

**Small Ramsey Numbers, Lower Bounds On  
Ramsey Numbers: An Exposition by  
William Gasarch**

## Better Upper Bounds on $R(a, b)$

**Definition:**  $R(a, b)$  is the least  $n$  such that for all 2-colorings of  $K_n$  there is either a RED  $K_a$  or a BLUE  $K_b$ .

**Easy Theorem:**  $R(2, b) = b$  and  $R(a, 2) = a$ .

**Theorem:** For all  $a, b \geq 3$   $R(a, b) \leq R(a-1, b) + R(a, b-1)$

**Theorem:** For all  $a, b \geq 3$   $R(a, b) \leq \binom{a+b-2}{a-1}$

**Corollary:**  $R(k) \leq \frac{2^{2k}}{\sqrt{k}}$ .

## Slight Improvement

**Theorem:** For all  $a, b \geq 3$ , if  $R(a-1, b)$  and  $R(a, b-1)$  are both EVEN then  $R(a, b) \leq R(a-1, b) + R(a, b-1) - 1$

- 1) If  $\exists$  node  $v$ ,  $\deg_R(v) \geq R(a-1, b)$  then done.
- 2) If  $\exists$  node  $v$ ,  $\deg_B(v) \geq R(a, b-1)$  then done.
- 3)  $(\forall v)[\deg_R(v) \leq R(a-1, b) - 1 \wedge \deg_B(v) \leq R(a, b-1)]$

Hence the Red-Edge Graph has:

$R(a-1, b) + R(a, b-1) - 1$  nodes: ODD

Every node has degree  $R(a-1, b) - 1$  ODD.

**Recap:** Odd number of vertices, all odd deg.

**Contradiction:**  $\sum_{v \in V} \deg(v) = 2e$

## Actual Numbers:

$$R(3, 3) \leq R(2, 3) + R(3, 2) \leq 3 + 3 = 6.$$

$$R(3, 4) \leq R(2, 4) + R(3, 3) \leq 4 + 6 = 10. \text{ BOTH EVEN:}$$

$$R(3, 4) \leq 9.$$

$$R(3, 5) \leq R(2, 5) + R(3, 4) \leq 5 + 9 = 14$$

$$R(4, 4) \leq R(3, 4) + R(4, 3) \leq 9 + 9 = 18$$

$$R(4, 5) \leq R(3, 5) + R(4, 4) \leq 14 + 18 \leq 32. \text{ BOTH EVEN:}$$

$$R(4, 5) \leq 31.$$

$$R(5, 5) \leq R(4, 5) + R(5, 4) \leq 31 + 31 \leq 62.$$

NEED MATCHING LOWER BOUNDS.

$R(3, 3) \geq 6$ : We need a coloring of  $K_5$  with NO mono  $K_3$ .

Vertices are  $\{0, 1, 2, 3, 4\}$ .

$COL(a, b) = \text{RED}$  if  $a - b \equiv \text{SQ} \pmod{5}$ , BLUE OW.

- ▶  $-1 \equiv \text{SQ} \pmod{5}$ :  $a - b \equiv \text{SQ}$  iff  $b - a \equiv \text{SQ}$ .  $COL$  is sym.
- ▶ Squares mod 5: 1,4.
- ▶ If there is a RED triangle then  $a - b, b - c, c - a$  all SQ's. SUM is 0. So

$$x^2 + y^2 + z^2 \equiv 0 \pmod{5}$$

Can show this is impossible.

- ▶ If there is a BLUE triangle then  $a - b, b - c, c - a$  all non-SQ's. Product of nonsq's is a sq. So  $2(a - b), 2(b - c), 2(c - a)$  all squares. SUM to zero- same proof.

UPSHOT:  $R(3, 3) = 6$ .

$$R(4, 4) = 18.$$

Vertices are  $\{0, \dots, 16\}$ .

Use

$COL(a, b) = \text{RED}$  if  $a - b \equiv SQ \pmod{17}$ , BLUE OW.

Same idea as above, but more cases.

$$R(3, 5) = 14.$$

Vertices are  $\{0, \dots, 12\}$ .

Use

$COL(a, b) = \text{RED}$  if  $a - b \equiv CUBE \pmod{13}$ , BLUE OW.

Same idea as above, but more cases.

$$R(3, 4) = 9.$$

Subgraph of the above graph.



Can we extend this? Are there patterns?

$R(4, 5) = 25$ , also used Number Theory.

**Hope:** We can use more number theory to get more lower bounds on  $R(a, b)$ .

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No other  $R(a, b)$  are known using Number Theory.

Very few  $R(a, b)$  are known at all.

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But seriously:

**The Law of Small Numbers:** Patterns that you see for small values vanish when the numbers get too large to compute.

# Lower Bound on Ramsey Numbers

## PROBLEM FOUR: LOWER BOUNDS ON RAMSEY NUMBERS

The following is due to  
Erdos (1940) and  
Gasarch (1980) and  
Gauss (1810).

All independently.

$$R(k) \geq (k - 1)^2:$$

1. Take  $k - 1$  disjoint RED cliques.
2. Color all of the edges between these RED cliques BLUE.

Clearly:

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We have:

$$(k - 1)^2 \leq R(k) \leq 2^{2k-1}$$

Can we do better?

# Lower Bound on Ramsey Numbers- Can We Do Better?

PROBLEM: We want to find a coloring of  $\binom{[n]}{2}$  without a  $k$ -homog set for some  $n = f(k)$ .

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WRONG!- I want to just show that such exists!

## Pick a coloring at Random!

Number of colorings:  $2^{\binom{n}{2}}$ .

Number of colorings: that HAVE a homog set of size  $k$  is bounded by

$$\binom{n}{k} \times 2 \times 2^{\binom{n}{2} - \binom{k}{2}}$$

Prob that a random 2-coloring HAS a homog set is bounded by

$$\frac{\binom{n}{k} \times 2 \times 2^{\binom{n}{2} - \binom{k}{2}}}{2^{\binom{n}{2}}} \leq \frac{\binom{n}{k} \times 2}{2^{\binom{k}{2}}} \leq \frac{n^k}{k! 2^{k^2/2}}$$

Want  $n$  large and  $\frac{n^k}{k! 2^{k^2/2}} < 1$ . Take  $n = 2^{k/2}$ .

UPSHOT:  $R(k) \geq 2^{k/2}$ .

SUMMARY OF WHAT WE KNOW:  $2^{k/2} \leq R(k) \leq 2^{2k-1}$ .

# A Nice Problem

## PROBLEM ONE: DISTINCT DIFF SETS

Given  $n$  try to find a set  $A \subseteq \{1, \dots, n\}$  such that ALL of the differences of elements of  $A$  are DISTINCT.

Try to make  $A$  as big as possible.

STUDENTS break into small groups and try to do this.

### VOTE:

1. There is a all-diff-dist set of size roughly  $n/3$ .
2. There is a all-diff-dist set of size roughly  $n^{1/4}$ .
3. There is a all-diff dist set of size roughly  $\log n$ .

# An Approach

Let  $a$  be a number to be determined.

Pick a RANDOM subset  $A \{1, \dots, n\}$  of size  $a$ .

What is the probability that all of the diffs in  $A$  are distinct?

We hope the prob is strictly greater than 0.

**KEY:** If the prob is strictly greater than 0 then there must be SOME set of  $a$  elements where all of the diffs are distinct.



# Determining the Prob

If you pick a RANDOM  $A \subseteq \{1, \dots, n\}$  of size  $a$  what is the probability that all of the diffs in  $A$  are distinct?

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**WRONG QUESTION!**

If you pick a RANDOM  $A \subseteq \{1, \dots, n\}$  of size  $a$  what is the probability that all of the diffs in  $A$  are NOT distinct?

We hope that it is NOT 1.

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We only need to show that the prob is LESS THAN 1.

## Review a Little Bit of Combinatorics

The number of ways to CHOOSE  $y$  elements out of  $x$  elements is

$$\binom{x}{y} = \frac{x!}{y!(x-y)!}.$$

# Determining the Prob I

If a RAND  $A \subseteq \{1, \dots, n\}$ , size  $a$ , want bound on prob all of the diffs in  $A$  are NOT distinct. Num of ways to choose  $a$  elements out of  $\{1, \dots, n\}$  is  $\binom{n}{a}$ .

Two ways to create a set with a diff repeated:

## Way One:

- ▶ Pick  $x < y$ . There are  $\binom{n}{2} \leq n^2$  ways to do that.
- ▶ Pick diff  $d$  such that  $x + d \neq y$ ,  $x + d \leq n$ ,  $y + d \leq n$ . Can do  $\leq n$  ways. Put  $x, y, x + d, y + d$  into  $A$ .
- ▶ Pick  $a - 4$  more elements out of the  $n - 4$  left.

Number of ways to do this is  $\leq n^3 \times \binom{n-4}{a-4}$ .

**Way Two:** Pick  $x < y$ . Let  $d = y - x$  (so we do NOT pick  $d$ ).

Put  $x, y = x + d, y + d$  into  $A$ . Pick  $a - 3$  more elements out of the  $n - 3$  left.

Number of ways to do this is  $\leq n^2 \times \binom{n-3}{a-3}$ .



## Determining the Prob II

If you pick a RANDOM  $A \subseteq \{1, \dots, n\}$  of size  $a$  then a bound on the probability that all of the diffs in  $A$  are NOT distinct is

$$\begin{aligned} \frac{n^3 \times \binom{n-4}{a-4} + n^2 \times \binom{n-3}{a-3}}{\binom{n}{a}} &= \frac{n^3 \times \binom{n-4}{a-4}}{\binom{n}{a}} + \frac{n^2 \times \binom{n-3}{a-3}}{\binom{n}{a}} \\ &= \frac{n^3 a(a-1)(a-2)(a-3)}{n(n-1)(n-2)(n-3)} + \frac{n^2 a(a-1)(a-2)}{n(n-1)(n-2)} \\ &\leq \frac{32a^4}{n} \text{ Need some Elem Algebra and uses } n \geq 5. \end{aligned}$$

# ANSWER

**RECAP:** If pick a RANDOM  $A \subseteq \{1, \dots, m\}$  then the prob that there IS a repeated difference is  $\leq \frac{32a^4}{n}$ .

So WANT

$$\frac{32a^4}{n} < 1$$

Take

$$a = \left(\frac{n}{33}\right)^{1/4}.$$

**UPSHOT:** For all  $n \geq 5$  there exists a all-diff-distinct subset of  $\{1, \dots, n\}$  of size roughly  $n^{1/4}$ .

# GENERAL UPSHOT

We proved an object existed by showing that the Prob that it exists is NONZERO!

# SUM FREE SET PROBLEM

## PROBLEM TWO: SUM FREE SETS

(A More Sophisticated Use of Prob Method.)

**Definition:** A set of numbers  $A$  is *sum free* if there is NO  $x, y, z \in A$  such that  $x + y = z$ .

**Example:** Let  $y_1, \dots, y_m \in (1/3, 2/3)$  (so they are all between  $1/3$  and  $2/3$ ). Note that  $y_i + y_j > 2/3$ , hence  $y_i + y_j \notin \{y_1, \dots, y_m\}$ .

## ANOTHER EXAMPLE

**Def:**  $\text{frac}(x)$  is the fractional part of  $x$ . E.g.,  $\text{frac}(1.414) = .414$ .

**Lemma:** If  $y_1, y_2, y_3$  are such that  $\text{frac}(y_1), \text{frac}(y_2), \text{frac}(y_3) \in (1/3, 2/3)$  then  $y_1 + y_2 \neq y_3$ .

**Proof:** STUDENTS DO THIS. ITS EASY.

**Example:** Let  $A = \{y_1, \dots, y_m\}$  all have fractional part in  $(1/3, 2/3)$ .  $A$  is sum free by above Lemma.

# QUESTION

Given  $x_1, \dots, x_n \in \mathbb{R}$  does there exist a LARGE sum-free subset?  
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## VOTE:

1. There is a sumfree set of size roughly  $n/3$ .
2. There is a sumfree set of size roughly  $\sqrt{n}$ .
3. There is a sumfree set of size roughly  $\log n$ .



# SUM SET PROBLEM

**Theorem** For all  $\epsilon > 0$ , for all  $A$  is a set of  $n$  real numbers, there is a sum-free subset of size  $(1/3 - \epsilon)n$ .

**Proof:** Let  $L$  be LESS than everything in  $A$  and  $U$  be BIGGER than everything in  $A$ . We will make  $U - L$  LARGE later.

For  $a \in [L, R]$  let

$$B_a = \{x \in A : \text{frac}(ax) \in (1/3, 2/3)\}.$$

For all  $a$ ,  $B_a$  is sum-free by Lemma above.

SO we need an  $a$  such that  $B_a$  is LARGE.

# HOW BIG IS $B_a$ ?

What is the EXPECTED VALUE of  $|B_a|$ ?

Let  $x \in A$ .

$$\Pr_{a \in [L, U]}(ax \in (1/3, 2/3))$$

We take  $U - L$  large enough so that this prob is  $\geq (1/3 - \epsilon)$ .

$$E(|B_a|) = \sum_{x \in A} \Pr_{a \in [L, U]}(ax \in (1/3, 2/3)) = \sum_{x \in A} (1/3 - \epsilon) = (1/3 - \epsilon)n.$$

So THERE EXISTS an  $a$  such that  $|B_a| \geq (1/3 - \epsilon)n$ .

What is  $a$ ? I DON'T KNOW AND I DON'T CARE!

**End of Proof**

# Graphs and Ind Sets

## PROBLEM THREE: IND SETS IN GRAPHS

### Notation:

1. A **Graph** is  $(V, E)$  where  $V$  is the set of vertices and  $E$  is a set of pairs of vertices. Easy to draw!
2. An **Ind Set** in a graph  $(V, E)$  is a set  $V' \subseteq V$  such that there are NO edges between elements of  $V'$ .
3. If  $(V, E)$  is a graph and  $v \in V$  then the **degree** of  $v$ , denoted  $d_v$ , is the number of edges coming out of it.

### DO EXAMPLES ON BOARD

# Turan's Theorem

**Theorem** If  $G = (V, E)$  is a graph,  $|V| = n$ , and  $|E| = e$ , then  $G$  has an ind set of size at least

$$\frac{n}{\frac{2e}{n} + 1}.$$

We proof this using Probability, but first need a lemma.

# Lemma

**Lemma** If  $G = (V, E)$  is a graph. Then

$$\sum_{v \in V} \deg(v) = 2e.$$

**Proof:** Try to count the edges by summing the degrees at each vertex. This counts every edge TWICE.

# Proof of Turan's Theorem

**Theorem** If  $G = (V, E)$  is a graph,  $|V| = n$ , and  $|E| = e$ , then  $G$  has an ind set of size

$$\geq \frac{n}{\frac{2e}{n} + 1}.$$

**Proof:** Take the graph and RANDOMLY permute the vertices.

(DO EXAMPLE ON BOARD.) The set of vertices that have NO edges coming out on the right form an Ind Set. Call this set  $I$ .

# How Big is $I$ ?

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**WRONG QUESTION!**



# How Big is $I$ ?

How big is  $I$

**WRONG QUESTION!**

What is the EXPECTED VALUE of the size of  $I$ .  
(NOTE- we permuted the vertices RANDOMLY)

## What is Prob $v \in I$

Let  $v \in V$ . What is prob that  $v \in I$  DRAW PICTURE OF  $v$ .  $v$  has degree  $d_v$ . How many ways can  $v$  and its vertices be laid out:  $(d_v + 1)!$ . In how many of them is  $v$  on the right?  $d_v!$ .

$$\Pr(v \in I) = \frac{d_v!}{(d_v + 1)!} = \frac{1}{d_v + 1}.$$

Hence

$$E(|I|) = \sum_{v \in V} \frac{1}{d_v + 1}.$$

# How Big is this Sum?

Need to find lower bound on

$$\sum_{v \in V} \frac{1}{d_v + 1}.$$

# Rephrase

## NEW PROBLEM:

Minimize

$$\sum_{v \in V} \frac{1}{x_v + 1}$$

relative to the constraint:

$$\sum_{v \in V} x_v = 2e.$$

**KNOWN:** This sum is minimized when all of the  $x$  are  $\frac{2e}{|V|} = \frac{2e}{n}$ .  
So the min the sum can be is

$$\sum_{v \in V} \frac{1}{\frac{2e}{n} + 1} = \frac{n}{\frac{2e}{n} + 1}.$$

DONE!

$$\sum_{v \in V} \frac{1}{x_v + 1} \geq \sum_{v \in V} \frac{1}{\frac{2e}{n} + 1} = \frac{n}{\frac{2e}{n} + 1}.$$

# END OF THIS TALK/TAKEAWAY

## END OF THIS TALK

**TAKEAWAY:** There are TWO ways (probably more) to show that an object exists using probability.

1. Show that the probability that it exists is NONZERO. Hence there must be some set of random choices that makes it exist. We did this for the distinct-sums problem.
2. You want to show that an object of a size  $\geq s$  exists. Show that if you do a probabilistic experiment then you (a) always get the object of the type you want, and (b) the expected size is  $\geq s$ . Hence again SOME set of random choices produces an object of size  $\geq s$ .