

# Square-Difference-Free Sets of Size $\Omega(n^{0.7334\dots})$

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## Abstract

A set  $A \subseteq \mathbb{N}$  is *square-difference free* (henceforth SDF) if there do not exist  $x, y \in A$ ,  $x \neq y$ , such that  $|x - y|$  is a square. Let  $\text{sdf}(n)$  be the size of the largest SDF subset of  $\{1, \dots, n\}$ . Ruzsa [10] has shown that  $\text{sdf}(n) \geq \Omega(n^{\log_{65} 7}) \geq \Omega(n^{0.733077\dots})$ .

$$\text{sdf}(n) = \Omega(n^{0.5(1+\log_{65} 7)}) = \Omega(n^{0.733077\dots})$$

We improve on the lower bound by showing

$$\text{sdf}(n) = \Omega(n^{0.5(1+\log_{205} 12)}) = \Omega(n^{0.7334\dots})$$

As a corollary we obtain a new lower bound on the quadratic van der Waerden numbers. We also give the context and history of results of this type.

## 1 Introduction

**Notation 1.1**  $\mathbb{N}$  is the set  $\{1, 2, 3, \dots\}$ . If  $n \in \mathbb{N}$ , and  $n \geq 1$  then  $[n] = \{1, \dots, n\}$ .

In 1927 van der Waerden [18] published the following theorem which is now known as van der Waerden's theorem (henceforth VDW's theorem). For a modern treatment see the printed books by Graham et al. [6] or Landman et al. [8]; or the free online book by Gasarch et al. [4].

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**Theorem 1.2** For all  $k, c \in \mathbf{N}$ , there exists  $W = W(k, c) \in \mathbf{N}$  such that, for any  $c$ -coloring  $COL : [W] \rightarrow [c]$ , there are  $a, d \in \mathbf{N}$ ,  $d \neq 0$ , such that

$$a, a + d, a + 2d, \dots, a + (k - 1)d \in [W]$$

$$COL(a) = COL(a + d) = COL(a + 2d) = \dots = COL(a + (k - 1)d).$$

Van der Waerden's original proof yielded enormous upper bounds on  $W(k, c)$ . In particular, they were not primitive recursive. Erdos and Turan wanted an alternative proof of this theorem with smaller bounds. To this end they made the following conjectures:

- (ER1) For all  $k$ , for all  $\epsilon$ , for large enough  $n$ , for all  $A \subseteq \{1, \dots, n\}$  such that  $|A| \geq \epsilon n$ ,  $A$  has a  $k$ -AP.
- (ER2) Let  $A$  be a set of natural numbers. If  $\sum_{x \in A} 1/x$  diverges then  $A$  has arbitrarily long arithmetic sequences. (We do not discuss ER2 but include it for completeness.)

Szemerédi [15] (see also the expositions by Tao [16, 17]) proved ER1; however, the proof used VDW's theorem and hence did not provide better bounds for  $W(k, c)$ . Furstenberg [3] obtained a different proof of ER1 using ergodic theory. This proof was nonconstructive and hence yielded no bounds for  $W(k, c)$ . Shelah [14] obtained primitive recursive bounds using purely combinatorial methods. Gowers [5] obtained, using rather difficult mathematics, the best known bound:

$$W(k, c) \leq 2^{2^{c2^{2^{k+9}}}}$$

For now this is where the story of upper bounds on  $W(k, c)$  ends. However, the techniques of Furstenberg were then used by Bergelson and Leibman [1] to show the following which we refer to as *the density version of the Polynomial van der Waerden Theorem (henceforth Density PVDW Theorem.)*

**Theorem 1.3** For all  $0 < \epsilon < 1$ , for all  $p_1(x), \dots, p_k(x) \in Z[x]$  such that  $(\forall i)[p_i(0) = 0]$ , for almost all  $n$ , the following holds:

$$(\forall A \subseteq [n])[|A| \geq \epsilon n \Rightarrow (\exists a, d \in \mathbf{N})[a, a + p_1(d), a + p_2(d), \dots, a + p_k(d) \in A]].$$

This theorem has the following corollary which we refer to as *the polynomial van der Waerden Theorem* (henceforth *PVDW theorem*.)

**Theorem 1.4** *For all  $c \in \mathbf{N}$ , for all  $p_1(x), \dots, p_k(x) \in Z[x]$  such that  $(\forall i)[p_i(0) = 0]$ , there exists a natural number  $W = W(p_1, \dots, p_k; c)$  such that, for all  $c$ -coloring  $COL : [W] \rightarrow [c]$ , there exists  $a, d \in \mathbf{N}$ ,  $d \neq 0$ , such that*

$$a, a + p_1(d), a + p_2(d), \dots, a + p_k(d) \in [W]$$

$$COL(a) = COL(a + p_1(d)) = COL(a + p_2(d)) = \dots = COL(a + p_k(d)).$$

**Note 1.5**

1. The PVDW theorem was proved for  $k = 1$  by Furstenberg [3] and (independently) Sárközy [11].
2. Bergelson and Leibman's proof of the Density PVDW Theorem yields the PVDW theorem; however, it does not provide bounds on  $W(p_1, \dots, p_k; c)$ . Walters [19] proved the PVDW theorem using purely combinatorial techniques and hence obtained bounds; however, these bounds were not primitive recursive.
3. The original proof of VDW's theorem used an  $\omega^2$  induction which is why the bounds are so large. By contrast Walters proof of the PVDW theorem used an  $\omega^\omega$  induction and hence yields much larger bounds.
4. Shelah [13] later obtained primitive recursive (though still large) bounds on  $W(p_1, \dots, p_k; c)$ .
5. Note that in the case of VDW's theorem the combinatorial proof came first and the density version (Szemerédi's theorem) came later, while for the PVDW theorem the density version came first and the combinatorial proof came later.

Our interest is in a special case of PVDW and density PVDW.

**Def 1.6** A set  $A \subseteq \mathbf{N}$  is *square-difference free* (henceforth *SDF*) if there do not exist  $x, y \in A$ ,  $x \neq y$ , such that  $|x - y|$  is a square.

**Def 1.7** Let  $\text{sdf}(n)$  be the size of the largest SDF subset of  $[n]$ .

Theorem 1.3 implies that, for any  $0 < \epsilon < 1$ , for almost all  $n$ ,

$$\text{sdf}(n) \leq \epsilon n.$$

The following bounds are known on  $\text{sdf}(n)$ .

- Sárközy [11] proved

$$\text{sdf}(n) \leq O\left(\frac{n(\log \log n)^{2/3}}{(\log n)^{1/3}}\right).$$

- Pintz, Steiger, and Szemerédi [9] proved

$$\text{sdf}(n) \leq \frac{n}{(\log n)^{O(\log \log \log \log n)}}.$$

(See also an exposition of Wolf [20].)

- Sárközy [12] showed that, for all  $\epsilon < 0.5$ ,  $\text{sdf}(n) \geq n^{0.5+\epsilon f(n)}$ , where  $f(n) = \frac{\log \log \log n}{\log \log n}$ .
- Ruzsa [10] proved  $\text{sdf}(n) \geq \Omega(n^{\log_{65} 7}) \geq \Omega(n^{0.733077\dots})$ .

We improve on Ruzsa's result by showing

$$\text{sdf}(n) \geq \Omega(n^{\log_{205} 12}) = \Omega(n^{0.7334\dots}).$$

Our proof is similar to Ruzsa's. We then use the result to get a lower bound on the quadratic VDW number (also known as  $W(x^2; c)$  from Theorem 1.4.)

## 2 Upper Bounds on the Quadratic VDW Number

We present a known upper bound on the Quadratic VDW number so that we can contrast it to the new lower bound we will obtain.

**Notation 2.1** Let  $Q(c)$  be the least  $n$  such that for all  $c$ -colorings of  $[n]$  there exists  $a, d$ , such that  $a$  and  $a + d^2$  are the same color. Note that  $Q(c)$  exists by Theorem 1.4.

The following is an easy corollary of the result of Pintz, Steiger, and Szemerédi mentioned above.

**Corollary 2.2** *For all  $0 < \epsilon < 1$ ,  $Q(c) \leq 2^{c^{f(c,\epsilon)}}$  where  $f(c, \epsilon) = O(\frac{1}{(\log \log \log c)^\epsilon})$ .*

**Proof:**

Let  $n = 2^{c^{f(c,\epsilon)}}$ . Let  $COL$  be a  $c$ -coloring of  $[n]$ . Some color, say RED, must appear at least  $n/c$  times. We show that

$$\frac{n}{c} \geq \frac{n}{(\log n)^{O(\log \log \log \log n)}}.$$

By the result of Pintz, Steiger, and Szemerédi mentioned above this will imply that there are two RED points that are a square apart.

$$\begin{aligned} \frac{n}{c} &\geq \frac{n}{(\log n)^{O(\log \log \log \log n)}} \\ (\log n)^{\Omega(\log \log \log \log n)} &\geq c \\ c^{\Omega(f(c,\epsilon) \log \log \log c^{f(c,\epsilon)})} &\geq c^1 \\ \Omega(f(c, \epsilon) \log \log \log c^{f(c,\epsilon)}) &\geq 1 \\ \Omega(f(c, \epsilon) \log \log (f(c, \epsilon) \log c)) &\geq 1 \\ \Omega(f(c, \epsilon) \log(\log(f(c, \epsilon)) + \log(\log c))) &\geq 1 \\ \Omega(f(c, \epsilon) \log(\log(\log c))) &\geq 1 \\ \Omega((\log \log \log(c))^{1-\epsilon}) &\geq 1 \end{aligned}$$

For large enough  $c$  this inequality will hold. ■

### 3 An SDF set of size $\geq \Omega(n^{0.5})$

We present the result  $\text{sdf}(n) \geq n^{0.5}$ , since it is easy and, while known [12], is not online and seems hard to find. We do not need this result; however, it is very nice.

Recall Bertrand's Postulate<sup>1</sup> which we state as a lemma.

**Lemma 3.1** *For all  $n$  there is a prime  $p$  such that  $n \leq p \leq 2n$ .*

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<sup>1</sup>Bertrand's Postulate was actually proven by Chebyshev's. Bertrand conjectured that, for all  $n > 3$ , there is a prime between  $n$  and  $2n - 2$ . Bertrand proved it for all  $n < 3 \times 10^6$ . Chebyshev proved it completely in 1850. It is usually stated as we do below. A proof due to Erdős can be found either in the Classic Number Theory text of Hardy and Wright [7] or on the Wikipedia entry on Bertrand's Postulate.

**Theorem 3.2**

$$\text{sdf}(n) = \Omega(n^{0.5}).$$

**Proof:** By Bertrand's Postulate there exists a prime  $p$  such that

$$\frac{n^{0.5}}{2} \leq p \leq n^{0.5}.$$

Let

$$A = \{p, 2p, 3p, \dots, p^2\}.$$

Clearly,  $|A| = p \geq \Omega(\sqrt{n})$ . We show that  $A$  is SDF.

Let  $ip$  and  $jp$  be two elements of  $A$ . Note that

$$jp - ip = (j - i)p.$$

We can assume that  $i < j$ , so

$$1 \leq i < j \leq p.$$

Thus we have  $j - i < p$ . Hence  $(j - i)p$  has only one factor of  $p$ , so  $jp - ip$  cannot be a square. ■

## 4 An SDF set of size $\geq \Omega(n^{0.7334\dots})$

To obtain large SDF sets, we will first work with SDF sets with respect to various moduli.

**Convention 4.1** Throughout this section when we deal with mod  $m$  we will use the set  $[m] = \{1, \dots, m\}$  rather than the more traditional  $\{0, \dots, m-1\}$ . In calculations we may use 0 instead of  $m$  for clarity. For example, if we have that  $b_1 \equiv b_2 \pmod{m}$  then we will feel free to write  $b_1 - b_2 \equiv 0 \pmod{m}$ .

**Def 4.2** Let  $n \in \mathbf{N}$ . A set  $A \subseteq [n]$  is *square-difference free mod  $n$*  (henceforth  $\text{SDFMOD}(n)$ ) if there do not exist  $x, y \in A$ ,  $x \neq y$ , such that  $x - y$  is a square mod  $n$ .

**Def 4.3** Let  $\text{sdfmod}(n)$  be the size of the largest  $\text{SDFMOD}(n)$  set.

Note that  $\text{sdfmod}(n) \leq \text{sdf}(n)$ . We will obtain lower bounds for  $\text{sdf}(n)$  by obtaining lower bounds for  $\text{sdfmod}(n)$ . The next lemma shows how to construct such sets.

**Lemma 4.4** *Assume  $m$  is squarefree,  $k \geq 1$ , and  $B, X, S, Y$  are sets such that the following hold:*

1.  $S$  is an  $\text{SDFMOD}(m)$  subset of  $[m]$ .
2.  $X$  is an  $\text{SDFMOD}(m^{2k-2})$  subset of  $[m^{2k-2}]$ .
3.  $B = \{mz + b \mid z \in \{0, \dots, m-1\} \wedge b \in S\}$ . Note that  $B \subseteq [m^2]$  and  $|B| = m|S|$ .
4.  $Y = \{m^2x + s \pmod{m^{2k}} \mid x \in X \wedge s \in B\}$ . Since  $Y$  is defined  $\pmod{m^{2k}}$ , when we use Convention 4.1, we have  $Y \subseteq [m^{2k}]$ . Note that  $|Y| = |X||B| = m|S||X|$ .

Then  $Y$  is an  $\text{SDFMOD}(m^{2k})$  subset of  $[m^{2k}]$ .

**Proof:** Suppose, by way of contradiction, that there exist two elements of  $Y$ ,  $y_1$  and  $y_2$ , whose difference is a square mod  $m^{2k}$ . By the definition of  $Y$ , we can write those elements as

- $y_1 = m^2x_1 + s_1$ , where  $x_1 \in X$  and  $s_1 \in B$ .
- $y_2 = m^2x_2 + s_2$ , where  $x_2 \in X$  and  $s_2 \in B$ .

Since  $s_1, s_2 \in B$ ,

- $s_1 = mz_1 + b_1$ , where  $z_1 \in \{0, \dots, m-1\}$  and  $b_1 \in S$ .
- $s_2 = mz_2 + b_2$ , where  $z_2 \in \{0, \dots, m-1\}$  and  $b_2 \in S$ .

Hence

- $y_1 = m^2x_1 + mz_1 + b_1$ , where  $x_1 \in X$ ,  $z_1 \in \{0, \dots, m-1\}$ , and  $b_1 \in S$ .
- $y_2 = m^2x_2 + mz_2 + b_2$ , where  $x_2 \in X$ ,  $z_2 \in \{0, \dots, m-1\}$ , and  $b_2 \in S$ .

Since  $y_1 - y_2$  is a square mod  $m^{2k}$  there exists  $a, L$  such that

$$y_1 - y_2 = a^2 + L_1 m^{2k}$$

$$m^2(x_1 - x_2) + m(z_1 - z_2) + (b_1 - b_2) = a^2 + Lm^{2k}.$$

Reducing this equation mod  $m$ , we obtain

$$b_1 - b_2 \equiv a^2 \pmod{m}.$$

By the definition of  $S$ ,  $b_1 = b_2$ , so we have

$$a^2 \equiv 0 \pmod{m}.$$

Since  $m$  divides  $a^2$ , and  $m$  is squarefree,  $m$  divides  $a$ . Hence  $a = cm$ , so  $a^2 = c^2 m^2$ . Thus we have

$$m^2(x_1 - x_2) + m(z_1 - z_2) = c^2 m^2 + Lm^{2k}.$$

Reducing this equation mod  $m^2$ , and using the fact that  $k \geq 1$ , we obtain

$$m(z_1 - z_2) \equiv 0 \pmod{m^2}.$$

Since  $0 \leq z_1, z_2 \leq m - 1$ , we have  $m |z_1 - z_2| < m^2$ , hence  $z_1 = z_2$ . Since  $b_1 = b_2$  and  $z_1 = z_2$ , we now have

$$m^2(x_1 - x_2) = c^2 m^2 + Lm^{2k}$$

Dividing by  $m^2$ , we obtain

$$(x_1 - x_2) = c^2 + Lm^{2k-2}.$$

Recall that  $x_1, x_2 \in X$ . By the condition on  $X$ , there do not exist two elements of  $X$  whose difference is a square mod  $m^{2k-2}$ . Since the last equation states that the difference of two elements of  $X$  is a square mod  $m^{2k-2}$ , this is a contradiction. ■

**Lemma 4.5** For all  $k \geq 1$ ,  $\text{sdfmod}(m^{2k}) \geq m \cdot \text{sdfmod}(m) \cdot \text{sdfmod}(m^{2k-2})$ .



**Proof:** Let  $S$  be an SDFMOD( $m$ ) set of size  $\text{sdfmod}(m)$  and let  $X$  be an SDFMOD( $m^{2k-2}$ ) set of size  $\text{sdfmod}(m^{2k-2})$ . By Lemma 4.4 there exists  $Y$ , an SDFMOD( $m^{2k}$ ) set of size  $m \cdot \text{sdfmod}(m) \cdot \text{sdfmod}(m^{2k-2})$ . Hence

$$\text{sdfmod}(m^{2k}) \geq m \cdot \text{sdfmod}(m) \cdot \text{sdfmod}(m^{2k-2}).$$

■

**Lemma 4.6** *Assume that there exists a squarefree  $m$  and a set  $S \subseteq [m]$  such that  $S$  is SDFMOD( $m$ ). Then  $\text{sdf}(n) \geq \Omega(n^{0.5(1+\log_m |S|)})$ . (The constant implicit in the  $\Omega$  depends on  $m$ .)*

**Proof:** By the premise,  $\text{sdfmod}(m) \geq |S|$ . By Lemma 4.5

$$(\forall k \geq 1)[\text{sdfmod}(m^{2k}) \geq m|S|\text{sdfmod}(m^{2k-2})].$$

Hence

$$\text{sdfmod}(m^{2k}) \geq (m|S|)^k \text{sdfmod}(1) = (m|S|)^k$$

Let  $n = m^{2k}$ , so  $k = \log_m \sqrt{n}$ . Then

$$\begin{aligned} (m|S|)^k &= m^k (|S|)^k \\ &= m^k (|S|)^{\log_m \sqrt{n}} \\ &= n^{0.5} |S|^{\log_m \sqrt{n}} \end{aligned}$$

Note that

$$|S|^{\log_m \sqrt{n}} = (\sqrt{n})^{\log_m |S|} = n^{0.5 \log_m |S|}.$$

Hence, for  $n = m^{2k}$ ,

$$\text{sdfmod}(m^{2k}) \geq (m|S|)^k = n^{0.5} n^{0.5 \log_m |S|} = n^{0.5(1+\log_m |S|)}.$$

Thus we have

$$\text{sdfmod}(n) \geq \Omega(n^{0.5(1+\log_m |S|)}).$$

■

**Theorem 4.7**  $\text{sdf}(n) \geq \Omega(n^{\log_{205} 12}) \geq \Omega(n^{0.7334\dots})$ .

**Proof:** Let  $m = 205$  and  $S = \{0, 2, 8, 14, 77, 79, 85, 96, 103, 109, 111, 181\}$ . Clearly,  $m$  is square free. An easy calculation shows that there are no two elements of  $S$  whose difference is a square mod  $m$ . Note that  $\log_m |S| = \log_{205} 12 > 0.4668$ . Hence, by Lemma 4.6,

$$\text{sdf}(n) \geq \Omega(n^{0.5(1+\log_m |S|)}) \geq \Omega(n^{0.5(1+0.4668)}) \geq \Omega(n^{0.7334\dots}).$$

■

**Note 4.8** Ruzsa used  $m = 65$  and a set  $S$  of size 7 to obtain his results. He did not specify his set  $S$ ; however,  $S = \{0, 2, 5, 22, 24, 43, 46\}$  will suffice.

## 5 Square-Difference-Free Colorings

Once we have a large Square-free difference set can we use it to obtain a lower bound on  $Q(c)$ . YES!

**Def 5.1** Let  $A \subseteq [n]$ .  $B$  is a *translate of  $A$  relative to  $n$*  if there exists  $t$  such that

$$B = \{x + t : x \in A\} \cap [n].$$

We will omit the “relative to  $n$ ” when  $n$  is clear from context.

The following lemma is by Lipton, Chandra, and Furst [2]. We provide their proof for completeness.

**Lemma 5.2** *Let  $A \subseteq [n]$ . There exist  $c \leq O(\frac{n \log n}{|A|})$  and sets  $A_1, \dots, A_c$  that are translates of  $A$  such that  $[n] = A_1 \cup \dots \cup A_c$ . (Note that the lemma holds for any set  $A$ ; however, we will apply it when  $A$  is SDF.)  $S = \{0, 2, 5, 22, 24, 43, 46\}$  works.*

**Proof:**

Pick a translation of  $A$  by picking  $t \in \{-n, -n + 1, \dots, n\}$ . The probability that  $x \in A + t$  is  $\frac{|A|}{2n+1} \geq \frac{|A|}{3n}$ . Hence probability that  $x \notin A + t$  is at most

$$1 - \frac{|A|}{3n}.$$

If we pick  $s$  translations  $t_1, \dots, t_s$  at random ( $s$  to be determined later) then the expected number of  $x$  that are not covered by any  $A + t_i$  is

$$T \left(1 - \frac{|A|}{3n}\right)^s \leq T e^{-s \frac{|A|}{3n}}.$$

We need to pick  $s$  such that this quantity is  $< 1$ . We take  $s = 4 \frac{n \ln n}{|A|}$  which yields

$$n e^{-s \frac{|A|}{3n}} = n e^{-(4 \ln n / 3)} = n^{-1/3} < 1.$$

■

**Lemma 5.3** *Let  $c, n$  be such that  $c \leq O\left(\frac{n \log n}{\text{sdf}(n)}\right)$ , and Then  $Q(c) \geq n$ .*

**Proof:** Let  $A \subseteq [n]$  be an SDF set of size  $\text{sdf}(n)$ . By Lemma 5.2, there exist  $c \leq O\left(\frac{n \log n}{\text{sdf}(n)}\right)$  translates of  $A$  such that the union of the translates covers all of  $[n]$ . Call the translates  $A_1, \dots, A_c$ . Let  $\chi$  be the  $c$ -coloring of  $[n]$  that maps a number  $x$  to the least  $i$  such that  $x \in A_i$ . For  $1 \leq i \leq c$  let  $C_i$  be the set of numbers that are colored  $i$ . Since each  $C_i$  is an SDF, this coloring has no  $x, y \in [n]$ ,  $x \neq y$ , such that  $\chi(x) = \chi(y)$  and  $|x - y|$  is a square. Hence  $Q(c) \geq n$ . ■

**Theorem 5.4**  $Q(c) \geq \Omega(c^{3.75})$ .

**Proof:** Fix  $c$ . We want to find an  $n$  as small as possible such that

$$c \leq O\left(\frac{n \log n}{\text{sdf}(n)}\right).$$

Since  $\text{sdf}(n) \geq \Omega(n^{0.7334\dots})$

$$\left(\frac{n \log n}{n^{0.7334\dots}}\right) \leq O(n^{0.2666}).$$

Hence it will suffice to find an  $n$  as small as possible such that

$$c \leq O(n^{0.2666}).$$

We can take

$$n \geq \Omega(c^{1/0.2666}) \geq \Omega(c^{3.75}).$$

Hence  $Q(c) \geq \Omega(c^{3.75})$ .

■

## 6 Open Problem

Combining the upper bound of Pintz, Steiger, Szemerédi with our lower bound we have:

$$\Omega(n^{0.7334\dots}) \leq \text{sdf}(n) \leq \frac{n}{\log^{O(\log \log \log \log n)} n}$$

Combining the lower bound of Theorem 5.4 with the upper bound of Corollary 2.2 we obtain

$$\Omega(c^{3.75}) \leq Q(c) \leq 2^{c^{O(1/(\log \log \log c)^\epsilon)}}.$$

The open problem is to close these gaps. One way to raise the lower bounds on  $\text{sdf}(n)$  is to find values of  $m$  and  $|S|$  that satisfy the premise of Lemma 4.6 with a larger value of  $\log_m |S|$  than we obtained. The best upper bounds on  $Q$  are from density results that use sophisticated methods. It would be interesting to obtain upper bounds on  $Q$  using purely combinatorial means.

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