#### Algorithms for Maximal Ind. Set

**Exposition by William Gasarch** 

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#### **Credit Where Credit is Due**

#### This talk is based on parts of the **AWESOME** book

#### Exact Exponential Algorithms by Fedor Formin and Dieter Kratsch

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#### What is Maximum Ind Set?

**Definition:** If G = (V, E) is a graph then  $I \subseteq V$  is an *Ind. Set* if  $(\forall x, y \in V)[(x, y) \notin E]$ . The set *I* is a MAXIMUM IND SET if it is an Ind Set and there is NO ind set that is bigger.

**Goal:** Given a graph G we want the SIZE of the Maximum Ind. Set. Obtaining the set itself will be an easy modification of the algorithms which we will omit.

Abbreviation: MIS is the Maximum Ind Set problem.

**BILL** - Do examples and counterexamples on the board.

### Why Do We Care About MIS?

- 1. MIS is NP-complete.
- 2. MIS comes up in applications (so my friends in systems tell me).

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- 2. We will show algorithms for MIS that
  - 2.1 Run in time  $O(\alpha^n)$  for various  $\alpha < 1$ . NOTE: By  $O(\alpha^n)$  we really mean  $O(p(n)\alpha^n)$  where p is a poly. We ignore such factors.

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2.2 Quite likely run even better in practice.

If all of the degrees are  $\leq$  2 then the problem is EASY. BILL- HAVE THEM DO THIS.



#### **IMPORTANT DEFINITION**

If G = (V, E) is a graph and  $v \in V$  then  $N[v] = \{v\} \cup \{u \mid (v, u) \in E\}.$ The NEIGHBORS of v AND v itself.

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#### MIN DEG ALGORITHM

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\begin{aligned} \mathsf{ALG}(G = (V, E): \ \mathsf{A \ Graph}) \\ v &= \ \mathsf{vertex} \quad \mathsf{of \ min \ degree} \\ \mathsf{for} \quad u \in N[v] \\ m_u &= ALG(G - N[m_u]) \\ m &= \min\{m_u \mid u \in N[v]\}. \\ \mathsf{RETURN}(1 + m) \end{aligned}
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BILL: TELL CLASS TO FIGURE OUT WHY WORKS.

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#### Analysis

Let 
$$N[v] = \{v, x_1, \dots, x_{d(v)}\}.$$

$$\begin{array}{ll} T(n) & \leq 1 + T(n - d(v) - 1) + \sum_{i=1}^{d(v)} T(n - d(x_i) - 1) \\ & \leq 1 + T(n - d(v) - 1) + \sum_{i=1}^{d(v)} T(n - d(v) - 1) \\ & \leq 1 + (d(v) + 1)T(n - (d(v) + 1)) \end{array}$$

BILL: HAVE CLASS ANALYSE T(n) = 1 + sT(N - s). THEN DO ON BOARD.

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## HOW GOOD?

- 1. Runs in  $T(n) = O((3^{1/3})^n) \le O((1.42)^n)$ .
- 2. Works well on high degree graphs until they become low degree graphs.

- 3. Upshot: Would not use in practice.
- 4. Makes more sense to take High degree nodes.

## MAX DEG ALG

#### ALG(G)

- 1. If  $(\exists v)[d(v) = 0]$  then RETURN(1 + ALG(G v)).
- 2. If  $(\exists v)[d(v) = 1]$  then RETURN(1 + ALG(G N[v])).
- 3. If  $(\forall v)[d(v) \leq 2]$  then CALL 2-MIS ALG.
- 4. If  $(\exists v)]d(v) \geq 3$ ] then

4.1 Let  $v^*$  be of max degree

**4.2** Return MAX of  $1 + ALG(G - N[v^*])$ ,  $ALG(G - v^*)$ .

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BILL- HAVE CLASS DISCUSS WHY WORKS.

#### **ANALYSIS**

$$T(n) \leq T(n-d(v)-1) + T(n-1)$$
  
 $T(n) \leq T(n-4) + T(n-1)$ 

Guess  $T(n) = \alpha^n$ 

$$\alpha^{n} = \alpha^{n-4} + \alpha^{n-1}$$
$$\alpha^{4} = 1 + \alpha$$
$$\alpha^{4} - \alpha - 1 = 0$$

 $\alpha \sim$  1.38.

## HOW GOOD?

- 1. Runs in  $T(n) = O((1.38)^n)$ .
- 2. Works well on high degree graphs until they become low degree graphs. But better than Min-Degree alg.

3. WORKS really well in practice.

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It works in practice— can we make it work in theory?

#### **BETTER ANALYSIS**

Need to MEASURE progress better.

- 1. We measure a node of degree  $\leq 1$  as having weight ZERO.
- 2. We measure a node of degree 2 as having weight  $\frac{1}{2}$ .
- 3. We measure a node of degree  $\geq$  3 as having weight ONE. SO we view |V| as

 $\frac{1}{2}$ (number of verts of degree 2) + (number of verts of degree 3)

We still refer to this as n.

Have picked  $v^*$ .

1. Assume there are no vertices of degree  $\leq 1$  (else would not be in  $v^*$  case)

- 2. Assume  $v^*$  has  $d_2$  vertices of degree 2.
- 3. Assume  $v^*$  has  $d_3$  vertices of degree 3.
- 4. Assume  $v^*$  has  $d_{\geq 4}$  vertices of degree  $\geq 4$ .

#### **BETTER ANALYSIS OF** G - N[v] **CASE**

 $G - N[v^*]$ :

- 1. Loss of  $v^*$  is loss of 1.
- 2. Loss of  $d_2$  vertices of degree 2: Loss is  $\frac{d_2}{2}$ .
- 3. Loss of  $d_3$  vertices of degree 3: Loss is  $d_3$ .
- 4. Loss of  $d_{\geq 4}$  vertices of degree  $\geq$  4: Loss is  $d_{\geq 4}$ . Total Loss:  $1 + \frac{d_2}{2} + d_3 + d_{\geq 4}$ . Work to do:

$$T(n-(1+rac{d_2}{2}+d_3+d_{\geq 4}))$$

#### **BETTER ANALYSIS OF** G - v **CASE**

 $G - v^*$ :

- 1. Loss of  $v^*$  is loss of 1.
- 2. The  $d_2$  verts of deg 2 become  $d_2$  verts of deg  $\leq 1$ . Loss is  $\frac{d_2}{2}$ .
- 3. The  $d_3$  verts of deg 3 become  $d_3$  verts of deg  $\leq 2$ . Loss is  $\frac{d_3}{2}$ .
- 4. The  $d_{\geq 4}$  verts of deg  $\geq$  4. No Loss.

Total Loss:  $1 + \frac{d_2}{2} + \frac{d_3}{2}$ . Work to do:

$$T(n-(1+\frac{d_2}{2}+\frac{d_3}{2}))$$

#### **TOTAL ANALYSIS**

$$\begin{array}{ll} T(n) & \leq T(n - (1 + \frac{d_2}{2} + d_3 + d_{\geq 4})) + T(n - (1 + \frac{d_2}{2} + \frac{d_3}{2})) \\ & \leq T(n - 1) + T(n - (1 + d_2 + \frac{3d_3}{2} + d_{\geq 4})) \\ & \leq T(n - 1) + T(n - (d(v^*) + 1)) \end{array}$$

1. If  $d(v^*) \ge 4$  then get

$$T(n) \leq T(n-1) + T(n-5)$$

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BILL- HAVE STUDENTS DO.

2. If  $d(v^*) = 3$  then BILL- HAVE STUDENTS DO.

## HOW GOOD?

- 1. Runs in  $T(n) \leq O((1.3248)^n)$ .
- Using cleverer choice of weights can get O((1.2905)<sup>n</sup>). (Deg2 nodes weigh 0.596601, Deg3 nodes weigh 0.928643, Deg4 nodes weigh 1.)
- 3. Works well on high degree graphs until they become low degree graphs. But better than Min-Degree alg.
- 4. WORKS really well in practice, and this analysis may say why.

# **BEST KNOWN**

Best known runs in time

 $O((1.2109)^n).$ 

- 1. Order constant is REASONABLE.
- 2. LOTS of cases depending on degree.
- 3. Sophisticated analysis.
- 4. Good in practice? A project for NEXT YEARS REU!!!!

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