

## 452 MIDTERM

**Do not open this exam until you are told. Read these instructions:**

1. This is a closed book exam, though ONE sheet of notes is allowed. **No calculators, or other aids are allowed.** If you have a question during the exam, please raise your hand.
2. There are 4 problems which add up to 100 points. The exam is 1 hours 15 minutes.
3. For each question show all of your work and **write legibly. Clearly indicate** your answers. No credit for illegible answers.
4. After the last page there is paper for scratch work. If you need extra scratch paper **after** you have filled these areas up, please raise your hand. Scratch paper must be turned in with your exam, with your name and ID number written on it, but scratch paper **will not** be graded.
5. Please write out the following statement: *“I pledge on my honor that I will not give or receive any unauthorized assistance on this examination.”*

6. Fill in the following:

NAME :  
SIGNATURE :  
SID :  
SECTION NUMBER :

SCORES ON PROBLEMS

Prob 1:
Prob 2:
Prob 3:
Prob 4:
TOTAL

1. (25 points) For the next three problems fill in the blanks. No explanation required.

- (a) If there exists a DFA that recognizes  $L_1$  with  $n_1$  states and a DFA that recognizes  $L_2$  with  $n_2$  states, then there exists a DFA for  $L_1 \cap L_2$  with BLANK states.

BLANK is:

- (b) If there exists a DFA that recognizes  $L$  with  $n$  states, then there is a DFA that recognizes  $\bar{L}$  (also called  $\Sigma^* - L$ ) with BLANK states.

BLANK is:

- (c) If there exists an NFA that recognizes  $L$  with  $n$  states, then there is a DFA that recognizes  $L$  with BLANK states.

BLANK is:

- (d) If there exists a regular expression for  $L_1$  of length  $n_1$  and a regular expression for  $L_2$  of length  $n_2$ , then there exists a regular expression for  $L_1L_2 = \{xy \mid x \in L_1, y \in L_2\}$  with BLANK states.

BLANK is:

- (e) If there exists a DFA for  $L$  over the alphabet  $\{00, 01, 10, 11\}$  with  $n$  states then there exists an NFA for the projection of  $L$  on the first coordinate over the alphabet  $\{0, 1\}$  with BLANK states.

BLANK is:

### SOLUTION TO PROBLEM ONE

You did not have to give an explanation but I will.

- (a)  $n_1n_2$ . The cross product construction.  
(b)  $n$ . Swapping final and non-final states does not change the number of states.  
(c)  $2^n$ . The powerset construction.

- (d)  $n_1 + n_2$ . This is just one reg exp followed by another. We also accepted  $n_1 + n_2 + 1$  in case you thought you needed a DOT for concat.
- (e)  $n$ . When you project you go from a DFA to an NFA but do not change the number of states.

**END OF SOLUTION TO PROBLEM ONE**

2. (25 points) We use the WS1S convention. Recall that, for example  $(2, 5, \{0, 3, 5, 6\})$  is represented as follows:

0	0	1	*	*	*	*
0	0	0	0	0	1	*
1	0	0	1	0	1	1

where the  $*$  can be either 0 or 1.

Write down DFA's for the following. Label states A (for Accept), R (for Reject), and S (for Stupid).

- (a)  $\{(x, y) : x \leq y + 1\}$ .  
(b)  $\{(x, y) : x \leq y + 1000\}$ . (For this one, you can and should use DOT DOT DOT.)

### **SOLUTION TO PROBLEM TWO**

Omitted since hard to draw DFA's in LaTeX.

**END OF SOLUTION TO PROBLEM TWO**

3. (a) (10 points) We define *extended regular Expressions* as regular expressions that also: (1) allows numerical exponents so you can write things like  $a^{1000}$ , which of course means 1000  $a$ 's, and (2) allows complementation, so you can write things like  $(a \cup b)^i - a^i$ . Give an extended regular expression for the set

$$L = \{w : w \text{ has } aa \text{ as a prefix but DOES NOT have } aa \text{ as a suffix} \}$$

**(Examples:**

$aa$  is NOT in  $L$  since it has  $aa$  as a suffix.

$aaa$  is NOT in  $L$  since it has  $aa$  as a suffix.

$aab$  is in  $L$  since it has  $aa$  as a prefix and does not have  $aa$  as a suffix.

$aaab$  IS in  $L$  since it has  $aa$  as a prefix but does not have  $aa$  as a suffix.

)

**Hint:** Make sure your extended regular expression DOES include  $aab$  and  $aaab$  but and DOES NOT include  $aa$  or  $aaa$ .

- (b) (15 points) Give an extended regular expression for the set

$$L = \{w : w \text{ has } a^{1000} \text{ as a prefix but DOES NOT have } a^{1000} \text{ as a suffix} \}$$

(You may use DOT DOT DOT or unions such as  $\bigcup_{i=1}^{948}$ .)

### SOLUTION TO PROBLEM THREE

- 1) Some people answered

$$aa(a \cup b)^*(ab \cup ba \cup bb).$$

This is not quite correct since it leaves out  $aab$ . What to do about that? The above expression is fine for strings of length  $\geq 4$ . We must include the strings of length  $\leq 3$  directly.

No string of length 0 or 1 is in since can't have  $aa$  as a prefix.

No string of length 2 can be in since if it has  $aa$  as a prefix it has  $aa$  as a suffix.

The only string of length 3 that can be in is  $aab$ .

Hence one possible answer is:

$$aa(a \cup b)^*(ab \cup ba \cup bb) \cup \{aab\}.$$

There is also an alternative, slightly simpler expression. If we wanted strings that have  $aa$  as a prefix, and don't care about the suffix, we could write  $aa(a \cup b)^*$ . But we also want to *disallow* strings of the form  $(a \cup b)^*aa$ , which are those with  $aa$  as a suffix. We can use complementation to get our final answer:

$$aa(a \cup b)^* - (a \cup b)^*aa$$

2) Some people answered

$$a^{1000}(a \cup b)^*((a \cup b)^{1000} - a^{1000}).$$

This is not quite correct since it leaves out any expression of length  $\leq 1999$ . What do do about that? The above expression is fine for strings of length  $\geq 2000$ . We must include the strings of length  $\leq 1999$  directly.

No string of length 0, 1, 2, ... 999 is in since can't have  $a^{1000}$  as a prefix.

No string of length 1000 can be in since if has  $a^{1000}$  as a prefix then its also a suffix.

Let  $1 \leq i \leq 999$ . The only strings of length  $1000 + i$  are of the form  $a^{1000}((a \cup b)^i - a^i)$ .

So one possible answer is

$$a^{1000}(a \cup b)^*((a \cup b)^{1000} - a^{1000}) \cup \bigcup_{i=1}^{999} a^{1000}((a \cup b)^i - a^i).$$

Some version that uses DOT DOT DOT instead of the big union is fine also, so long as its clear.

As with the previous part, we can get a simpler expression:

$$a^{1000}(a \cup b)^* - (a \cup b)^*a^{1000}$$

**END OF SOLUTION TO PROBLEM THREE**

4. (25 points) Show that ANY DFA for

$$L = \{a^i : i \neq 1000\}$$

has to have at least 1000 states.

#### SOLUTION TO PROBLEM FOUR

Assume, by way of contradiction, that  $L$  has a DFA  $M$  with  $\leq 999$  states.

Feed the string  $a^{1000}$  into  $M$  and look at the resulting sequence of states (not counting  $a^0$ ). It will be 1000 long and end in a NON-final state. Since the DFA has  $\leq 999$  states some state repeats. Call it  $q$ . Let  $s$  be the start state,  $q$  be the state that repeats, and  $NOTF$  be the state that  $a^{1000}$  ends up in (a NOT Final state)

The sequence of states is:

$s, \dots, q, \dots, q, \dots, NOTF$ .

Let  $k$  be the number of  $a$ 's that take you from the first  $q$  to the second  $q$  (so the number of  $a$ 's in the loop). Then note that

$a^{1000}$  ends up in state  $NOTF$  and is rejected, as it should be

but

$a^{1000+k}$  ends up in state  $NOTF$  and is rejected WHICH IT SHOULDN'T BE.

**END OF SOLUTION TO PROBLEM FOUR**



Scratch Paper