | \$ | \$ | \$ |   | \$ | \$ | \$ | 0  | ?  | ?  | ?  | ?   |
| 9              | İ | \$ | \$ | \$ | \$ | ĺ | \$ | \$ | \$ | \$ | 0  | ?  | ?  | ?   |
| 10             | İ | \$ | \$ | \$ | \$ | ĺ | \$ | \$ | \$ | \$ | \$ | 0  | ?  | ?   |
| 11             | ĺ | \$ | \$ | \$ | \$ | ĺ | \$ | \$ | \$ | \$ | \$ | \$ | 0  | ?   |
| $\setminus 12$ |   | \$ | \$ | \$ | \$ |   | \$ | \$ | \$ | \$ | \$ | \$ | \$ | 0 / |

Imagine that  $\operatorname{CLIQ}_5$  or  $\operatorname{COL}_4$  or  $\operatorname{PLANAR}$  are regular via DFA M. Imagine that you input the first 4 rows of G into M and get state q. Imagine that you input the first 4 rows of H into M and you also get state q. This can be used to get a contradiction.

We define a graph H:

H has vertices  $\{5, 6, 7, 8, 9, 10, 11, 12\}$  and edges between all vertices of

$$\{5, 6, 7, 8\}.$$

- Feed  $G_1 \cup H$  into M. This computation will first read  $G_1$ , and get to state q, then read H, and get to state r.  $G_1 \cup H$  is isomorphic to the union of some isolated vertices and  $K_5$ . Hence  $G_1 \cup H \in \mathrm{CLIQ}_5$ . Therefore r is an accepting state. Note that  $G_1 \cup H \notin \mathrm{COL}_4$  and  $G_1 \cup H \notin \mathrm{PLANAR}$ .
- Feed  $G_2 \cup H$  into M. This computation will first read  $G_2$ , and get to state q, then read H, and get to state r.  $G_2 \cup H$  is isomorphic to the union of some isolated vertices and  $K_{1,4} \cup K_4$ . Hence  $G_2 \cup H \notin \mathrm{CLIQ}_5$ . Therefore r is a rejecting state. Note that  $G_2 \cup H \in \mathrm{COL}_4$  and  $G_2 \cup H \in \mathrm{PLANAR}$ .

Since r cannot be both accepting and rejecting, we have our contradiction. We will generalize this example in the next section.

# 5 In Non-Trivial Cases $CLIQ_k$ and $COL_k$ Are Not Regular

Theorem 5.1 Let  $k \geq 3$ .

- 1.  $CLIQ_k$  is not Regular.
- 2.  $COL_{k-1}$  is not regular.
- 3. PLANAR is not regular.

#### **Proof:**

We will prove  $CLIQ_k$  is not regular and (1) during the proof make comments relevant to  $COL_{k-1}$  and PLANAR, and (2) after the proof that  $CLIQ_k$  is not regular we will make observations that show  $COL_{k-1}$  and PLANAR are not regular.

Assume, by way of contradiction, that  $CLIQ_k$  is regular. Let M be the DFA that accepts  $CLIQ_k$ . Assume M has n states.

Consider the following n+1 partial graphs on n+(k-1)(n+1)+1 vertices

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\begin{array}{lll} G_1 \colon & E_1 = \{1\} \times \{n+2, \dots, n+k\}. \\ G_2 \colon & E_2 = \{2\} \times \{n+k+1, \dots, n+2k-1\}. \\ G_3 \colon & E_3 = \{3\} \times \{n+2k, \dots, n+3k-2\}. \\ & \vdots & \vdots & \vdots & \vdots & \vdots \\ G_i \colon & E_i = \{i\} \times \{n+(k-1)i-k+3, \dots, n+(k-1)i+1\}. \\ & \vdots & \vdots & \vdots & \vdots & \vdots \\ G_n \colon & E_n = \{n\} \times \{n+(k-1)n-k+3, \dots, n+(k-1)n+1\}. \\ G_{n+1} \colon E_{n+1} = \{n+1\} \times \{n+(k-1)(n+1)-k+3, \dots, n+(k-1)(n+1)+1\}. \end{array}
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Note that we do not specify the status of the edges between the vertices of

$${n+2,\ldots,n+(k-1)(n+1)+1}.$$

Each of these partial graphs can be represented by the first n+1 rows of a matrix. Feed each of these n+1 graphs into M. Since there are n+1 of them, there must be  $G_i$  and  $G_j$  that end up in the same state q of M.

We define a graph H:

H is the complete graph on the vertices

$${n+(k-1)i-k+3,\ldots,n+(k-1)i+1}.$$

- Feed  $G_i \cup H$  into M. This computation will first read  $G_i$ , and get to state q, then read H, and get to state r.  $G_i \cup H$  is isomorphic to the union of a set of isolated points and  $K_k$ . Hence  $G_i \cup H \in \mathrm{CLIQ}_k$ . Therefore r is an accepting state. Note that, since  $k \geq 3$ ,  $G_i \cup H \notin \mathrm{COL}_{k-1}$ . Note that if k = 5 then  $G_i \cup H \notin \mathrm{PLANAR}$ .
- Feed  $G_j \cup H$  into M. This computation will first read  $G_j$ , and get to state q, then read H, and get to state r.  $G_j \cup H$  is isomorphic to a set the union of a set of isolated points and  $K_{1,k-1} \cup K_{k-1}$ . Hence, since  $k \geq 3$ ,  $G_j \cup H \notin \mathrm{CLIQ}_k$ . Therefore r is a rejecting state. Note that, since  $k \geq 3$ ,  $G_j \cup H \in \mathrm{COL}_{k-1}$ . Note that if k = 5 then  $G_j \cup H \in \mathrm{PLANAR}$ .

Since r cannot be both accepting and rejecting, we have our contradiction.

Since  $G_i \cup H \notin COL_{k-1}$  and  $\mathcal{G}_j \cup H \in COL_{k-1}$ , the proof shows that  $COL_{k-1}$  is not regular.

Since in the k = 5 case  $G_i \cup H \notin PLANAR$  and  $\mathcal{G}_j \cup H \in PLANAR$ , the proof shows that PLANAR is not regular.

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