

Substitution-Permutation Networks (SPNs)

Recall...

- ▶ Want keyed permutation

$$F : \{0, 1\}^n \times \{0, 1\}^\ell \rightarrow \{0, 1\}^\ell$$

n = key length, ℓ = block length

- ▶ Want F_k (for uniform, unknown key k) to be indistinguishable from a uniform permutation over $\{0, 1\}^\ell$

Designing block ciphers

- ▶ If x and x' differ in one bit, what should the relation between $F_k(x)$ and $F_k(x')$
 - ▶ How many bits should change (on average)?

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 - ▶ Which bits should change?

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- ▶ If x and x' differ in one bit, what should the relation between $F_k(x)$ and $F_k(x')$
 - ▶ How many bits should change (on average)? $n/2$
 - ▶ Which bits should change? **unpredictable**
- ▶ How to achieve this?

Confusion/Diffusion

- ▶ **Confusion**

- ▶ Small change in input should result in local, “random” change in output

- ▶ **Diffusion**

- ▶ Local change in output should be propagated to entire output

Substitution-Permutation Networks (SPNs)

- ▶ Build **random-looking** perm on large input from rand perms on small inputs
- ▶ E.g. assume 8-byte block length

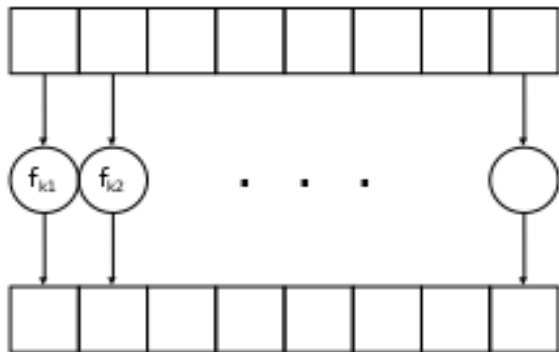


$$F_k(x) = f_{k1}(x_1)f_{k2}(x_2) \dots f_{k8}(x_8)$$

where each f_{ki} is a random permutation of $n/8$ numbers.

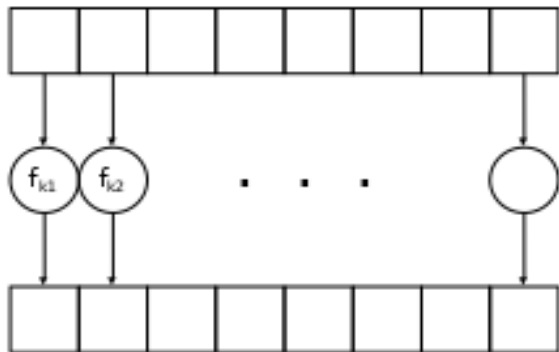
- ▶ Need k to code 8 perms of $n/8$ numbers. Clunky. Need the perms to be fast AND random-looking. Hard!
Punchline: Won't be using this but pretend for now to see what we aspire to.

Substitution-Permutation Networks (SPNs)



Is this a pseudorandom function? Vote

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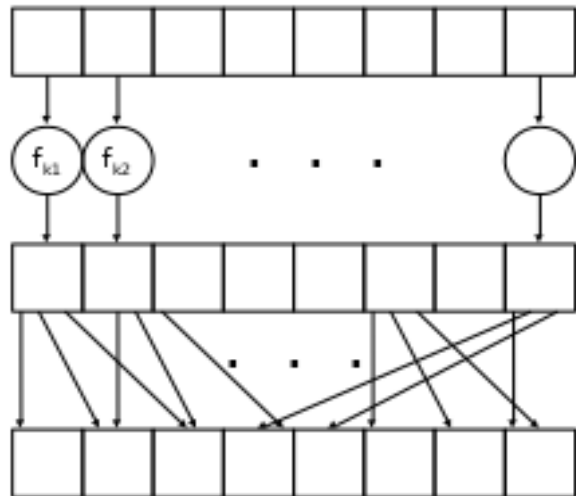


Is this a pseudorandom function? Vote **No** Too Local

SPN

- ▶ This has confusion but no diffusion. Random-looking locally but not globally.
 - ▶ Add a *mixing permutation*...

SPN



Invertibility

- ▶ Note that the structure is invertible (given the key) since the f s are permutations

SPN

- ▶ Mixing permutation is public
 - ▶ Chosen to ensure good diffusion
- ▶ Does this give a pseudorandom function?
- ▶ What if we repeat for another round (with independent, random functions)?
 - ▶ What is the minimal # of rounds we need?
 - ▶ **Avalanche effect**: Small change in input leads to global change.

SPN

1. From key k get 8 random perms on $n/8$ bit
2. $F_k(x) = f_{k1}(x_1) \cdots f_{k8}(x_8)$ where $x = x_1 \cdots x_8$.
3. Permute the blocks.
4. Lather, Rinse, Repeat many times.

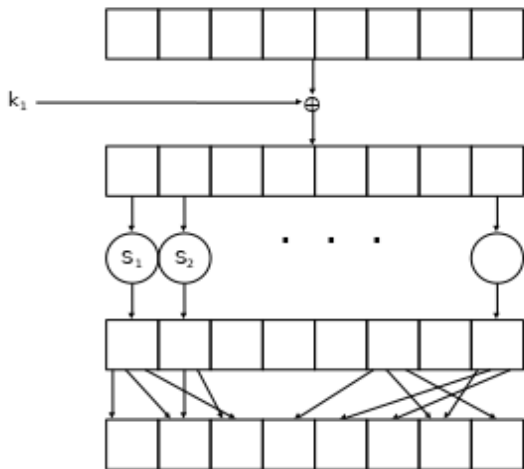
PRO: Provably gives pseudorandom perm

CON: Hard to generate fast random perms.

SPN

Key will not code perms. Key will be $k = k_1 \cdots k_{n/8}$ and k_i 's will be used along with public S -box to create perms.

- ▶ $f_{k_i}(x) = S_i(k_i \oplus x)$, where S_i is a public permutation
- ▶ S_i are called “S-boxes” (substitution boxes)
- ▶ XORing the key is called “key mixing”
- ▶ Note that this is still invertible (given the key)



Avalanche effect

- ▶ Design S-boxes and mixing permutation to ensure avalanche effect
 - ▶ Small differences should eventually propagate to entire output
- ▶ S-boxes: 1-bit input change $\implies \geq 2$ -bit output change
- ▶ Mixing permutation
 - ▶ Each bit output from a given S-box should feed into a *different* S-box in the next round

S-Boxes are HARD to Create

Building them is a major challenge.

Titles of Papers that tried:

The Design of S-Boxes by Simulated Annealing

A New Chaotic Substitution Box Design for Block ciphers

Perfect Nonlinear S-Boxes

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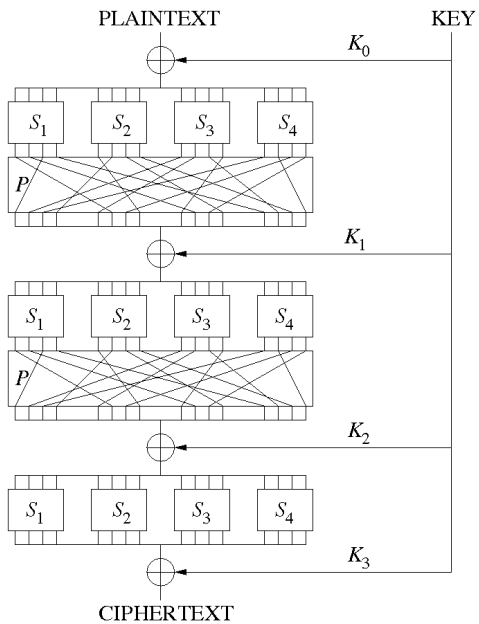
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20,000. Given repeats and conference-Journal repeats, there are approx **10,000** papers on S-boxes.



SPN

- ▶ One round of an SPN involves
 - ▶ Key mixing
 - ▶ Ideally, round keys are independent
 - ▶ In practice, derived from a master key via *key schedule*
 - ▶ Substitution (S-boxes)
 - ▶ Permutation (mixing permutation)
- ▶ r -round SPN has r rounds as above, plus a final key-mixing step
 - ▶ Why?
- ▶ Since S -boxes and Perms are invertible and public, if there was no final key-mixing stage then the last stage would be pointless.

Key-Recovery Attacks

- ▶ Key-recovery attacks are even more damaging than distinguishing attacks
 - ▶ As before, a cipher is secure only if the best key-recovery attack takes time $\approx 2^n$
 - ▶ A fast key-recovery attack represents a **complete break** of the cipher

Key-recovery attack, 1-round SPN

Consider case where there is no final key-mixing step.

1. Public input x_1
2. Then get $x_2 = k \oplus x_1$ where k is private
3. Then get x_2 and do S -box stuff to it, and Perm to it, to get x_3
4. Output x_3 . Public.

If see all of this then Eve knows x_1, x_3 . Can she find k ? **Discuss**

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Yes

- 1) From x_3 can find x_2 since S -box stuff and Perm are all invertible.
- 2) Compute $x_1 \oplus x_2 = x_1 \oplus x_1 \oplus k = k$

Key-recovery attack, 1-round SPN

There is a final key-mixing step. Key $k_1, k_2 \in \{0, 1\}^{n/2}$.

1. Public input x_1
2. $x_2 = k_1 \oplus x_1$ where k_1 is private
3. x_2 and do S -box stuff to it, and Perm to it, to get x_3
4. Output $x_4 = x_3 \oplus k_2$ where k_2 is private.

Eve sees x_1, x_4 .

For each (k_1, k_2) see if x_1, x_4 is consistent with it. There may be many candidates. As Eve sees more input-output pairs she can zero in on the right candidate with roughly 2^n input-output pairs.

Can Eve do better?

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For each $k_2 \in \{0, 1\}^{n/2}$ view the SPN as in prior slide- no last key-mixing stage. Hence can derive k_1 . Have only $2^{n/2}$ candidates. Eve needs only $2^{n/2}$ input-output pairs.

Key-recovery attack, 1-round SPN, Better Attack

Work S-box-by-S-box. Assume $\frac{n}{a}$ a -to- a S-boxes.

Each guess of the first a bits of k_2 determines some a bits of k_1 .

So have 2^a possibilities for $2a$ -bits

Do this for first, second, \dots , $\frac{n}{a}$ part of k_2

This took time

$$\underbrace{2^a + 2^a + \dots + 2^a}_{\frac{n}{a} \text{ times}} = \frac{n2^a}{a}$$

steps. Still have $2^{n/2}$ possibilities for the key but took less time to find them.

Given an input-output pair it will likely eliminate **many** of the

r rounds

- 1) Can extend to r rounds but time complexity goes up.
- 2) Better than naive but still too slow.
- 3) Considered secure if r is large enough.
- 4) AES uses 8-bit S-boxes and at least 9 rounds (and other things) and is thought to be secure.

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- 4) AES uses 8-bit S-boxes and at least 9 rounds (and other things) and is thought to be secure. **For now.**