

START

RECORDING

Countability

CMSC 250

Motivation

- Two toddlers want to compare their marbles to see who has more.
- They cannot count yet.



Motivation

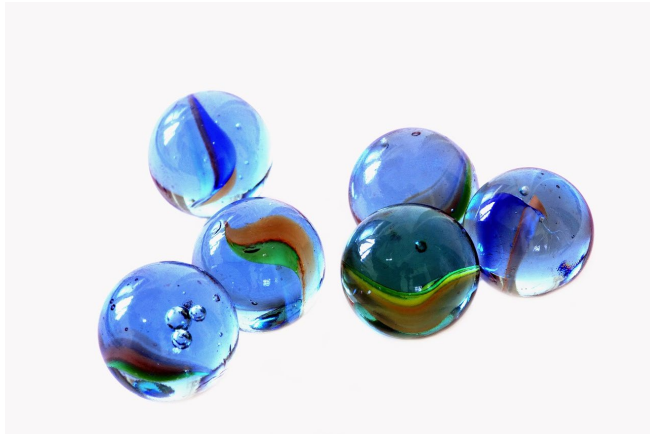
- Two toddlers want to compare their marbles to see who has more.
- They cannot count yet.



How do they
find out who
has more?

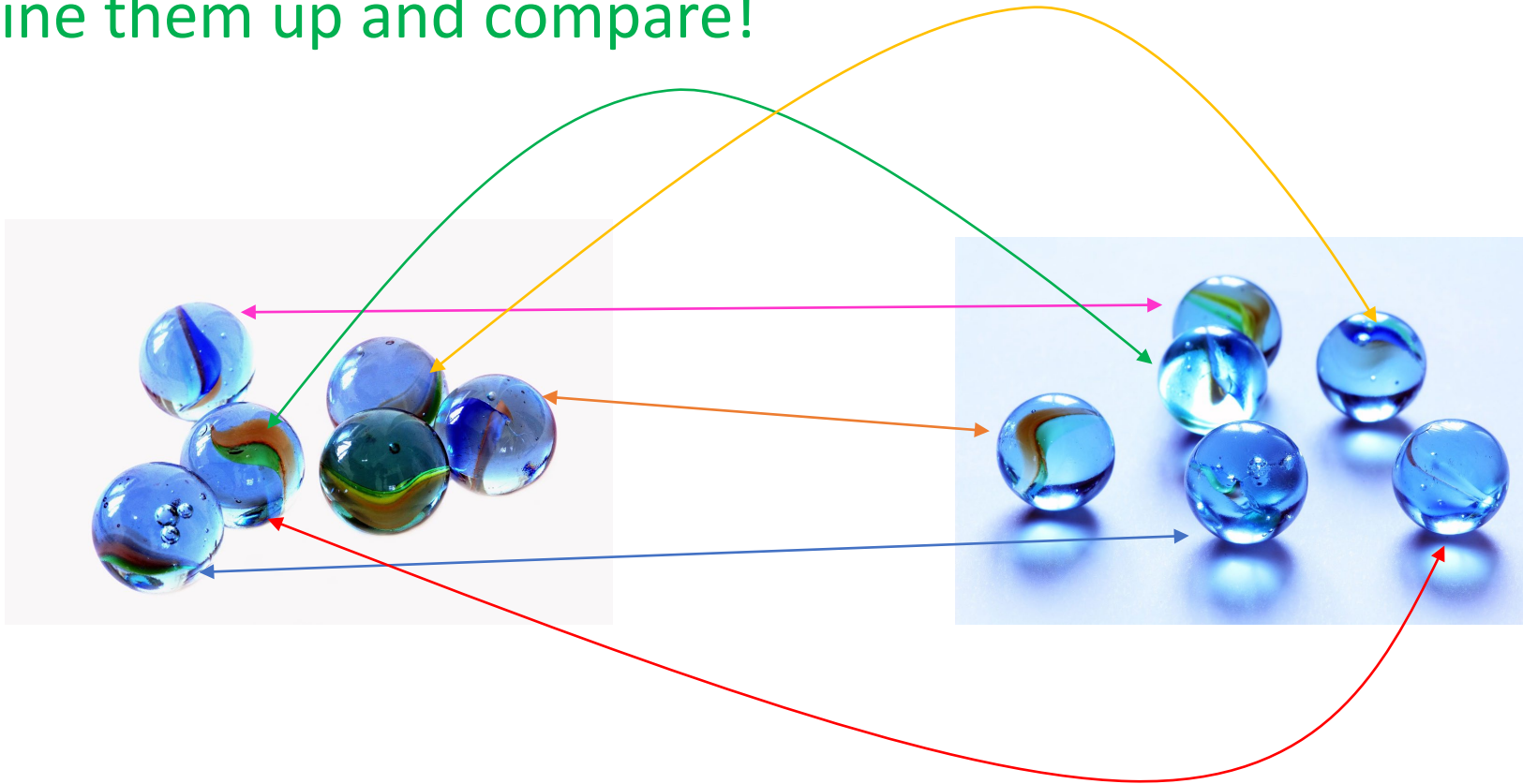
Motivation

- They line them up and compare!



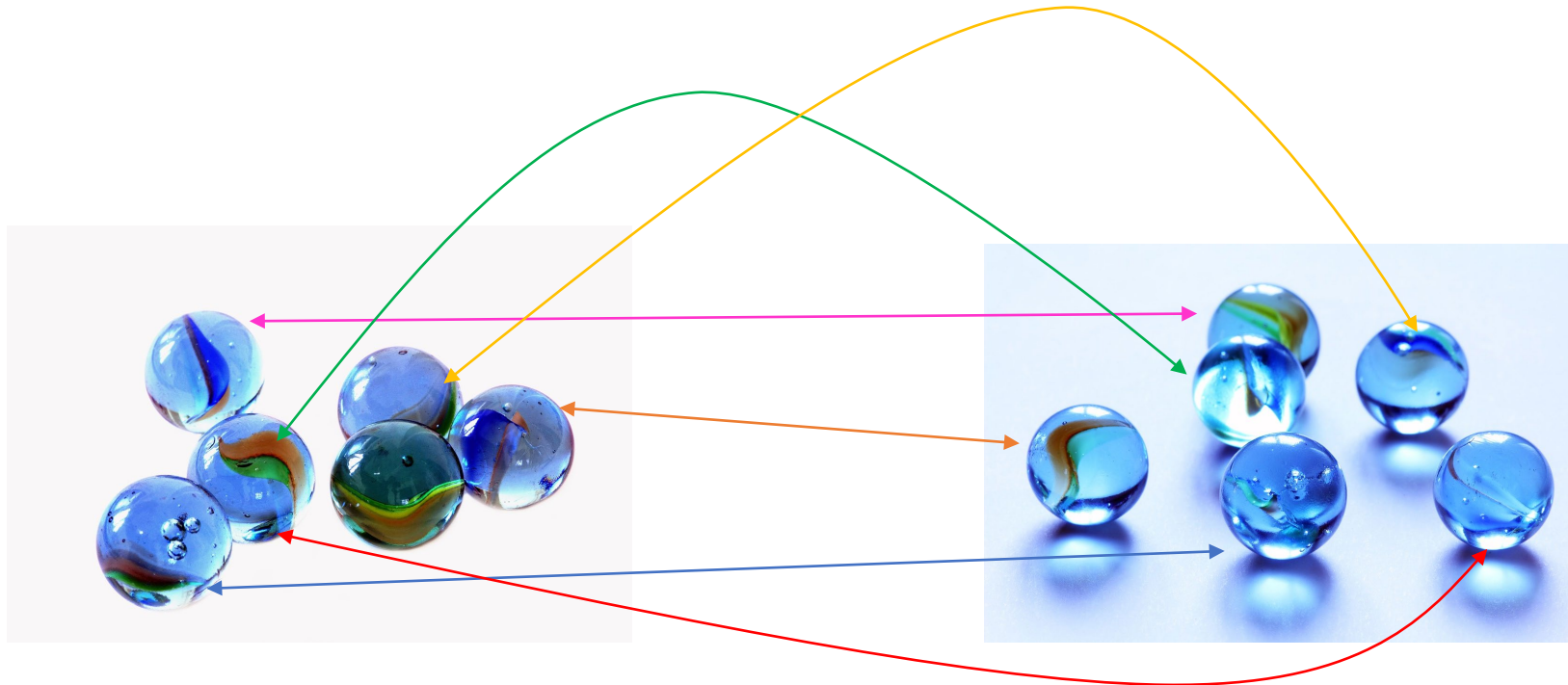
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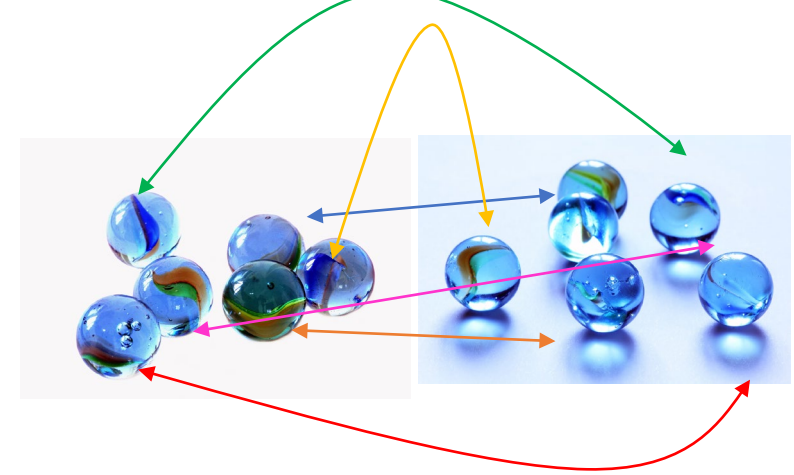
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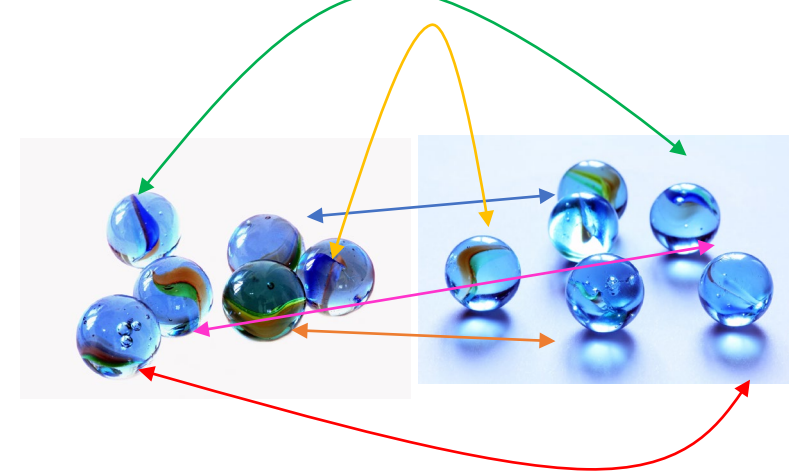
- **Intuition for us:** If we can find such a mapping between two (infinite) sets, we will say that they have the same (infinite) cardinality (or size).

Motivation



- This matching of marbles
 - Every two different marbles on left go to **two different** marbles on right
 - Every marble on right is matched **by some** marble on the left

Motivation



- This matching of marbles
 - Every two different marbles on left go to **two different** marbles on right
 - Every marble on right is matched **by some** marble on the left
- By Joav, **this is a bijection!**
- **WE DEFINE TWO SETS TO BE THE SAME SIZE IF THERE IS A BIJECTION BETWEEN THEM.**

Refresher on Bijections

All domains and
codomains \mathbb{R} , unless
otherwise stated

Refresher on Bijections

- Are the following functions **bijections**?

Yes

No

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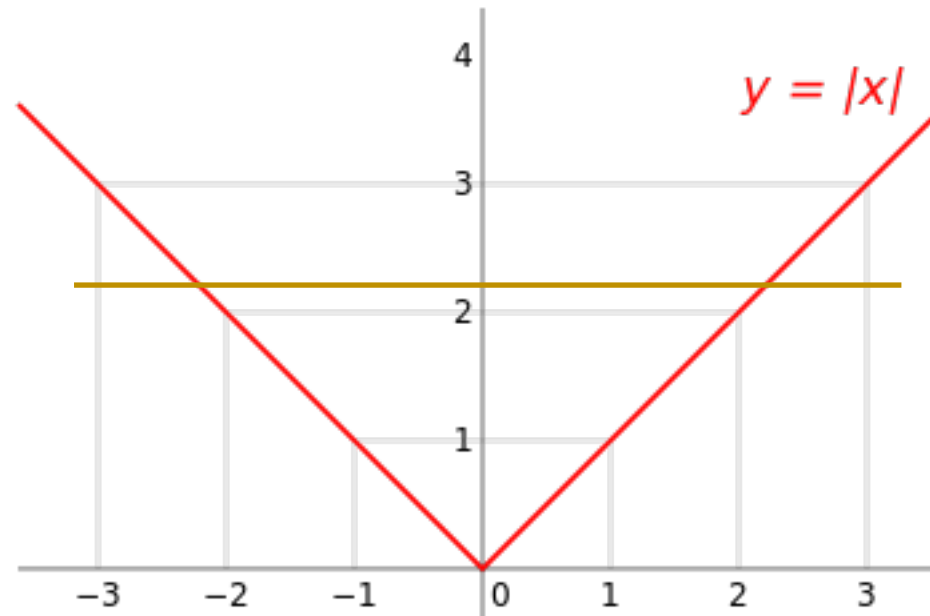
Quiz on Bijections

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Non-injective!

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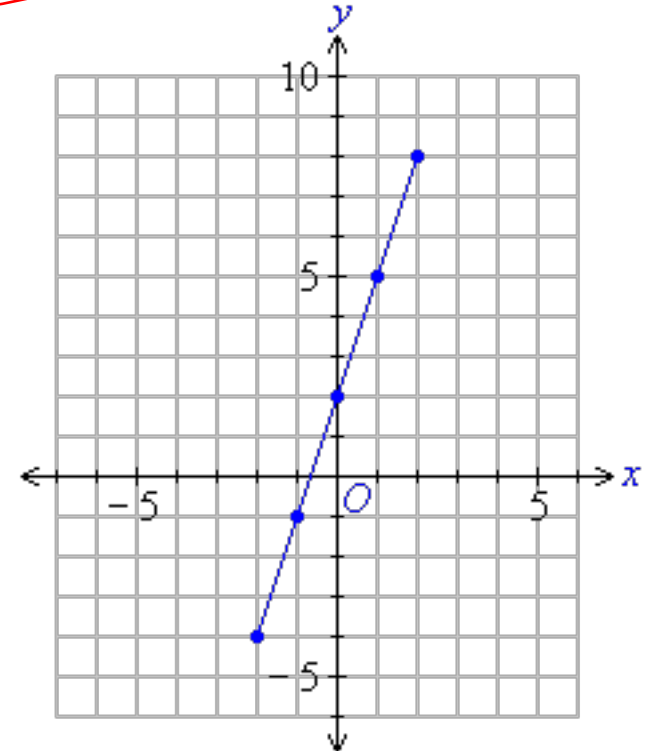
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Straight line in coordinate plane

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3. $g(x) = a \cdot x^2, a > 0$

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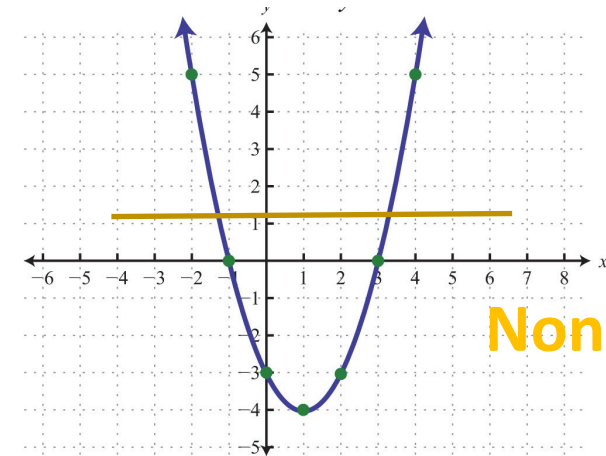
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4. $h(n) = 4n - 1, n \in \mathbb{Z}$

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4. $h(n) = 4n - 1, n \in \mathbb{Z}$ *No*

Non-surjective! Set $h(n) = y$ and solve for n :

$$4n - 1 = y \Rightarrow n = \frac{y + 1}{4}$$

There are infinitely many choices of y for which $n \notin \mathbb{Z}$!

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4. $h(n) = 4n - 1, n \in \mathbb{Z}$ **No**
5. $h(x) = 4x - 1$ **Yes**

Surjective and injective! Surjective, since, if we set $h(n) = y$ and solve for n :

$$4n - 1 = y \Rightarrow n = \frac{y + 1}{4}$$

For every real y , there's always a **real** solution n . **Injective**, since it's of the form of (2) with $a \neq 0$.

Countable Sets

- **Definition:** A set S is said to be **countable** if **there exists a bijection from a subset of $\mathbb{N}^{\geq 1}$ to S .**
 - Sometimes, this bijection is called an **enumeration**.
 - Alternatively, yet still rigorously: **If we can form some sequence out of its elements** (or, if we can **enumerate** its elements)
 - Equivalently, blending in Physics: If every one of its elements can be reached in **finite time**.

Finite Sets and Countability

- **Every finite set is countable.**

Finite Sets and Countability

- **Every finite set is countable.**
 - *Why?*

Finite Sets and Countability

- Every finite set is countable.
 - *Why?*
 - Suppose that S is a finite set. Since it's finite, it contains n elements, for $n \in \mathbb{N}$. This means that S can be **enumerated**, like so:

$$S = \{s_1, s_2, s_3, \dots, s_n\}$$

But this means that there exists a bijection from $\{1, 2, \dots, n\}$ to S , where $\{1, 2, \dots, n\} \subseteq \mathbb{N}$!

Infinite Sets and Countability

- Since all finite sets are countable, might as well limit ourselves to the exploration of **infinite sets** that might also be **countable**.
 - We call those “**countably infinite**” sets.
- Let such a set be called S . Then, to prove that it's countable, **we need to find some bijection b from $\mathbb{N}^{\geq 1}$ to S .**

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$$b(n) = n$$

a bijection?

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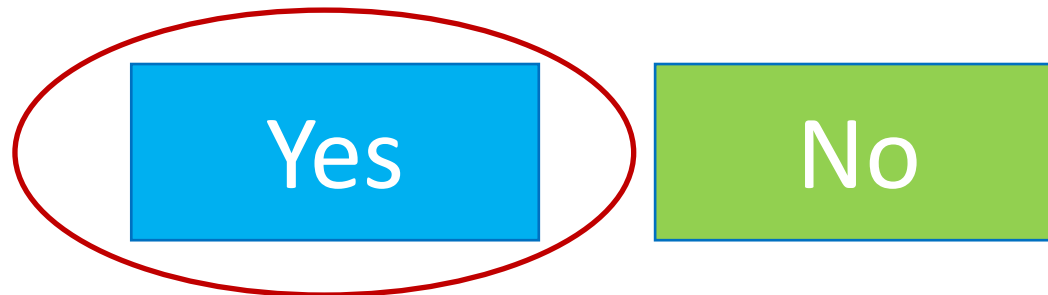
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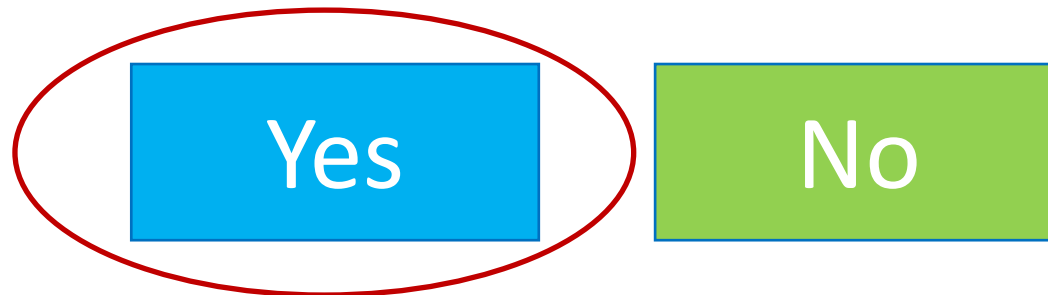


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**Conclusion: $\mathbb{N}^{\geq 1}$
is countably
infinite**

Countability of \mathbb{N}

- Is \mathbb{N} countable? (recall, $0 \in \mathbb{N}$)

Yes

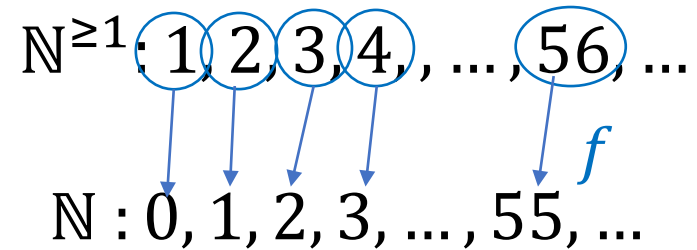
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Countability of \mathbb{N}

- Is \mathbb{N} countable? (recall, $0 \in \mathbb{N}$)



- Through the bijection $f(n) = n - 1$, like so:



Countability of Other $A \subseteq \mathbb{N}$

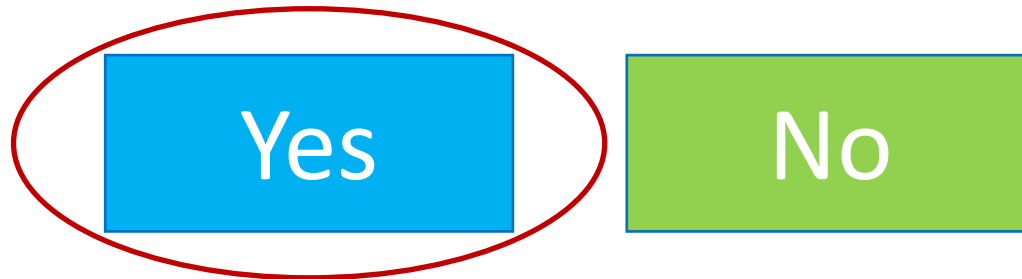
- Is the set $\{x \mid (x \in \mathbb{N}) \wedge (x \geq 17)\}$ countable?

Yes

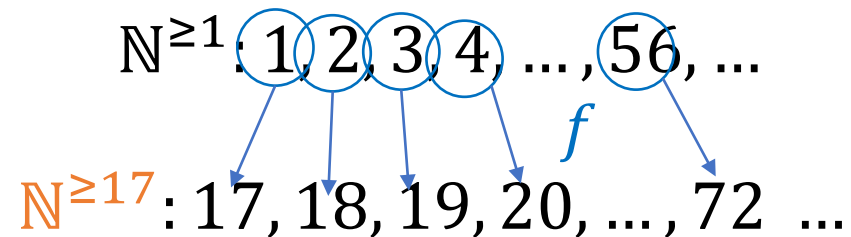
No

Countability of Other $A \subseteq \mathbb{N}$

- Is the set $\{x \mid (x \in \mathbb{N}) \wedge (x \geq 17)\}$ countable?



- Through the bijection $f(n) = n + 16$, like so:



Countability of Other $A \subseteq \mathbb{N}$

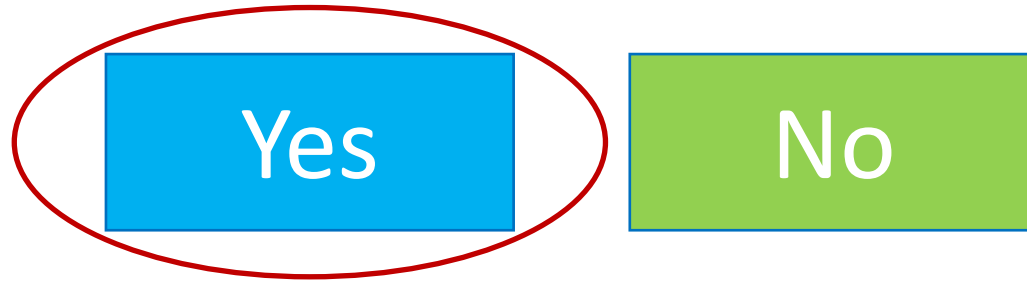
- Is the set $\{x \mid (x \in \mathbb{N}) \wedge (x \equiv 0 \pmod{2})\}$ countable?

Yes

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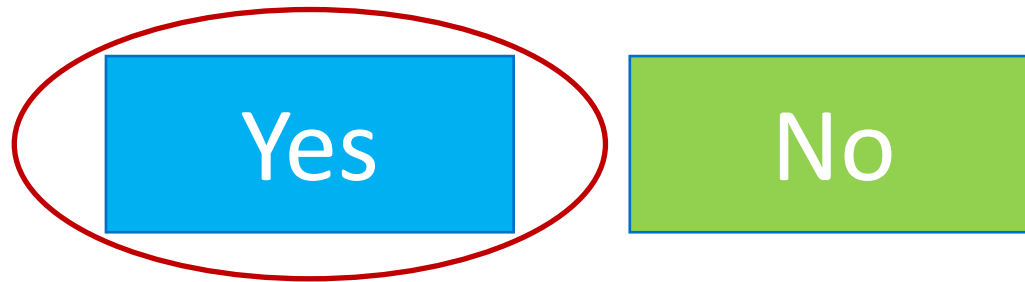


$\mathbb{N}^{\geq 1}$: 1, 2, 3, 4, ...

\mathbb{N}^{even} : 0, 2, 4, 6, ...

Countability of Other $A \subseteq \mathbb{N}$

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$$\begin{array}{l} \mathbb{N}^{\geq 1}: \quad 1, 2, 3, 4, \dots \\ \qquad \qquad \downarrow \downarrow \downarrow \downarrow \quad f \\ \mathbb{N}^{even}: \quad 0, 2, 4, 6, \dots \end{array}$$

$$f(n) = 2(n - 1)$$

Countability of \mathbb{Z}

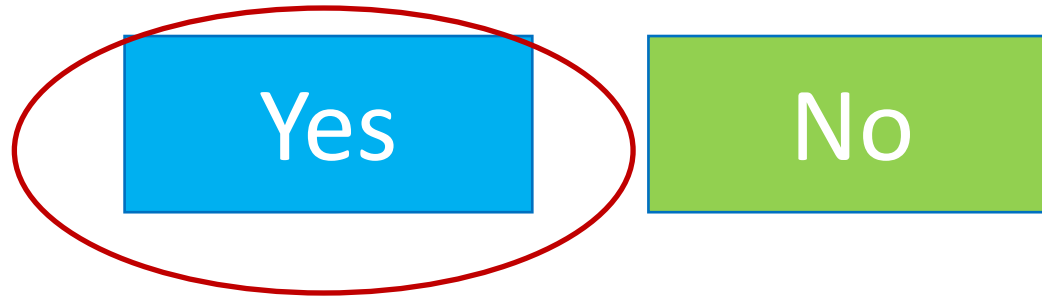
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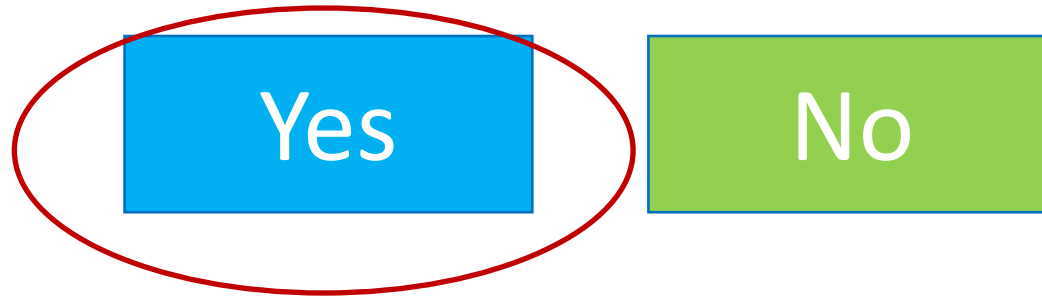


0, 1, -1, 2, -2, 3, -3, ...

1 2 3 4 5 6 7

Countability of \mathbb{Z}

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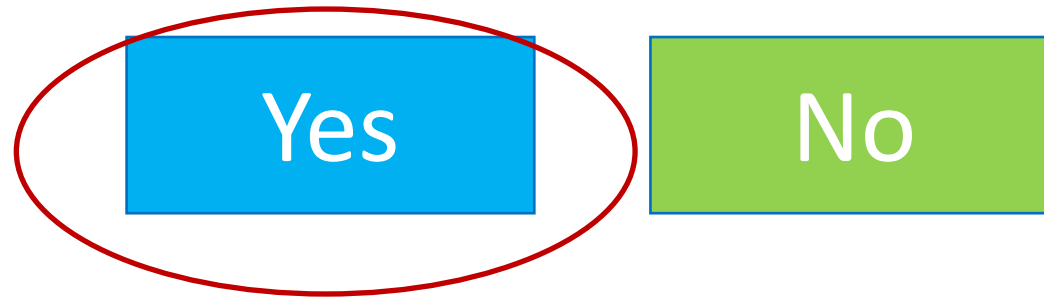


0, 1, -1, 2, -2, 3, -3, ...

1 2 3 4 5 6 7 $f = ?$

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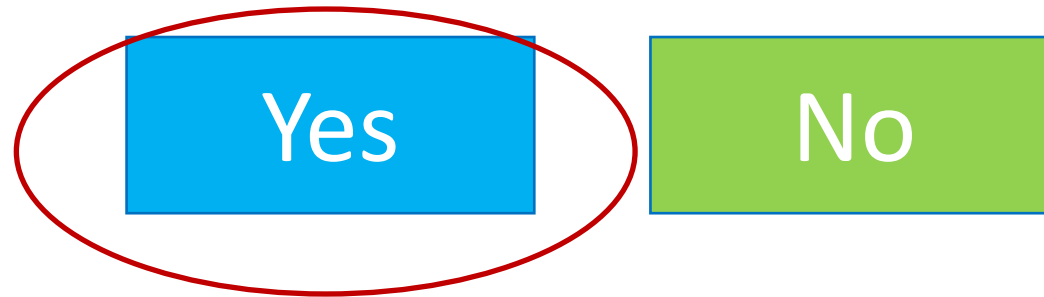
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$$f: \mathbb{N} \mapsto \mathbb{Z}, f(n) = \begin{cases} \frac{n}{2} & \text{if } n \text{ is even} \\ -\frac{n+1}{2} & \text{if } n \text{ is odd} \end{cases}$$

Countability of \mathbb{Z}

- Is \mathbb{Z} countable?



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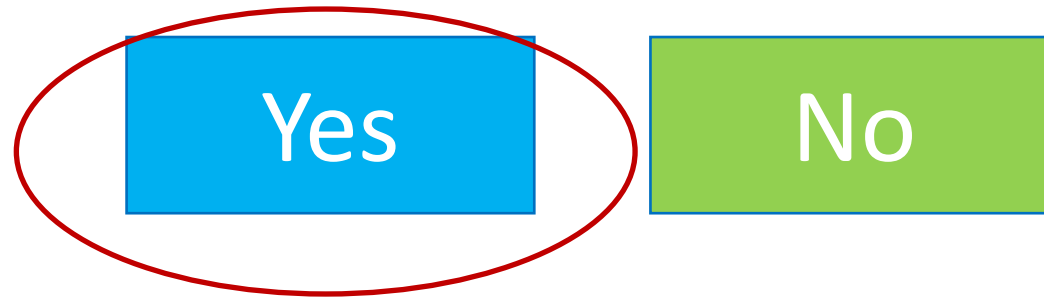
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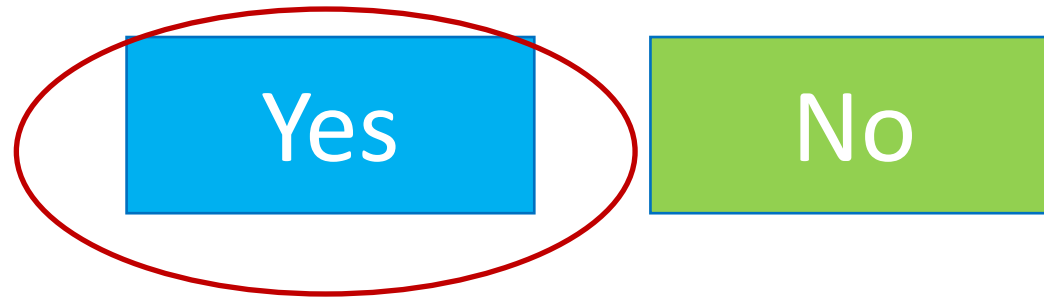
- f is...

- onto, since every integer is mapped to

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Countability of \mathbb{Z}

- Is \mathbb{Z} countable?



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- **onto**, since every integer is mapped to
- **1-1**, since no two naturals map to the same integer

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Countability of \mathbb{Z}

- Is \mathbb{Z} countable?



0, 1, -1, 2, -2, 3, -3, ...

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- f is...

- **onto**, since every integer is mapped to
- **1-1**, since no two naturals map to the same integer
- So it's a **bijection**, and \mathbb{Z} is **countable**!

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Countability of \mathbb{Z}^{even}

- Is \mathbb{Z}^{even} countable?

Yes

No

Countability of \mathbb{Z}^{even}

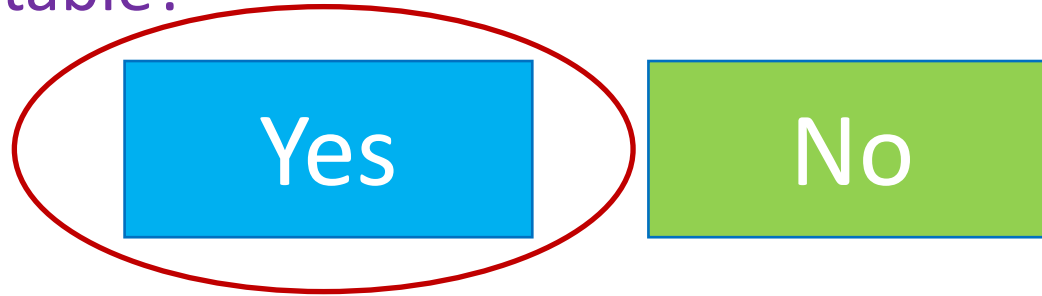
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Countability of \mathbb{Z}^{even}

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$$f(n) = \begin{cases} 0, & n = 1 \\ n, & n = 2, 4, 6, \dots \\ -n + 1, & n = 3, 5, 7, \dots \end{cases}$$

Countability of \mathbb{Z}^{even}

- Is \mathbb{Z}^{even} countable?



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 - i.e that there's a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z} ...

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 - *If we find a bijection* from \mathbb{Z} to \mathbb{Z}^{even} ...

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 - **If we find a bijection** from \mathbb{Z} to \mathbb{Z}^{even} ...
 - We will have a bijection from $\mathbb{N}^{\geq 1}$ to \mathbb{Z}^{even} , and \mathbb{Z}^{even} is, therefore, countable!

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..., -6, -4, -2, 0, 2, 4, 6, ...

..., -3, -2, -1, 0, 1, 2, 3, ...

$$f(n) = 2 * n$$



Countability of \mathbb{Z}^{even}

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 - Prove this at home!
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$$f(n) = 2 * n$$

clearly bijective

Countability of $\mathbb{Q}^{>0}$

- Is $\mathbb{Q}^{>0}$ countable?

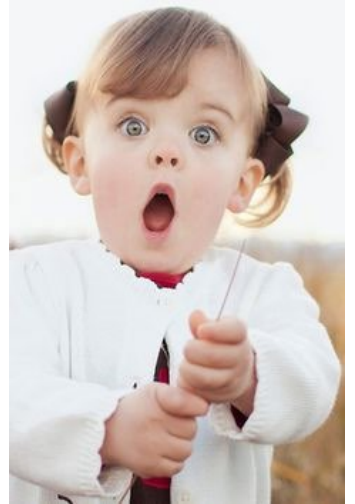
Yes

No

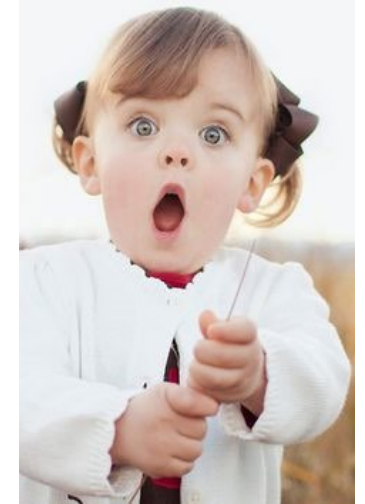
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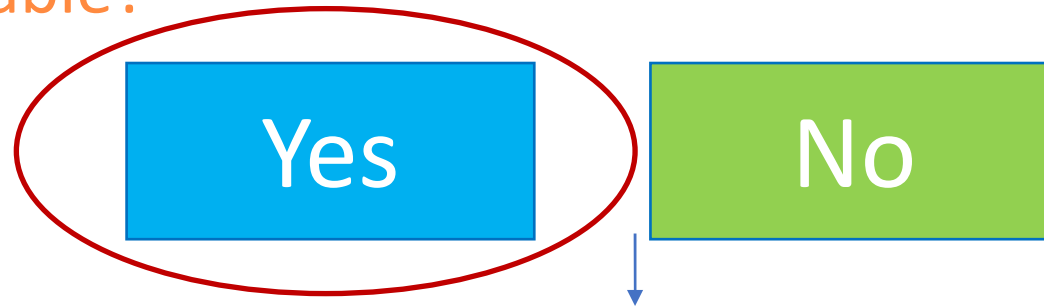
Yes No



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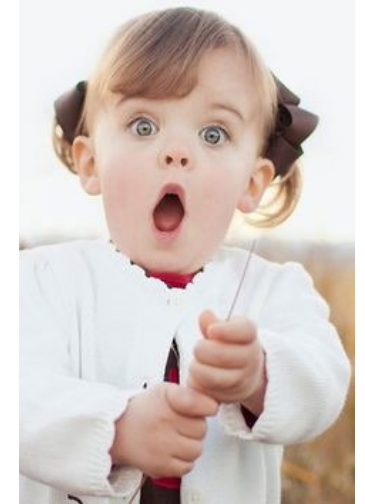


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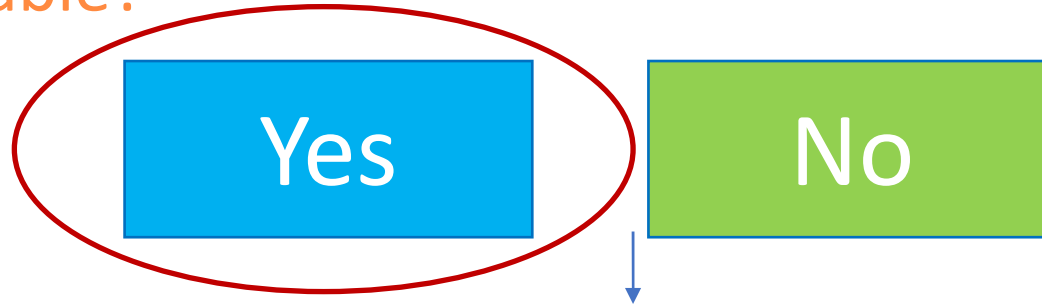


	1	2	3	4	...
1	$\frac{1}{1}$ →	$\frac{1}{2}$ →	$\frac{1}{3}$ →	$\frac{1}{4}$ →	...
2	$\frac{2}{1}$ ↘	$\frac{2}{2}$ ↘	$\frac{2}{3}$ ↘	$\frac{2}{4}$ ↘	...
3	$\frac{3}{1}$ ↘	$\frac{3}{2}$ ↘	$\frac{3}{3}$ ↘	$\frac{3}{4}$ ↘	...
4	$\frac{4}{1}$ ↘	$\frac{4}{2}$ ↘	$\frac{4}{3}$ ↘	$\frac{4}{4}$ ↘	...
...

Countability of $\mathbb{Q}^{>0}$



- Is $\mathbb{Q}^{>0}$ countable?



	1	2	3	4	...
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2	$\frac{2}{1}$	$\frac{2}{2}$	$\frac{2}{3}$	$\frac{2}{4}$...
3	$\frac{3}{1}$	$\frac{3}{2}$	$\frac{3}{3}$	$\frac{3}{4}$...
4	$\frac{4}{1}$	$\frac{4}{2}$	$\frac{4}{3}$	$\frac{4}{4}$...
...

All strictly positive rationals are counted exactly once (skipping repetitions like $\frac{1}{1} = \frac{2}{2} = \frac{3}{3} = \dots$), so this “snaking” is a bijection

Countability of $\mathbb{Q}^{>0}$

- If you don't like the proof involving this "snaking" pattern, ProofWiki has 4 (!) different proofs here:
<http://www.homeschoolmath.net/teaching/rational-numbers-countable.php>
 - (1) tries to prove the "snaking" pattern in a way that I don't find very rigorous
 - 2, 3, 4 assume other facts that we won't prove today, but are easy to prove
 - E.g the cartesian product of countable sets is also countable, or the union of countable sets is also a countable set!

Some Theorems on Countability

- Suppose A is a countable set and $e \notin A$. Is $A \cup \{e\}$ countable?

Yes

No

Unknown
to science

Some Theorems on Countability

- Suppose A is a countable set and $e \notin A$. Is $A \cup \{e\}$ countable?



- Suppose a_1, a_2, a_3, \dots is an enumeration of A .
- We then define a **new enumeration** b of $A \cup \{e\}$, like so:

$$b_n = \begin{cases} e, & n = 1 \\ a_{n-1}, & n \geq 2 \end{cases}$$

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Pretty much like in the case of \mathbb{N} , we just “move one index over”!

Some Theorems on Countability

- Suppose A and B are countable sets. Is $A \cup B$ countable?

Yes

No

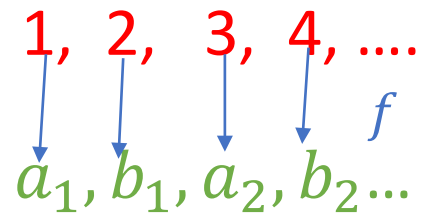
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- For simplicity, assume A and B are countably infinite.



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- For simplicity, assume A and B are countably infinite.

1, 2, 3, 4, ...
↓ ↓ ↓ ↓ f
 $a_1, b_1, a_2, b_2, \dots$

$$f(n) = \begin{cases} a_{(n+1)/2}, & n \text{ odd} \\ b_{n/2}, & n \text{ even} \end{cases}$$

What if A or B (or both) finite?

- Caveat: the previous will **not** work if A or B end before the other ends.
 - Because some a_i, b_i might not exist.
- We leave it to you to iron out the details of what happens then.

Note: $A \cup B \cup C$ countable

- If A, B, C are countable, so is $A \cup B \cup C$.
 - Since A, B are countable, $(A \cup B) = S_1$ is countable
 - $(A \cup B) \cup C = S_1 \cup C$. Since S_1, C are countable, $S_1 \cup C = (A \cup B) \cup C$ is countable.

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- Generally,

$A_1, A_2, A_3, \dots, A_n$ countable $\Rightarrow \bigcup_{i=1}^n A_i$ countable

(Countable union of countable sets is countable)

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- Generally,
 $A_1, A_2, A_3, \dots, A_n, A_{n+1}, \dots$ countable $\Rightarrow \bigcup_{i=1}^{+\infty} A_i$ countable
(Countable union of countable sets is countable)

Proof on next slide!

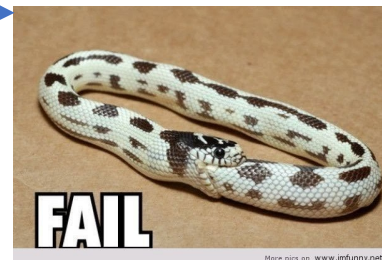
Countable Union of Countable Sets Countable

- Here's a proof that uses the snaking pattern.
- Suppose $A_i = \{a_{i,j}, j \in \mathbb{N}\}$. Then, we can arrange the elements of the A_i 'th set in the i^{th} row of a 2D matrix:

Countable Union of Countable Sets Countable

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- Suppose $A_i = \{a_{ij}, j \in \mathbb{N}\}$. Then, we can arrange the elements of the A_i 'th set in the i^{th} row of a 2D matrix:

	1 st element	2 nd element	3 rd element	4 th element	...
A_1	a_{11}	a_{12}	a_{13}	a_{14}	...
A_2	a_{21}	a_{22}	a_{23}	a_{24}	...
A_3	a_{31}	a_{32}	a_{33}	a_{34}	...
A_4	a_{41}	a_{42}	a_{43}	a_{44}	...
\vdots	\vdots	\vdots	\vdots	\vdots	\ddots



Snake 'em!

Some Theorems on Countability

- Suppose A and B are countable sets. Is $A \times B$ countable?

Yes

No

Unknown
to science

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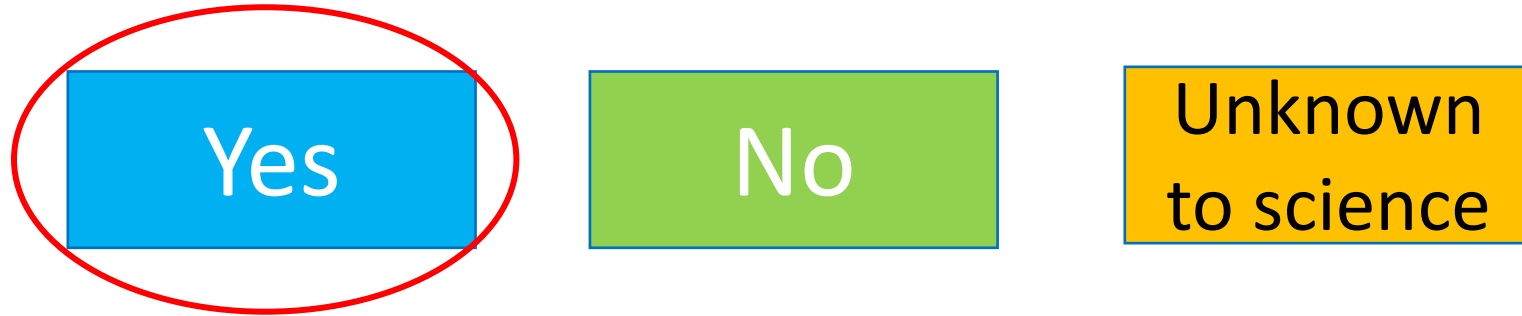
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- Proof is exactly the same as the proof that $\mathbb{Q}^{>0}$ is countable!

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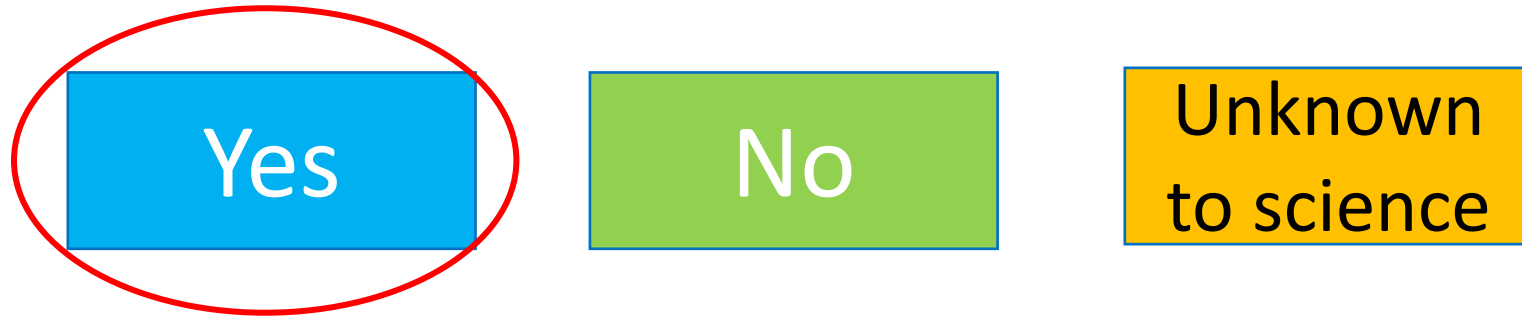


- Proof is exactly the same as the proof that $\mathbb{Q}^{>0}$ is countable!

	b_1	b_2	b_3	b_4	...
a_1	(a_1, b_1)	(a_1, b_2)	(a_1, b_3)	(a_1, b_4)	...
a_2	(a_2, b_1)	(a_2, b_2)	(a_2, b_3)	(a_2, b_4)	...
a_3	(a_3, b_1)	(a_3, b_2)	(a_3, b_3)	(a_3, b_4)

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- Suppose A and B are countable sets. Is $A \times B$ countable?



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a_3	(a_3, b_1)	(a_3, b_2)	(a_3, b_3)	(a_3, b_4)	...

Blue arrows indicate a zig-zag path through the grid of pairs, starting from (a_1, b_1) and moving to (a_1, b_2) , then (a_2, b_1) , then (a_2, b_2) , then (a_3, b_1) , then (a_3, b_2) , then (a_2, b_3) , then (a_1, b_3) , then (a_1, b_4) , then (a_2, b_4) , then (a_3, b_4) , and finally (a_3, b_3) .

Countability of \mathbb{R}

- Is \mathbb{R} countable?

Yes

No

Unknown
to science

Countability of \mathbb{R}



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No

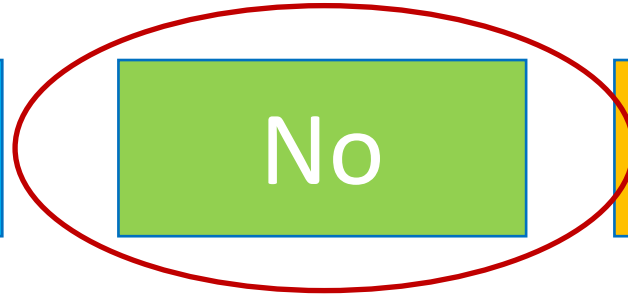
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Countability of \mathbb{R}



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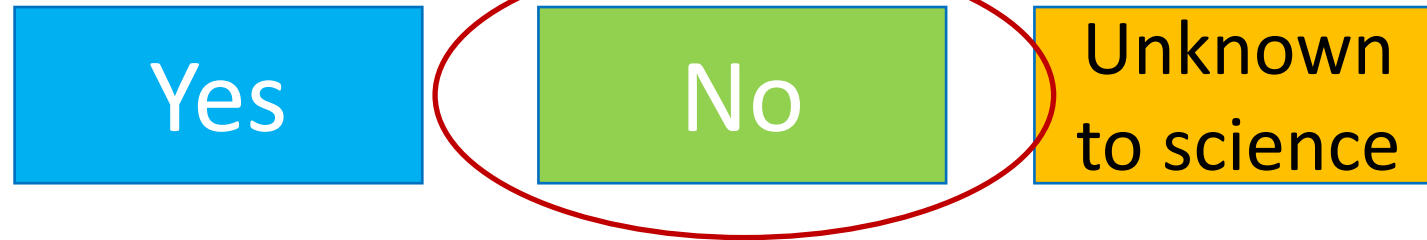
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- Cantor's famous **diagonal argument!**

Countability of \mathbb{R}



- Is \mathbb{R} countable?



- Cantor's famous **diagonal argument!**
- The argument actually proves that **the interval $[0,1]$ is uncountable**, but the result generalizes to the **entirety of \mathbb{R}**
 - Wait a few lectures to see why this is true.

Cantor's Diagonal Argument

- **Proof by contradiction:** Suppose that $[0, 1]$ is countable. Then, there exists some bijection from $\mathbb{N}^{\geq 1}$ to $[0, 1]$, i.e the reals can be enumerated in a sequence:

1. 0.28422856233.....
2. 0.28422856232.....
3. 0.28422856231.....
.....
.....
n. 0.28422855001.....

Cantor's Diagonal Argument

$$r_1 = 0.28422856233 \dots$$

$$r_2 = 0.28422856232 \dots$$

$$r_3 = 0.28422856231 \dots$$

$$\vdots = \dots\dots\dots$$

$$\vdots = \dots\dots\dots$$

$$r_n = 0.2842285500 \dots$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_i = \begin{cases} 0, & r_{i_i} = 9 \\ r_{i_i} + 1, & 0 \leq r_{i_i} < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

Cantor's Diagonal Argument

$$\begin{aligned} r_1 &= 0.28422856233 \dots \\ r_2 &= 0.28422856232 \dots \\ r_3 &= 0.28422856231 \dots \\ &\vdots = \dots\dots\dots \\ &\vdots = \dots\dots\dots \\ r_n &= 0.2842285500 \dots \end{aligned}$$

- Let's create the real number $r = 0.a_1a_2a_3 \dots a_n \dots$ where

$$a_i = \begin{cases} 0, & r_{i_i} = 9 \\ r_{i_i} + 1, & 0 \leq r_{i_i} < 9 \end{cases}$$

Note: r_{i_i} is the i^{th} digit of the i^{th} real.

- In our case, $r = 0.395 \dots$

Cantor's Diagonal Argument

$$r_1 = 0.\mathbf{2}8422856233 \dots$$

$$r_2 = 0.2\mathbf{8}422856232 \dots$$

$$r_3 = 0.28\mathbf{4}22856231 \dots$$

$$\vdots = \dots\dots\dots$$

$$\vdots = \dots\dots\dots$$

$$r_n = 0.2842285500 \dots$$

- Bill claims that $r = 0.395 \dots$ is the 17th real in the list.

Cantor's Diagonal Argument

$$r_1 = 0.28422856233 \dots$$

$$r_2 = 0.28422856232 \dots$$

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$$r_n = 0.2842285500 \dots$$

- Bill claims that $r = 0.395 \dots$ is the 17th real in the list.
- But this cannot be true, since our real number was constructed such that it differs from the 17th real in the 17th decimal digit!

Cantor's Diagonal Argument

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- Bill claims that $r = 0.395 \dots$ is the 17th real in the list.
- But this cannot be true, since our real number was constructed such that it **differs from the 17th real in the 17th decimal digit!**
- Generally speaking, r will differ from the i^{th} real in the i^{th} digit!
 - So we **can't** find an $k \in \mathbb{N}$ such that $0.395 \dots = r_k$.
 - **Contradiction, since we assumed we can enumerate all reals in $[0,1]$.**

$$\text{Is } |\mathbb{N}| < |\mathbb{R}|?$$

- Of course!
- But how can we say this rigorously?
- **Defn:** $|A| \leq |B|$ if there is an **injection** from A into B
- **Defn:** $|A| < |B|$ if there is an **injection** from A into B but there is **no surjection** from A into B !
 - **Advice:** Replace injection with “1-1 mapping” and surjection with “onto”

More Theorems on Countability

- Is the set of all functions $f: \mathbb{N} \mapsto \mathbb{N}$ countable?

Yes

No

Unknown
to science

More Theorems on Countability

- Is the set of all functions $f: \mathbb{N} \mapsto \mathbb{N}$ countable?



- Cantorian proof on next slide

$\{f \mid f : \mathbb{N} \mapsto \mathbb{N}\}$ Uncountable

- Assume that the set is countable. Then, all functions from \mathbb{N} to \mathbb{N} can be enumerated:

f_1, f_2, f_3, \dots

- Construct the function $g(x) = f_x(x) + 1$. g , when given input i , is different from f_i when also given input i . So there is no $k \in \mathbb{N}$ such that $f_k = g$. Contradiction. Therefore, $\{f \mid f : \mathbb{N} \mapsto \mathbb{N}\}$ uncountable.

More Theorems on Countability

- Is $\mathbb{R}^2 = \mathbb{R} \times \mathbb{R}$ countable?

Yes

No

Unknown
to science

More Theorems on Countability

- Is $\mathbb{R}^2 = \mathbb{R} \times \mathbb{R}$ countable?

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- **Proof:** $f: \mathbb{R} \mapsto \mathbb{R} \times \mathbb{R}$ such that $f(x) = (x, 1)$ is an **injection (1-1)**
 - Hence, $\mathbb{R} \times \mathbb{R}$ is **at least as big** as \mathbb{R} , and \mathbb{R} is uncountable.
 - So, $\mathbb{R} \times \mathbb{R}$ is uncountable.

More Theorems on Countability

- Is \mathbb{C} (set of **complex numbers**) countable?

Yes

No

Unknown
to science

More Theorems on Countability

- Is \mathbb{C} (set of **complex numbers**) countable?

Yes

No

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to science

- Remember: complex numbers defined as $a + b \cdot i$ for $a, b \in \mathbb{R}$.
 - $f: \mathbb{R} \times \mathbb{R} \mapsto \mathbb{C}$ such that $f((a, b)) = a + b \cdot i$ is a bijection from $\mathbb{R} \times \mathbb{R}$ to \mathbb{C}
 - But we know that $\mathbb{R} \times \mathbb{R}$ is **uncountable**. Therefore, \mathbb{C} is **uncountable**.

More Theorems on Countability

- Let A be any uncountable set. Is there any $B \subseteq A$ that is countable?

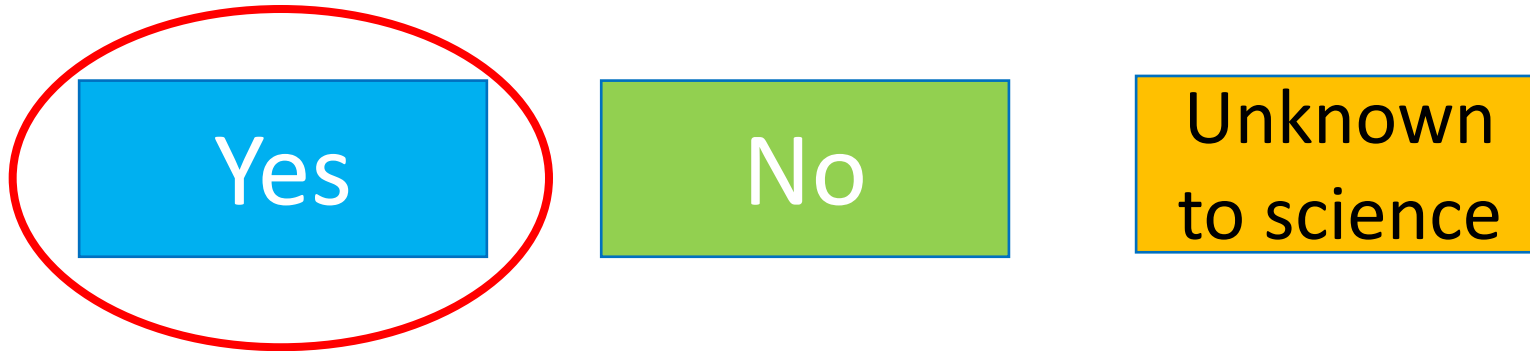
Yes

No

Unknown
to science

More Theorems on Countability

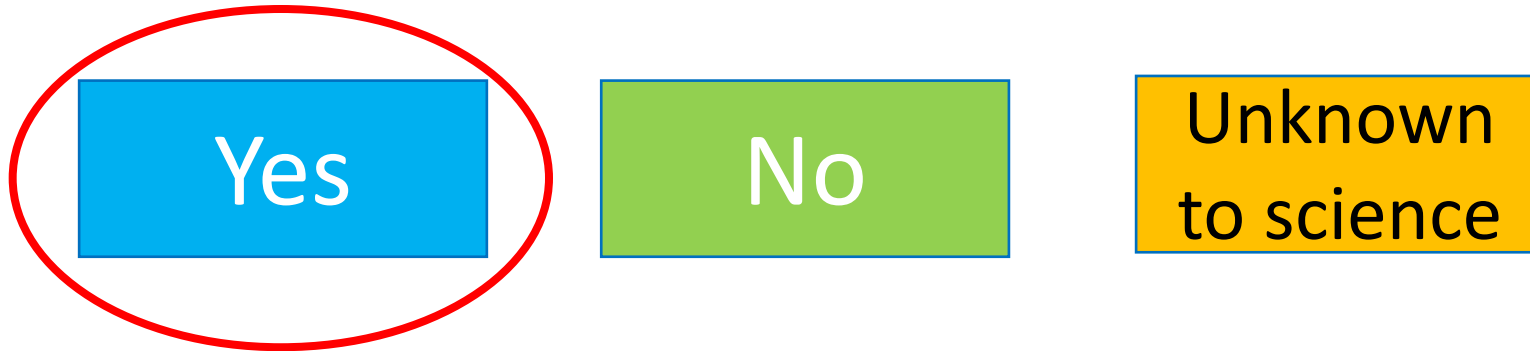
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- Consider: $[0,1]$ and $\left\{\frac{1}{x} \mid x \in \mathbb{N}^{\geq 1}\right\} \subseteq [0,1]$

More Theorems on Countability

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All these are positive rationals!

More Theorems on Countability

- Let $\{0, 1\}^\infty$ be the set of infinite sequences consisting only of 0s and 1s
 - Is it countable?

Yes

No

Unknown
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- Cantor-like proof in next slide!

The Set of Infinite Bit-strings is Uncountable

- Assume that the set is countable, then the strings can be enumerated:

1: 000111010101010...

2: 0101011110001101...

...

n: 010101000011100...

- Construct bit-string s which differs from the i^{th} string in the list in the i^{th} digit.
- Since this string is not in the list, we can't enumerate them all.
Contradiction.

More Theorems on Countability

- Let $A_1, A_2, A_3 \dots$ be an **infinite** sequence of countable sets.
- Is $A_1 \times A_2 \times A_3 \times \dots$ countable?

Yes

No

Unknown
to science

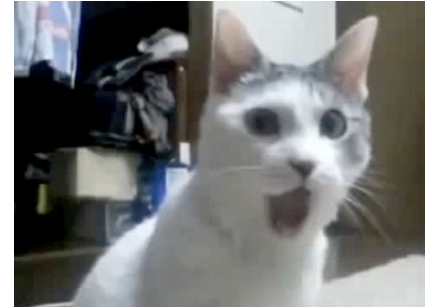
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Set of Infinite Cartesian Product of Countable Sets is Uncountable

- Notation: $a_i = \{a_{i_1}, a_{i_2}, a_{i_3}, \dots\}$
- Suppose that the set is countable. Then, enumeration:

$$\begin{aligned} & (a_{1_1}, a_{1_2}, a_{1_3}, a_{1_4}, \dots), \\ & (a_{2_1}, a_{2_2}, a_{2_3}, a_{2_4}, \dots), \\ & (a_{3_1}, a_{3_2}, a_{3_3}, a_{3_4}, \dots), \end{aligned}$$

...

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Construct infinite tuple $(a_{1_{x_1}}, a_{2_{x_2}}, a_{3_{x_3}}, \dots)$ such that x_i is an element of A_i different from the element used in the i^{th} position of the i^{th} tuple!

- This tuple cannot be in the list, etc etc etc

More Theorems on Countability

- Is $\mathcal{P}(\mathbb{N})$ (the powerset of the naturals) countable?

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No

Unknown
to science

More Theorems on Countability

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Powerset of Naturals Uncountable

- Assume that $\mathcal{P}(\mathbb{N})$ is countable. This means that we can arrange all of the subsets of \mathbb{N} in a sequence: S_1, S_2, \dots
- Let $A = \{i \in \mathbb{N} \mid i \notin S_i\} \subseteq \mathbb{N}$
- By construction, A cannot be in the list of subsets.
- Contradiction. So $\mathcal{P}(\mathbb{N})$ uncountable.

Infinite Number of Infinities

- We just showed that $\mathbb{N} < \mathcal{P}(\mathbb{N})$
- Similar proof: for any set A , $A < \mathcal{P}(A)$

$$\mathbb{N} < \mathcal{P}(\mathbb{N}) < \mathcal{P}(\mathcal{P}(\mathbb{N})) < \mathcal{P}(\mathcal{P}(\mathcal{P}(\mathbb{N}))) < \dots$$

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- How many levels of infinity are there?

Countably
many

Uncountably
many (\mathbb{R})

More than
 \mathbb{R}

42

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STOP

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