Some Solutions to Midterm Problems

William Gasarch-U of MD

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Prove the following and fill in the f(k). **Thm** For all k there exists n = f(k) such that the following holds. For all pairs of colorings: $\operatorname{COL}_1: {[n] \choose 1} \to [2],$ $\operatorname{COL}_2: {[n] \choose 2} \to [2]$

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$$\begin{aligned} \operatorname{COL}_1 \colon {\binom{[n]}{1}} &\to [2], \\ \operatorname{COL}_2 \colon {\binom{[n]}{2}} &\to [2] \\ (\exists H \subseteq [n]) (\exists c_1, c_2 \in \{1, 2\}) \text{ such that} \\ &\blacktriangleright H \text{ is of size } k, \end{aligned}$$

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- every element of H is colored c_1 , and
- every element of $\binom{H}{2}$ is colored c_2 .

$$\begin{split} &\operatorname{COL}_1\colon {[n] \choose 1} \to [2],\\ &\operatorname{COL}_2\colon {[n] \choose 2} \to [2]. \text{ We do the following.} \end{split}$$

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Restrict COL to $\binom{H_1}{2}$. Get: $|H| \ge 0.5 \log_2(|H_1|) = 0.5 \log_2(\frac{n}{2})$.

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$$(\forall \text{COL}: \binom{m}{2} \rightarrow [2])(\exists H)[H \text{ Homog } |H| \ge 0.5 \log_2(m)].$$

Restrict COL to $\binom{H_1}{2}$. Get: $|H| \ge 0.5 \log_2(|H_1|) = 0.5 \log_2(\frac{n}{2})$. Need $0.5 \log_2(\frac{n}{2}) \ge k$. Take $n = 2^{2k+1}$

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What if we added a unary predicate to the lang. So every element is colored RED or BLUE. Then we would need to **also** make every element of Y the same color.

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What if we added a unary predicate to the lang. So every element is colored RED or BLUE. Then we would need to also make every element of Y the same color.

This problem showed that YES we can do BOTH- make every element of Y the same color AND make every pair of elements of Y the same color.

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This is what Ramsey proved in his paper.

Let T be the set of trees and \preceq be the minor ordering. Show that (T, \preceq) is a wqo.

Let T be the set of trees and \leq be the minor ordering. Show that (T, \leq) is a wqo.

You may use any theorem that was PROVEN in class or on the HW. (Note that we DID NOT prove the Graph Minor Theorem, so you can't use that.)

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Assume that there exists a bad seq.

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Let T_1 be the smallest tree that begins a bad seq. KILL.

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 $(\forall i)$ take T_i and rm root to get **finite set** of trees T_{i1}, \ldots, T_{ik_i} .

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 $(\forall i)$ take T_i and rm root to get **finite set** of trees T_{i1}, \ldots, T_{ik_i} . Let

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Assume not. Then \exists bad seq.

$$X = \bigcup_{i=1}^{\infty} \{T_{i1}, \ldots, T_{ik_i}\}$$

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Assume not. Then \exists bad seq. Say it begins $T_{i_1j_1}$.

$$X = \bigcup_{i=1}^{\infty} \{T_{i1}, \ldots, T_{ik_i}\}$$

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Assume not. Then \exists bad seq. Say it begins $T_{i_1j_1}$. We can assume i_1 is smallest numb that appears as a 1st index.

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$$T_{i_1j_1}, T_{i_2j_2}, \dots$$
 (We have $i_1 \leq i_2, i_3, \dots$)

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we PREPEND T_1, \ldots, T_{i_1-1} to the seq to get

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$$X = \bigcup_{i=1}^{\infty} \{T_{i1}, \ldots, T_{ik_i}\}$$

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$$T_{i_1j_1}, T_{i_2j_2}, \dots$$
 (We have $i_1 \leq i_2, i_3, \dots$)
we PREPEND T_1, \dots, T_{i_1-1} to the seq to get

$$T_1, T_2, \ldots, T_{i_1-1}, T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$

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For the rest goto the next slide.

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$$T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$



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 $T_1, T_2, \dots, T_{i_1-1}, T_{i_1j_1}, T_{i_2j_2}, \dots$ $(i_1 \le i_2, i_3, \dots)$

Claim This is a bad seq.



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Claim This is a bad seq.

a) NO uptick within T_1, \ldots, T_{i_1-1} since T_1, T_2, \ldots is Bad Seq.

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$$T_{i_1j_1}, T_{i_2j_2}, \ldots$$
 $(i_1 \leq i_2, i_3, \ldots)$

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Claim This is a bad seq.

- a) NO uptick within T_1, \ldots, T_{i_1-1} since T_1, T_2, \ldots is Bad Seq.
- b) NO uptick within $T_{i_1j_1}, \ldots$ since its a bad seq.

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- b) NO uptick within $T_{i_1j_1}, \ldots$ since its a bad seq.
- c) NO uptick $T_i \leq T_{i_k j_k}$ since $T_i \leq T_{i_k}$ and $i < i_k$.

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$$T_{i_1j_1}, T_{i_2j_2}, \ldots$$
 $(i_1 \leq i_2, i_3, \ldots)$

we PREPEND T_1, \ldots, T_{i_1-1} to the seq to get

$$T_1, T_2, \ldots, T_{i_1-1}, T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$

Claim This is a bad seq.

a) NO uptick within T_1, \ldots, T_{i_1-1} since T_1, T_2, \ldots is Bad Seq. b) NO uptick within $T_{i_1j_1}, \ldots$ since its a bad seq. c) NO uptick $T_i \preceq T_{i_kj_k}$ since $T_i \preceq T_{i_k}$ and $i < i_k$. End of Proof of Claim

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$$T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$

we PREPEND T_1, \ldots, T_{i_1-1} to the seq to get

$$T_1, T_2, \ldots, T_{i_1-1}, T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$

Claim This is a bad seq.

a) NO uptick within T₁,..., T_{i1-1} since T₁, T₂,... is Bad Seq.
b) NO uptick within T_{i1j1},... since its a bad seq.
c) NO uptick T_i ≤ T_{ikjk} since T_i ≤ T_{ik} and i < i_k.
End of Proof of Claim
(*) is a bad seq that begins T_{i1},..., T_{i1-1} and then has T_{i1j1}.

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$$T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$

we PREPEND T_1, \ldots, T_{i_1-1} to the seq to get

$$T_1, T_2, \ldots, T_{i_1-1}, T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$

Claim This is a bad seq.

- a) NO uptick within T_1, \ldots, T_{i_1-1} since T_1, T_2, \ldots is Bad Seq. b) NO uptick within $T_{i_1j_1}, \ldots$ since its a bad seq. c) NO uptick $T_i \leq T_{i_kj_k}$ since $T_i \leq T_{i_k}$ and $i < i_k$. End of Proof of Claim (*) is a bad seq that begins $T_{i_1}, \ldots, T_{i_1-1}$ and then has $T_{i_1j_1}$.
- T_{i_1} is the smallest tree that is right after T_1, \ldots, t_{i_1-1} in a bad seq.

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$$T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$

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Claim This is a bad seq.

a) NO uptick within T_1, \ldots, T_{i_1-1} since T_1, T_2, \ldots is Bad Seq. b) NO uptick within $T_{i_1j_1}, \ldots$ since its a bad seq. c) NO uptick $T_i \leq T_{i_kj_k}$ since $T_i \leq T_{i_k}$ and $i < i_k$. End of Proof of Claim (*) is a bad seq that begins $T_{i_1}, \ldots, T_{i_1-1}$ and then has $T_{i_1j_1}$. T_{i_1} is the smallest tree that is right after T_1, \ldots, t_{i_1-1} in a bad seq. $T_{i_1i_1}$ is smaller than T_{i_1} , so contradiction.

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$$T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$

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a) NO uptick within T_1, \ldots, T_{i_1-1} since T_1, T_2, \ldots is Bad Seq. b) NO uptick within $T_{i_1j_1}, \ldots$ since its a bad seq. c) NO uptick $T_i \leq T_{i_kj_k}$ since $T_i \leq T_{i_k}$ and $i < i_k$. End of Proof of Claim (*) is a bad seq that begins $T_{i_1}, \ldots, T_{i_1-1}$ and then has $T_{i_1j_1}$. T_{i_1} is the smallest tree that is right after T_1, \ldots, t_{i_1-1} in a bad seq. $T_{i_1j_1}$ is smaller than T_{i_1} , so contradiction. End of proof that X is wgo

Recall HW04



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Assume (X, \preceq) is a wqo.



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Let PF(X) be the set of finite subsets of X.

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Let \leq' be the following order on PF(X).

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Then $(PF(X), \preceq')$ is a wqo.

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Then $(PF(X), \preceq')$ is a wqo.

We will use this.

The Original Min Bad Sequence is

 T_1, T_2, \ldots

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View this as a seq of finite sets of trees from wqo X. $\{T_{11}, \ldots, T_{1k_1}\}, \{T_{21}, \ldots, T_{2k_2}\}, \cdots$

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Problem 3. View the Min Bad Seq As...

The Original Min Bad Sequence is

$$T_1, T_2, \ldots$$

View this as a seq of finite sets of trees from wqo X. $\{T_{11}, \ldots, T_{1k_1}\}, \{T_{21}, \ldots, T_{2k_2}\}, \cdots$ By HW there is an uptick in this seq. So there is

$$\{T_{i1},\ldots,T_{ik_i}\} \preceq' \{T_{j1},\ldots,T_{jk_j}\}.$$

 T_{i1} is a minor of SOME elt of $\{T_{j1}, \ldots, T_{jk_j}\}$. T_{i2} is a minor of SOME other elt of $\{T_{j1}, \ldots, T_{jk_i}\}$.

 T_{ik_i} is a minor of SOME other elt of $\{T_{j1}, \ldots, T_{jk_j}\}$. You can put all this together to get T_i is a minor of T_j , which contradicts T_1, \ldots , being a bad seq.

Problem 3: Afterthought

What did we use about minor in the proof?



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Would the same proof show that the subgraph-ordering for trees is a wqo?

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Problem 3: Afterthought

What did we use about **minor** in the proof?

Would the same proof show that the subgraph-ordering for trees is a wqo?

I leave this for you to ponder.

Let ${\mathcal G}$ be the set of all graphs and \preceq be the subgraph ordering.

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Let ${\mathcal G}$ be the set of all graphs and \preceq be the subgraph ordering. Vote



Let \mathcal{G} be the set of all graphs and \leq be the subgraph ordering. Vote a) (\mathcal{G}, \leq) is a wqo and this is known.

Let ${\mathcal G}$ be the set of all graphs and \preceq be the subgraph ordering. Vote

a) (\mathcal{G}, \preceq) is a wqo and this is known. a) (\mathcal{G}, \preceq) is not a wqo and this is known.

Let ${\mathcal G}$ be the set of all graphs and \preceq be the subgraph ordering. Vote

- a) (\mathcal{G}, \preceq) is a wqo and this is known.
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- c) The question "is (\mathcal{G}, \preceq) a wqo?" is unknown to science.

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Let ${\mathcal G}$ be the set of all graphs and \preceq be the subgraph ordering. Vote

a) (G, ≤) is a wqo and this is known.
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c) The question "is (G, ≤) a wqo?" is unknown to science. Answer on next slide.

Graphs under Subgraph

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Graphs under Subgraph

Let C_i be the cycle on *i* vertices.

$\mathit{C}_3, \mathit{C}_4, \mathit{C}_5, \ldots$

is an infinite seq of incomparable elements, so graphs under subgraph are NOT a wqo.

Prove or Disprove:

For every $\mathrm{COL}\colon \mathsf{Q}\to [100]$ there exists an $H\subseteq \mathsf{Q}$ such that

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▶ *H* has the same order type as the rationals:

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a) H is countable
b) H is dense: (∀x, y ∈ H)[x < y ⇒ (∃z)[x < z < y].

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Advice You should understand both proofs.

Def Let *L* be a linear ordering. a) $L \equiv Q$ means *L* has same order type as Q. Hence *L* is countable, dense, and has no endpoints.

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We use *c* instead of 100 since we can then do an induction on *c*. We use *L* instead of Q since in the induction proof we will have a coloring of (say) (a, b) and want to use the Ind Hyp on a COL restricted to (a, b).

$(\forall c)(\forall \text{COL}: L \rightarrow [c])(\exists H \subseteq L)H$ is Q-homog

Proof One and Proof Two Begin the Same Way We prove this by induction on *c*.

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Proof One and Proof Two Begin the Same Way We prove this by induction on c. **IB** c = 1. Obviously true.

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Proof One and Proof Two Begin the Same Way

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We prove this by induction on c.

- **IB** c = 1. Obviously true.
- **IH** Assume true for c 1.

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Proof One and Proof Two Begin the Same Way

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We prove this by induction on c.

IB c = 1. Obviously true.

IH Assume true for c - 1. Continued on Next Slide.

Let COL: $L \rightarrow [c]$.

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Let COL:
$$L \rightarrow [c]$$
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Let

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Case 1 $H \equiv Q$. DONE!



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Case 1 $H \equiv Q$. DONE! Case 2 $H \not\equiv Q$. Three possibilities.

Let COL: $L \rightarrow [c]$. Let

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Case 2 $H \neq Q$. Three possibilities.

Case 2a *H* is not dense. So $(\exists x < y \in H)[(x, y) \cap H = \emptyset]$. Nothing in (x, y) is colored *c*.

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Case 2a *H* is not dense. So $(\exists x < y \in H)[(x, y) \cap H = \emptyset]$. Nothing in (x, y) is colored *c*. Let COL' be COL restricted to (x, y). This is a c - 1 coloring on $(x, y) \equiv Q$. Done by IH.

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Case 1 $H \equiv Q$. DONE!

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Case 2a *H* is not dense. So $(\exists x < y \in H)[(x, y) \cap H = \emptyset]$. Nothing in (x, y) is colored *c*. Let COL' be COL restricted to (x, y). This is a c - 1 coloring on $(x, y) \equiv Q$. Done by IH. **Case 2b** *H* has a left endpoint. So $(\exists y)[-\infty, y) \cap H = \emptyset]$. Let $x \in L$ such that x < y. Let COL' be COL restricted to (x, y). This is a c - 1 coloring on $(x, y) \equiv Q$. Done by IH.

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Case 2c H has a right endpoint. Similar to Case 2b.

Let COL: $L \rightarrow [c]$. Let

$$H = \{x \in L \colon \operatorname{COL}(x) = c\}.$$

Case 1 $H \equiv Q$. DONE!

Case 2 $H \neq Q$. Three possibilities.

Case 2a *H* is not dense. So $(\exists x < y \in H)[(x, y) \cap H = \emptyset]$. Nothing in (x, y) is colored *c*.

Let COL' be COL restricted to (x, y).

This is a c-1 coloring on $(x, y) \equiv Q$. Done by IH.

Case 2b *H* has a left endpoint. So $(\exists y)[-\infty, y) \cap H = \emptyset]$. Let $x \in L$ such that x < y. Let COL' be COL restricted to (x, y). This is a c - 1 coloring on $(x, y) \equiv Q$. Done by IH.

Case 2c *H* has a right endpoint. Similar to Case 2b. **End of Proof One**

Induction Step for Proof Two: Plan

We will try to **construct** a Q-homog set.

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► We succeed! YEAH!

Induction Step for Proof Two: Plan

We will try to **construct** a Q-homog set.

- ► We succeed! YEAH!
- ▶ We fail! Then we will have an open interval (x, y) where COL is never color c. Use IH.

Let COL: $L \rightarrow [c]$.

Let COL: $L \to [c]$. We define a seq q_1, q_2, \ldots such that $\{q_1, q_2, \ldots\}$ is Q-homog OR we fail.

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Let $q_1 \in L$ such that $COL(q_1) = c$. (If no such exists, use IH.)

Let COL: $L \to [c]$. We define a seq q_1, q_2, \ldots such that $\{q_1, q_2, \ldots\}$ is Q-homog OR we fail. Let $q_1 \in L$ such that $COL(q_1) = c$. (If no such exists, use IH.) Assume q_1, \ldots, q_n have been defined and are all color c. Order them to get $p_1 < \cdots < p_n$.

Let COL: $L \to [c]$. We define a seq q_1, q_2, \ldots such that $\{q_1, q_2, \ldots\}$ is Q-homog OR we fail. Let $q_1 \in I$ such that COL $(q_2) = c$ (If no such exists use IH)

Let $q_1 \in L$ such that $COL(q_1) = c$. (If no such exists, use IH.) Assume q_1, \ldots, q_n have been defined and are all color c. Order them to get $p_1 < \cdots < p_n$.

▶ If
$$(\exists q < p_1)[COL(q) = c]$$
 then let q_{n+1} be q .

Let COL: $L \to [c]$. We define a seq q_1, q_2, \ldots such that $\{q_1, q_2, \ldots\}$ is Q-homog OR we fail.

Let $q_1 \in L$ such that $COL(q_1) = c$. (If no such exists, use IH.) Assume q_1, \ldots, q_n have been defined and are all color c. Order them to get $p_1 < \cdots < p_n$.

▶ If
$$(\exists q < p_1)[COL(q) = c]$$
 then let q_{n+1} be q .
If NOT then COL: $(p_1 - \epsilon, p_1) \rightarrow [c - 1]$. STOP. Use IH.

For
$$1 \le i \le n$$

Let COL: $L \to [c]$. We define a seq q_1, q_2, \ldots such that $\{q_1, q_2, \ldots\}$ is Q-homog OR we fail.

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▶ If $(\exists q < p_1)[\text{COL}(q) = c]$ then let q_{n+1} be q. If NOT then COL: $(p_1 - \epsilon, p_1) \rightarrow [c - 1]$. STOP. Use IH.

For $1 \le i \le n$ If $(\exists p_i < q < p_{i+1})[COL(q) = c]$ then let q_{n+i+1} be q.

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▶ If $(\exists q < p_1)[\operatorname{COL}(q) = c]$ then let q_{n+1} be q. If NOT then $\operatorname{COL}: (p_1 - \epsilon, p_1) \rightarrow [c - 1]$. STOP. Use IH.

▶ For
$$1 \le i \le n$$

If $(\exists p_i < q < p_{i+1})[COL(q) = c]$ then let q_{n+i+1} be q .
If NOT then COL: $(p_i, p_{i+1}) \rightarrow [c-1]$. STOP. Use IH.

▶ If
$$(\exists p_1 < q)[COL(q) = c]$$
 then let q_{2n+2} be q .

Let COL: $L \to [c]$. We define a seq q_1, q_2, \ldots such that $\{q_1, q_2, \ldots\}$ is Q-homog OR we fail.

Let $q_1 \in L$ such that $COL(q_1) = c$. (If no such exists, use IH.) Assume q_1, \ldots, q_n have been defined and are all color c. Order them to get $p_1 < \cdots < p_n$.

▶ If $(\exists q < p_1)[\operatorname{COL}(q) = c]$ then let q_{n+1} be q. If NOT then $\operatorname{COL}: (p_1 - \epsilon, p_1) \rightarrow [c - 1]$. STOP. Use IH.

▶ For
$$1 \le i \le n$$

If $(\exists p_i < q < p_{i+1})[COL(q) = c]$ then let q_{n+i+1} be q .
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Case 1 Const never stops. $\{q_1, q_2, \ldots\} \equiv \mathsf{Q}$ & homog. Done!

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Case 1 Const never stops. $\{q_1, q_2, \ldots\} \equiv Q$ & homog. Done! Case 2 Const stops . $\exists a < b, \text{ COL}: (a, b) \rightarrow [c - 1]$. Use IH.