# Public Key Crypto: Math Needed and Diffie-Hellman 

October 9, 2019

## TALK TONIGHT

## Capitol One Tech Talk

Wed Sept 18, 6:00-7:15, IRB Center Room 1116
Abstract
Join us to learn more about Tech at Capital One! We'll have mini, interactive tech talks on Machine Learning, Tech Product Demos, and Tech Internship Programs from 6-7:15, followed by networking.

## Private-Key Ciphers

What do the following all have in common?

1. Shift Cipher
2. Affine Cipher
3. Vig Cipher
4. General Sub
5. General 2-char sub
6. Matrix Cipher
7. Playfair Cipher
8. Rail Cipher
9. One-time Pad

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Alice and Bob need to meet! (Hence Private Key.)
Can Alice and Bob establish a key without meeting?
Yes! And that is the key to public-key cryptography.

## General Philosophy

A good crypto system is such that:

1. The computational task to encrypt and decrypt is easy.
2. The computational task to crack is hard.

Caveats:

1. Hard to achieve info-theoretic hardness (One-time pad).
2. Hard to achieve comp-hardness. Few problems provably hard.
3. Can use hardness assumptions (e.g. factoring is hard)

## Difficulty of Problems Based on Length of Input

How hard is a problem based on the length of the input
Examples

1. SAT on a formula with $n$ vars seems to require $2^{\Omega(n)}$ steps.
2. Polynomial vs Exp time is our notion of easy vs hard.
3. Factoring $n$ can be done in $O(\sqrt{n})$ time: Discuss. Easy!

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3. Factoring $n$ can be done in $O(\sqrt{n})$ time: Discuss. Easy! NO!!: $n$ is of length $\lg n+O(1)$ (henceforth just $\lg n$ ). $\sqrt{n}=2^{(0.5) \lg n}$. Exponential. Slightly better algs known.
Upshot: For numeric problems length is $\lg n$. Encryption requires:

- Alice and Bob can Enc and Dec in time $\leq(\log n)^{O(1)}$.
- Eve needs time $\geq c^{O(\log n)}$ to crack.

What We Count: We will count arithmetic operations as taking 1 time step. This could be an issue with enormous numbers. Not our problem.

# Math Needed for Both Diffie-Hellman and RSA 

October 9, 2019

## Notation

Let $p$ be a prime.

1. $\mathbb{Z}_{p}$ is the numbers $\{0, \ldots, p-1\}$ with mod add and mult.
2. $\mathbb{Z}_{p}^{*}$ is the numbers $\{1, \ldots, p-1\}$ with mod mult.

## Exponentiation Mod $p$

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Problem: Given $a, n, p$ find $a^{n}(\bmod p)$
First Attempt

1. $x_{0}=a^{0}=1$
2. For $i=1$ to $n, x_{i}=a x_{i-1}$.
3. Let $x=x_{n}(\bmod p)$.
4. Output $x$.

Is this a good idea?

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Discuss How many steps used compute $a^{n}(\bmod p)$.
Answer: $\sim n$.
But it's worse than that. Why? $x$ gets really large.
Can mod $p$ every step so $x$ not large. But still takes $n$ steps.

## Exponentiation mod $p$

Example of a Good Algorithm
Want $3^{64}(\bmod 101)$. All arithmetic is mod 101.
$x_{0}=3$
$x_{1}=x_{0}^{2} \equiv 9$. This is $3^{2}$.
$x_{2}=x_{1}^{2} \equiv 9^{2} \equiv 81$. This is $3^{4}$.
$x_{3}=x_{2}^{2} \equiv 81^{2} \equiv 97$. This is $3^{8}$.
$x_{4}=x_{3}^{2} \equiv 97^{2} \equiv 16$. This is $3^{16}$.
$x_{5}=x_{4}^{2} \equiv 16^{2} \equiv 54$. This is $3^{32}$.
$x_{6}=x_{5}^{2} \equiv 54^{2} \equiv 88$. This is $3^{64}$.
So in 6 steps we got the answer!

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Discuss How many steps used compute $a^{n}(\bmod p)$ ?

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Answer: $\sim \lg n$.

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So in 6 steps we got the answer!
Discuss How many steps used compute $a^{n}(\bmod p)$ ?
Answer: $\sim \lg n$.
Discuss How we can generalize to when $n$ is not a power of 2 .

## A Review of Base 2

Say we want to do $a^{n}(\bmod p)$.
Let's look carefully at a in binary.
$7=(111)_{2}=1 \times 2^{2}+1 \times 2^{1}+1 \times 2^{0}$. Note $2=\lfloor\lg 7\rfloor$
$8=(1000)=1 \times 2^{3}+0 \times 2^{2}+0 \times 2^{1}+0 \times 2^{0}$. Note $3=\lfloor\lg 8\rfloor$
$9=(1001)=1 \times 2^{3}+0 \times 2^{2}+0 \times 2^{1}+1 \times 2^{0}$. Note $3=\lfloor\lg 9\rfloor$
Upshot: If write $n$ as a sum of powers of 2 with 0,1 coefficients then $n$ is of the form:

$$
n=n_{L} 2^{L}+\cdots+n_{1} 2^{1}+n_{0} 2^{0}=\sum_{i=0}^{L} n_{i} 2^{i}
$$

Where $L=\lfloor\lg (n)\rfloor$ and $n_{i} \in\{0,1\}$.
Note that $L$ is one less than the number of bits needed for $n$.

## Repeated Squaring Algorithm

All arithmetic is $\bmod p$.

1. Input ( $a, n, p$ )
2. Convert $n$ to base 2: $n=\sum_{i=0}^{L} n_{i} 2^{i}$. ( $L$ is $\left.\lfloor\lg (n)\rfloor\right)$
3. $x_{0}=a$
4. For $i=1$ to $L, x_{i}=x_{i-1}^{2}$ (Note: $x_{i}=a^{2^{i}}$.)
5. (Now have $\left.a^{n_{0} 2^{0}}, \ldots, a^{n_{L} 2^{L}}\right)$ Answer is $a^{n_{0} 2^{0}} \times \cdots \times a^{n_{L} 2^{L}}$

Number of operations:
Number of $\times$ 's in step 4: $\leq\lfloor\lg (n)\rfloor \leq \lg (n)$

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More refined: $\lg (n)+$ number of 1 's in binary rep of $n-1$

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Example on next page

## Example of Exponentiation: $17^{265}(\bmod 101)$

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265=2^{8}+2^{3}+2^{0}
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\begin{aligned}
& 17^{2^{0}} \equiv 17(0 \text { steps }) \\
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& 17^{2^{2}} \equiv 87^{2} \equiv 95(1 \text { step }) \\
& 17^{2^{3}} \equiv 95^{2} \equiv 36(1 \text { step }) \\
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This took $8 \sim \lg (265)$ multiplications so far.

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The next step takes only two:

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Point: Step 2 took $\ll \lg (265)$ steps since base-2 rep had few 1's.

## Finding Generators

## Generators $\bmod p$

Let's take powers of $3 \bmod 7$. All arithmetic is mod 7 . $3^{1} \equiv 3$
$3^{2} \equiv 3 \times 3^{1} \equiv 9 \equiv 2$
$3^{3} \equiv 3 \times 3^{2} \equiv 3 \times 2 \equiv 6$
$3^{4} \equiv 3 \times 3^{3} \equiv 3 \times 6 \equiv 18 \equiv 4$
$3^{5} \equiv 3 \times 3^{4} \equiv 3 \times 4 \equiv 12 \equiv 5$
$3^{6} \equiv 3 \times 3^{5} \equiv 3 \times 5 \equiv 15 \equiv 1$

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\left\{3^{1}, 3^{2}, 3^{3}, 3^{4}, 3^{5}, 3^{6}\right\}=\{1,2,3,4,5,6\} \text { Not in order }
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3 is a generator for $\mathbb{Z}_{7}^{*}$.
Definition: If $p$ is a prime and $\left\{g^{1}, \ldots, g^{p-1}\right\}=\{1, \ldots, p-1\}$ then $g$ is a generator for $\mathbb{Z}_{p}^{*}$.

## Discrete Log-Example

Fact: 3 is a generator mod 101. All arithmetic is mod 101. Discuss the following with your neighbor:

1. Find $x$ such that $3^{x} \equiv 81$.

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Discuss the following with your neighbor:

1. Find $x$ such that $3^{x} \equiv 81$. $x=4$ obv works.
2. Find $x$ such that $3^{x} \equiv 92$.

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The second and third problem look hard. Are they? VOTE: Both hard, both easy, one of each, unknown to science.

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The second and third problem look hard. Are they? VOTE: Both hard, both easy, one of each, unknown to science.
$3^{x} \equiv 92$ easy. $3^{x} \equiv 93$ Not known how hard.

## Discrete Log-Example: $3^{x} \equiv 92(\bmod 101)$

Fact: 3 is a generator $\bmod 101$. All arithmetic is $\bmod 101$.
Find $x$ such that $3^{x} \equiv 92$. Easy!

1. $92 \equiv 101-9 \equiv(-1)(9) \equiv(-1) 3^{2}$.
2. $3^{50} \equiv-1$ (WHAT! Really?)
3. $92 \equiv 3^{50} \times 3^{2} \equiv 3^{52}$. So $x=52$ works.

Generalize:

1. If $g$ is a generator of $\mathbb{Z}_{p}^{*}$ then $g^{(p-1) / 2} \equiv p-1 \equiv-1$.
2. So finding $x$ such that $g^{x} \equiv p-g^{a} \equiv-g^{a}$ is easy:

$$
x=\frac{p-1}{2}+a: \quad g^{\frac{p-1}{2}+a}=g^{\frac{p-1}{2}} g^{a} \equiv-g^{a}
$$

## Discrete Log-Example: $3^{x} \equiv 93(\bmod 101)$

Fact: 3 is a generator mod 101. All arithmetic is mod 101. Is there a trick for $g^{x} \equiv 93(\bmod 101)$ ? Not that I know of.

What is known about complexity of discrete log?
Given $g, a, p$ find $x$ such that $g^{x} \equiv a(\bmod p)$.

1. Naive algorithm is $O(p)$ time.
2. Exists a $O(\sqrt{p})$ Time, $O(\sqrt{p})$ space alg. Space makes it not useable.
3. Exists a $O(\sqrt{p})$ Time, $(\log p)^{O(1)}$ space alg. Useable!
4. Not much progress on theory front since 1985.
5. DL is in QuantumP.

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It won't happen to me Until it does.

## Discrete Log-General

Definition Let $p$ be a prime and $g$ be a generator $\bmod p$.
The Discrete Log Problem is:
Given $y \in\{1, \ldots, p\}$, find $x$ such that $g^{x} \equiv y(\bmod p)$. We call this $D L_{p, g}(y)$.

1. If $g$ is small then $D L\left(g^{a}\right)$ or $D L\left(p-g^{a}\right)$ might be easy. Example: $D L_{1009,7}(49)=2$ since $7^{2} \equiv 49(\bmod 1009)$. Example: $D L_{1009,7}(1009-49)=506$ since $7^{504} 7^{2} \equiv-7^{2} \equiv 1009-49(\bmod 1009)$.
2. If $g, a \in\left\{\frac{p}{3}, \ldots, \frac{2 p}{3}\right\}$ then problem suspected hard.
3. Tradeoff: By restricting a we are cutting down search space for Eve. Even so, in this case we need to since she REALLY can recognize when DL is easy.

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- Exponentiation is Easy.
- Discrete Log is thought to be Hard.

Can we come up with a crypto system where Alice and Bob do Exponentiation to encrypt and decrypt, while Eve has to do Discrete Log to crack it?

No. But we'll come close.

## Convention

For the rest of the slides on Diffie-Hellman Key Exchange there will always be a prime $p$ that we are considering.

ALL arithmetic done from that point on is $\bmod p$.
ALL numbers are in $\{1, \ldots, p-1\}$.

## Finding Gens: First Attempt

Given prime $p$, find a gen for $\mathbb{Z}_{p}^{*}$

1. Input $p$
2. For $g=\left\lceil\frac{p}{3}\right\rceil$ to $\left\lfloor\frac{2 p}{3}\right\rfloor$

Compute $g^{1}, g^{2}, \ldots, g^{p-1}$ until either hit a repeat or finish. If repeats then $g$ is NOT a generator, so goto the next $g$. If finishes then output $g$ and stop.

PRO: many $g$ 's are gen's so $O(1)$ iterations.

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Bad! Recall need poly on $\log p$.

## Finding Gens: Second Attempt

Theorem: If $g$ is not a generator then there exists $x$ that (1) $x$ divides $p-1$, (2) $x \neq p-1$, and (3) $g^{x} \equiv 1$.

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## Finding Gens: Prep for Third Attempt

Example: $p=1009$ which is prime. All math is mod 1009.

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p-1=1008=2^{4} \times 3^{2} \times 7^{1} \text { which has } 5 \times 3 \times 2=30 \text { factors }
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Thought Experiment: Want to test if $g$ is a generator. We find $g^{2^{3} \times 3^{1}}=1$ so $g$ is not a generator. Note that $g^{2^{3} \times 3^{2} \times 7^{1}}=1$. Key: Assume $g^{2^{2 a} b^{b} c}=1$ with $(a \leq 3) \vee(b \leq 1) \vee(c \leq 0)$.

- If $a \leq 3$ then $g^{2^{3} 3^{2} 7^{1}}=1$.
- If $b \leq 1$ then $g^{2^{4} 3^{1} 7^{1}}=1$.
- If $c \leq 0$ then $g^{2^{4} 3^{2} 7^{0}}=1$.

So, need only test those THREE values of $x$.

## Finding Gens: Theorem needed for Third Attempt

Theorem
Let $p-1=p_{1}^{a_{1}} \cdots p_{n}^{a_{n}}$ Let $g \in \mathbb{Z}_{p}^{*}$. Then $g$ is not a gen IFF

$-g^{\left(p_{1}^{a_{1}} \ldots p_{n}^{2 n}\right) / p_{2}}=1 O R$
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A number of the form $\left(p_{1}^{a_{1}} \cdots p_{n}^{a_{n}}\right) / p_{i}$ is called a maximal factor of $p-1$. Maxfac for short.

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How many maxfac's are there as a function of $p$ ? Discuss.
The number of maxfacs is maximized when exp are all 1 .
$p-1=p_{1} \cdots p_{n} \geq 2^{n}$, so $\lg (p-1) \geq n$, so $n \leq \lg (p-1) \leq \lg (p)$.

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Theorem: If $g$ is not a generator then there exists $x$ that (1) $x$ is a maxfac of $p-1$ and (2) $g^{x}=1$.

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BIG CON: We still need to factor $p-1$ ? Really? Darn!
Now what? See the next lecture for the exciting conclusion!

