Announcements

● Program #1
  – Is due at 9:00 AM on Thursday

● Program #0
  – Re-grade requests are due by Monday at 11:59:59 PM

● Reading
  – Chapter 6
CPU Scheduling

- Manage CPU to achieve several objectives:
  - maximize CPU utilization
  - minimize response time
  - maximize throughput
  - minimize turnaround time

- Multiprogrammed OS
  - multiple processes in executable state at same time
  - scheduling picks the one that will run at any give time (on a uniprocessor)

- Processes use the CPU in bursts
  - may be short or long depending on the job
Types of Scheduling

- At least 4 types:
  - long-term - add to pool of processes to be executed
  - medium-term - add to number of processes partially or fully in main memory
  - short-term - which available process will be executed by the processor
  - I/O - which process’s pending I/O request will be handled by an available I/O device

- Scheduling changes the state of a process
Scheduling criteria

- **Per processor, or system oriented**
  - CPU utilization
    - maximize, to keep as busy as possible
  - throughput
    - maximize, number of processes completed per time unit

- **Per process, or user oriented**
  - turnaround time
    - minimize, time of submission to time of completion.
  - waiting time
    - minimize, time spent in ready queue - affected solely by scheduling policy
  - response time
    - minimize, time to produce first output
    - most important for interactive OS
Scheduling criteria
non-performance related

• **Per process**
  – predictability
    • job should run in about the same amount of time, regardless of total system load

• **Per processor**
  – fairness
    • don’t starve any processes, treat them all the same
  – enforce priorities
    • favor higher priority processes
  – balance resources
    • keep all resources busy
Medium vs. Short Term Scheduling

- **Medium-term scheduling**
  - Part of swapping function between main memory and disk
  - based on how many processes the OS wants available at any one time
  - must consider memory management if no virtual memory (VM), so look at memory requirements of swapped out processes

- **Short-term scheduling (dispatcher)**
  - Executes most frequently, to decide which process to execute next
  - Invoked whenever event occurs that interrupts current process or provides an opportunity to preempt current one in favor of another
  - Events: clock interrupt, I/O interrupt, OS call, signal
Long-term scheduling

- Determine which programs admitted to system for processing - controls degree of multiprogramming
- Once admitted, program becomes a process, either:
  - added to queue for short-term scheduler
  - swapped out (to disk), so added to queue for medium-term scheduler
- Batch Jobs
  - Can system take a new process?
    - more processes implies less time for each existing one
    - add job(s) when a process terminates, or if percentage of processor idle time is greater than some threshold
  - Which job to turn into a process
    - first-come, first-serve (FCFS), or to manage overall system performance (e.g. based on priority, expected execution time, I/O requirements, etc.)
Process State Transitions

- New
  - Long-term scheduling
- Ready, suspend
- Blocked, suspend
- Ready
- Blocked
  - Medium-term scheduling
- Running
  - Short-term scheduling
- Exit
  - Event wait
  - Wait

Long-term scheduling, Medium-term scheduling, Short-term scheduling, and Event wait.
Process Priority

- Use multiple run queues, one for each priority
- Who decides priority
  - dispatcher - that mixes policy and mechanism too much
  - when the process is created, assign it a priority
  - have a second level scheduler (often called medium term scheduler) to manage priorities
    - mechanism is to move processes between different queues
- Will discuss scheduling more in a future lecture
Short-term scheduling algorithms

- **First-Come, First-Served (FCFS, or FIFO)**
  - as process becomes ready, join Ready queue, scheduler always selects process that has been in queue longest
  - better for long processes than short ones
  - favors CPU-bound over I/O-bound processes
  - need priorities, on uniprocessor, to make it effective
Algorithms (cont.)

- **Round-Robin (RR)**
  - use preemption, based on clock - time slicing
    - generate interrupt at periodic intervals
  - when interrupt occurs, place running process in Ready queue, select next process to run using FCFS
  - what’s the length of a time slice
    - short means short processes move through quickly, but high overhead to deal with clock interrupts and scheduling
    - guideline is time slice should be slightly greater than time of “typical job” CPU burst
  - problem dealing with CPU and I/O bound processes
Algorithms (cont.)

- **Shortest Process Next (SPN)**
  - non-preemptive
  - select process with shortest expected processing time
  - improves response time, but increases its variability, reducing predictability - provably decreases average waiting time
  - problem is estimating required processing time
  - risk of starving longer processes, as long as there are shorter processes around
  - not good for time sharing - non-preemptive
Shortest Remaining Time (SRT)
- preemptive version of SPN
- scheduler chooses process with shortest expected remaining process time
- still need estimate of processing time, and can starve longer processes
  - no bias in favor of longer processes, as in FCFS
  - no extra interrupts as in RR, so reduced overhead
- must record elapsed service times
- should give better turnaround time than SPN
Priority Based Scheduling

- **Priorities**
  - assign each process a priority, and scheduler always chooses process of higher priority over one of lower priority
- **More than one ready queue, ordered by priorities**

![Diagram of Priority Based Scheduling]

- Admit
- Event Occurs
- Blocked queue
- Preemption
- Event Wait
- Dispatch
- Release
Priority Algorithms

- **Fixed Queues**
  - processes are statically assigned to a queue
  - sample queues: system, foreground, background

- **Multilevel Feedback**
  - processes are dynamically assigned to queues
  - penalize jobs that have been running longer
  - preemptive, with dynamic priority
  - have $N$ ready queues (RQ0-RQN),
    - start process in RQ0
    - if quantum expires, moved to $i + 1$ queue
Feedback scheduling (cont.)

- problem: turnaround time for longer processes
  - can increase greatly, even starve them, if new short jobs regularly enter system
- solution1: vary preemption times according to queue
  - processes in lower priority queues have longer time slices
- solution2: promote a process to higher priority queue
  - after it spends a certain amount of time waiting for service in its current queue, it moves up
- solution3: allocate fixed share of CPU time to jobs
  - if a process doesn’t use its share, give it to other processes
  - variation on this idea: lottery scheduling
    - assign a process “tickets” (# of tickets is share)
    - pick random number and run the process with the winning ticket.
UNIX System V

- **Multilevel feedback, with**
  - RR within each priority queue
  - 10ms second preemption
  - priority based on process type and execution history, lower value is higher priority

- **Priority recomputed once per second, and scheduler selects new process to run**

- **For process j, P(i) = Base + CPU(i-1)/2 + nice**
  - P(i) is priority of process j at interval i
  - Base is base priority of process j
  - CPU(i) = U(i)/2 + CPU(i-1)/2
    - U(i) is CPU use of process j in interval i
    - exponentially weighted average CPU use of process j through interval i
  - nice is user-controllable adjustment factor
UNIX (cont.)

- Base priority divides all processes into (non-overlapping) fixed bands of decreasing priority levels
  - swapper, block I/O device control, file manipulation, character I/O device control, user processes
- bands optimize access to block devices (disk), allow OS to respond quickly to system calls
- penalizes CPU-bound processes w.r.t. I/O bound
- targets general-purpose time sharing environment
Windows NT

- **Target:**
  - single user, in highly interactive environment
  - a server
- preemptive scheduler with multiple priority levels
- flexible system of priorities, RR within each, plus dynamic variation on basis of current thread activity for *some* levels
- 2 priority bands, real-time and variable, each with 16 levels
  - real-time ones have higher priority, since require immediate attention(e.g. communication, real-time task)
Windows NT (cont.)

- In real-time class, all threads have fixed priority that never changes
- In variable class, priority begins at an initial value, and can change, up or down
  - FIFO queue at each level, but thread can switch queues
- Dynamic priority for a thread can be from 2 to 15
  - if thread interrupted because time slice is up, priority lowered
  - if interrupted to wait on I/O event, priority raised
  - favors I/O-bound over CPU-bound threads
  - for I/O bound threads, priority raised more for interactive waits (e.g. keyboard, display) than for other I/O (e.g. disk)
Multi-Processor Scheduling

- **Multiple processes need to be scheduled together**
  - Called gang-scheduling
  - Allowing communicating processes to interact w/o/ waiting

- **Try to schedule processes back to same processor**
  - Called affinity scheduling
    - Maintain a small ready queue per processor
    - Go to global queue if nothing local is ready