

# Admin and The Shift Cipher

lecture 01

# Crypto Is...

- ▶ Crypto is amazing
  - ▶ Can do things that initially seem impossible
- ▶ Crypto is important
  - ▶ It impacts us every day
- ▶ Crypto is fun!
  - ▶ Deep theory
  - ▶ Attackers' mindset

# Crypto Is Not...

# Crypto Is Not...

James Bond

# Crypto Is Not...

James Bond

- ▶ James Bond is Fictional.

# Crypto Is Not...

## James Bond

- ▶ James Bond is Fictional.
- ▶ James Bond is a drunk  
See article on course website: *License to Swill*.

# Crypto Is Not...

## James Bond

- ▶ James Bond is Fictional.
- ▶ James Bond is a drunk  
See article on course website: *License to Swill*.
- ▶ James Bond's Villains are stupid  
See video on course website *Goodbye Mr. Bond*.

# Crypto Is Not...

## James Bond

- ▶ James Bond is Fictional.
- ▶ James Bond is a drunk  
See article on course website: *License to Swill*.
- ▶ James Bond's Villains are stupid  
See video on course website *Goodbye Mr. Bond*.

**Seriously:** Spying depends a lot more on **Math** than on **Fancy Weapons**.



# Necessary administrative stuff

- ▶ Course webpage:

<https://www.cs.umd.edu/users/gasarch/COURSES/456/F19/index.html>

- ▶ Prerequisites/information posted there
- ▶ Syllabus posted there
- ▶ HWs posted there
- ▶ Announcements posted there
- ▶ Midterm already scheduled- Oct 28 in class.

# Necessary administrative stuff

- ▶ Gradescope: hw will be posted there.
- ▶ Gradescope: hw will be graded there.
- ▶ Regrade Requests due within a week of the HW being graded.
- ▶ Grades on Elms.
- ▶ Piazza is great for asking questions.

# TAs

- ▶ Nathan Grammel
- ▶ Marina Knittel
- ▶ Erik Metz
- ▶ Justin Hontz

# What You Need For This Class

- ▶ Mathematical prerequisites
  - ▶ Discrete math, probability, modular arithmetic
- ▶ Requires mathematical maturity
  - ▶ Proofs, abstraction

# What You Need For This Class

- ▶ CS prerequisites
  - ▶ Binary, hex, pseudocode, algorithms, big-O notation
- ▶ Programming assignments
  - ▶ Hard part should not be the programming, but the thought behind it
  - ▶ Flexibility in choice of language

# How to Get the Most Out of This Class

1. Read notes and slides before class.

**Note:** On Slide Website it says on some line  
**WHAT IS BELOW IS STILL A WORK IN PROGRESS.**

Should not read slides that are below that line.

2. Ask questions on Piazza and/or bring questions to class
3. This course will be taped so can catch up or review. Caution:
  - 3.1 If cut class and DO watch videos in sync, fine.
  - 3.2 If cut class and INTEND to watch videos in sync, not fine.
  - 3.3 Tape might not always work.

# HWs/exams

- ▶ HWs most weeks.
- ▶ Due Monday **before** class begins.
- ▶ **Dead Cat Policy:** Can submit HW Wed **before** class without penalty
- ▶ **WARNING:** YOU have already been given an extension, HW solutions will be posted on Wed, so NO extensions past that.
- ▶ We will keep track of your lateness NOT for grade, but for recommendation letters.
- ▶ In-class midterm and final

# Textbook

**Required** None. There will be notes, slides, and recording of lecture online.



# Laptops/electronics

- ▶ No laptops/electronics policy
  - ▶ Distracting to you
  - ▶ Distracting to others
- ▶ If you feel you need an exception, talk to me

# How to contact Prof or TAs

- ▶ Prof email: [gasarch@cs.umd.edu](mailto:gasarch@cs.umd.edu)
- ▶ Please put “CMSC456” in subject line
- ▶ Prof Office hours MW 1-2, 3:30-5:00 or by Apt.
- ▶ Prof around a lot outside of office hours, feel free to drop in.
- ▶ TA's - email and office hours will be on syllabus by Aug 29, 2019.
- ▶ Piazza

# Classical VS Modern cryptography

**Classical:** (1900 BCE?–1975)

1. More of an art. Not much Mathematics.
2. WW II: They used people good at crossword puzzles (see course website for an article on this).
3. Turing and others brought math into it, but not much math compared compared to **Modern**

**Modern:** (1976-today)

1. Lots of Math. Lots of Rigor.
2. The notion of **Provably Secure** important.

**Note:** The cutoff of 1975–1976 is approximate.

# Classical Cryptography

lecture 01

# Motivation

- ▶ Allows us to “ease into things. . . ,”
- ▶ Shows why unprincipled approaches are dangerous (unprincipled means **not-rigorous**, not **immoral**)
- ▶ Illustrates why things are more difficult than they may appear
- ▶ Simple examples of what will later be advanced concepts.

# Alice, Bob, and Eve

- ▶ Alice sends a message to Bob in code.
- ▶ Eve overhears it.
- ▶ We want Eve to not be able to decode it.

This can mean one of two things:

- ▶ Eve does not have enough information to decode it. So even if Eve had unlimited computing power she could not decode. This is **Information-Theoretic Security**.
- ▶ Assuming Eve can't Factor quickly (or some other computational limitation) then Eve cannot break the code. This is **Computational-Security**.

# The First Step in Any Cipher-Spaces

I want to encode

*Cryptography is an important part of security*

Spaces give away information! For example, SHIFT-BY-1 yields:

*Dszquphsbiz jt bo jnqpsubou qbsu pg tfdvsjuz*

Without any fancy math Eve knows that the second and third word are two letters long. That's information she can use!

What to do?

# The First Step in Any Cipher-Blocks of Five

I want to encode

*Cryptography is an important part of security*

Break it up into blocks of 5:

*Crypto graph yisan impor tantp artof secur ity*

However you code it, spaces will not give anything away.



# The First Step in Any Cipher-Other Issues

I want to encode

*Are my TAs for CMSC/MATH 456 awesome? YES!*

# The First Step in Any Cipher-Other Issues

I want to encode

*Are my TAs for CMSC/MATH 456 awesome? YES!*

1. Capital and small letters leak information.

# The First Step in Any Cipher-Other Issues

I want to encode

*Are my TAs for CMSC/MATH 456 awesome? YES!*

1. Capital and small letters leak information.  
Map everything to Capitals.

# The First Step in Any Cipher-Other Issues

I want to encode

*Are my TAs for CMSC/MATH 456 awesome? YES!*

1. Capital and small letters leak information.  
Map everything to Capitals.
2. Punctuation leaks information.

# The First Step in Any Cipher-Other Issues

I want to encode

*Are my TAs for CMSC/MATH 456 awesome? YES!*

1. Capital and small letters leak information.  
Map everything to Capitals.
2. Punctuation leaks information.  
Get rid of all punctuation.

# The First Step in Any Cipher-Other Issues

I want to encode

*Are my TAs for CMSC/MATH 456 awesome? YES!*

1. Capital and small letters leak information.  
Map everything to Capitals.
2. Punctuation leaks information.  
Get rid of all punctuation.
3. What to do about numbers?

# The First Step in Any Cipher-Other Issues

I want to encode

*Are my TAs for CMSC/MATH 456 awesome? YES!*

1. Capital and small letters leak information.  
Map everything to Capitals.
2. Punctuation leaks information.  
Get rid of all punctuation.
3. What to do about numbers?  
Just like letters- alphabet is 36 characters

# The First Step in Any Cipher-Other Issues

I want to encode

*Are my TAs for CMSC/MATH 456 awesome? YES!*

1. Capital and small letters leak information.  
Map everything to Capitals.
2. Punctuation leaks information.  
Get rid of all punctuation.
3. What to do about numbers?  
Just like letters- alphabet is 36 characters  
More generally, we will take into account alphabet size.



# The First Step in Any Cipher-Other Issues

I want to encode

*Are my TAs for CMSC/MATH 456 awesome? YES!*

1. Capital and small letters leak information.  
Map everything to Capitals.
2. Punctuation leaks information.  
Get rid of all punctuation.
3. What to do about numbers?  
Just like letters- alphabet is 36 characters  
More generally, we will take into account alphabet size.

**Note:** In this class we will mostly use 26-letter English only unless otherwise noted.

# The Shift Cipher

lecture 01

# The Shift Cipher

- ▶ Consider encrypting English text
- ▶ associate 'a' with 0; 'b' with 1; ...; 'z' with 25
- ▶  $s \in \{0, \dots, 25\}$  (or could think of  $s \in \{a, \dots, z\}$ )
- ▶ To encrypt using key  $s$ , shift every letter of the plaintext by  $s$  positions (with wraparound)
- ▶ Decryption just does the reverse

```
hello world
+22222 22222
=jgnnq yqtnf
```

# Modular arithmetic

- ▶  $x \equiv y \pmod{N}$  if and only if  $N$  divides  $x - y$ .
- ▶  $[x \bmod N]$  = the remainder when  $x$  is divided by  $N$ .
  - ▶ i.e. the unique value  $y \in \{0, \dots, N - 1\}$  such that  $x \equiv y \pmod{N}$ .
- ▶  $25 \equiv 35 \pmod{10}$
- ▶  $25 \neq [35 \bmod 10]$
- ▶  $5 = [35 \bmod 10]$

# The Shift Cipher, Formally

- ▶  $\mathcal{M} = \{\text{all texts in lowercase English alphabet}\}$   
 $\mathcal{M}$  for **Message space**.  
All arithmetic mod 26.
- ▶ Choose uniform  $s \in \mathcal{K} = \{0, \dots, 25\}$ .  $\mathcal{K}$  for **Keyspace**.
- ▶ Encode  $(m_1 \dots m_t)$  as  $(m_1 + s, \dots m_t + s)$
- ▶ Decode  $(c_1 \dots c_t)$  as  $(c_1 - s, \dots c_t - s)$
- ▶ Can verify that correctness holds.

# Is the Shift Cipher Secure?

- ▶ No – only 26 possible keys!
  - ▶ Given a ciphertext, try decrypting with every possible key
  - ▶ Only one possibility will “make sense”
- ▶ Example of a “brute-force” or “exhaustive-search” attack

# Example

- ▶ Ciphertext uryyb jbeyq
- ▶ Try every possible key...
  - ▶ tqxxa iadxp
  - ▶ spwwz hzcwo
  - ▶ ...
  - ▶ hello world

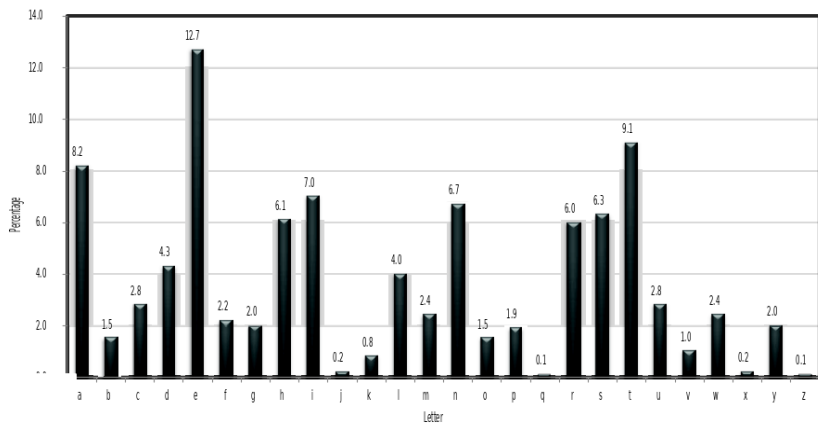
# Example

- ▶ Ciphertext uryyb jbeyq
- ▶ Try every possible key...
  - ▶ tqxxa iadxp
  - ▶ spwwz hzcwo
  - ▶ ...
  - ▶ hello world

**Question:** We can tell that **hello world** is correct but how can a computer do that. Can we mechanize the process of picking out **the right one**?



# Letter Frequencies



# Use Letter Freqs to Test "Looks Like English"

Let  $T$  be a long text of normal English.

Let  $\vec{f}$  be the freq vector of English. The components are all between 0 and 1 and add up to 1.

We assume freq vector of  $T$  is approx  $\vec{f}$ .

- ▶ One can compute that

$$\vec{f} \cdot \vec{f} \approx 0.065$$

- ▶ Let  $s \in \{1, \dots, 25\}$ . Let  $T_s$  be the text shifted by  $s$ . Let  $\vec{g}$  be the freq vector for  $T_s$ . One can compute that

$$\vec{f} \cdot \vec{g} \leq \approx 0.038$$

# Is English

We describe a way to tell if a text **Is English** that we will use throughout this course.

Let  $\vec{f}$  be the freq vector for English.

1. Input( $T$ ) a text
2. Compute  $\vec{g}$ , the freq vector for  $T$
3. Compute  $\vec{g} \cdot \vec{f}$ . If  $\approx 0.065$  then output YES, else NO

**Note:** What if  $\vec{g} \cdot \vec{f} = 0.0630$ ? If coded using Shift then this will **never** happen. Other ciphers may need more care.

# Cracking Shift Cipher

- ▶ Given  $T$  a long text that you KNOW was coded by shift.
- ▶ For  $s = 0$  to 25
  - ▶ Create  $T_s$  which is  $T$  shifted by  $s$ .
  - ▶ If `Is English( $T_s$ )=YES` then output  $T_s$  and stop. Else try next value of  $s$ .

**Note:** No Near Misses. There will not be two values of  $s$  that are both close to 0.065.

**Pedagogical Note:** Would normally have written **Key** instead of **Note** but the word **Key** is important in crypto so I can't use it to say something is important. Oh Well.

# A Note on Cracking Shift Cipher

In the last slide we tried *all* shifts in order.

Can do better:

- ▶ Given  $T$  a long text that you KNOW was coded by shift.
- ▶ Find frequencies of all letters, form vector  $\vec{f}$
- ▶ Sort vector. So most common letter is  $\sigma_1$ , next is  $\sigma_2$ , etc.
- ▶ For  $i = 0$  to 25
  - ▶ Create  $T_s$  which is  $T$  shifted as if  $\sigma_i$  maps to  $e$ .
  - ▶ Compute  $\vec{g}$ , the freq vector for  $T_s$
  - ▶ Compute  $\vec{g} \cdot \vec{f}$ . If  $\approx 0.065$  then stop:  $T_s$  is your text. Else try next value of  $s$ .

**Note:** Quite likely to succeed in the first try, or at least very early.

# What if only transmit one letter?

**Odd Situation:** What if message is only one letter long?

**Discuss:** Can Eve crack a one-letter message?

# What if only transmit one letter?

**Odd Situation:** What if message is only one letter long?

**Discuss:** Can Eve crack a one-letter message? No

# What if only transmit one letter?

**Odd Situation:** What if message is only one letter long?

**Discuss:** Can Eve crack a one-letter message? No

**Discuss:** How to define **uncrackable**?



# What if only transmit one letter?

**Odd Situation:** What if message is only one letter long?

**Discuss:** Can Eve crack a one-letter message? No

**Discuss:** How to define **uncrackable**?

Message is  $m$ . What is seen is  $c$ .

**Before** Eve sees the message what does she know?

# What if only transmit one letter?

**Odd Situation:** What if message is only one letter long?

**Discuss:** Can Eve crack a one-letter message? No

**Discuss:** How to define **uncrackable**?

Message is  $m$ . What is seen is  $c$ .

**Before** Eve sees the message what does she know?

Assume Eve knows  $p_0, \dots, p_{25}$  where

$$\Pr(m = 0) = p_0, \Pr(m = 1) = p_1, \dots, \Pr(m = 25) = p_{25}.$$

If **after** Eve sees the message she knows

$$\Pr(m = 0) = p_0, \Pr(m = 1) = p_1, \dots, \Pr(m = 25) = p_{25}$$

then Eve has learned *nothing*.

# We Need Conditional Probability

**Conditional probability:** Probability that one event occurs, *given that some other event occurred*

**Notation:**  $\Pr[A|B]$ .

**Formal Definition:** **Notation:**  $\Pr[A|B] = \frac{\Pr(A \cap B)}{\Pr(B)}$ .

**Intuition:**  $\Pr[A|B] = \frac{\Pr(A \cap B)}{\Pr(B)}$  is saying that the entire space is now  $\Pr(B)$ . Within that space what is the prob of  $A$  happening? Its  $\Pr(A \cap B)$ .

# Examples of Conditional Probability

Justin rolls two dice  $d_1, d_2$  and takes the sum  $s$ . What is the  $\Pr(s = 5)$ ?

## Examples of Conditional Probability

Justin rolls two dice  $d_1, d_2$  and takes the sum  $s$ . What is the  $\Pr(s = 5)$ ?  $\frac{1}{9}$ .

# Examples of Conditional Probability

Justin rolls two dice  $d_1, d_2$  and takes the sum  $s$ . What is the  $\Pr(s = 5)$ ?  $\frac{1}{9}$ .

What if you know that  $d_1$ ?

# Examples of Conditional Probability

Justin rolls two dice  $d_1, d_2$  and takes the sum  $s$ . What is the  $\Pr(s = 5)$ ?  $\frac{1}{9}$ .

What if you know that  $d_1$ ?

$$\Pr(s = 5 | d_1 = 1) = \frac{\Pr(s=5 \wedge d_1=1)}{\Pr(d_1=1)} = \frac{1/36}{1/6} = \frac{1}{6}.$$

$$\Pr(s = 5 | d_1 = 2) = \frac{\Pr(s=5 \wedge d_1=2)}{\Pr(d_1=2)} = \frac{1/36}{1/6} = \frac{1}{6}.$$

$$\Pr(s = 5 | d_1 = 3) = \frac{\Pr(s=5 \wedge d_1=3)}{\Pr(d_1=3)} = \frac{1/36}{1/6} = \frac{1}{6}.$$

$$\Pr(s = 5 | d_1 = 4) = \frac{\Pr(s=5 \wedge d_1=4)}{\Pr(d_1=4)} = \frac{1/36}{1/6} = \frac{1}{6}.$$

$$\Pr(s = 5 | d_1 = 5) = \frac{\Pr(s=5 \wedge d_1=5)}{\Pr(d_1=5)} = \frac{0}{1/6} = 0.$$

$$\Pr(s = 5 | d_1 = 6) = \frac{\Pr(s=5 \wedge d_1=6)}{\Pr(d_1=6)} = \frac{0}{1/6} = 0.$$

## Definition of Secure

Assume we have a crypto system.  $m$  will be a message and  $c$  will be what is sent. If the following holds then the system is *secure*.

$$(\forall m, a, b, c)[\Pr(m = a|c = b) = \Pr(m = a)].$$

So seeing the  $b$  does not help Eve **at all**.



# Definition of Secure

Assume we have a crypto system.  $m$  will be a message and  $c$  will be what is sent. If the following holds then the system is *secure*.

$$(\forall m, a, b, c)[\Pr(m = a|c = b) = \Pr(m = a)].$$

So seeing the  $b$  does not help Eve **at all**.

Is this info-theoretic security or comp-security? Discuss

# Definition of Secure

Assume we have a crypto system.  $m$  will be a message and  $c$  will be what is sent. If the following holds then the system is *secure*.

$$(\forall m, a, b, c)[\Pr(m = a|c = b) = \Pr(m = a)].$$

So seeing the  $b$  does not help Eve **at all**.

Is this info-theoretic security or comp-security? Discuss

**Info-Theoretic:** If Eve has unlimited computing power she still learns **nothing**.

# Definition of Secure

Assume we have a crypto system.  $m$  will be a message and  $c$  will be what is sent. If the following holds then the system is *secure*.

$$(\forall m, a, b, c)[\Pr(m = a|c = b) = \Pr(m = a)].$$

So seeing the  $b$  does not help Eve **at all**.

Is this info-theoretic security or comp-security? Discuss

**Info-Theoretic:** If Eve has unlimited computing power she still learns **nothing**.

Slides Title Should have Been:

## Definition of Info-Theoretic Security

# One Letter Shift is Uncrackable! Eve's View

## Example

1. Before message is send Eve knows  $\Pr(m = i) = p_i$ .
2. Eve sees that Alice sends Bob the number 12.
3. Lets see what Eve knows.

## One Letter Shift is Uncrackable! Final

Before seeing 12 Eve knew that  $\Pr(m = 17) = p_{17}$ . She sees 12. what is prob that  $m = 17$ ? Let  $c$  be what Eve sees.

$$\Pr(m = 17|c = 12) = \frac{\Pr(m = 17 \wedge c = 12)}{\Pr(c = 12)}$$

$$\Pr(m = 17 \wedge c = 12) = \Pr(m = 17 \wedge s = 21) = p_{17} \times \frac{1}{26}.$$


$$\Pr(c = 12) =$$

$$p_0\Pr(s = 12) + \dots + p_{12}\Pr(s = 0) + p_{13}\Pr(s = 25) + \dots + p_{25}\Pr(s = 13)$$

$$= \frac{1}{26}(p_0 + \dots + p_{25}) = \frac{1}{26}$$

SO

$$\Pr(m = 17|c = 12) = \frac{\Pr(m = 17 \wedge c = 12)}{\Pr(c = 12)} = p_{17} \times \frac{1}{26} / \frac{1}{26} = p_{17}.$$

Upshot:  $\Pr(m = 17|c = 11) = p_{17}$ . So **Eve has learned nothing!** 

## One Letter Shift is Uncrackable! Final

Before seeing 12 Eve knew that  $\Pr(m = 17) = p_{17}$ . She sees 12. what is prob that  $m = 17$ ? Let  $c$  be what Eve sees.

$$\Pr(m = 17|c = 12) = \frac{\Pr(m = 17 \wedge c = 12)}{\Pr(c = 12)}$$

$$\Pr(m = 17 \wedge c = 12) = \Pr(m = 17 \wedge s = 21) = p_{17} \times \frac{1}{26}.$$


$$\Pr(c = 12) =$$

$$p_0\Pr(s = 12) + \dots + p_{12}\Pr(s = 0) + p_{13}\Pr(s = 25) + \dots + p_{25}\Pr(s = 13)$$

$$= \frac{1}{26}(p_0 + \dots + p_{25}) = \frac{1}{26}$$

SO

$$\Pr(m = 17|c = 12) = \frac{\Pr(m = 17 \wedge c = 12)}{\Pr(c = 12)} = p_{17} \times \frac{1}{26} / \frac{1}{26} = p_{17}.$$

Upshot:  $\Pr(m = 17|c = 11) = p_{17}$ . So **Eve has learned nothing!** 

# Is 2-letter Shift Uncrackable?

Is 2-letter Shift Uncrackable? Discuss.

# Is 2-letter Shift Uncrackable?

Is 2-letter Shift Uncrackable? Discuss.

No.

If Eve sees *AB* then she knows that the original message was one of

$$\{AB, BC, CD, \dots, YZ, ZA\}$$

So Eve has learned something.



# Can Two 1-Letter Messages Leak Information?

Can Two 1-Letter Messages using the same shift Leak Information?

# Can Two 1-Letter Messages Leak Information?

Can Two 1-Letter Messages using the same shift Leak Information?

Yes

Scenario:

In clear: Is Erik a double agent working for the Klingons?

The answer comes via a shift cipher: A (which is either Y or N)

In clear: Is Erik a double agent working for the Romulans?

The answer comes via a shift cipher: A (which is either Y or N)

# Can Two 1-Letter Messages Leak Information?

Can Two 1-Letter Messages using the same shift Leak Information?

Yes

Scenario:

In clear: Is Erik a double agent working for the Klingons?

The answer comes via a shift cipher: A (which is either Y or N)

In clear: Is Erik a double agent working for the Romulans?

The answer comes via a shift cipher: A (which is either Y or N)

Eve knows Erik is working for either both or neither.

# Eve Can Tell if Two Message Are Same or Not

**Issue:** If Eve sees two message, will know if they are the same or different.

**Does this leak information:** Discuss

# Eve Can Tell if Two Message Are Same or Not

**Issue:** If Eve sees two message, will know if they are the same or different.

**Does this leak information:** Discuss

**What to do about this?** Discuss

# Eve Can Tell if Two Message Are Same or Not

**Issue:** If Eve sees two message, will know if they are the same or different.

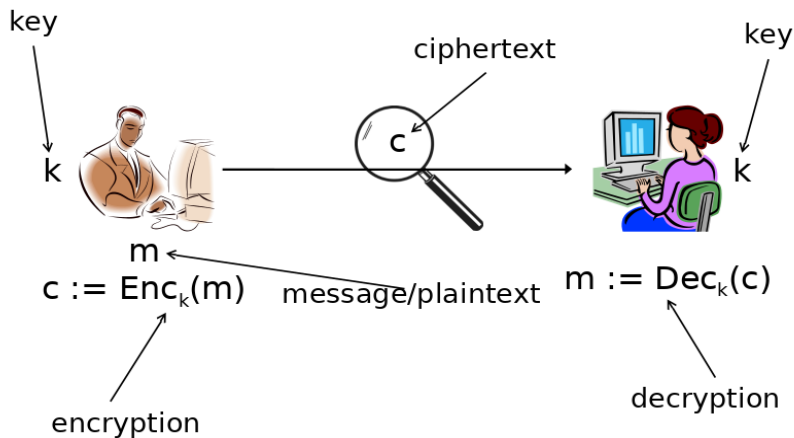
**Does this leak information:** Discuss

**What to do about this?** Discuss

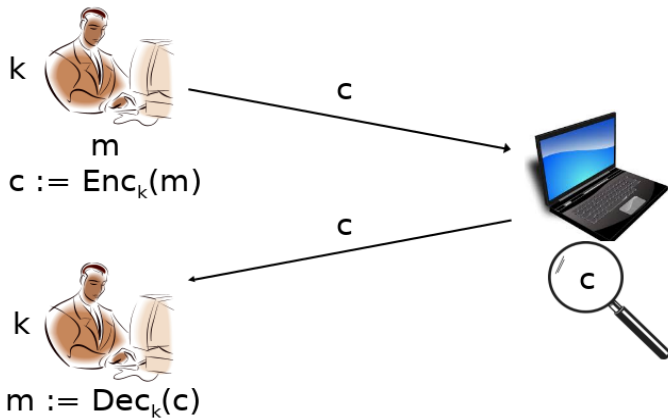
**For Now Nothing** Will come back to this issue after a few more ciphers.

**For Now** A lesson in how even defining **security** and **leak** must be done carefully.

# Private-key encryption



# Private-key encryption





# Private-key encryption

- ▶ A *private-key encryption scheme* is defined by a message space  $\mathcal{M}$  and algorithms (**Gen**, **Enc**, **Dec**):
  - ▶ **Gen** (key generation algorithm): outputs  $k \in \mathcal{K}$   
(For SHIFT this is  $k \in \{0, \dots, 25\}$ . Should 0 be included?)
  - ▶ **Enc** (encryption algorithm): takes key  $k$  and message  $m \in \mathcal{M}$  as input; outputs ciphertext  $c$

$$c \leftarrow \text{Enc}_k(m)$$

(For SHIFT this is  $\text{Enc}(m_1, \dots, m_n) = (m_1 + k, \dots, m_n + k)$ .)

- ▶ **Dec** (decryption algorithm): takes key  $k$  and ciphertext  $c$  as input; outputs  $m$  or “error”

$$m := \text{Dec}_k(c)$$

(For SHIFT this is  $\text{Dec}(c_1, \dots, c_n) = (c_1 - k, \dots, c_n - k)$ .)

$\forall k$  output by Gen  $\forall m \in \mathcal{M}, \text{Dec}_k(\text{Enc}_k(m)) = m$

(For SHIFT this is  $(m + k) - k = m$ )