

# Counting Sort.

Note Title

// input must be nonnegative ints  
// A is input, B is output

// for each input  $A[i]$ , find  
// all values less than it.

① Let  $\text{MaxVal} = \max(A)$ ;

② Initialize all  $C[0 \dots \text{MaxVal}]$  to zero

For  $j = 1$  to  $n$   
 $C[C[A[j]]]++;$

//  $C[i]$  is # elements in  $A$  equal to  $i$

③ For  $i = 1$  to  $\text{MaxVal}$

$C[i] = C[i] + C[i-1]$ .

// Now  $C[i]$  is # elements in  $A \leq i$

④ For  $k = n$  to 1

Let  $\text{key} = A[k]$ . // value in  $A$   
Let  $\text{count} = C[\text{key}]$ . // # elements  $\leq \text{val}$

$B[\text{count}] = \text{key};$   
 $C[\text{key}] = \text{count} - 1;$

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## Counting sort example

Input A:  $\begin{array}{|c|c|c|c|c|c|c|c|} \hline 2 & 1 & 6 & 5 & 2 & 5 & 3 & 1 \\ \hline 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ \hline \end{array}$

⑤ max Val =  $\max(A) = 6$

① Initialize all  $C[0 \dots b]$  to  $\emptyset$

$C \begin{array}{|c|c|c|c|c|c|c|} \hline \emptyset & \emptyset & \emptyset & \emptyset & \emptyset & \emptyset & \emptyset \\ \hline 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ \hline \end{array}$

② Let  $C[i] = \# \text{elements in } A \text{ equal to } i$

$C \begin{array}{|c|c|c|c|c|c|c|c|} \hline \emptyset & 2 & 1 & 1 & \emptyset & 2 & 1 \\ \hline 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ \hline \end{array}$

④ Let  $C[i] = \# \text{elements in } A \leq i$

$C \begin{array}{|c|c|c|c|c|c|c|c|} \hline \emptyset & 2 & 4 & 5 & 5 & 7 & 8 \\ \hline 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ \hline \end{array}$

⑥

Let  $B[1 \dots n]$  be the OUTPUT array.  
Note: if  $C[i]$  has the # elements  $\leq i$ ,

then  $B[c[i]] = i$

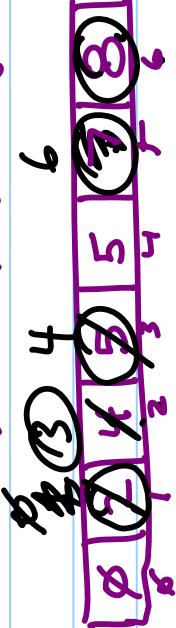
count of all values  $\leq i$

example, if  $C[3] = 4$ ,  
then there are 4 values  $\leq 3$ ,  
so put a 3 in the 4<sup>th</sup> slot in B.

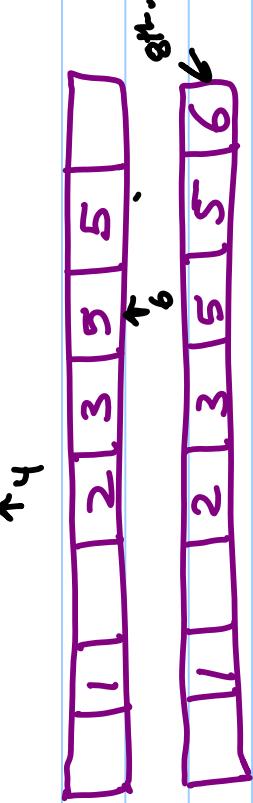
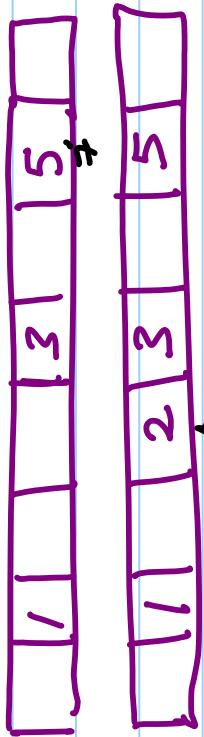
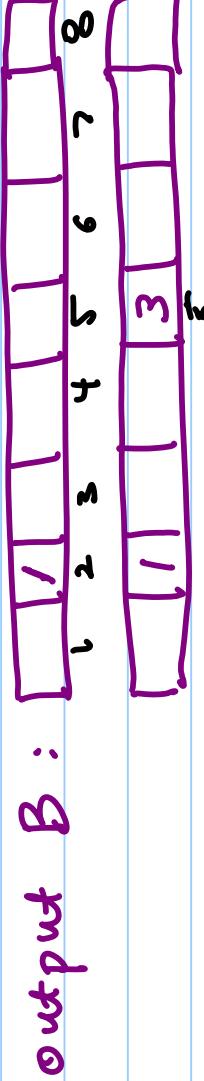
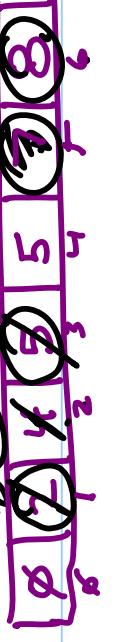
Work backwards from rightmost input element  
to leftmost input element.



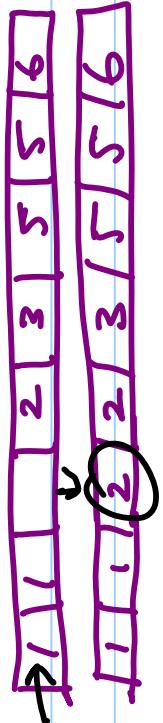
Input A: [ 2 | 1 | 6 | 5 | 2 | 5 | 3 | 1 ]



Scratch C:



stable!



SORTED!

## Counting Sort

What if we also have negative integers?  
Or min  $\gg \phi$ ?

- compute Min
- compute Max.
- give C size  $(\text{Max} - \text{Min})$ .
- $C[0 \dots (\text{Max} - \text{Min})]$ .
- subtract min from all values
- do Counting Sort as before.
- add min to all elements in B.

alternatively, subtract min from values used as indices to C.

Runtime of Counting Sort (array reads,  
array writes)

$$\Theta(\max(n, \text{Max Val}))$$

or

$$\Theta(\max(n, \underbrace{\text{range}}_{\text{MAX - MIN} + 1}))$$

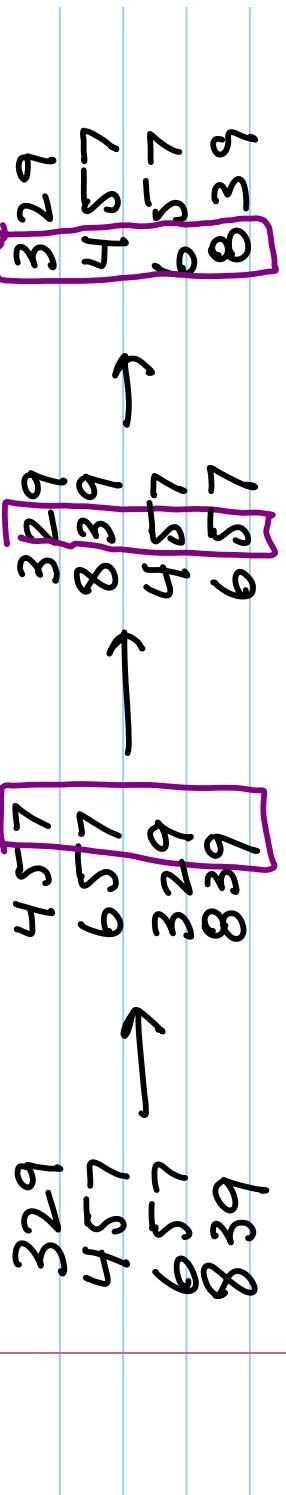
equivalent

$$= \Theta(n + \text{range})$$

If range is  $O(n)$ ,  
then algorithm is  $\Theta(n)$ .

## Radix Sort for nonneg. integers

Sort one digit at a time



sort  
rightmost  
digit first

sort  
leftmost  
digit last

Digit sorts must be STABLE. Counting sort is a good choice.

- MaxVal on digit sort is  $q$ .
- so digit sort is  $O(\max(n, q)) \rightarrow O(n)$ .
  - if  $n > q$
- So runtime of radix sort is  $O(dn)$

✓

## General Radix Sort Runtime

$d = \# \text{ "digits"}$   
(could be other data)

$r = \text{range of each digit}$

$n = \# \text{ values.}$

Radix sort runtime is  $O(d(n+r))$

(equivalent to  $O(d \cdot \max(n, r))$ )

if  $d$  is fixed and  $r \in O(n)$ ,  
then radix sort is linear.

Question 1: If we have  
n b-bit integers, can we  
sort them in  $\Theta(b \cdot n)$  time?

Use radix sort.

# digits =  $b$   
range = 2 ( $\phi$  or 1)  
 $n = n$

so runtime is  $\Theta(\# \text{ digits}(n + \text{range}))$

$$\begin{aligned} &= \Theta(b(n + 2)) \\ &= \Theta(bn) \end{aligned}$$

Question 2: How many bits are used to represent the numbers in the range  $0 \dots n-1$ ?

$n$	$\frac{n-1}{# \text{ bits needed}}$
4	3
8	2
16	1
32	0

$$\log_2 n \text{ bits!}$$

So if sorting  $n$ -bit integers takes  $\Theta(bn)$  time, then sorting  $n(\log_2 n)$ -bit integers takes  $\Theta(n \log n)$  time!

Question 3: What if we group the digits into clusters of size  $r$ ?

2 3 1 7      0 8 9 6  
6 5 4 2      1 2 3 9  
4 3 2 1      → 1 2 8 9      → 2 3 1 7  
0 8 9 6      6 5 4 2      4 3 2 1  
1 2 3 9      0 8 9 6      6 5 4 2

↓ # of decimal digits  $r$

$$r = 2$$
$$\# \text{"digits"} = 2 = 4/r$$

$$\text{range} = 100 = 10^2 = 10^r$$

$$\text{so runtime} = \Theta(2(n + 100))$$

$$= \Theta\left(\frac{4}{r}(n + 10^r)\right)$$

If  $n$   $b$ -bit digits clustered into clusters of size  $r$ ,  
runtime is  $\Theta(b/r(n + 2^r))$

Radix sort one bit at a time  
is

$$\Theta(b(n+2)) = \Theta(bn)$$

Radix sort  $r$  bits at a time is

$$\Theta\left(\frac{b}{r}(n+2^r)\right) = \Theta\left(\frac{b}{r}n\right)$$

Save time, but counting sort now  
needs more space...  
(Max Val is bigger when  $r > 1$ )

In decimal example, Max Val  
grew from 9 to 99

Lots of hidden constants + memory.

$$\begin{aligned} & \Theta(n) \\ & \Theta(n+2^{\lfloor \log_2 n \rfloor}) \\ & \Theta(n+2r) \\ & \Theta\left(\frac{n}{q}\right) \quad (\text{if } q = 1) \\ & \Theta(n+2r) \end{aligned}$$

let  $r = \log_2 n$  (i.e., # of bits)

$b \in O(\log n)$

Claim: Given the number of bits of  
representative numbers is  $O(\log n)$ ,  
then if we do all of the bits  
in a single grouping,  
Raderix sort runs in  $\Theta(n^{\frac{1}{b}})$ .

Can we sort  $n$  values that are in  
the range  $\varnothing \dots n^2$  in  $O(n)$  time?

$n$  values in the range  $0 \dots n^2$

ok, let's try regular radix sort

# digits =  $d$ ,  $d \leq n^2$

$$\text{runtime} = \Theta(d(n+r)) = \Theta(d(n+n^2))$$

eek!

Another way:

- represent all values in binary
- split binary number in two

example: 2 8 6 18 10 36

decimal	binary
2	0 00010
8	0 01000
6	0 00110
18	0 10010
10	0 01010
36	1 00100

$$n=6$$

$$n^2=36$$

SORT

0 00000	0 00010	2
0 00010	0 00110	6
0 00100	0 01000	8
0 00110	0 01010	10
0 01000	0 01010	18
0 01010	1 00100	36
0 01100		

# "digits" = 2 (# clusters)  
max Val = 111 (binary) or  $\sqrt{2n} \leq 2n$

So runtime

$$\text{is } \Theta(d(n+r)) = \Theta(2(n + (\cancel{2n})) \\ = \Theta(n)$$

ch.8

## Bucket Sort

Linear expected time.

Assume elements equally distributed across the range of values.

Create n buckets.

example: numbers between  $\phi$  (inclusive) and 1 (exclusive) [ $\phi, 1$ )

bucket 1 :  $[\phi, \dots, \frac{1}{n})$

bucket 2 :  $[\frac{1}{n}, \dots, \frac{2}{n})$

bucket n :  $[\frac{n-1}{n}, \dots, \frac{n}{n})$

note:   
 no sorting required  
 in each bucket

example: numbers between  $\phi$  and 1000

bucket 1 :  $[\phi, \dots, \frac{1000}{1000})$

bucket n :  $[\frac{(n-1)1000}{n}, \dots, \frac{n1000}{n})$

Bucket Sort ( $A[1 \dots n]$ ) {

- ⑥ Determine RANGE of values using MAX and MIN
- ⑦ Create  $n$  equal-sized buckets based on RANGE
- ⑧ for  $i = 1$  to  $n$ 
  - insert  $A[i]$  into corresponding bucket
- ⑨ Sort each bucket
- ⑩ Concatenate buckets

Runtime: MAX, MIN:  $\Theta(n)$   
put in buckets:  $\Theta(n)$   
concatenate buckets:  $\Theta(n)$   
But SORT EACH BUCKET?

How long to sort each bucket?

example: 8 3 2 φ  
range: φ → 8  
 $n = 4$

Bucket 1      Bucket 2      Bucket 3      Bucket 4  
[φ - 2)      [2 - 4)      [4 - 6)      [6 - 8)

sort      φ      3, 2      —      8  
answer      φ      2, 3      3, 8      8

note: # elements in each bucket  
very low /  
b/c of our ASSUMPTION of uniform  
data values!

b/c of our ASSUMPTION of uniform  
data values :

expected # of  
values per bucket is very small.

example : if max bucket size is  $\frac{8}{24}$   
then # comparisons to sort =  $\frac{24}{8}$ .  
sort time is  $2^{\frac{n}{8}}$  x n lists =  $2^{\frac{n}{8}}n$ .  
Total runtime is  $O(n)$ .

