# Strategic Planning for Imperfect-Information Games<sup>\*</sup>

Stephen J. J. Smith Computer Science Department University of Maryland College Park, MD 20742 USA sjsmith@cs.umd.edu

#### Abstract

Although game-tree search works well in perfectinformation games, there are problems in trying to use it for imperfect-information games such as bridge. The lack of knowledge about the opponents' possible moves gives the game tree a very large branching factor, making the tree so immense that game-tree searching is infeasible.

In this paper, we describe our approach for overcoming this problem. We develop a model of imperfect-information games, and describe how to represent information about the game using a modified version of a task network that is extended to represent multi-agency and uncertainty. We present a game-playing procedure that uses this approach to generate game trees in which the set of alternative choices is determined not by the set of possible actions, but by the set of available tactical and strategic schemes.

In our tests of this approach on the game of bridge, we found that it generated trees having a much smaller branching factor than would have been generated by conventional game-tree search techniques. Thus, even in the worst case, the game tree contained only about 1300 nodes, as opposed to the approximately  $6.01 \times 10^{44}$  nodes that would have been produced by a brute-force game tree search in the worst case. Furthermore, our approach successfully solved typical bridge problems that matched situations in its knowledge base. These preliminary tests suggest that our approach has the potential to yield bridge-playing programs much better than existing ones—and thus we have begun to build a full implementation. Dana S. Nau

Computer Science Department and Institute for Systems Research University of Maryland College Park, MD 20742 USA nau@cs.umd.edu

### Introduction

Although game-tree search works well in perfectinformation games (such as chess (Berliner *et al.*, 1990; Levy and Newborn, 1982), checkers (Samuel, 1967), and othello (Lee and Mahajan, 1990)), it does not always work so well in other games. One example is the game of bridge. Bridge is an imperfect-information game, in which no player has complete knowledge about the state of the world, the possible actions, and their effects. As a consequence, the branching factor of the game tree—and thus the size of the tree itself—is very large. Searching this game tree is completely infeasible, because the bridge deal must be played in just a few minutes (in contrast to a chess game, which can go on for several hours). Thus, a different approach is needed.

In this paper, we describe an approach to this problem, based on the observation that bridge is a game of planning. The bridge literature describes a number of tactical and strategic schemes for dealing with various card-playing situations. It appears that there is a small number of such schemes for each bridge hand, and that each of them can be expressed relatively simply. To play bridge, many humans use these schemes to create plans. They then follow those plans for some number of tricks, replanning when appropriate.

We have taken advantage of the planning nature of bridge, by adapting and extending some ideas from task-network planning. To represent the tactical and strategic schemes of card-playing in bridge, we use instances of *multi-agent methods*—structures similar to the task decompositions used in hierarchical singleagent planning systems such as Nonlin (Tate, 1976; Tate, 1977), NOAH (Sacerdoti, 1977), and MOLGEN (Stefik, 1981), but modified to represent multi-agency and uncertainty. To generate game trees, we use a procedure similar to task-network decomposition.

This approach produces game tree in which the number of branches from each state is determined not by the number of actions that an agent can perform, but instead by the number of different tactical and strategic schemes that the agent can employ. If at each node of the tree, the number of applicable schemes is smaller

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than the number of possible actions, this will result in a smaller branching factor, and a much smaller search tree. For example, a prototype implementation of our approach produced game trees of no more than about 1300 nodes in the worst case—a significant reduction from the approximately  $6.01 \times 10^{44}$  nodes that would have been produced by a brute-force game tree search in the worst case.

Since our approach avoids generating all possible moves for all agents, it is in essence a type of forward pruning. Although forward pruning has not worked very well in games such as chess (Biermann, 1978; Truscott, 1981), our study of forward pruning (Smith and Nau, 1993) suggests that forward pruning works best in situations where there is a high correlation among the minimax values of sibling nodes. We believe that bridge has this characteristic. This encourages us to believe that our approach may work well in the game of bridge—and a preliminary study of our prototype implementation suggests that our approach can do well on at least some bridge hands. To test this idea further, we are developing a full implementation of the approach.

#### **Related Work**

The strongest work on bridge has focused on bidding (Gamback *et al.*, 1990; Gamback *et al.*, 1993). However, there are no really good computer programs for card-playing in bridge—most of them can be beaten by a reasonably advanced novice. The approaches used in current programs are based almost exclusively on domain-specific techniques. Some programs (such as Great Game Products' *Bridge Baron* program) do decision-making by repeatedly generating random hypotheses for what hands the opponents might have, and doing a full game tree-search for each hypothesized hand—but this approach is feasible only late in the game, after most of the tricks have been played.

Some work has been done on extending game-tree search to deal with uncertainty, including Horacek's work on chess (Horacek, 1990), and Ballard's work on backgammon (Ballard, 1983). However, these works do not deal with the kind of uncertainty that we discussed in the introduction, and thus it does not appear to us that these approaches would be sufficient to accomplish our objectives.

Wilkins (Wilkins, 1980; Wilkins, 1982) uses "knowledge sources" to generate chess moves for both the player and the opponent, and then chooses the move to play by investigating the results. In their intent, these knowledge sources are similar to the multi-agent methods that we describe in this paper. However, since chess is a perfect-information game, Wilkins' work does not deal with uncertainty and incomplete information.

Our work on hierarchical planning draws on Tate's (Tate, 1976; Tate, 1977) which in turn draws on Sacerdoti's (Sacerdoti, 1974; Sacerdoti, 1990). In addition, some of our definitions were motivated by those in (Erol et al., 1992; Erol et al., 1993).

# A Model of Imperfect-Information Games

In this section, we describe a formalism for expressing imperfect-information games having the following characteristics:

- 1. Only one player may move at at time.
- 2. Each player need not have complete information about the current state S. However, each player has enough information to determine whose turn it is to move.
- 3. A player may control more than one agent in the game (as in bridge, in which the declarer controls two hands rather than one). If a player is in control of the agent A whose turn it is to move, then the player knows what moves A can make.
- 4. If a player is not in control of the agent A whose turn it is to move, then the player does not necessarily know what moves A can make. However, in this case the player does know the set of possible moves A might be able to make; i.e., the player knows a finite set of moves M such that every move that Acan make is a member of M.

Our motivating example is the game of bridge. The specific problem we wish to address is how the declarer should play the game after the bidding is over (i.e., once trump suit has been chosen and one of the declarer's opponents has made an initial lead). There are four hands around the table; our player will play two hands (the declarer and the dummy), and two external agents (the defenders) will play the other two hands. The two hands played by our agent are in full view of our agent at all times; the two other hands are not, hence the incomplete information.

In order to talk about the current state S (or any other state) in an abstract manner, we will consider it to be a collection of ground atoms (i.e., completely instantiated predicates) of some function-free first-order language  $\mathcal{L}$  that is generated by finitely many constant symbols and predicate symbols. We do not care whether or not this is how S would actually be represented in an implementation of a game-playing program.

Among other things, S will contain information about who the players are, and whose turn it is to move. To represent this information, we will consider S to include a ground atom Agent(x) for each player x, and a ground atom Turn(y) for the player y whose turn it is to move. For example, in the game of bridge, S would include the ground atoms Agent(North), Agent(South), Agent(East), and Agent(West). If it were South's turn to move, then S would include the ground atom Turn(South).

We will be considering S from the point of view of a particular player  $\mathcal{P}$  (who may be a person or a computer system). One or more of the players will be under  $\mathcal{P}$ 's control; these players are called the *controlled* agents (or sometimes "our" agents). The other players are the uncontrolled agents, or our opponents. For each controlled agent x, we will consider S to include a ground atom Control(x). For example, in bridge, suppose  $\mathcal{P}$  is South. Then if South is the declarer, S will contain the atoms Control(South) and Control(North).

Since  $\mathcal{P}$  is playing an imperfect-information game,  $\mathcal{P}$ will be certain about some the ground atoms of S, and uncertain about others. To represent the information about which  $\mathcal{P}$  is certain, we use a set of ground literals  $I_S$  called  $\mathcal{P}$ 's state information set (we will write Irather than  $I_S$  when the context is clear). Each positive literal in  $I_S$  represents something that  $\mathcal{P}$  knows to be true about S, and each negative literal in  $I_S$ represents something that  $\mathcal{P}$  knows to be false about S. Since we require that  $\mathcal{P}$  knows whose turn it is to move, this means that  $I_S$  will include a ground atom Turn(y) for the agent y whose turn it is to move, and ground atoms  $\neg$  Turn(z) for each of the other agents.

For example, in bridge, suppose that  $\mathcal{P}$  is South, South is declarer, it is South's turn to move, and South has the 64 but not the 74. Then  $I_S$  would contain the following atoms (among others):

Control(North),	$\neg$ Control(East),
Control(South),	$\neg$ Control(West),
¬ Turn(North),	$\neg$ Turn(East),
Turn(South),	$\neg$ Turn(West),
Has(South,6♣),	$\neg$ Has(South,7♣)

Unless South somehow finds out whether West has the 7 $\clubsuit$ ,  $I_S$  would contain neither Has(West,7 $\clubsuit$ ) nor  $\neg$  Has(West,7 $\clubsuit$ ).

In practice,  $\mathcal{P}$  will know I but not S. Given a state information set I, a state S is *consistent* with I if every literal in I is true in S.  $I^*$  is the set of all states consistent with I.

 $\mathcal{P}$  might have reason to believe that some states in  $I^*$  are more likely than others. For example, in bridge, information from the bidding or from prior play often gives clues to the location of key cards. To represent this, we define  $\mathcal{P}$ 's *belief function* to be a probability function  $p: I^* \to [0, 1]$ , where [0, 1] is the set of reals falling between 0 and 1.

To represent the possible actions of the players, we use STRIPS-style operators. More specifically, if  $X_0, X_1, X_2, \ldots, X_n$  are variable symbols, then we define a *primitive operator*  $O(X_0; X_1, X_2, \ldots, X_n)$  to be the following triple:<sup>1</sup>

Pre(O) is a finite set of literals, called the *precondition list* of O, whose variables are all from the set {X<sub>0</sub>, X<sub>1</sub>, X<sub>2</sub>,..., X<sub>n</sub>}. Pre(O) must always include the atoms Agent(X<sub>0</sub>) and Turn(X<sub>0</sub>).

2. Add(O) and Del(O) are both finite sets of atoms (possibly non-ground) whose variables are all from the set  $\{X_0, X_1, X_2, \ldots, X_n\}$ . Add(O) is called the *add list* of O, and Del(O) is called the *delete* list of O.

For example, in bridge, one operator might be PlayCard(P; S, R), where the variable P represents the player (North, East, South or West), S represents the suit played ( $\clubsuit$ ,  $\diamondsuit$ ,  $\heartsuit$ , or  $\spadesuit$ ), and R represents the rank (2, 3, ..., 9, T, J, Q, K, or A). Pre(PlayCard) would contain conditions to ensure that player P has the card of suit S and rank R. Add(PlayCard) and Del(PlayCard) would contain atoms to express the playing of the card, the removal of the card from the player's hand, and possibly any trick which may be won by the play of the card.

We define applicability in the usual way, that is, a primitive operator O is *applicable* in a state S if every literal in Pre(O) is in S. If an instantiation of a primitive operator (say  $O(a_0; a_1, a_2, \ldots, a_n)$ ) is applicable in some state  $S_a \in I^*$ , and if  $Control(a_0)$  holds, then we require that the instantiation be applicable in **all** states  $S \in I^*$ . This will guarantee that, as required, if  $\mathcal{P}$  is in control of the agent  $a_0$  whose turn it is to move, then  $\mathcal{P}$  will have enough additional information to determine which moves  $a_0$  can make. In bridge, for example, this means that if  $\mathcal{P}$  has control of South, and it is South's turn, then  $\mathcal{P}$  knows what cards South can play.

We define  $\mathcal{S}$  to be the set of all states. We define  $\mathcal{I}$  to be the set of all state information sets.

An objective function is a partial function  $f: S \rightarrow [0, 1]$ . Intuitively, f(S) expresses the perceived benefit to  $\mathcal{P}$  of the state S; where f(S) is undefined, this means that S's perceived benefit is not known. In bridge, for states representing the end of the hand, f might give the score for the participant's side, based on the number of tricks taken. For other states, f might well be undefined.

Game-playing programs for perfect-information games make use of a static evaluation function, which is a total function  $e: S \to [0, 1]$  such that if S is a state and f(S) is defined, then e(S) = f(S). In imperfect-information games, it is difficult to use e(S)directly, because instead of knowing the state S, all  $\mathcal{P}$  will know is the state information set I. Thus, our game-playing procedure will instead use a distributed evaluation function

$$e^*(I) = \sum_{S \in I^*} p(S)e(S).$$

Intuitively,  $e^*(I)$  expresses the estimated benefit to  $\mathcal{P}$  of the set of states consistent with the state information set I. Our game-playing procedure will use  $e^*$  only when it is unable to proceed past a state.<sup>2</sup>

<sup>&</sup>lt;sup>1</sup>The semicolon separates  $X_0$  from the rest of the arguments because  $X_0$  is the agent who uses the operator when it is  $X_0$ 's turn to move.

<sup>&</sup>lt;sup>2</sup>However, we can imagine that in time-sensitive situations, one might want to modify our procedure so that it



Figure 1: The part of the network of multi-agent methods for the game of bridge that deals with finessing.

A game is a pair  $G = (\mathcal{L}, \mathcal{O})$  where  $\mathcal{L}$  is the planning language and  $\mathcal{O}$  is a finite set of operators. Given a game G, an problem in G is a quadruple  $P = (I_{S_0}, p, f, e)$ , where  $I_{S_0}$  is the state information about an *initial* state  $S_0$ , p is a belief function, f is an objective function and e is a static evaluation function. For example, if G is the game of bridge, then the problem P would be a particular hand, from a particular player's point of view. All the information required to compute  $e^*$  is expressed in e and p, thus we need not include  $e^*$  in our definition of P.

#### **Networks of Multi-Agent Methods**

We define a primitive operator method, or just operator method, M, to be a triple (Task(M), Pre(M), Op(M)), where

1. Task(M) is a *task*. This may either be the ex-

pression 'NIL' or a syntactic expression of the form  $M(X_0; X_1, X_2, \ldots, X_n)$  where each  $X_i$  is a variable of  $\mathcal{L}$ .

- 2.  $\operatorname{Pre}(M)$  is a finite set of literals, called the *precondition* list of M.  $\operatorname{Pre}(M)$  must always include all of the literals in the precondition list of the operator  $O(t_0, t_1, \ldots, t_m)$ , where O is as described below.
- 3. Op(M) is a syntactic expression  $O(t_0, t_1, \ldots, t_m)$ , where O is an operator in  $\mathcal{O}$ , and  $t_0, t_1, \ldots, t_m$  are terms of  $\mathcal{L}$ .

As an example, the playing of a single card in the game of bridge would probably be represented by a primitive operator method M. Task(M)might be PlayCardMethod(P; S, R). Op(M) would be the syntactic expression PlayCard(P; S, R), where PlayCard(P; S, R) is the primitive operator described in the previous section. Pre(M) would be identical to the precondition list for PlayCard(P; S, R).

A decomposable method M is a triple (Task(M), Pre(M), Expansion(M)), where

sometimes uses  $e^*$  on nodes that it can proceed past, just as chess-playing computer programs use a static evaluation function rather than searching to the end of the game.



Figure 2: A piece of the decision tree that might be generated by the finessing part of the network of multi-agent methods for the game of bridge.

1. Task(M) is again either the expression 'NIL' or a syntactic expression of the form

$$M(X_0; X_1, X_2, \ldots, X_n),$$

where each  $X_i$  is a variable of  $\mathcal{L}$ .

- 2.  $\operatorname{Pre}(M)$  is a finite set of literals, called the *precondition* list of M.  $\operatorname{Pre}(M)$  must always include the atom  $\operatorname{Agent}(X_0)$ .
- 3. Expansion(M) is a (possibly empty) tuple of tasks

$$T_{1}(t_{1,1}, t_{1,2}, \dots, t_{1,m_{1}}), T_{2}(t_{2,1}, t_{2,2}, \dots, t_{2,m_{2}}), \cdots, T_{k}(t_{k,1}, t_{k,2}, \dots, t_{k,m_{k}})).$$

For example, Figure 1 shows part of the network of multi-agent methods that we use for finessing in bridge. In this figure, each box represents a decomposable method.

If an instantiation of a method (say  $M(a_0; a_1, a_2, \ldots, a_n)$ ) is applicable in some state  $S_a \in I^*$ , and if Control $(a_0)$  holds, then we require that the instantiation be applicable in **all** states  $S \in I^*$ . This will guarantee that if  $\mathcal{P}$  is in control of the agent  $a_0$  whose turn it is to move, then  $\mathcal{P}$  will have enough additional information to determine which methods are applicable. In bridge, for example, this means that if  $\mathcal{P}$  has control of South, and it is South's turn, then  $\mathcal{P}$  knows what strategic and tactical schemes South can employ.

Let  $\mathcal{M}$  be a set of operator methods and decomposable methods. Then  $\mathcal{M}$  is a *network of multi-agent methods* (since the Expansions of methods serve to link methods, the term "network" is appropriate.)

#### Game-Playing Procedure

Our game-playing procedure constructs a decision tree, then evaluates the decision tree to produce a plan for how to play some or all of the game. It then executes this plan either until the plan runs out, or until some opponent does something that the plan did not anticipate (at which point the procedure will re-plan). The details of the procedure are described in this section.

Constructing a Decision Tree. Given a network of multi-agent methods and a state information set I, our game-playing procedure uses these methods to construct a *decision tree* rooted at I.<sup>3</sup> A decision tree resembles a game tree.<sup>4</sup> It contains two kinds of nonleaf nodes: *decision nodes*, representing the situations in which it is  $\mathcal{P}$ 's turn to move, and *external-agent nodes*, representing situations in which it is some external agent's turn to move. The tree's leaf nodes are nodes at which the procedure does not have any methods to apply, either because the game has ended, or because the methods simply don't tell the procedure what to do.

Each node of the decision tree T will contain a state information set and a sequence of 0 or more tasks to be solved. Our procedure for creating T is as follows:

<sup>&</sup>lt;sup>3</sup>We use the term "decision tree" as it is used in the decision theory literature (French, 1986; Feldman and Yakimovsky, 1974; Feldman and Sproull, 1977), to represent a structure similar to a game tree. We are **not** employing decision trees (also, and less ambiguously, referred to as *comparison trees* (Knuth, 1973)) as they are defined in the sorting literature (Cormen *et al.*, 1990, p. 173). We apologize for the confusion, but it is inescapable.

<sup>&</sup>lt;sup>4</sup>In the decision theory literature, what we call externalagent nodes are usually called *chance nodes*, because decision theorists usually assume that the external agent is random. What we call leaf nodes are usually called *consequence nodes*, because they represent the results of the paths taken to reach them.

Let the root node of T be a node containing the state information set I and no tasks.

loop:

- 1. Pick a leaf node u of T such that u is not the end of the game and we have never tried to expand ubefore. If no such node exists, then exit, returning T. Otherwise, let  $I_u$  be the state information set in u, and  $\mathcal{U} = (U_1, U_2, \ldots, U_n)$  be the sequence of tasks in u.
- 2. For each instantiated method  $\overline{M}$  that is applicable to u, let v be the node produced by applying  $\overline{M}$  to u. Install v into T as a child of u. (Below, we define the terms 'instantiated method,' 'applicable,' and 'produced.')

#### repeat

As an example of the operation of this procedure, Figure 2 shows a piece of a decision tree that the procedure might generate for finessing, using the network shown in Figure 1.

An instantiated method is any ground instance  $\overline{M}$  of some method M. Let u be a node whose state information set is  $I_u$  and whose task sequence is  $\underline{\mathcal{U}} = (U_1, U_2, \ldots, U_n)$ . Then an instantiated method  $\overline{M}$  is applicable to u if the following conditions hold:

- 1. Either  $\mathcal{U}$  is empty and  $\operatorname{Task}(\overline{M})$  is NIL, or  $\operatorname{Task}(\overline{M})$  matches  $U_1$ .
- 2. Some state S consistent with  $I_u$  satisfies  $\operatorname{Pre}(\overline{M})$ , i.e., some state  $S \in I_u^*$  satisfies  $\operatorname{Pre}(\overline{M})$ .

If  $\mathcal{P}$  is in control of the agent  $a_u$  whose turn it is to move at node u, and if one state S consistent with  $I_u$  satisfies  $\operatorname{Pre}(\overline{M})$ , then all states S' consistent with  $I_u$  (i.e., all  $S' \in I_u^*$ ) satisfy  $\operatorname{Pre}(\overline{M})$ . We made this property a requirement of our multi-agent methods in the previous section.

If  $\mathcal{P}$  is **not** in control of the agent  $a_u$  whose turn it is to move at node u, then it is possible for one state  $S_1$  consistent with  $I_u$  to satisfy  $\operatorname{Pre}(\overline{M})$ , while some other state  $S_2$  consistent with  $I_u$  does **not** satisfy  $\operatorname{Pre}(\overline{M})$ . In this case, our procedure will need to make the assumption that  $\operatorname{Pre}(\overline{M})$  holds, so that it can investigate what happens when some opponent makes a move using the instantiated method  $\overline{M}$ . Other instantiated methods  $\overline{M}_1, \overline{M}_2, \ldots, \overline{M}_m$  will investigate what happens in states where  $\operatorname{Pre}(\overline{M})$  does not hold.

For example, in Figure 2, before investigating the move Play(West;  $\diamond$ , 4), our procedure would need to make the assumption that West holds the 4 $\diamond$ . The procedure would investigate the other moves for West under different assumptions (say, that West holds the K $\diamond$ , or that West holds no cards in the  $\diamond$  suit.)

If  $\overline{M}$  is applicable to u, then applying  $\overline{M}$  to u produces the node v whose state information set  $I_v$  and task sequence  $\mathcal{V}$  are as follows:

• If M is a decomposable method, then  $I_v = I_u \cup \operatorname{Pre}(\overline{M})$ . Intuitively,  $I_v$  is  $I_u$  with all the conditions in  $\operatorname{Pre}(\overline{M})$  assumed.

If M is an operator method, then

$$I_v = [(I_u \cup \operatorname{Pre}(\overline{M})) - \operatorname{Del}(\operatorname{Operator}(\overline{M}))] \\ \cup \operatorname{Add}(\operatorname{Operator}(\overline{M})).$$

Intuitively,  $I_v$  is  $I_u$ , with all the conditions in  $\operatorname{Pre}(\overline{M})$  assumed, and then all the conditions in  $\operatorname{Del}(\overline{M})$  deleted, and all the conditions in  $\operatorname{Add}(\overline{M})$  added.

If M is a decomposable method and Expansion(M) = (V<sub>1</sub>, V<sub>2</sub>, ..., V<sub>m</sub>), then V = (V<sub>1</sub>, ..., V<sub>m</sub>, U<sub>2</sub>, U<sub>3</sub>, ..., U<sub>n</sub>). If M is an operator method, then V = (U<sub>2</sub>, U<sub>3</sub>, ..., U<sub>n</sub>). Intuitively, this corresponds to saying that the tasks V<sub>1</sub>, V<sub>2</sub>, ..., V<sub>m</sub> need to be solved before attempting to solve the tasks U<sub>2</sub>, U<sub>3</sub>, ..., U<sub>n</sub>.

**Evaluator and Plan Execution.** Given a decision tree T,  $\mathcal{P}$  will want to evaluate this tree by assigning a utility value to each node of the tree. As we generate the decision tree T (as described in the previous section), it is possible to evaluate it at the same time. However, for the sake of clarity, this section describes the evaluation of T as if the entire tree T had already been generated.

In perfect-information games, the usual approach is to use the minimax procedure, which computes the maximum at nodes where it is  $\mathcal{P}$ 's move, and the minimum at nodes where it is the opponent's move. In the decision theory literature, this procedure is referred to as the Wald maximin-return decision criterion. This decision criterion is less appropriate for imperfect-information games: since we do not know what moves the opponent is capable of making, it makes less sense to assume that the opponent will always make the move that is worst for us. Thus, a more complicated criterion which considers the belief function is to be preferred, such as the weighted-averageof-utilities criterion outlined below.

Let u be an external-agent node whose children are the nodes  $u_1, u_2, \ldots, u_n$ . For each  $u_i$ , let  $I_i$  be the state information set contained in  $u_i$ . Suppose we have already computed a utility value  $v_i \in [0, 1]$ for each  $u_i$ . Then we define a *external-agent criterion* to be an algorithm C that returns a utility value  $v = C(u, u_1, u_2, \ldots, u_n)$  for the node u.<sup>5</sup>

Many external-agent criteria can be used, taking ideas from the pure decision criteria (such as Hurwicz's optimism-pessimism index, Savage's minimax regret, and Wald's maximin return, known to computer scientists as minimax). Some will make use of the belief

<sup>&</sup>lt;sup>5</sup>This definition of external-agent criterion is somewhat different from the usual definition of decision criterion in decision theory (e.g. (French, 1986), p. 28), which essentially defines decision criteria on a two-level structure of decision nodes and chance nodes, without the belief function p. However, we believe that our definition, while in theory is not always as powerful, is in practice strong enough to implement most decision criteria we would want in most domains.

Contract: S	South - 5 🏟		Play	:		
Lead: West	5 - 6 🛇		W	Ν	Е	$\mathbf{S}$
Deal:			$6\diamondsuit$	$2\diamondsuit$	J♦	$\mathbf{A}\Diamond$
			7 🐥	2	J 🌲	$4\clubsuit$
	North		$T\diamondsuit$	$3\diamondsuit$	$7\diamondsuit$	$\mathbf{K}\Diamond$
	<b>4</b> 32		8 🐥	3 🐥	Τ♣	5 🐥
	$\heartsuit$ 5432	<b>T</b> .	8 🔷	$4\diamondsuit$	$9\diamondsuit$	$5 \spadesuit$
	East ♠ 7	9 🐥	$2 \spadesuit$	Q♣	6 🐥	
		9 🄶	3 🏟	$7 \spadesuit$	A♠	
	G 11	♦ J 187 ♦ QJ97 ♣ KQJT	8 🏟	$4 \spadesuit$	K	K♠
	South ♠ AKQJ65		Τ♠	$5\diamondsuit$	$Q\diamondsuit$	Q♠
			A 🐥	$2\heartsuit$	8♡	J♠
	♥ AK		$6 \heartsuit$	$3\heartsuit$	$7\heartsuit$	6 🏟
	♦ AK		$9\heartsuit$	$4\heartsuit$	Тΰ	$\mathbf{A}\heartsuit$
	<b>\$</b> 654		$Q\heartsuit$	$5\heartsuit$	lΔ	$\mathbf{K}\heartsuit$

Figure 3: One of the hands that Tignum correctly solved. Ranks in boldface represent cards that won tricks.

function p, others will not. In bridge, we would generally use an external-agent criterion that would give a weighted average, using p, of the utility values  $v_i$  resulting from the best move the opponents could make in all the states consistent with the state information set I.

Given a decision tree, a external-agent criterion for each uncontrolled agent, an objective function, and a belief function, we define an *evaluation* of the decision tree as follows:

- 1. The utility value of a leaf node u is the value of  $e^*(I)$ , where I is the state information set associated with u. Recall that if f, the objective function, is defined at a state S, then e(S) = f(S). Thus, if we have reached a the end of the game, then the objective function is used, as desired.
- 2. The utility value of an external-agent node u is the value  $C(u, u_1, u_2, \ldots, u_n)$ , where  $u_1, u_2, \ldots, u_n$  are the children of u.
- 3. The utility value of a decision node u is the maximium of the utility values of its children.

Although this evaluation may be computed recursively as defined, there may also be more efficient computations (for example, if  $C(u, u_1, u_2, \ldots, u_n)$  were the minimum of the utility values of  $u_1, u_2, \ldots, u_n$ , then we could use alpha-beta pruning).

Once the decision tree is solved, a plan (a *policy* in the decision theory literature) has been created;  $\mathcal{P}$  will, at the state information set associated with any decision node, simply choose the method that leads to the node with highest utility value.

 $\mathcal{P}$  follows the plan as far as possible. If the plan takes  $\mathcal{P}$  to the end of the game, then the problem is solved. If the plan should terminate before the end of the game—which may occur either because an external agent performs an action which is not present in the plan, or because the plan has reached a previously

unexpanded node—then  $\mathcal{P}$  simply re-plans, starting at the node where the plan ends.

# Implementation and Preliminary Tests in Bridge

To test our approach, we implemented and tested a small prototype, called *Tignum*. Since Tignum is a prototype and its knowledge base was both too small and too inaccurate, we did not carry out extensive tests. However, as described in this section, we performed some preliminary tests of Tignum on a suite of bridge hands.

We developed our suite of bridge hands with several criteria in mind. Some of them were developed in order to present various "worst case" situations to Tignum, some were developed to represent "normal" bridge hands, and some were developed to represent situations that cause problems to previously developed bridge programs.

Our test of Tignum had the following results:

- On our suite of hands, the largest search tree generated by Tignum contained 1301 nodes. Since we selected our suite of hands to include hands that we thought would generate "worst-case" sized search trees, we believe that Tignum will not generate search trees significantly larger than this. This represents a large improvement over the  $6.01 \times 10^{44}$  nodes that would be generated by a brute-force game-tree search.
- On hands that presented situations to Tignum that could be solved by schemes in Tignum's limited knowledge base, Tignum came up with the correct plans. An example is shown in Figure 3, where Tignum successfully sets up and takes a club ruff in dummy.

These results were encouraging enough that we decided to proceed with the full implementation of our approach, called *Tignum II*, which we are still developing.

## Conclusion

In this paper, we have described an approach to playing imperfect-information games. By using techniques adapted from task-network planning, our approach reduces the large branching factor that results from uncertainty in the game. It does this by producing game trees in which the number of branches from each state is determined not by the number of actions that an agent can perform, but instead by the number of different tactical and strategic schemes that the agent can employ. By doing a modified game-tree search on this game tree, one can produce a plan that can be executed for multiple moves in the game.

Our approach appears to be particularly suited to bridge, since bridge is an imperfect-information game that is characterized by a high degree of planning during card play. Thus, to test our approach, we have implemented a prototype system that uses these techniques to do card-playing in the game of bridge. In testing this prototype, we have found that it produces game trees small enough that it can search them all the way to the end of the game—and by doing so, it can successfully solve typical bridge problems that matched situations in its knowledge base.

These preliminary tests suggest that this approach has the potential to yield bridge-playing programs much better than existing ones—and thus we have begun a full implementation of our approach. We hope that our work will be useful in a variety of imperfectinformation games.

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