

**Roth's Theorem: If  $A \subseteq [n]$  is large then it has a 3-AP**  
**Szemerédi's Proof**  
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## 1 Roth's Theorem

**Notation 1.1** Let  $[n] = \{1, \dots, n\}$ . If  $k \in \mathbf{N}$  then  $k$ -AP means an arithmetic progression of size  $k$ .

Consider the following statement:

If  $A \subseteq [n]$  and  $|A|$  is 'big' then  $A$  must have a 3-AP.

This statement, made rigorous, is true. In particular, the following is true and easy:

Let  $n \geq 3$ . If  $A \subseteq [n]$  and  $|A| \geq 0.7n$  then  $A$  must have a 3-AP.

Can we lower the constant 0.7? We can lower it as far as we like if we allow  $n$  to start later:

Roth [2, 4, 5] proved the following using analytic means.

$(\forall \lambda > 0)(\exists n_0 \in \mathbf{N})(\forall n \geq n_0)(\forall A \subseteq [n])(|A| \geq \lambda n \Rightarrow A \text{ has a 3-AP})$ .

The analogous theorem for 4-APs was later proven by Szemerédi [2, 6] by a combinatorial proof. Szemerédi [7] later (with a much harder proof) generalized from 4 to any  $k$ .

We prove the  $k = 3$  case using the combinatorial techniques of Szemerédi. Our proof is essentially the same as in the book *Ramsey Theory* by Graham, Rothschild, and Spencer [2].

More is known. A summary of what else is known will be presented in the next section.

**Definition 1.2** Let  $sz(n)$  be the least number such that, for all  $A \subseteq [n]$ , if  $|A| \geq sz(n)$  then  $A$  has a 3-AP. Note that if  $A \subseteq [a, a + n - 1]$  and  $|A| \geq sz(n)$  then  $A$  has a 3-AP. Note also that if  $A \subseteq \{a, 2a, 3a, \dots, na\}$  and  $|A| \geq sz(n)$  then  $A$  has a 3-AP. More generally, if  $A$  is a subset of any equally spaced set of size  $n$ , and  $|A| \geq sz(n)$ , then  $A$  has a 3-AP.

We will need the following Definition and Lemma.

**Definition 1.3** Let  $k, e, d_1, \dots, d_k \in \mathbf{N}$ . The *cube on*  $(e, d_1, \dots, d_k)$ , denoted  $C(e, d_1, \dots, d_k)$ , is the set  $\{e + b_1d_1 + \dots + b_kd_k \mid b_1, \dots, b_k \in \{0, 1\}\}$ . A  $k$ -cube is a cube with  $k$   $d$ 's.

**Lemma 1.4** Let  $I$  be an interval of  $[1, n]$  of length  $L$ . If  $|B| \subseteq I$  then there is a cube  $(e, d_1, \dots, d_k)$  contained in  $B$  with  $k = \Omega(\log \log |B|)$  and  $(\forall i)[d_i \leq L]$ .

**Proof:**

The following procedure produces the desired cube.

1. Let  $B_1 = B$  and  $\beta_1 = |B_1|$ .
2. Let  $D_1$  be all  $\binom{\beta_1}{2}$  positive differences of elements of  $B_1$ . Since  $B_1 \subseteq [n]$  all of the differences are in  $[n]$ . Hence some difference must occur  $\binom{\beta_1}{2}/n \sim \beta_1^2/2n$  times. Let that difference be  $d_1$ . Note that  $d_1 \leq L$ .

3. Let  $B_2 = \{x \in B_1 : x + d_1 \in B_1\}$ . Note that  $|B_2| \geq \beta_1^2/2n$ . Let  $|B_2| = \beta_2$ . Note the trivial fact that
 
$$x \in B_1 \Rightarrow x + d_1 \in B.$$
4. Let  $D_2$  be all  $\binom{\beta_2}{2}$  positive differences of elements of  $B_2$ . Since  $B_2 \subseteq [n]$  all of the differences are in  $[n]$ . Hence some difference must occur  $\binom{\beta_2}{2}/n \sim \beta_2^2/2n$  times. Let that difference be  $d_2$ . Note that  $d_2 \leq L$ .
5. Let  $B_3 = \{x \in B_2 : x + d_2 \in B_2\}$ . Note that  $|B_3| \geq \beta_2^2/2n$ . Let  $|B_3| = \beta_3$ . Note that
 
$$x \in B_3 \Rightarrow x + d_2 \in B$$

$$x \in B_3 \Rightarrow x \in B_2 \Rightarrow x + d_1 \in B$$

$$x \in B_3 \Rightarrow x + d_2 \in B_2 \Rightarrow x + d_1 + d_2 \in B$$
6. Keep repeating this procedure until  $B_{k+2} = \emptyset$ . (We leave the details of the definition to the reader.) Note that if  $i \leq k + 1$  then
 
$$x \in B_i \Rightarrow x + b_1 d_1 + \cdots + b_{i-1} d_{i-1} \in B \text{ for any } b_1, \dots, b_{i-1} \in \{0, 1\}.$$
7. Let  $e$  be any element of  $B_{k+1}$ . Note that we have  $e + b_1 d_1 + \cdots + b_k d_k \in B$  for any  $b_1, \dots, b_k \in \{0, 1\}$ .

We leave it as an exercise to formally show that  $C(e, d_1, \dots, d_k)$  is contained in  $B$  and that  $k = \Omega(\log \log |B|)$ . ■

We now note that the above gives a good upper bound on the Hilbert Cube Numbers.

**Corollary 1.5** *For  $k, c$  let  $H(k, c)$  be the least  $H$  such that for any  $c$ -coloring of  $\{1, \dots, H\}$  there is a monochromatic  $k$ -cube. Then  $H(k, c) \leq c2^{2^{O(k)}}$ .*

**Proof:** Let  $H = c2^{2^{Ak}}$  where we define  $A$  later. Let  $COL$  be a  $c$ -coloring of  $\{1, \dots, H\}$ . Some color appears  $H/c = 2^{2^{Ak}}$  times. Let  $B$  be the set of integers with that color, so  $|B| = 2^{2^{Ak}}$ . By Lemma 1.4 there is a monochromatic cube of size  $\Omega(\log_2(\log_2(|B|))) = \Omega(Ak)$ . Pick  $A$  big enough so that this term is  $\geq k$ . ■

The next lemma states that if  $A$  is ‘big’ and 3-free then it is somewhat uniform. There cannot be sparse intervals of  $A$ . The intuition is that if  $A$  has a sparse interval then the rest of  $A$  has to be dense to make up for it, and it might have to be so dense that it has a 3-AP.

**Lemma 1.6** *Let  $n, n_0 \in \mathbb{N}; \lambda, \lambda_0 \in (0, 1)$ . Assume  $\lambda < \lambda_0$  and  $(\forall m \geq n_0)[sz(m) \leq \lambda_0 m]$ . Let  $A \subseteq [n]$  be a 3-free set such that  $|A| \geq \lambda n$ .*

1. *Let  $a, b$  be such that  $a < b$ ,  $a > n_0$ , and  $n - b > n_0$ . Then  $\lambda_0(b - a) - n(\lambda_0 - \lambda) \leq |A \cap [a, b]|$ .*
2. *Let  $a$  be such that  $n - a > n_0$ . Then  $\lambda_0 a - n(\lambda_0 - \lambda) \leq |A \cap [1, a]|$ .*

**Proof:**

1) Since  $A$  is 3-free and  $a \geq n_0$  and  $n - b \geq n_0$  we have  $|A \cap [1, a - 1]| < \lambda_0(a - 1) < \lambda_0 a$  and  $|A \cap [b + 1, n]| < \lambda_0(n - b)$ . Hence

$$\begin{aligned}\lambda n &\leq |A| = |A \cap [1, a - 1]| + |A \cap [a, b]| + |A \cap [b + 1, n]| \\ \lambda n &\leq \lambda_0 a + |A \cap [a, b]| + \lambda_0(n - b) \\ \lambda n - \lambda_0 n + \lambda_0 b - \lambda_0 a &\leq |A \cap [a, b]| \\ \lambda_0(b - a) - n(\lambda_0 - \lambda) &\leq |A \cap [a, b]|.\end{aligned}$$

2) Since  $A$  is 3-free and  $n - a > n_0$  we have  $|A \cap [a + 1, n]| \leq \lambda_0(n - a)$ . Hence

$$\begin{aligned}\lambda n &\leq |A| = |A \cap [1, a]| + |A \cap [a + 1, n]| \\ \lambda n &\leq |A \cap [1, a]| + \lambda_0(n - a) \\ \lambda n - \lambda_0 n + \lambda_0 a &\leq |A \cap [1, a]| \\ \lambda_0 a - (\lambda_0 - \lambda)n &\leq |A \cap [1, a]|.\end{aligned}$$

■

**Lemma 1.7** *Let  $n, n_0 \in \mathbb{N}$  and  $\lambda, \lambda_0 \in (0, 1)$ . Assume that  $\lambda < \lambda_0$  and that  $(\forall m \geq n_0)[sz(m) \leq \lambda_0 m]$ . Assume that  $\frac{n}{2} \geq n_0$ . Let  $a, L \in \mathbb{N}$  such that  $a \leq \frac{n}{2}$ ,  $L < \frac{n}{2} - a$ , and  $a \geq n_0$ . Let  $A \subseteq [n]$  be a 3-free set such that  $|A| \geq \lambda n$ .*

1. *There is an interval  $I \subseteq [a, \frac{n}{2}]$  of length  $\leq L$  such that*

$$|A \cap I| \geq \left\lfloor \frac{2L}{n - 2a} (\lambda_0(\frac{n}{2} - a) - n(\lambda_0 - \lambda)) \right\rfloor.$$

2. *Let  $\alpha$  be such that  $0 < \alpha < \frac{1}{2}$ . If  $a = \alpha n$  and  $\sqrt{n} \ll \frac{n}{2} - \alpha n$  then there is an interval  $I \subseteq [a, \frac{n}{2}]$  of length  $\leq O(\sqrt{n})$  such that*

$$|A \cap I| \geq \left\lfloor \frac{2\sqrt{n}}{(1 - 2\alpha)} (\lambda_0(\frac{1}{2} - (\lambda_0 - \lambda) - \alpha)) \right\rfloor = \Omega(\sqrt{n}).$$

**Proof:** By Lemma 1.6 with  $b = \frac{n}{2}$ ,  $|A \cap [a, \frac{n}{2}]| \geq \lambda_0(\frac{n}{2} - a) - n(\lambda_0 - \lambda)$ . Divide  $[a, \frac{n}{2}]$  into  $\lceil \frac{n - 2a}{2L} \rceil$  intervals of size  $\leq L$ . There must exist an interval  $I$  such that

$$|A \cap I| \geq \left\lfloor \frac{2L}{n - 2a} (\lambda_0(\frac{n}{2} - a) - n(\lambda_0 - \lambda)) \right\rfloor.$$

If  $L = \lceil \sqrt{n} \rceil$  and  $a = \alpha n$  then

$$\begin{aligned}|A \cap I| &\geq \left\lfloor \frac{2L}{n - 2a} (\lambda_0(\frac{n}{2} - a) - n(\lambda_0 - \lambda)) \right\rfloor \\ &\geq \left\lfloor \frac{2\sqrt{n}}{n(1 - 2\alpha)} (\lambda_0(\frac{n}{2} - \alpha n) - n(\lambda_0 - \lambda)) \right\rfloor \\ &\geq \left\lfloor \frac{2\sqrt{n}}{(1 - 2\alpha)} (\lambda_0(\frac{1}{2} - \alpha) - (\lambda_0 - \lambda)) \right\rfloor = \Omega(\sqrt{n}).\end{aligned}$$

■

**Theorem 1.8** For all  $\lambda$ ,  $0 < \lambda < 1$ , there exists  $n_0 \in \mathbf{N}$  such that for all  $n \geq n_0$ ,  $sz(n) \leq \lambda n$ .

**Proof:**

Let  $S(\lambda)$  be the statement

there exists  $n_0$  such that, for all  $n \geq n_0$ ,  $sz(n) \leq \lambda n$ .

It is a trivial exercise to show that  $S(0.7)$  is true.

Let

$$C = \{\lambda \mid S(\lambda)\}.$$

$C$  is closed upwards. Since  $0.7 \in C$  we know  $C \neq \emptyset$ . Assume, by way of contradiction, that  $C \neq (0, 1)$ . Then there exists  $\lambda < \lambda_0$  such that  $\lambda \notin C$  and  $\lambda_0 \in C$ . We can take  $\lambda_0 - \lambda$  to be as small as we like. Let  $n_0$  be such that  $S(\lambda_0)$  is true via  $n_0$ . Let  $n \geq n_0$  and let  $A \subseteq [n]$  such that  $|A| \geq \lambda n$  but  $A$  is 3-free. At the end we will fix values for the parameters that (a) allow the proof to go through, and (b) imply  $|A| < \lambda n$ , a contradiction.

**PLAN :** We will obtain a  $T \subseteq \overline{A}$  that will help us. We will soon see what properties  $T$  needs to help us. Consider the bit string in  $\{0, 1\}^n$  that represents  $T \subseteq [n]$ . Say its first 30 bits looks like this:

$$T(0)T(1)T(2)T(3) \cdots T(29) = 000111111100001110010111100000$$

The set  $A$  lives in the blocks of 0's of  $T$  (henceforth 0-blocks). We will bound  $|A|$  by looking at  $A$  on the 'small' and on the 'large' 0-blocks of  $T$ . Assume there are  $t$  1-blocks. Then there are  $t + 1$  0-blocks. We call a 0-block *small* if it has  $< n_0$  elements, and *big* otherwise. Assume there are  $t^{\text{small}}$  small 0-blocks and  $t^{\text{big}}$  big 0-blocks. Note that  $t^{\text{small}} + t^{\text{big}} = t + 1$  so  $t^{\text{small}}, t^{\text{big}} \leq t + 1$ . Let the small 0-blocks be  $B_1^{\text{small}}, \dots, B_{t^{\text{small}}}^{\text{small}}$ , let their union be  $B^{\text{small}}$ , let the big 0-blocks be  $B_1^{\text{big}}, \dots, B_{t^{\text{big}}}^{\text{big}}$ , and let their union be  $B^{\text{big}}$ . It is easy to see that

$$|A \cap B^{\text{small}}| \leq t^{\text{small}} n_0 \leq (t + 1) n_0.$$

Since each  $B_i^{\text{big}}$  is bigger than  $n_0$  we must have, for all  $i$ ,  $|A \cap B_i^{\text{big}}| < \lambda_0 |B_i^{\text{big}}|$  (else  $A \cap B_i^{\text{big}}$  has a 3-AP and hence  $A$  does). It is easy to see that

$$|A \cap B^{\text{big}}| = \sum_{i=1}^{t^{\text{big}}} |A \cap B_i^{\text{big}}| \leq \sum_{i=1}^{t^{\text{big}}} \lambda_0 |B_i^{\text{big}}| \leq \lambda_0 \sum_{i=1}^{t^{\text{big}}} |B_i^{\text{big}}| \leq \lambda_0 (n - |T|).$$

Since  $A$  can only live in the (big and small) 0-blocks of  $T$  we have

$$|A| = |A \cap B^{\text{small}}| + |A \cap B^{\text{big}}| \leq (t + 1) n_0 + \lambda_0 (n - |T|).$$

In order to use this inequality to bound  $|A|$  we will need  $T$  to be big and  $t$  to be small, so we want  $T$  to be a big set that has few blocks.

If only it was that simple. Actually we can now reveal the

**REAL PLAN:** The real plan is similar to the easy version given above. We obtain a set  $T \subseteq \overline{A}$  and a parameter  $d$ . A 1-block is a maximal AP with difference  $d$  that is contained in  $T$  (that is, if  $FIRST$  and  $LAST$  are the first and last elements of the 1-block then  $FIRST - d \notin T$  and

$LAST + d \notin T$ ). A  $0$ -block is a maximal AP with difference  $d$  that is contained in  $\overline{T}$ . Partition  $T$  into 1-blocks. Assume there are  $t$  of them.

Let  $[n]$  be partitioned into  $N^0 \cup \dots \cup N^{d-1}$  where  $N_j = \{x \mid x \leq n \wedge x \equiv j \pmod{d}\}$ .

Fix  $j$ ,  $0 \leq j \leq d-1$ . Consider the bit string in  $\{0,1\}^{\lfloor n/d \rfloor}$  that represents  $T \cap N_j$ . Say the first 30 bits of  $T \cap N_j$  look like

$$T(j)T(d+j)T(2d+j)T(3d+j) \cdots T(29d+j) = 00011111110000111001011111100$$

During PLAN we had an intuitive notion of what a 0-block or 1-block was. Note that if we restrict to  $N_j$  then that intuitive notion is still valid. For example the first block of 1's in the above example represents  $T(3d+j)$ ,  $T(4d+j)$ ,  $T(5d+j)$ ,  $\dots$ ,  $T(9d+j)$  which is a 1-block as defined formally.

Each 1-block is contained in a particular  $N_j$ . Let  $t_j$  be the number of 1-blocks that are contained in  $N_j$ . Note that  $\sum_{j=0}^{d-1} t_j = t$ . The number of 0-blocks that are in  $N_j$  is at most  $t_j + 1$ .

Let  $j$  be such that  $0 \leq j \leq d-1$ . By reasoning similar to that in the above PLAN we obtain

$$|A \cap N_j| \leq (t_j + 1)n_0 + \lambda_0(N_j - |T|).$$

We sum both sides over all  $j = 0$  to  $d-1$  to obtain

$$|A| \leq (t + d)n_0 + \lambda_0(n - |T|)$$

In order to use this inequality to bound  $|A|$  we need  $T$  to be big and  $t, d$  to be small. Hence we want a big set  $T$  which when looked at mod  $d$ , for some small  $d$ , decomposes into a small number of blocks.

What is a 1-block within  $N_j$ ? For example, lets look at  $d = 3$  and the bits sequence for  $T$  is

$$\begin{array}{cccccccccccccccccccc} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 & 17; \\ 0 & 0 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0. \end{array}$$

Note that  $T$  looked at on  $N_2 \cup T$  has bit sequence

$$\begin{array}{cccccc} 2 & 5 & 8 & 11 & 14 & 17; \\ 0 & 1 & 1 & 1 & 1 & 0. \end{array}$$

The numbers 5, 8, 11, 14 are all in  $T$  and form a 1-block in the  $N_2$  part. Note that they also form an arithmetic progression with spacing  $d = 3$ . Also note that this is a maximal arithmetic progression with spacing  $d = 3$  since  $0 \notin T$  and  $17 \notin T$ . More generally *1-blocks of  $T$  within  $N_j$  are maximal arithmetic progressions with spacing  $d$* . With that in mind we can restate the kind of set  $T$  that we want.

We want a set  $T \subseteq \overline{A}$  and a parameter  $d$  such that

1.  $T$  is big (so that  $\lambda_0(n - |T|)$  is small),
2.  $d$  is small (see next item), and
3. the number of maximal arithmetic progressions of length  $d$  within  $T$ , which is the parameter  $t$  above, is small (so that  $(t + d)n_0$  is small).

How do we obtain a big subset of  $\overline{A}$ ? We will obtain many pairs  $x, y \in A$  such that  $2y - x \leq n$ . Note that since  $x, y, 2y - x$  is a 3-AP and  $x, y \in A$  we must have  $2y - x \in \overline{A}$ .

Let  $\alpha$ ,  $0 < \alpha < \frac{1}{2}$ , be a parameter to be determined later. (For those keeping track, the parameters to be determined later are now  $\lambda_0$ ,  $\lambda$ ,  $n$ , and  $\alpha$ . The parameter  $n_0$  depends on  $\lambda_0$  so is not included in this list.)

We want to apply Lemma 1.7.2.b to  $n, n_0, a = \alpha n$ . Hence we need the following conditions.

$$\begin{aligned} \alpha n &\geq n_0 \\ \frac{n}{2} &\geq n_0 \\ \frac{n}{2} - \alpha n &\geq \sqrt{n} \end{aligned}$$

Assuming these conditions hold, we proceed. By Lemma 1.7.b there is an interval  $I \subseteq [\alpha n, \frac{n}{2}]$  of length  $O(\sqrt{n})$  such that

$$|A \cap I| \geq \left\lfloor \frac{2\sqrt{n}}{(1-2\alpha)} (\lambda_0(\frac{1}{2} - \alpha) - (\lambda_0 - \lambda)) \right\rfloor = \Omega(\sqrt{n}).$$

By Lemma 1.4 there is a cube  $C(e, d_1, \dots, d_k)$  contained in  $|A \cap I|$  with  $k = \Omega(\log \log |A \cap I|) = \Omega(\log \log \sqrt{n}) = \Omega(\log \log n)$  and  $d \geq \sqrt{n}$ .

For  $i$  such that  $1 \leq i \leq k$  we define the following.

1. Define  $C_0 = \{e\}$  and, for  $1 \leq i \leq k$ , define  $C_i = C(e, d_1, \dots, d_i)$ .
2.  $T_i$  is the third terms of AP's with the first term in  $A \cap [1, e - 1]$  and the second term in  $C_i$ . Formally  $T_i = \{2m - x \mid x \in A \cap [1, e - 1] \wedge m \in C_i\}$ .

Note that, for all  $i$ ,  $T_i \cap A = \emptyset$ . Hence we look for a large  $T_i$  that can be decomposed into a small number of blocks. We will end up using  $d = 2d_{i+1}$ .

Note that  $T_0 \subseteq T_1 \subseteq T_2 \subseteq \dots \subseteq T_k$ . Hence to obtain a large  $T_i$  it suffices to show that  $T_0$  is large and then any of the  $T_i$  will be large (though not necessarily consist of a small number of blocks).

Since  $C_0 = \{e\}$  we have

$$T_0 = \{2m - x \mid x \in A \cap [1, e - 1] \wedge m \in C_0\} = \{2e - x \mid x \in A \cap [1, e - 1]\}.$$

Clearly there is a bijection from  $A \cap [1, e - 1]$  to  $T_0$ , hence  $|T_0| = |A \cap [1, e - 1]|$ . Since  $e \in [\alpha n, \frac{n}{2}]$  we have  $|A \cap [1, e]| \geq |A \cap [1, \alpha n]|$ .

We want to use Lemma 1.6.2 on  $A \cap [1, \alpha n]$ . Hence we need the condition

$$n - \alpha n \geq n_0.$$

By Lemma 1.6

$$|T_0| \geq |A \cap [1, \alpha n]| \geq \lambda_0 \alpha n - n(\lambda_0 - \lambda) = n(\lambda_0 \alpha - (\lambda_0 - \lambda)).$$

In order for this to be useful we need the following condition

$$\begin{aligned} \lambda - \lambda_0 + \lambda_0 \alpha &> 0 \\ \lambda_0 \alpha &> \lambda_0 - \lambda \end{aligned}$$

We now show that some  $T_i$  has a small number of blocks. Since  $|T_k| \leq n$  (a rather generous estimate) there must exist an  $i$  such that  $|T_{i+1} - T_i| \leq \frac{n}{k}$ . Let  $t = \frac{n}{k}$  ( $t$  will end up bounding the number of 1-blocks).

Partition  $T_i$  into maximal AP's with difference  $2d_{i+1}$ . We call these maximal AP's 1-blocks. We will show that there are  $\leq t$  1-blocks by showing a bijection between the blocks and  $T_{i+1} - T_i$ .

If  $z \in T_i$  then  $z = 2m - x$  where  $x \in A \cap [1, \alpha n - 1]$  and  $m \in C_i$ . By the definitions of  $C_i$  and  $C_{i+1}$  we know  $m + d_{i+1} \in C_{i+1}$ . Hence  $2(m + d_{i+1}) - x \in T_{i+1}$ . Note that  $2(m + d_{i+1}) - x = z + 2d_{i+1}$ . In short we have

$$z \in T_i \Rightarrow z + 2d_{i+1} \in T_{i+1}.$$

NEED PICTURE

We can now state the bijection. Let  $z_1, \dots, z_m$  be a block in  $T_i$ . We know that  $z_m + 2d_{i+1} \notin T_i$  since if it was the block would have been extended to include it. However, since  $z_m \in T_i$  we know  $z_m + 2d_{i+1} \in T_{i+1}$ . Hence  $z_m + 2d_{i+1} \in T_{i+1} - T_i$ . This is the bijection: map a block to what would be the next element if it was extended. This is clearly a bijection. Hence the number of 1-blocks is at most  $t = |T_{i+1} - T_i| \leq n/k$ .

To recap, we have

$$|A| \leq (t + d)n_0 + \lambda_0(n - |T|)$$

with  $t \leq \frac{n}{k} = O(\frac{n}{\log \log n})$ ,  $d = O(\sqrt{n})$ , and  $|T| \geq n(\lambda_0\alpha - (\lambda_0 - \lambda))$ . Hence we have

$$|A| \leq O((\frac{n}{\log \log n} + \sqrt{n})n_0) + n\lambda_0(1 - \lambda + \lambda_0 - \lambda_0\alpha).$$

We want this to be  $< \lambda n$ . The term  $O((\frac{n}{\log \log n} + \sqrt{n})n_0)$  can be ignored since for  $n$  large enough this is less than any fraction of  $n$ . For the second term we need

$$\lambda_0(1 - \lambda + \lambda_0 - \lambda_0\alpha) < \lambda$$

We now gather together all of the conditions and see how to satisfy them all at the same time.

$$\begin{aligned} \alpha n &\geq n_0 \\ \frac{n}{2} &\geq n_0 \\ \frac{n}{2} - \alpha n &\geq \sqrt{n} \\ n - \alpha n &\geq n_0 \\ \lambda_0\alpha &> \lambda_0 - \lambda \\ \lambda_0(1 - \lambda + \lambda_0 - \lambda_0\alpha) &< \lambda \end{aligned}$$

We first choose  $\lambda$  and  $\lambda_0$  such that  $\lambda_0 - \lambda < 10^{-1}\lambda_0^2$ . This is possible by first picking an initial  $(\lambda', \lambda'_0)$  pair and then picking  $(\lambda, \lambda_0)$  such that  $\lambda' < \lambda < \lambda_0 < \lambda'_0$  and  $\lambda_0 - \lambda < 10^{-1}(\lambda')^2 < 10^{-1}\lambda_0'^2$ . The choice of  $\lambda_0$  determines  $n_0$ . We then chose  $\alpha = 10^{-1}$ . The last two conditions are satisfied:

$\lambda_0\alpha > \lambda_0 - \lambda$  becomes

$$\begin{aligned} 10^{-1}\lambda_0 &> 10^{-1}\lambda_0^2 \\ 1 &> \lambda_0 \end{aligned}$$

which is clearly true.

$\lambda_0(1 - \lambda + \lambda_0 - \lambda_0\alpha) < \lambda$  becomes

$$\begin{aligned}\lambda_0(1 - 10^{-1}\lambda_0^2 - 10^{-1}\lambda_0) &< \lambda \\ \lambda_0 - 10^{-1}\lambda_0^3 - 10^{-1}\lambda_0^2 &< \lambda \\ \lambda_0 - \lambda - 10^{-1}\lambda_0^3 - 10^{-1}\lambda_0^2 &< 0 \\ 10^{-1}\lambda_0^2 - 10^{-1}\lambda_0^3 - 10^{-1}\lambda_0^2 &< 0 \\ -10^{-1}\lambda_0^3 &< 0\end{aligned}$$

which is clearly true.

Once  $\lambda, \lambda_0, n_0$  are picked, you can easily pick  $n$  large enough to make the other inequalities hold. ■

## 2 What more is known?

The following is known.

**Theorem 2.1** *For every  $\lambda > 0$  there exists  $n_0$  such that for all  $n \geq n_0$ ,  $sz(n) \leq \lambda n$ .*

This has been improved by Heath-Brown [3] and Szemerédi [8]

**Theorem 2.2** *There exists  $c$  such that  $sz(n) = \Omega(n \frac{1}{(\log n)^c})$ . (Szemerédi estimates  $c \leq 1/20$ ).*

Bourgain [1] improved this further to obtain the following.

**Theorem 2.3**  $sz(n) = \Omega(n \sqrt{\frac{\log \log n}{\log n}})$ .

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