

CFL's are in P

1 Introduction

In this manuscript we prove that if L is a Context Free Language (CFL) then $L \in P$. In particular, L can be solved in time $O(n^3)$.

We need the following definitions before we can say what our steps are

Notation 1.1

1. Capital letters are nonterminals. Small letters are terminals (elements of Σ). $\sigma \in \Sigma$.
2. Let $\alpha, \beta \in (N \cup \Sigma)^*$. $\alpha \rightarrow_G^* \beta$ means that starting from α if you apply some finite number of productions you end up with β .

Def 1.2 Let G be a context free grammar.

1. G is *e-free* if there are no productions of the form $A \rightarrow e$.
2. A *unit production* is a production of the form $A \rightarrow B$.
3. G is in *Chomsky Normal Form* if every production is of the form either $A \rightarrow BC$ or $A \rightarrow \sigma$

Here is our rough plan. For this high level description we ignore the case where $e \in L(G)$.

1. We give a procedure that will take a CFG G such that $e \notin L(G)$ and return an e -free CFG G' such that $L(G) = L(G')$.
2. We give a procedure that will take a CFG G with no e -productions and return a CFG G' with no e -production AND no unit productions such that $L(G) = L(G')$.
3. We give a procedure that takes a CFG G with no e -productions and no unit productions and return a grammar G' in Chomsky Normal Form such that $L(G) = L(G')$.
4. We show that if G is in Chomsky Normal Form then there exists an $O(n^3)$ time algorithm for $L(G)$.

Note 1.3 Better algorithms are known. Let ω be the constant on matrix multiplication. Then there is an algorithm for CFL's that is in time $O(n^\omega)$.

2 Getting Rid of e-Productions

Theorem 2.1 *There is an algorithm that does the following: Given a grammar G (1) Determine if $e \in L(G)$, and (2) (in any case) return an e -free grammar G' such that $L(G') = L(G) - \{e\}$.*

Proof: Do the following procedure until either there are no e -productions or there is one and it is $S \rightarrow e$.

1. If there exists a production of the form $A \rightarrow e$ ($A \neq S$) then do the following:
 - (a) Remove the production $A \rightarrow e$.
 - (b) For every production of the form
 $B \rightarrow \alpha A \beta$
add the production
 $B \rightarrow \alpha \beta$.
(Note- you still KEEP the production $B \rightarrow \alpha A \beta$.)

If at the end there are NO e -productions then $e \notin L(G)$ and the resulting grammar is G' . If at the end there are the e -productions $S \rightarrow e$ then $e \in L(G)$ and let G' be the resulting grammar MINUES $S \rightarrow e$.

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3 Getting Rid of Unit Productions

Def 3.1 Let $G = (N, \Sigma, P, S)$ be a CFG. A production of the form $A \rightarrow B$ is a *unit production*.

Theorem 3.2 *There exists an algorithm that will, given a CFG $G = (N, \Sigma, P, S)$ with no e -productions, will output a grammar $G' = (N', \Sigma, P', S')$ with no e -production AND no unit productions such that $L(G) = L(G')$.*

Proof: We give the algorithm, show that it works in the correct time, but do not prove that it works.

We use \Rightarrow to mean \rightarrow_G^* . We first find all $A, B \in N$ such that $A \Rightarrow B$. Since there are no e -productions this is easy and only involves unit-productions. Formally we make a directed graph out of all of the nonterminals, with an edge between X and Y if $X \rightarrow Y$. Then, all pairs A, B

such that there is a directed graph from A to B are all the pairs such that $A \Rightarrow B$.

Let the set of all (A, B) such that $A \Rightarrow B$ be called SUPERUNITS.

1. Let $PROD$ be P minus the UNIT productions.
2. Find the set of SUPERUNITES.
3. For all SUPERUNITS $A \Rightarrow B$ do
 - (a) For all productions in $PROD$ of the form $X \rightarrow \alpha_1 A \alpha_2 A \cdots \alpha_{L-1} A \alpha_L$ add all productions that replace some of the A 's with B 's (there will be $2^L - 1$ new productions). Note that these new productions will NOT be unit productions.

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4 Chomsky Normal Form

Def 4.1 A grammar Let $G = (N, \Sigma, P, S)$ is in *Chomsky Normal Form* if every production is either of the form $A \rightarrow BC$ or $A \rightarrow \sigma$ where $\sigma \in \Sigma$.

Theorem 4.2 *There exists an algorithm that will, given a CFG $G = (N, \Sigma, P, S)$ with no ϵ -productions, no unit productions, output a grammar $G' = (N', \Sigma, P', S')$ in Chomsky Normal Form such that $L(G) = L(G')$.*

Proof:

Look at each rule of the form $A \rightarrow \alpha_1 \alpha_2 \cdots \alpha_L$.

1. If $L = 2$ and α_1, α_2 are nonterminals then leave this production alone.
2. If $L = 2$ and at least one of α_1, α_2 is a terminal OR if $L \geq 3$ then we do the following:

(a) Replace every terminal α_i with nonterminal $[\alpha_i]$ and add the rule $[\alpha_i] \rightarrow \alpha_i$.

(b) Note that the rule is now of the form

$$A \rightarrow \beta_1 \cdots \beta_L$$

where each β_i is a nonterminal.

Replace this with the following:

$$A \rightarrow [\beta_1 \cdots \beta_{L-1}] \beta_L$$

$$[\beta_1 \cdots \beta_{L-1}] \rightarrow [\beta_1 \cdots \beta_{L-2}] \beta_{L-1}$$

$$[\beta_1 \cdots \beta_{L-2}] \rightarrow [\beta_1 \cdots \beta_{L-3}] \beta_{L-2}$$

etc until

$$[\beta_1 \beta_2 \beta_3] \rightarrow [\beta_1 \beta_2] \beta_3$$

$$[\beta_1 \beta_2] \rightarrow \beta_1 \beta_2.$$

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5 CFL's in P

Theorem 5.1 *If L is a CFL then L is in $O(n^3)$.*

Proof: If $L = \emptyset$ then L is in $O(n^3)$ time. Apply the procedure in Theorems 2.1 and 3.2 to determine if $e \in L(G)$ and also to obtain a G' such that $L(G') = L(G) - \{e\}$.

We show that $L(G')$ is in $O(n^3)$. This time does not count for the algorithm. This time is preprocessing.

We use DYNAMIC PROGRAMMING! Intuitively: Given a string $w = w_1w_2 \dots w_n$ we want to look which nonterminals A can produce $w_i \dots w_j$. We do this, first for $i = j$ (that is $j - i = 0$) then for $j - i = 1, j - i = 2$, etc. The KEY is that D generates $w_iw_{i+1} \dots w_j$ iff $D \rightarrow BC$ and B generates a prefix, say $w_i \dots w_k$, and C generates the remaining suffice, say $w_{k+1} \dots w_n$.

The KEY definition is

$$A[i, j] = \{B \mid B \Rightarrow w_i \dots w_j\}.$$

The formal algorithm is on then page.

There are $O(n^2)$ spaces in the array to fill out. Each one takes at most $O(n)$ to fill out.

CFL's in P

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for i=1 to n
  A[i, i] = {B | B → wi}
for d=1 to n-1
  for i=1 to n-d
    j=i+d
    A[i, j] =  $\bigcup_{i \leq k < j} \{D \mid B \in A[i, k] \wedge C \in A[k+1, j] \wedge D \rightarrow BC\}$ 
If S ∈ A[1, n] then output YES, else output NO.
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